

# Total Neoadjuvant Therapy for pMMR Locally Advanced Rectal Cancer

April 12, 2025

South Florida GI Cancer Symposium

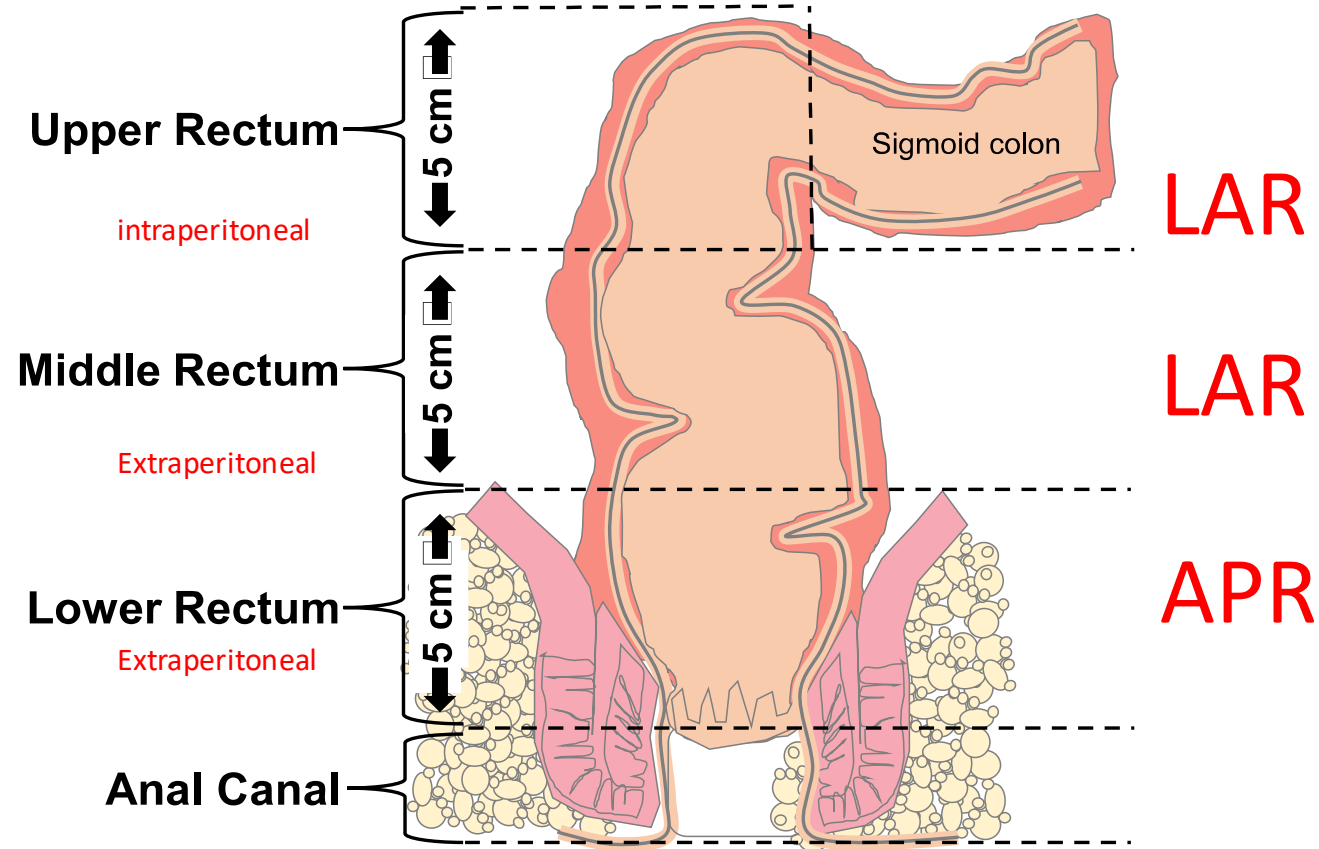
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**Columbia University Irving Medical College**

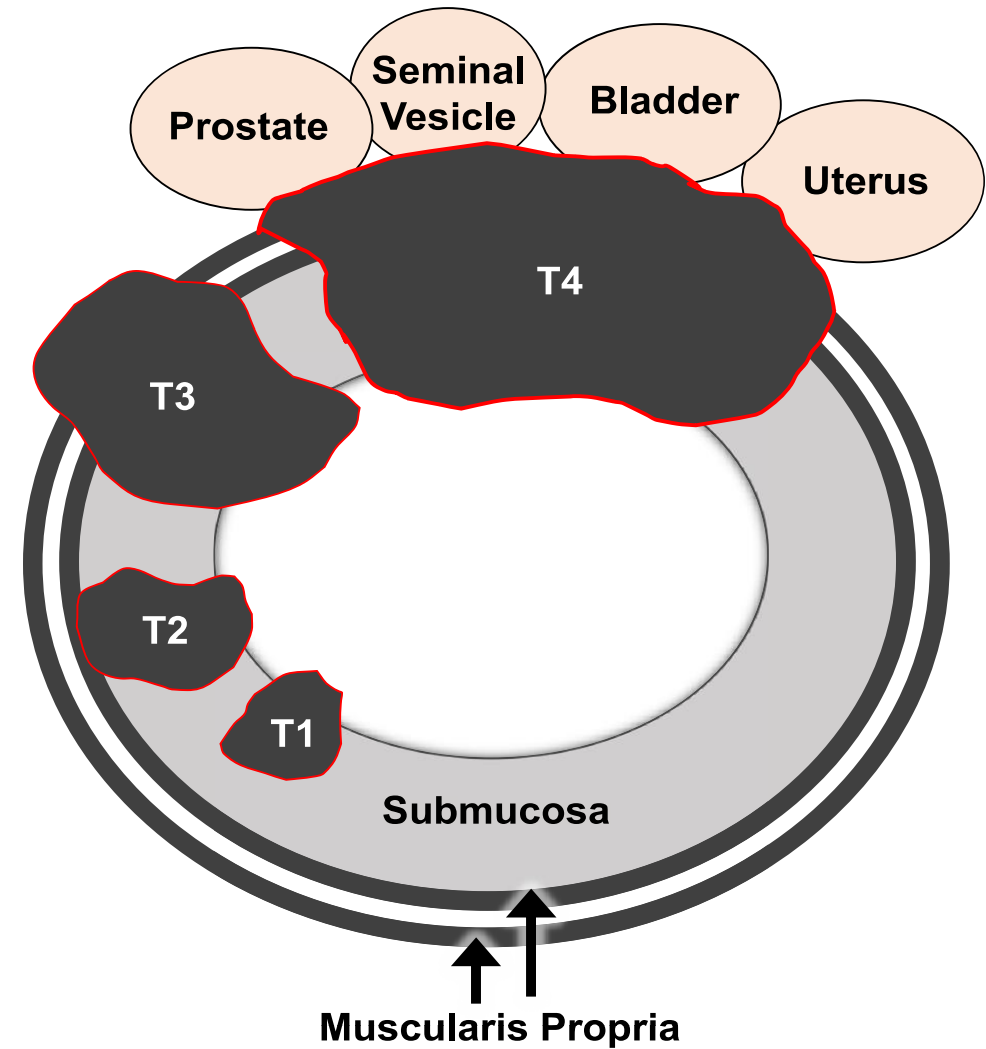
# Outline

- Anatomy
- Historical trials
- Early data in TNT for rectal cancer
- Recent advances in TNT for rectal cancer

# Rectal Cancer - Anatomy



**Fig. 2.** The rectum is divided into 3 parts: lower, middle, and upper.



**Fig. 3.** Cartoon of tumor staging in rectal cancer.

American Joint Committee on Cancer (AJCC)  
TNM Staging System for Rectal Cancer 8th ed., 2017

Table 2. Prognostic Groups

	T	N	M	CLINICAL STAGE	PRIMARY TREATMENT <sup>t</sup>
Stage 0	Tis	N0	M0		
Stage I	T1, T2	N0	M0	T1, T1-2, N0	Upfront surgery
Stage IIA	T3	N0	M0		
Stage IIB	T4a	N0	M0		
Stage IIC	T4b	N0	M0	T3, N0 low-risk <sup>n</sup> , high rectal tumors	Transabdominal resection <sup>f</sup> or Treat as T3, N any below
Stage IIIA	T1-T2	N1/N1c	M0		
	T1	N2a	M0		
Stage IIIB	T3-T4a	N1/N1c	M0		
	T2-T3	N2a	M0		
	T1-T2	N2b	M0		
Stage IIIC	T4a	N2a	M0		
	T3-T4a	N2b	M0		
	T4b	N1-N2	M0	T3, N any; T1-2, N1-2; T4, N any or Locally unresectable or medically inoperable	pMMR/MSS dMMR/MSI-H or <i>POLE</i> / <i>POLD1</i> mutation with ultra-hypermutated phenotype [eg, TMB>50 mut/Mb]
Stage IVA	Any T	Any N	M1a		
Stage IVB	Any T	Any N	M1b		
Stage IVC	Any T	Any N	M1c		

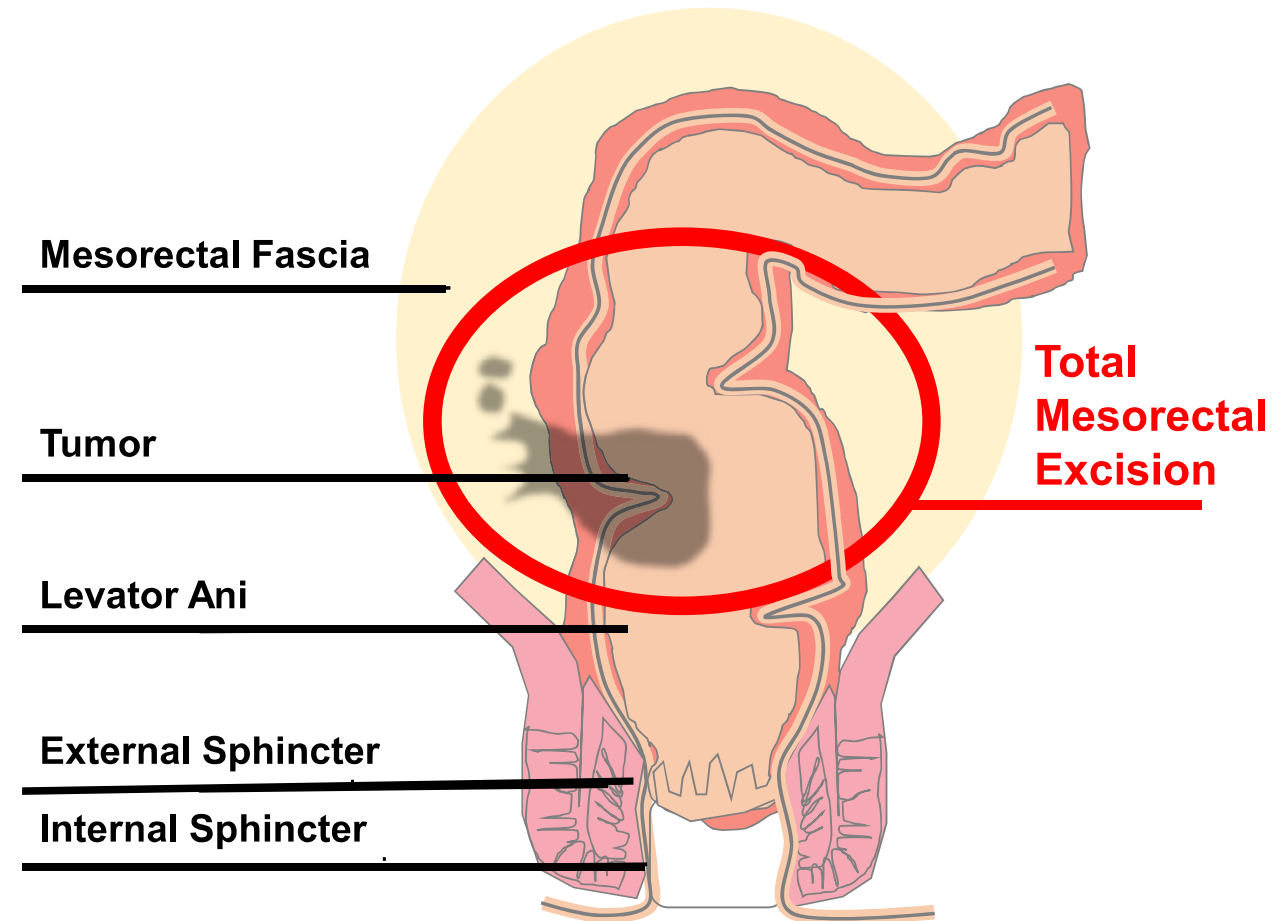
Surveillance (REC-10)

THIS TALK!

Immunotherapy

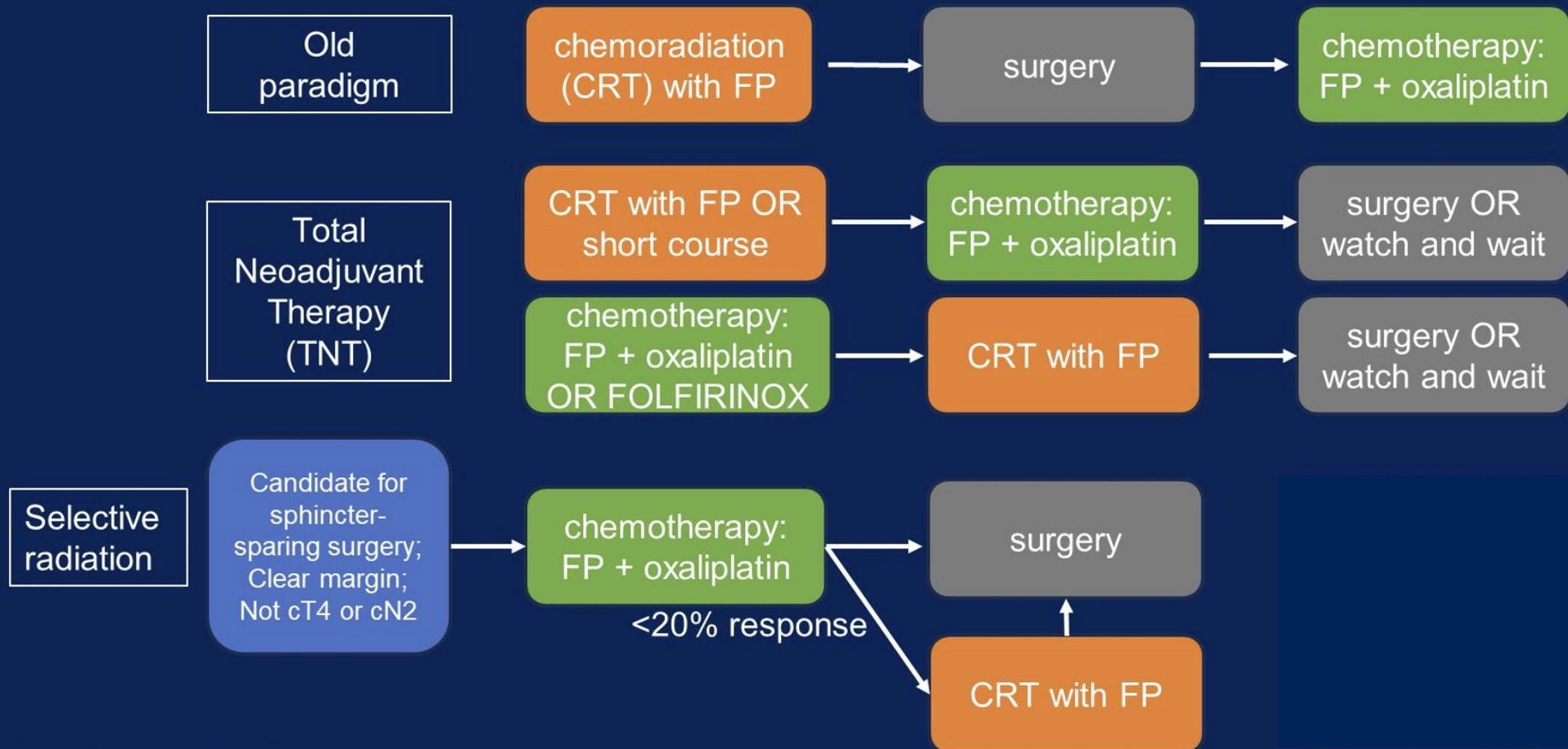
# TME = Total Mesorectal Excision

- TME refers to the excision of the rectum and the tumor en bloc **with its mesenteric blood and lymphatic supply** (i.e.: mesenteric rectum or mesorectum along with its envelope, the mesenteric fascia)
- Complete resection of the tumor depends on noninvolvement of the mesorectal fascia.
- If the mesorectal fascia status is positive, downstaging of the tumor to facilitate complete removal is required



**Fig. 1.** Total mesorectal excision is the standard of care surgical procedure for rectal cancer that completely removes the rectum, surrounding mesorectal fat, perirectal lymph nodes and the thin sheath called the mesorectal fascia (MRF).

# Increasing Options for Clinical Stage II/ III Rectal Cancer (with proficient mismatch repair)



FP = fluoropyrimidine

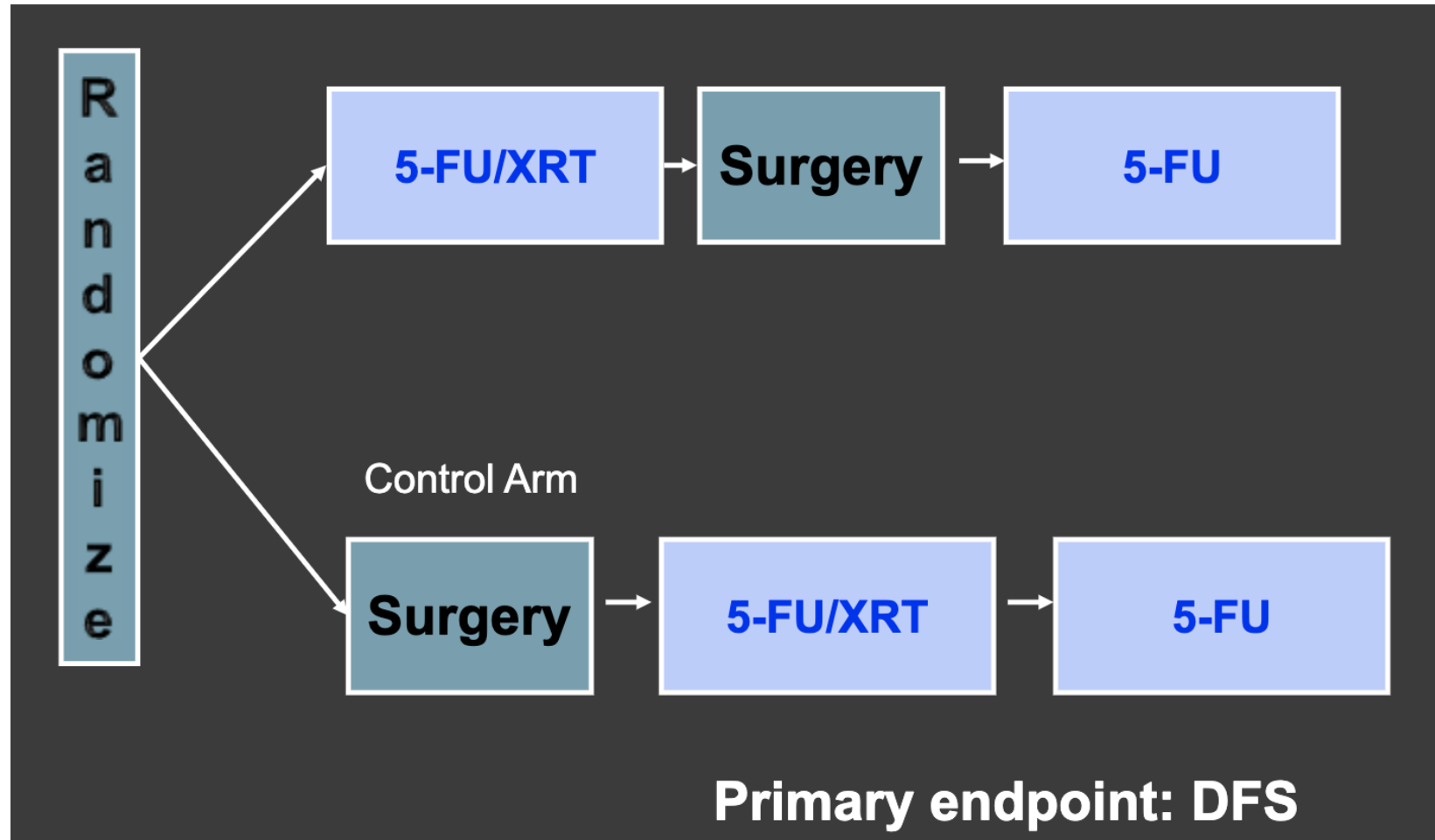
# Pre-operative v post-operative RT: German Rectal Cancer Study CAO/ARO/AIO-94

### Inclusion criteria:

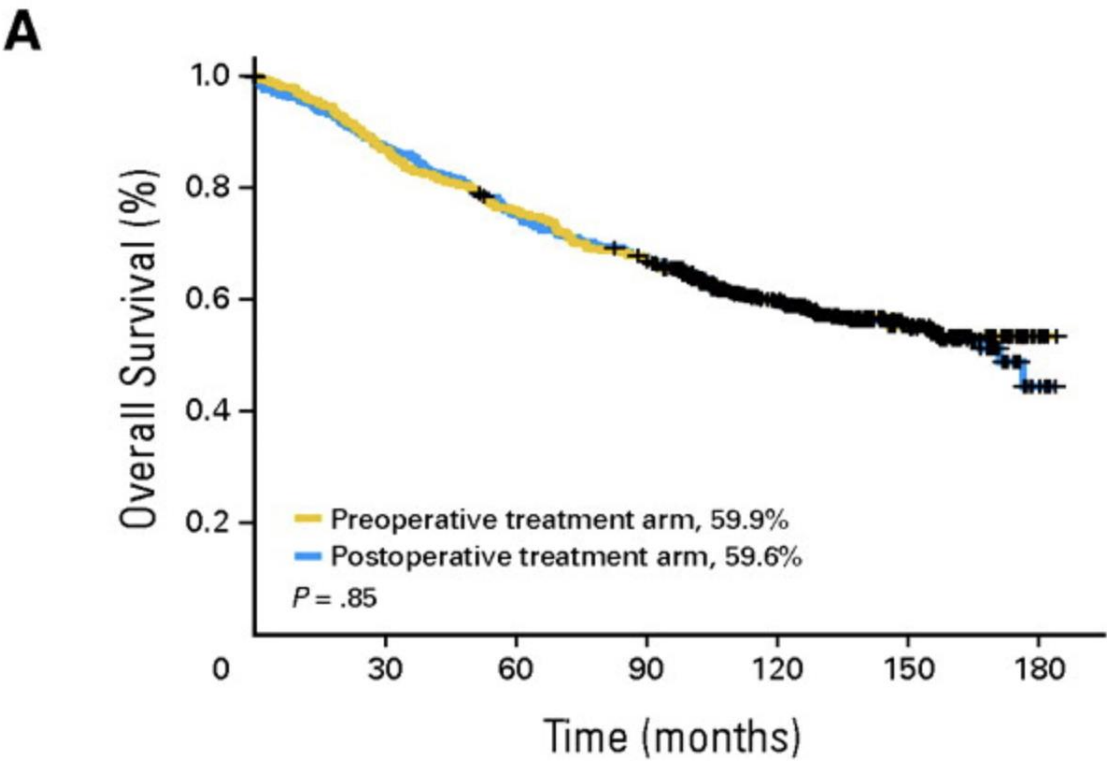
- 823 patients with cT3-4 or N+ rectal cancer
- 18-75 yrs old
- Feb 1995 - July 2002

## Methods:

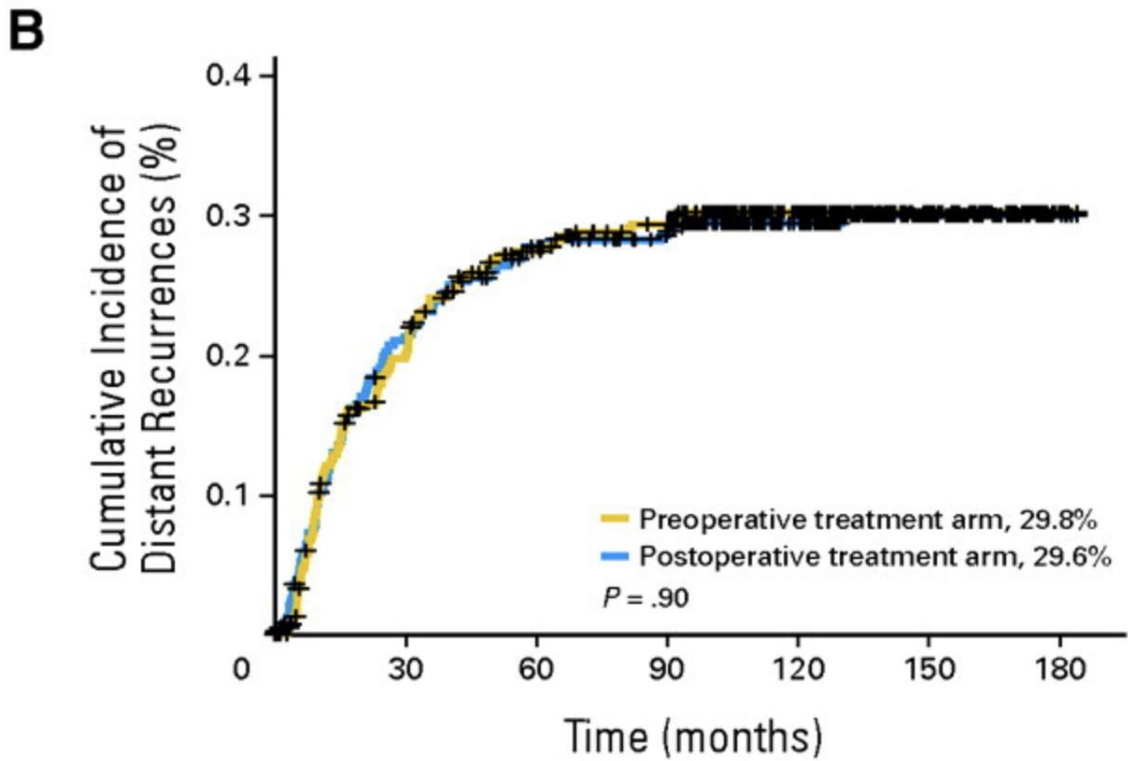
- RT = 50.4 Gy in 28 fx with concurrent 5-FU
- TME 4-6 weeks after completion of CRT
- Adjuvant chemo (4C 5-FU) started 4 weeks after TME or CRT



# CAO/ARO/AIO-94: Pre-operative v post-operative RT



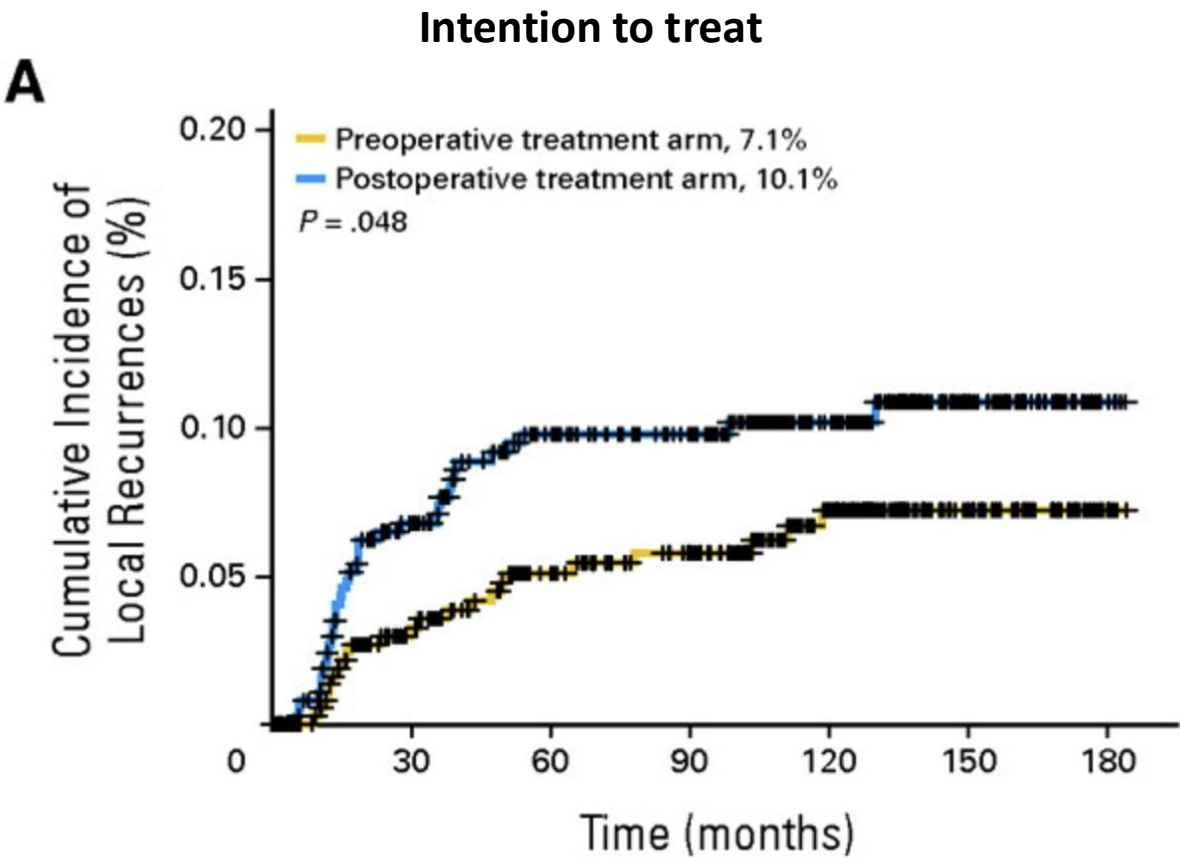
No. at risk							
Preop. CRT	404	351	305	268	174	67	6
Postop. CRT	395	342	295	262	172	70	6



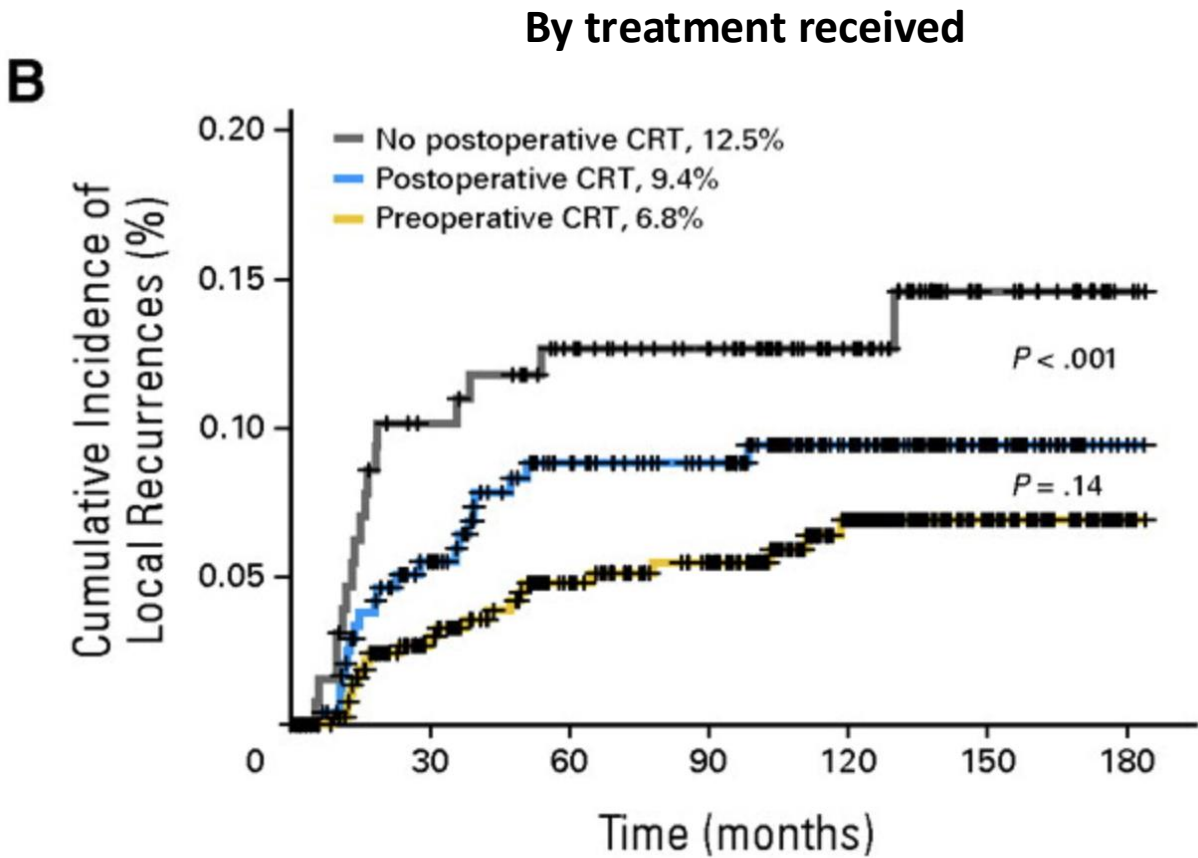
No. at risk							
Preop. CRT	393	295	262	241	158	60	5
Postop. CRT	396	310	267	246	162	63	6



# CAO/ARO/AIO-94: Pre-operative v post-operative RT



No. at risk							
Preop. CRT	393	327	280	251	166	68	6
Postop. CRT	396	341	296	263	170	67	6



No. at risk							
No postop. CRT	143	112	99	87	57	21	3
Postop. CRT	248	212	177	160	106	48	3
Preop. CRT	398	344	300	267	173	66	6

pCR rate in the pre-operative group was 8%

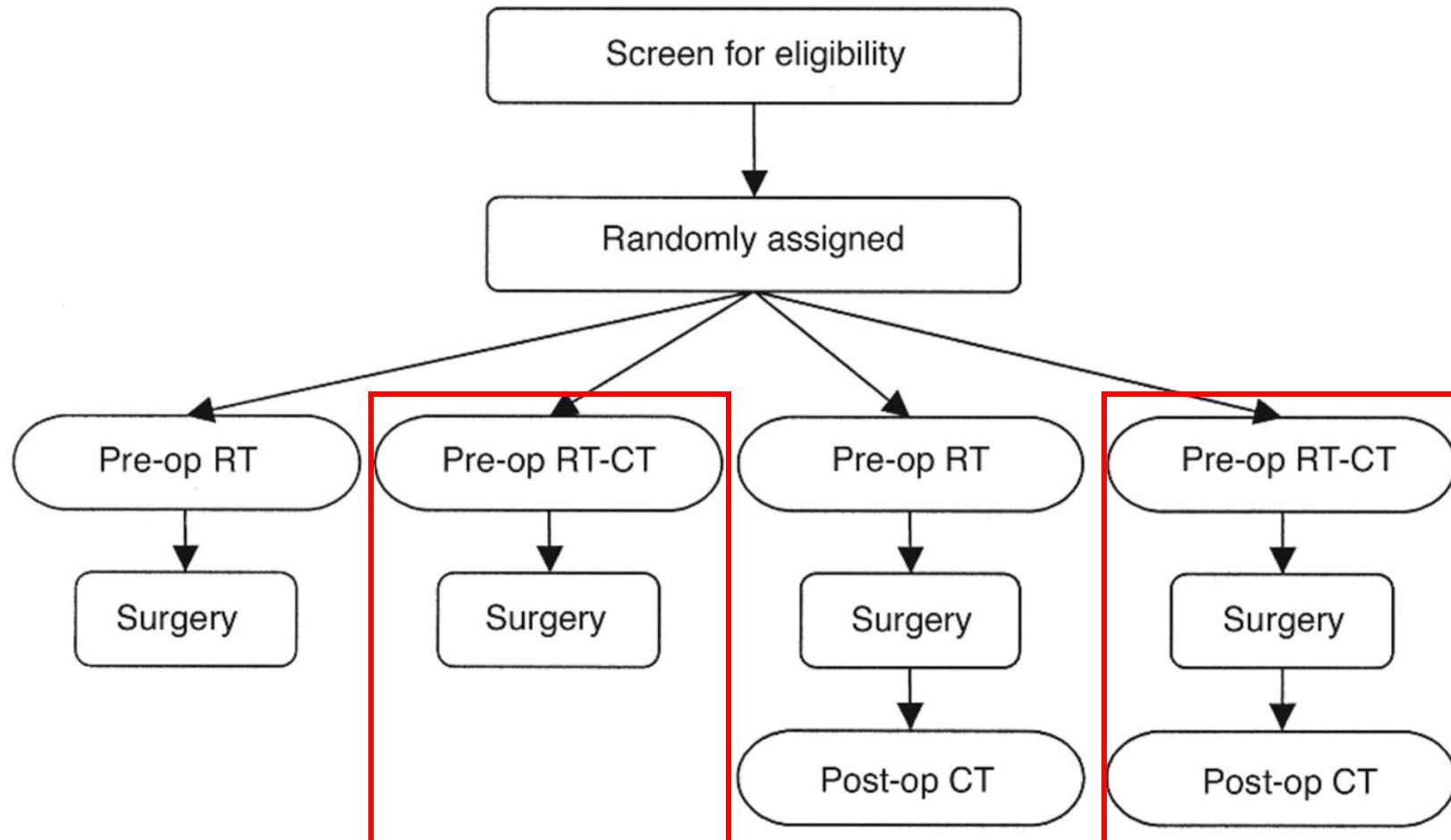
# Role of chemotherapy? EORTC 22921

## Inclusion criteria:

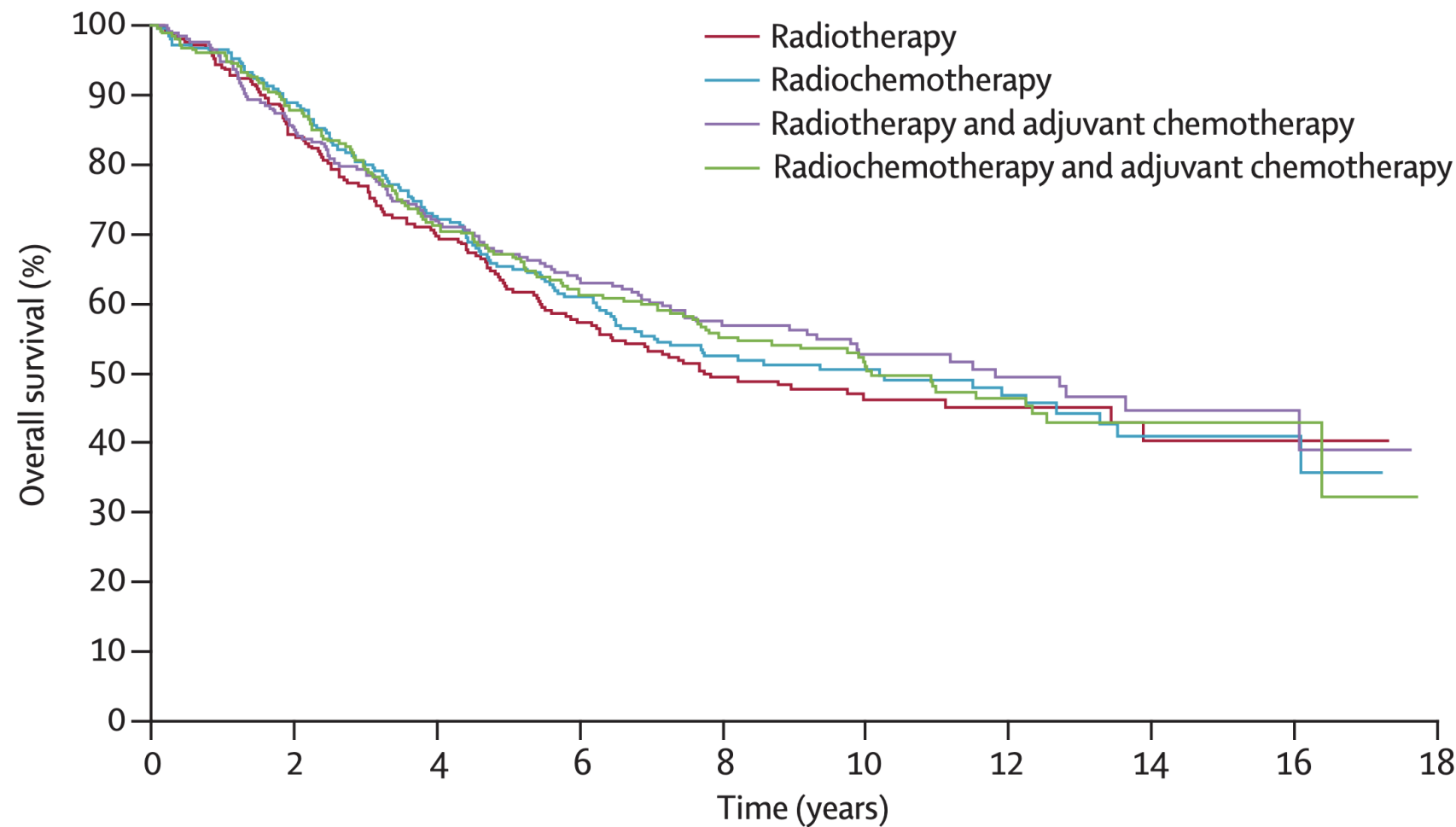
- 1011 patients with T3-4, Nx, M0 rectal ca
- Age < 80 yrs
- April 1993 - March 2003

## Methods:

- RT with 45 Gy in 25 fractions over 5
- Concurrent chemotherapy with 5FU during week 1 and 5
- Surgery 3-10 weeks after the end of RT.
- Adjuvant chemotherapy arms: 5FU/LV x4 cycles



# EORTC 22921



	Surveillance	Adjuvant chemotherapy	Pre-op RT	Pre-op CRT
10-year OS	48.4% (43.6–53.0)	51.8% (95% CI 47.0–56.4)	49.4% (95% CI 44.6–54.1)	50.7% (45.9–55.2)
	HR 0.91 (95% CI 0.77–1.09) p=0.32		HR 0.99 (95% CI 0.83–1.18) p=0.91	

# EORTIC 22921

	No adjuvant chemotherapy		Adjuvant chemotherapy	
	Radiotherapy (N=252)	Chemoradiotherapy (N=253)	Radiotherapy (N=253)	Chemoradiotherapy (N=253)
<b>Local relapse</b>				
At 5 years	21.9% (16.7–27.1)	10.9% (7.0–14.8)	13.7% (9.4–17.9)	10.7% (6.9–14.5)
At 10 years	22.4% (17.1–27.6)	11.8% (7.8–15.8)	14.5% (10.1–18.9)	11.7% (7.7–15.6)
<b>Distant metastases</b>				
At 5 years	36.9% (30.9–42.9)	32.1% (26.3–37.9)	33.5% (27.6–39.3)	29.8% (24.1–35.4)
At 10 years	39.6% (33.5–45.8)	33.4% (27.5–39.3)	35.9% (29.9–41.9)	34.1% (28.2–40.1)

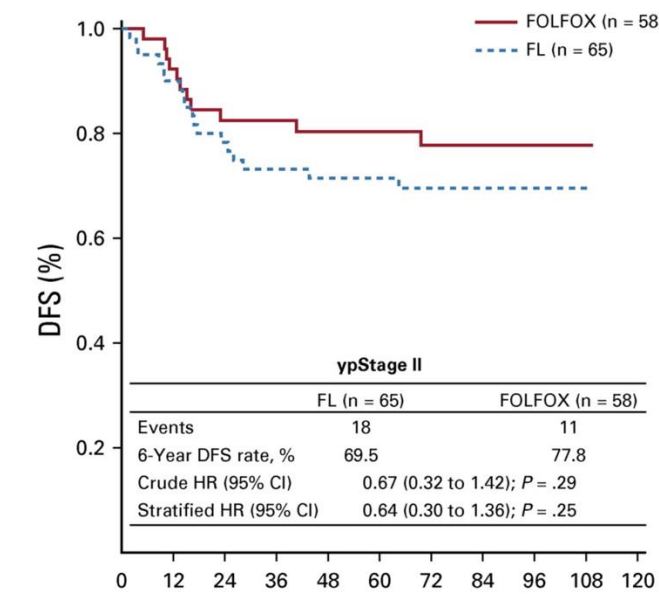
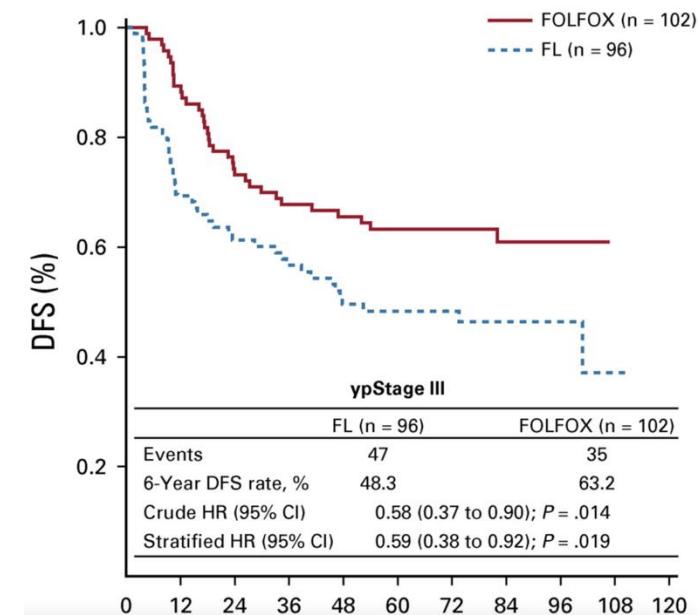
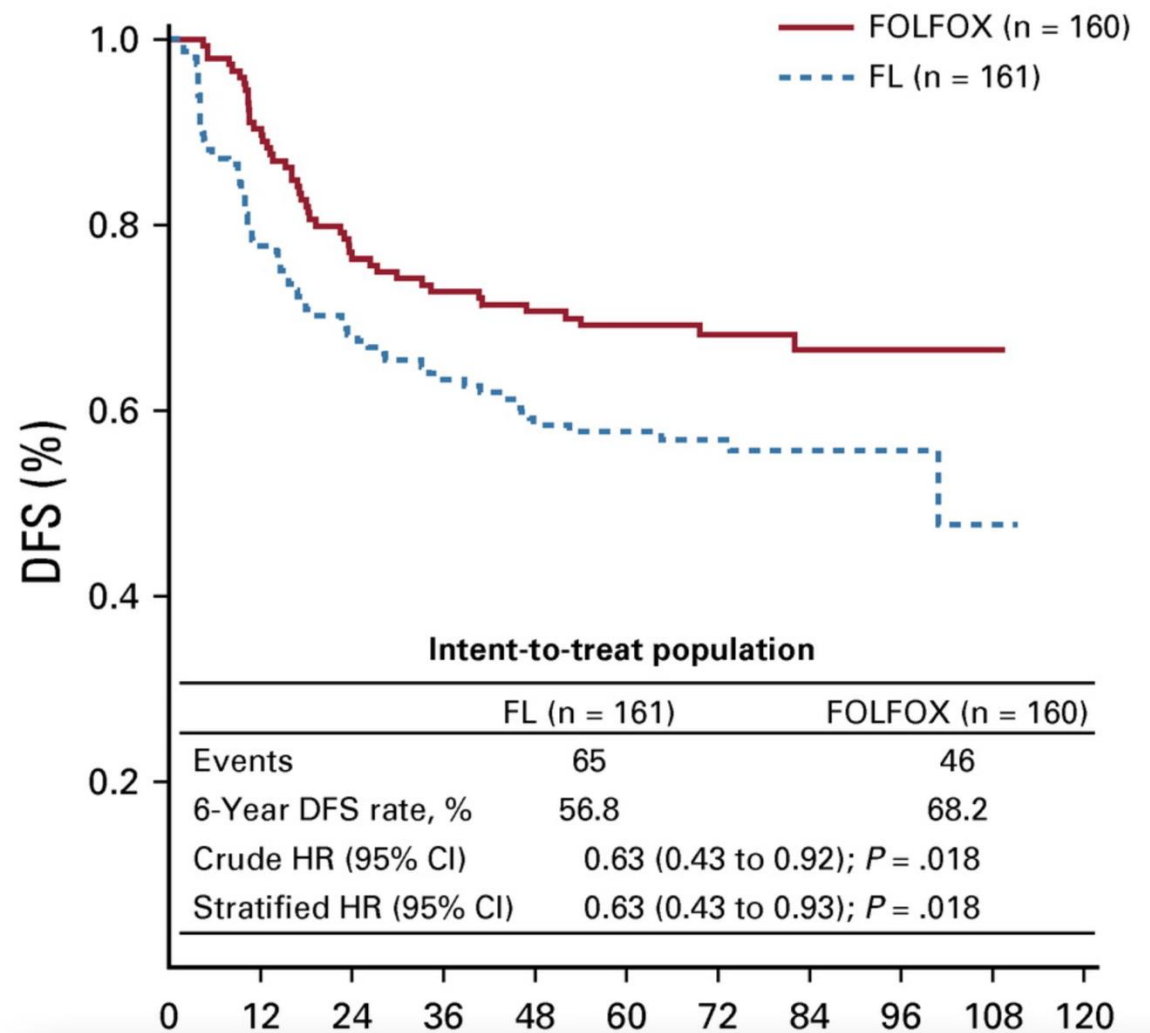
Data are % (95% CI).

**Table 2: Cumulative incidence of local relapse and distant metastases**

# What is the role of adjuvant chemotherapy?

EORTC 22921	1011 patients who received neoadjuvant chemoRT with 5FU or RT, patients randomized to 4 cycles of adjuvant 5-FU or observation	No difference in 10-year OS (51.8% vs 48.4%; $P = .32$ )
I-CNR-RT	655 patients who underwent chemoRT with 5FU, randomized to 6 cycles of adjuvant 5-FU or observation	No difference in 5-year OS (70% vs 69%; $P=.772$ ) or distant failure (20% in both arms)
German CAO/ARO/AIO-04 trial	1,236 patients randomized to either standard chemoRT with 5-FU followed by adjuvant 5-FU, or to chemoRT with 5-FU and oxaliplatin followed by adjuvant 5-FU and oxaliplatin	3-year DFS 76% vs 71%; <b><math>P = .03</math></b> 3-year OS 88.7% vs 88.0% 3-year local recurrence 2.9% vs 4.6% 3-year distant recurrence 18.5% vs 22.4%
ADORE trial	Randomized 321 patients to either adjuvant 5-FU or FOLFOX	3-year DFS rate improved in oxaliplatin arm (72% vs 63%; <b><math>P = .047</math></b> ) 6-year OS 78.1% vs 76.4% ( $P = 0.21$ ).
PETACC-6	Randomized 1094 patients to chemoRT with capecitabine and adjuvant capecitabine or to chemoRT with CAPEOX and adjuvant CAPEOX	3-year DFS difference was not observed (76.5% vs 75.4%; $P = .744$ ) No difference in 3- and 7- year OS

# ADORE trial: adjuvant oxaliplatin in Rectal Ca



# What about total neoadjuvant therapy (TNT)?

**Earlier introduction of chemotherapy is hypothesized to improve:**

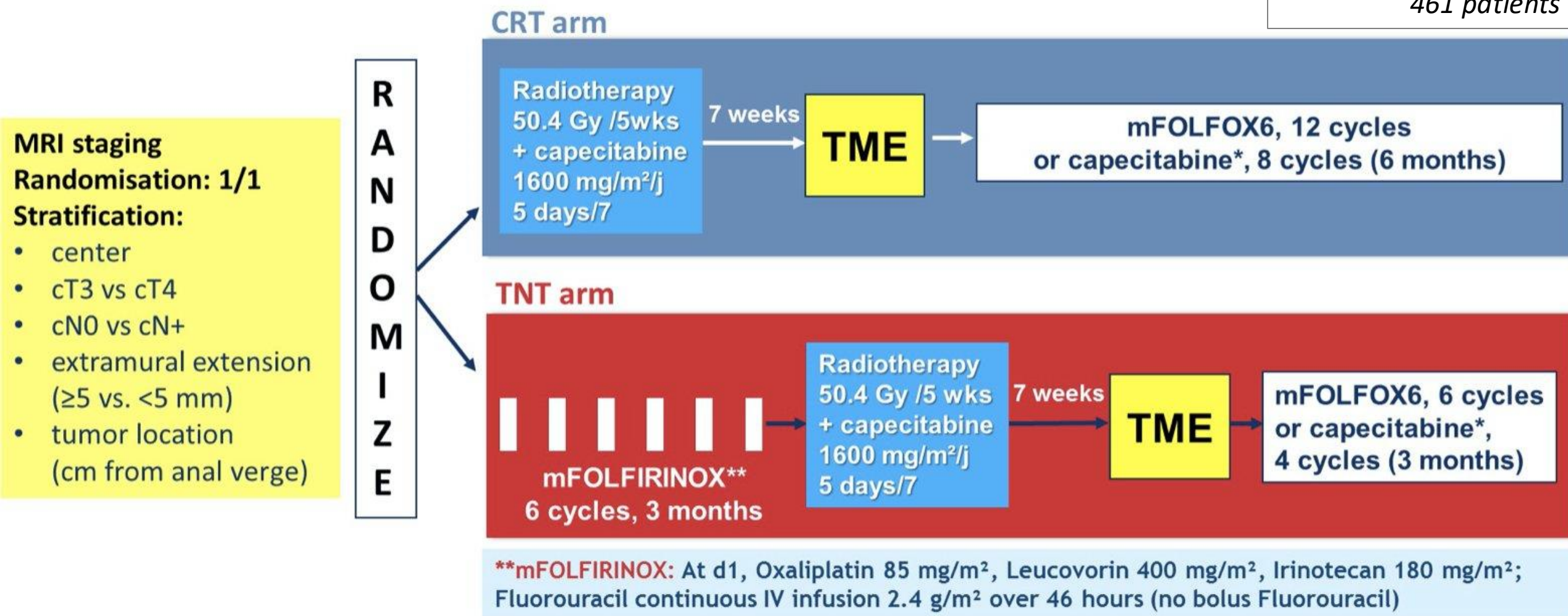
- (1) chemotherapy delivery overall (without compromising SCRT, CRT or surgical morbidity)
- (2) tumor regression and downstaging
- (3) R0 resection rates
- (4) sphincter and rectal preservation rates
- (5) local and distant relapse rates
- (6) disease-free and OS



# PRODIGE 23 trial: study design

NCT 01804790; EudraCT 2011-004406-25

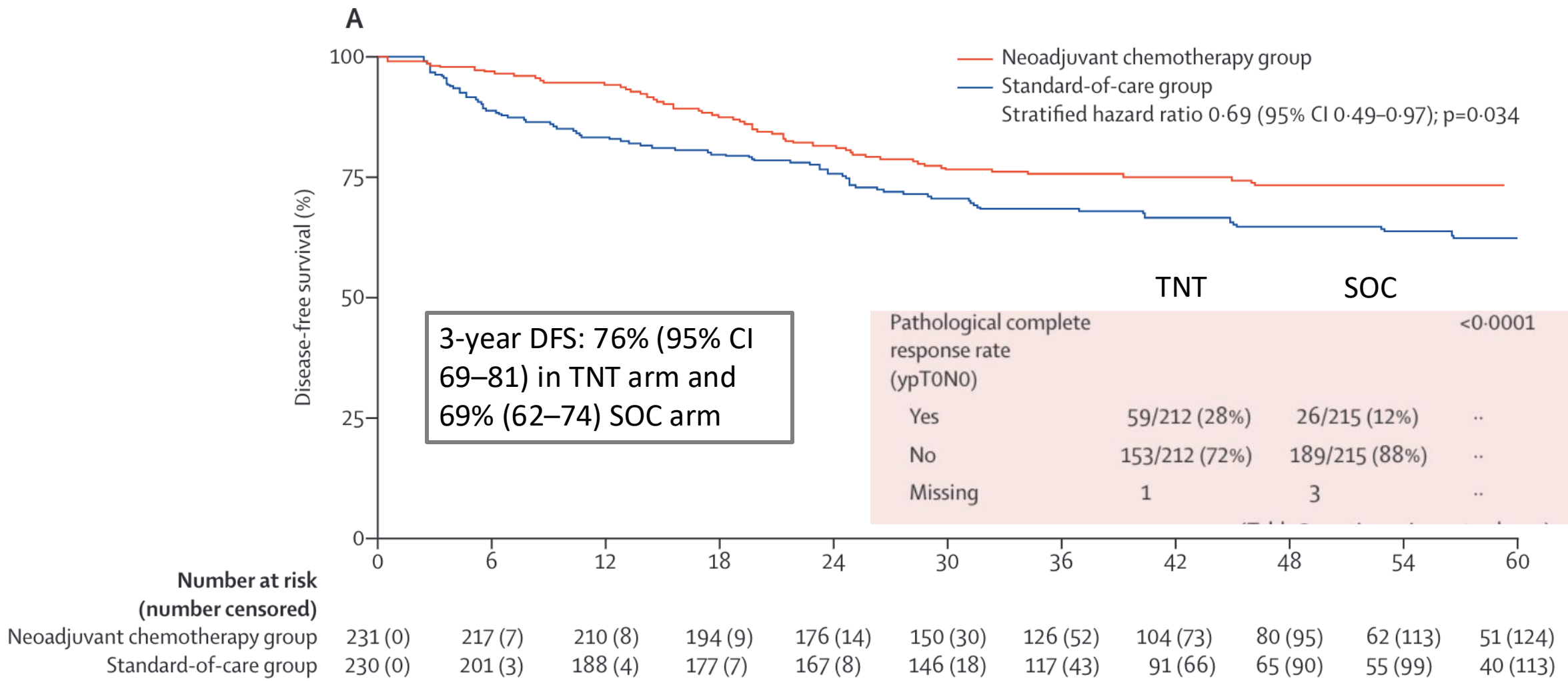
35 centers in France  
June 2012 - June 2017  
461 patients



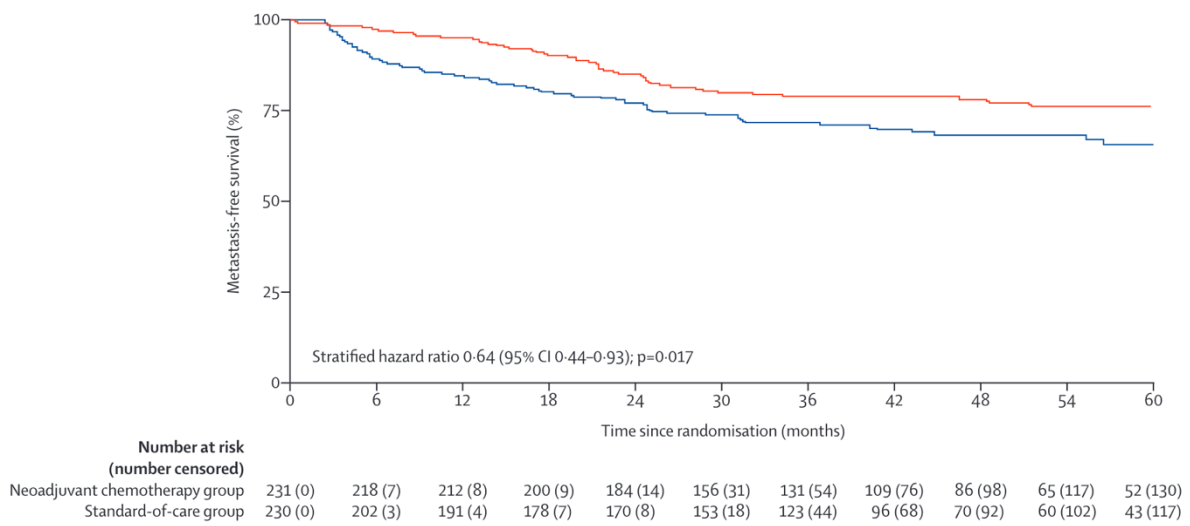
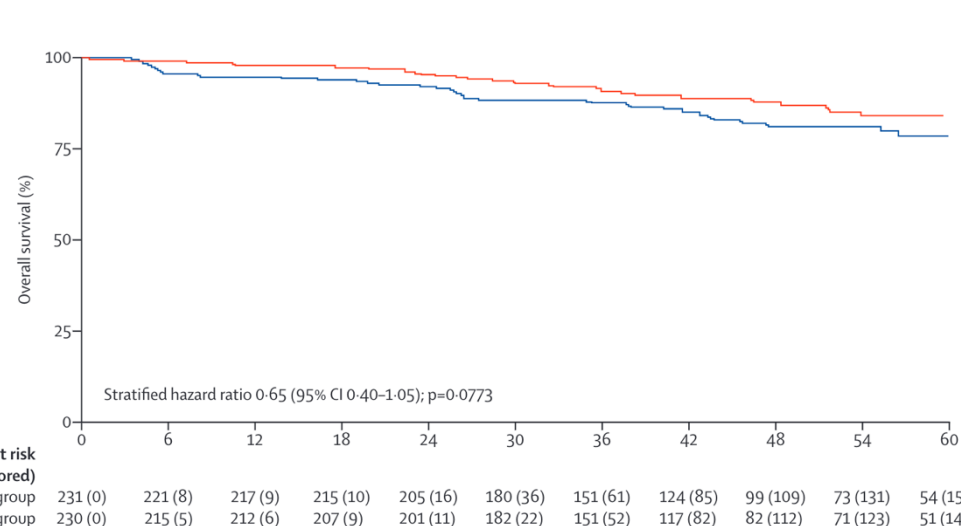
\*according to center choice throughout the study; adjuvant chemotherapy was mandatory in both arms regardless of ypTNM stage.



# PRODIGE 23: TNT vs SOC



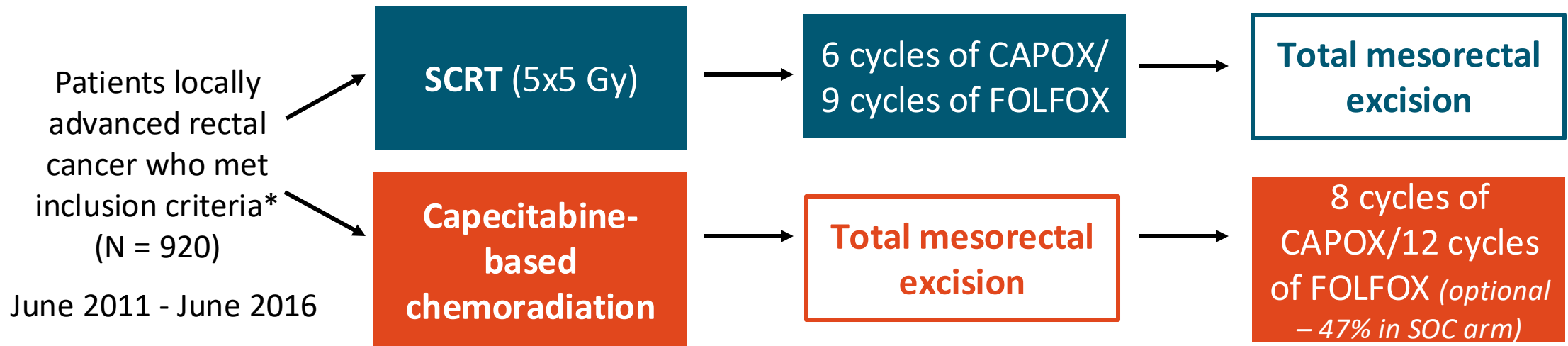
# PRODIGE 23: TNT vs SOC



	Neoadjuvant chemotherapy group (n=163)				Standard-of-care group (n=158)				p value
	Grade 1-2	Grade 3	Grade 4	Grade 5	Grade 1-2	Grade 3	Grade 4	Grade 5	
Any adverse event	89/162 (55%)	68 (42%)	5 (3%)	0	38 (24%)	103 (65%)	14 (9%)	3 (2%)*	<0.0001

# RAPIDO: Preoperative Short-Course Radiotherapy and Chemotherapy for Locally Advanced Rectal Cancer

- Randomized, international, multicenter phase III trial

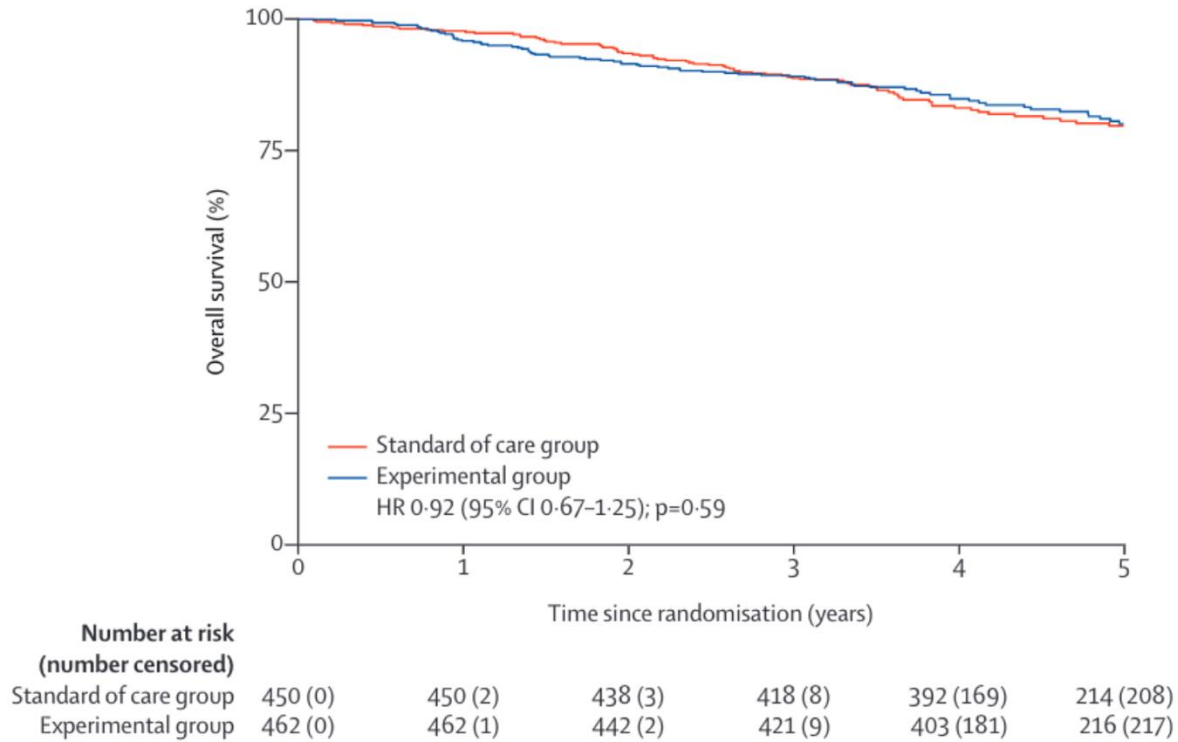
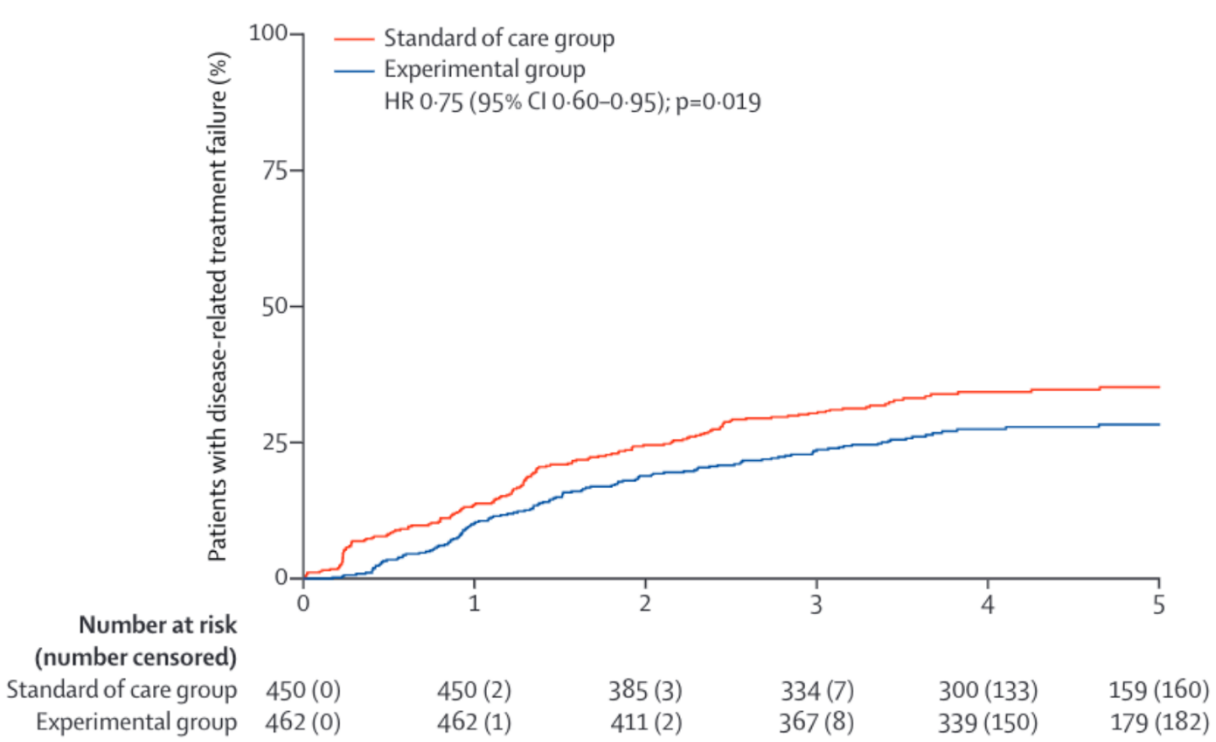


\*Inclusion criteria: biopsy-proven primary adenocarcinoma of the rectum, 18 years or older, absence of distant metastases, MRI with high-risk features (T4a/b, extramural vascular invasion +N2, mesorectal fascia + enlarged lymph nodes).

- Primary endpoints: disease-related treatment failure
- Secondary endpoints: OS, R0 rate, pCR, toxicity, surgical complications, QoL at 3 yrs

# RAPIDO: SCRT and TNT vs SOC

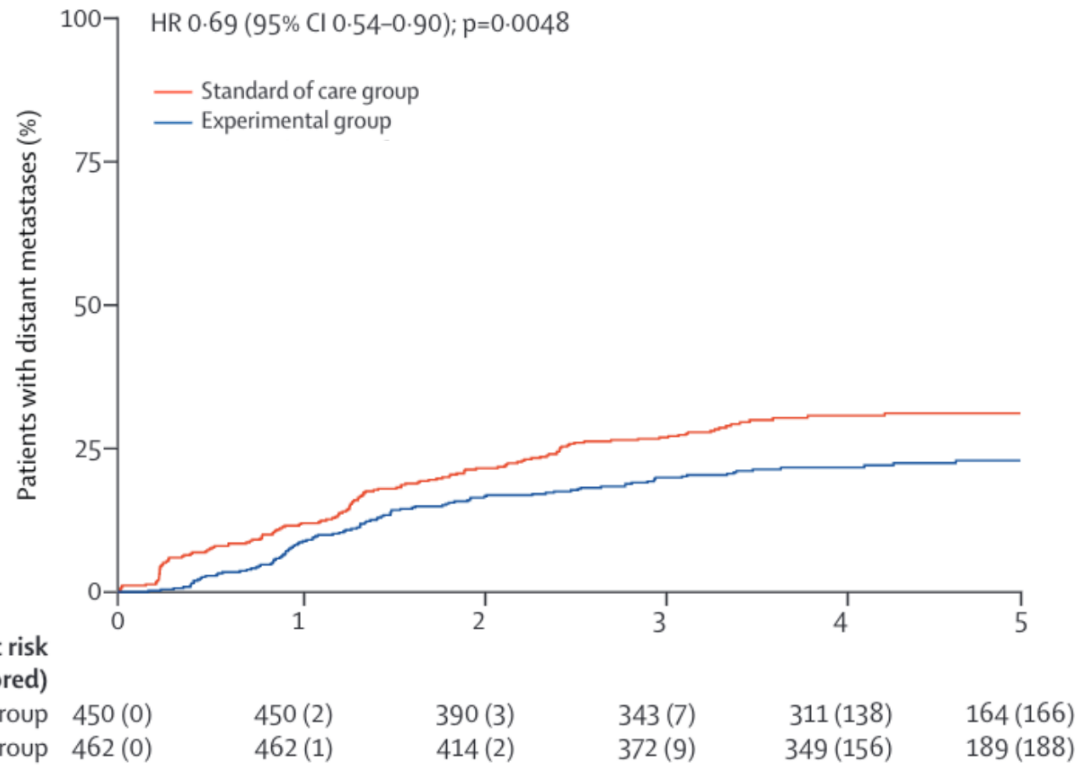
- 3-year disease-related treatment failure:
- 23.7% (95% CI 19.8–27.6) in the SCRT/TNT
  - 30.4% (26.1–34.6) in the SOC arm



# RAPIDO: SCRT and TNT vs SOC

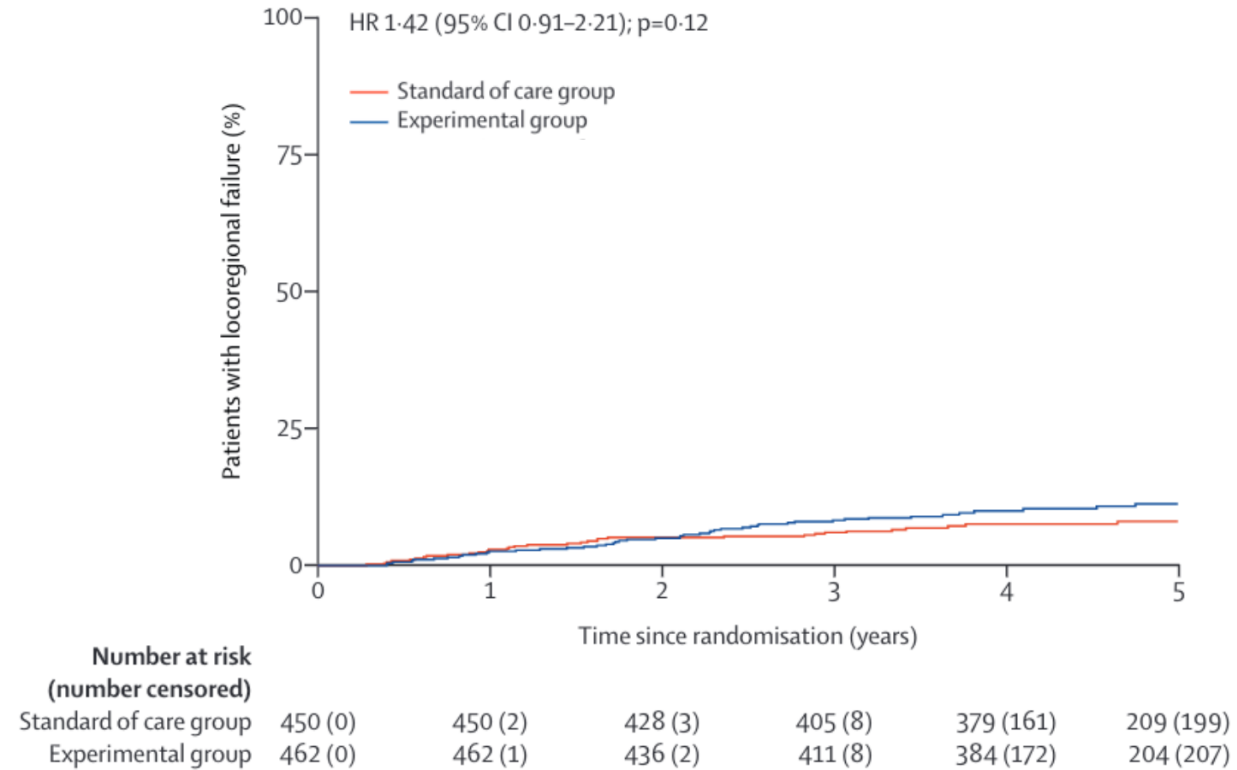
3-year cumulative probability of distant metastases:

- 20.0% (95% CI 16.4–23.7) in SCRT/TNT arm
- 26.8% (22.7–30.9) in SOC arm

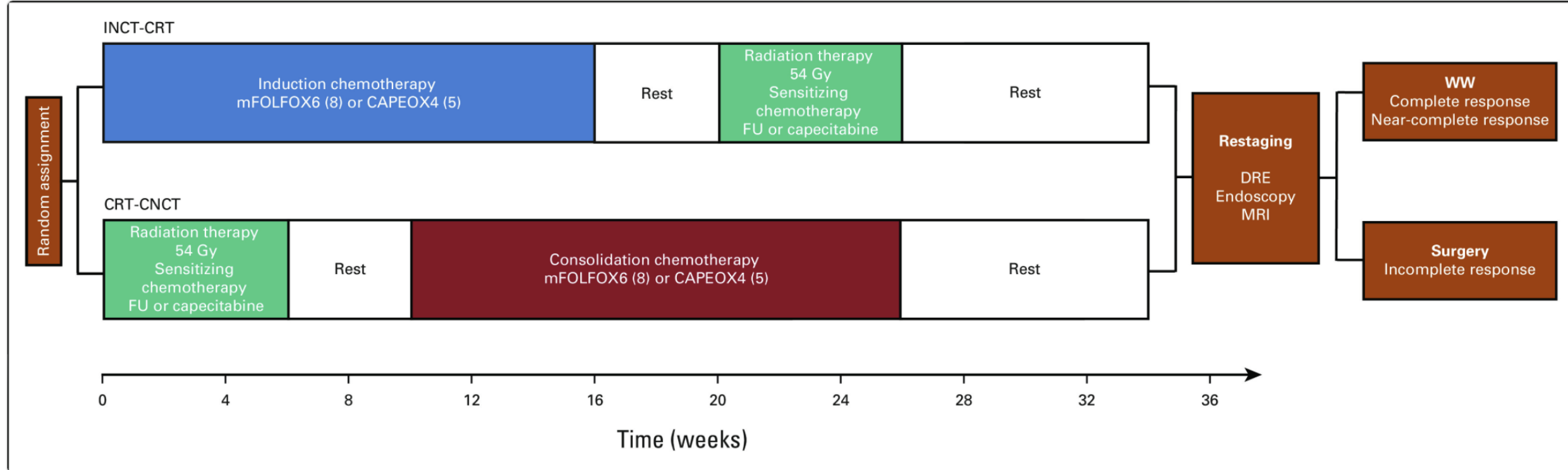


3-year cumulative probability of locoregional failure:

- 8.3% (95% CI 5.8–10.8) in the SCRT/TNT arm
- 6.0% (3.8–8.2) in the SOC arm



# OPRA trial: Organ Preservation in Rectal Ca



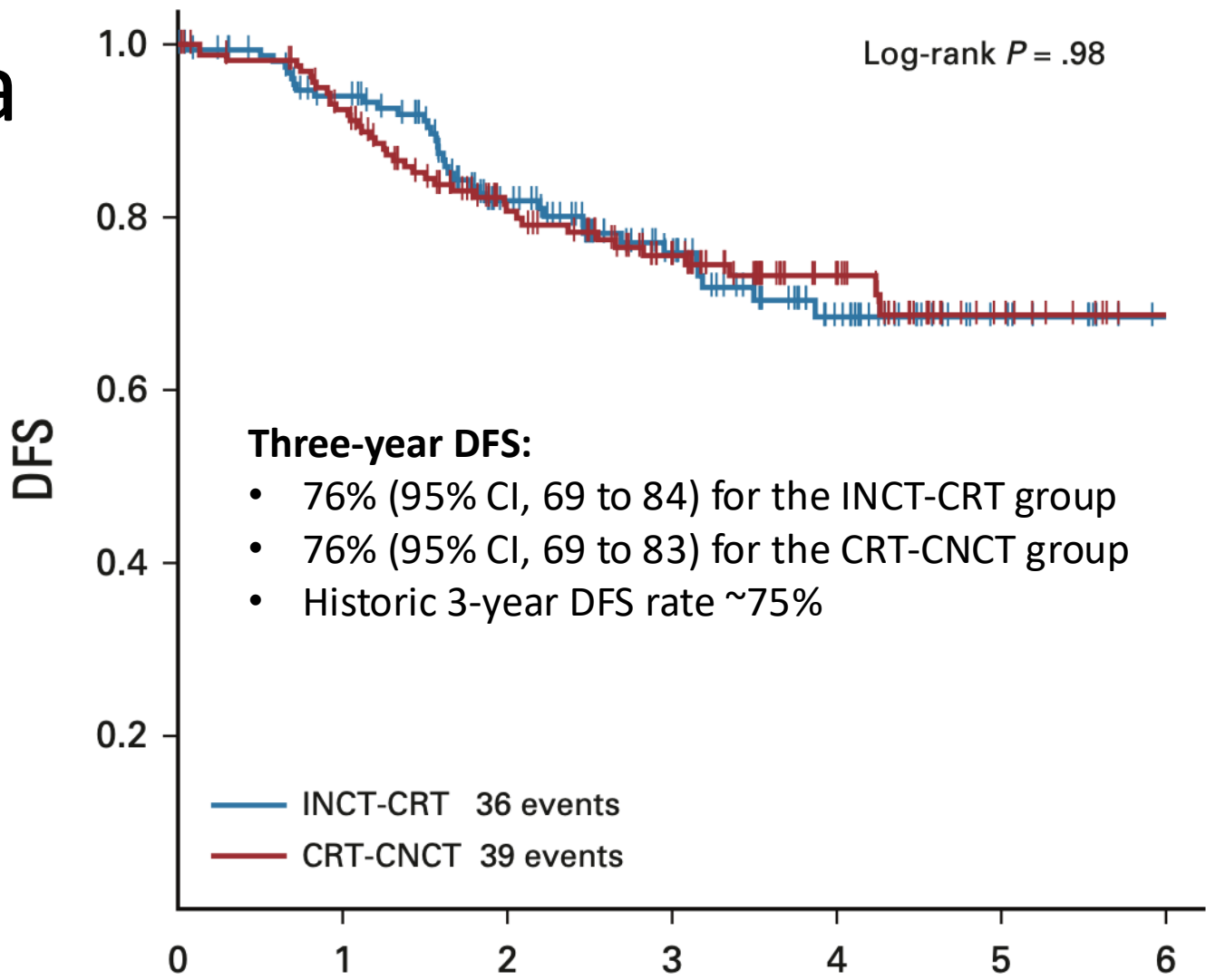
- 324 patients with stage II or III rectal adenocarcinoma
- April 2014 to March 2020
- MSKCC, UCSF, UW, U of Colorado, OHSU, U of Vermont

# OPRA trial: Organ Preservation in Rectal Ca

A

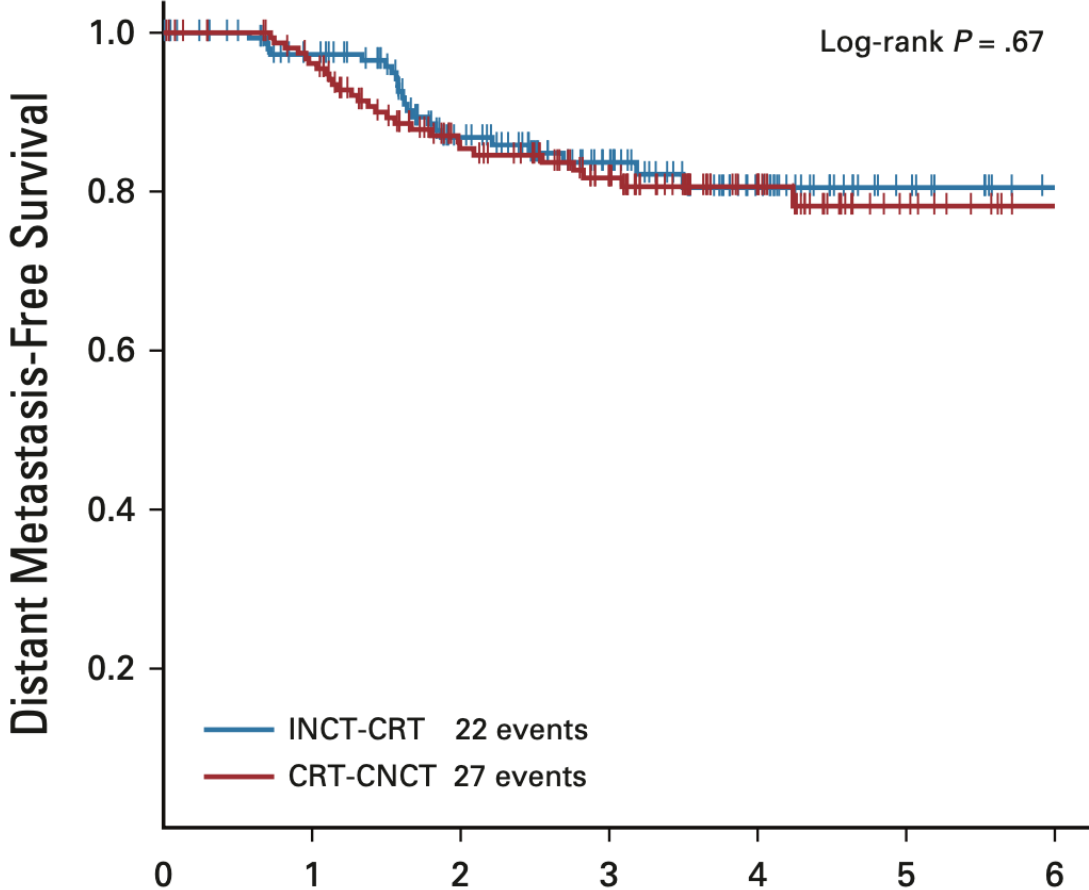
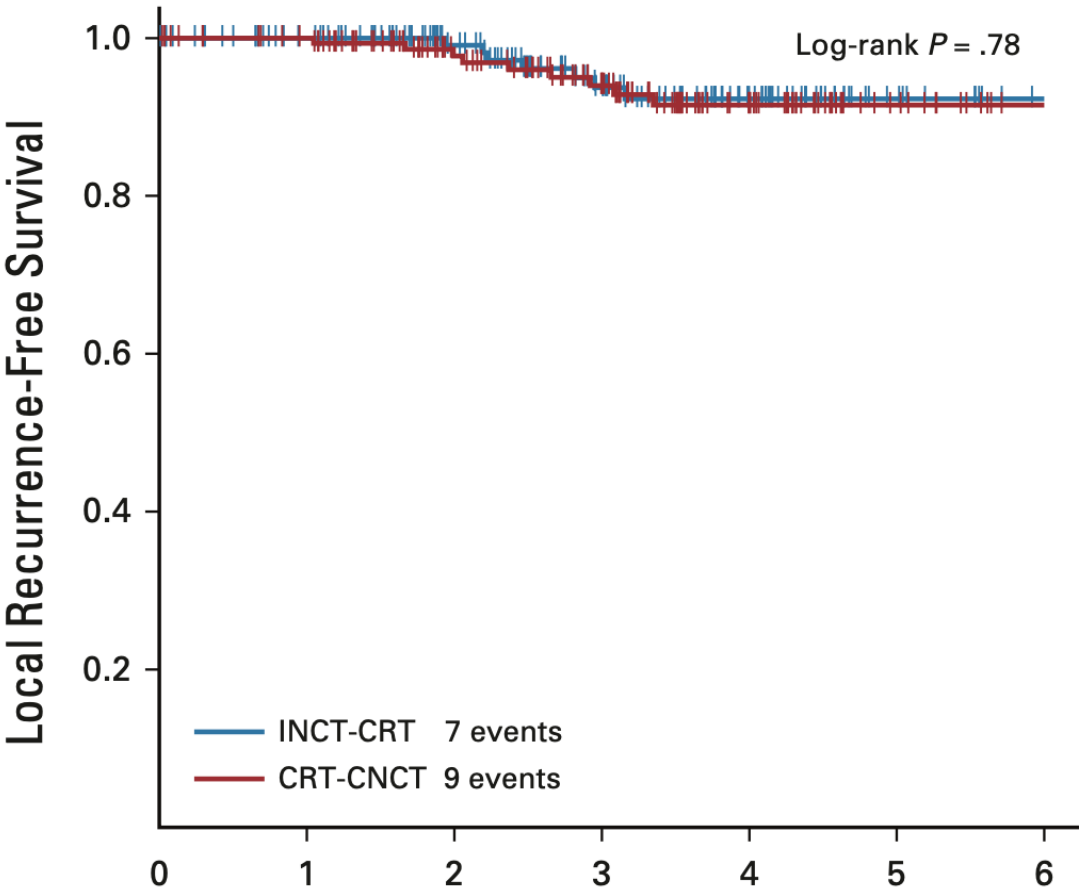
## Baseline Characteristics:

- 37% female
- Age range: 51-67 years
- 90% T3 or higher
- 71% node positive
- Distance from anal verge 3.0-6.5 cm



No. at risk:		Time Since Treatment Start (years)					
INCT	158	137	95	63	32	10	
CNCT	166	145	101	75	38	13	

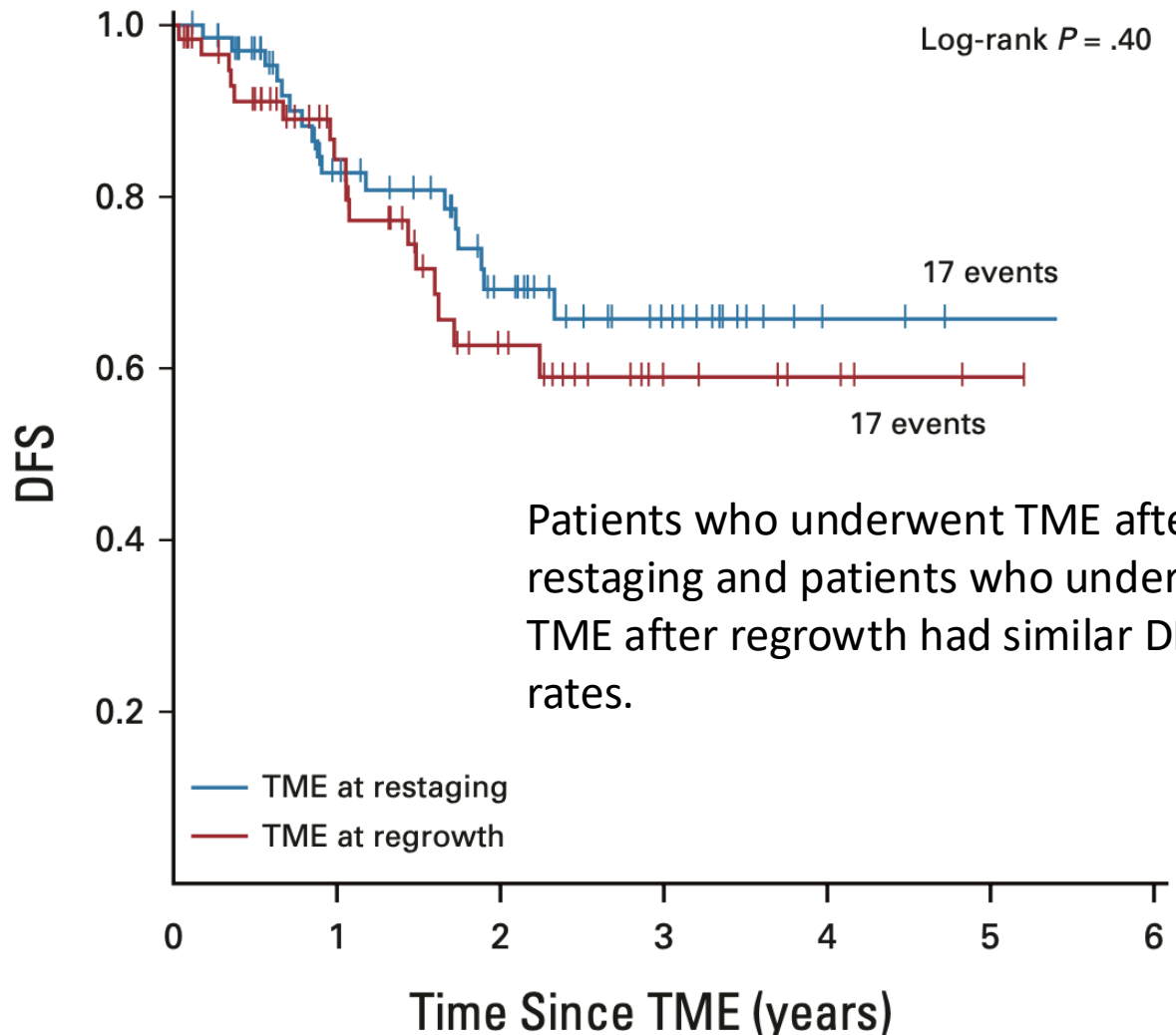
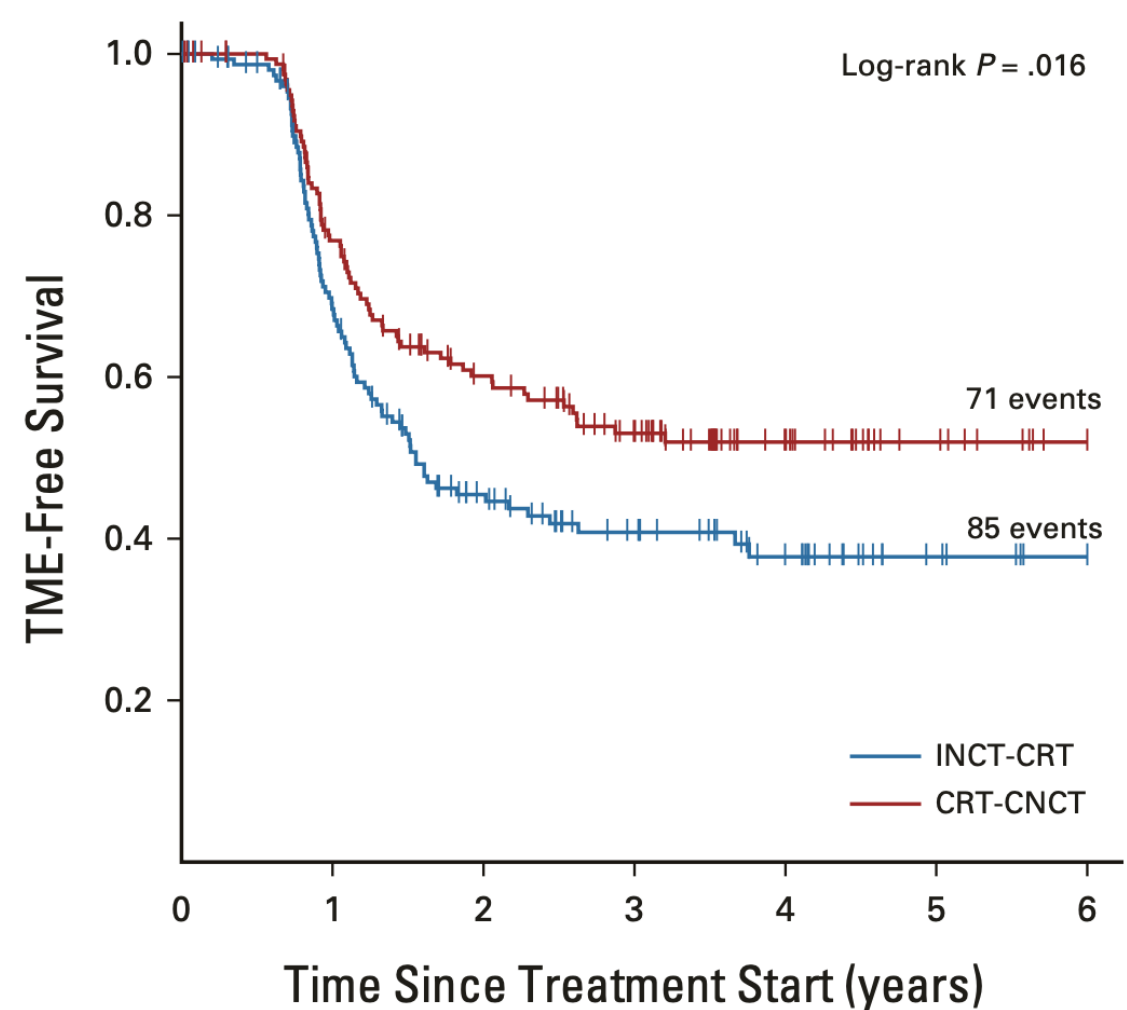
# OPRA trial: organ preservation in rectal ca



*No differences were found between groups in local recurrence-free survival or distant metastasis-free survival*



# OPRA trial: organ preservation in rectal ca



Patients who underwent TME after restaging and patients who underwent TME after regrowth had similar DFS rates.

**3-year TME-free survival:**

- 41% (95% CI, 33 to 50) in the INCT-CRT
- 53% (95% CI, 45 to 62) in the CRT-CNCT

# Watch and wait

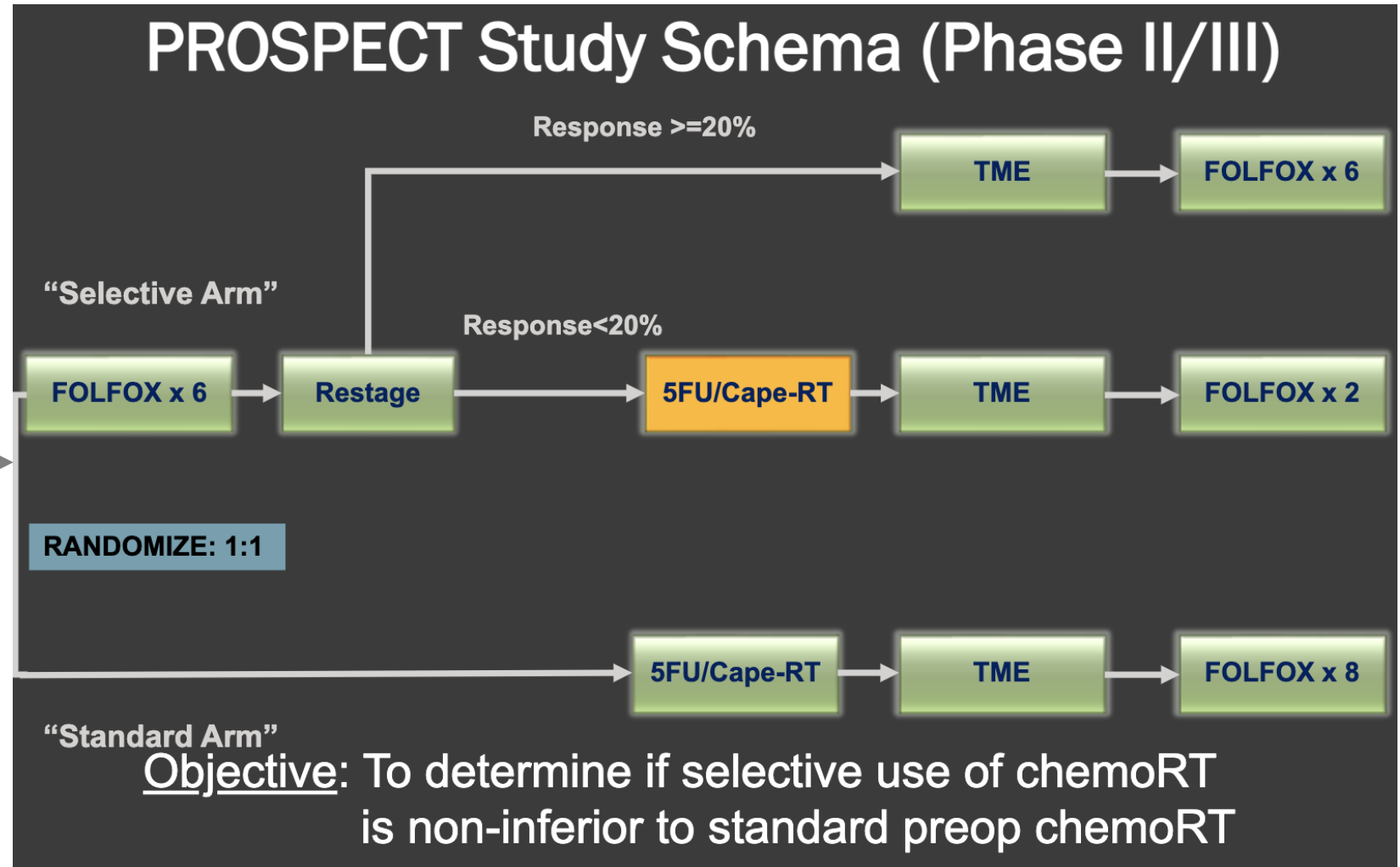
- Sustained clinical complete response is equivalent to pathologic complete response
- Response evolves over time
- Vast majority of regrowth occurs within 2 years
- Regrowth develops over time
- Patients can be salvaged with delayed surgery
- Regrowth does not impact survival

# Treatment de-escalation: NCCTG N1048/Alliance

Intermediate-risk LARC  
(T1/2N1, T3N0, T3N1)  
without involvement of  
the circumferential  
resection margin  
(candidates for sphincter  
sparing surgery)

## Exclusion:

- Clinical/radiographic T4, N2
- Threatened radial margins [ $\leq$  3 mm]
- Expectation that APR would be required



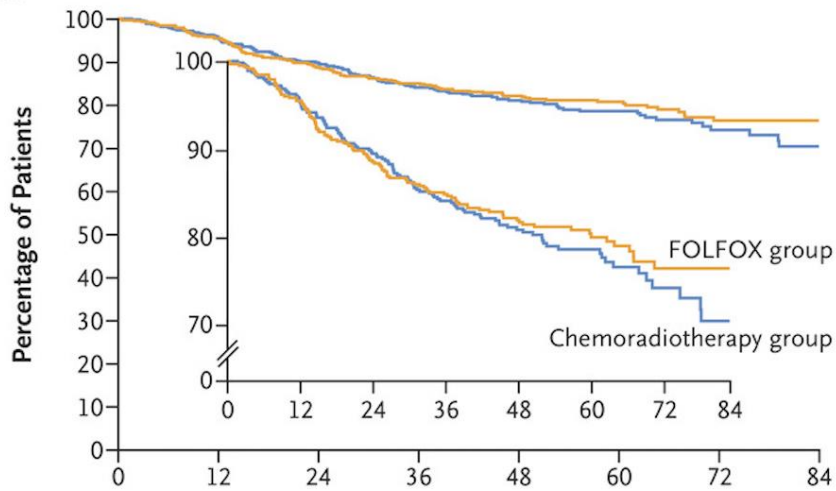
# Treatment de-escalation: NCCTG N1048/Alliance

**Table 1.** Demographic and Clinical Characteristics of the Patients at Baseline (Per-Protocol Population).\*

Characteristic	FOLFOX Group (N=585)	Chemoradiotherapy Group (N=543)
Rectal tumor location — cm from anal verge		
No. of patients with data	585	542
Mean	8.6±2.9	8.5±2.8
Median (range)	8 (2–25)	8 (2–18)
Rectal tumor location — no. (%)		
≤5 cm from anal verge	83 (14.2)	90 (16.6)
>5 to ≤10 cm from anal verge	375 (64.1)	344 (63.4)
>10 cm from anal verge	127 (21.7)	109 (20.1)
Clinical stage — no./total no. (%)		
T2 node positive	63/584 (10.8)	38/543 (7.0)
T3 node negative	232/584 (39.7)	198/543 (36.5)
T3 node positive	289/584 (49.5)	307/543 (56.5)

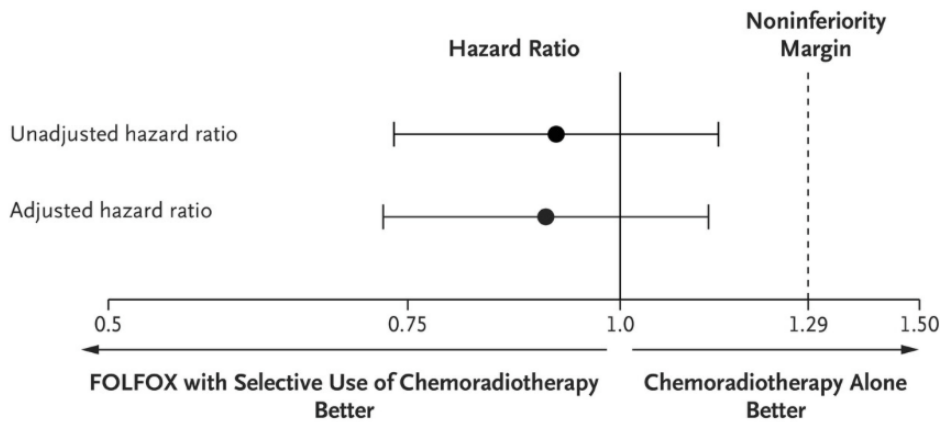
# Treatment de-escalation: NCCTG N1048/Alliance

B Disease-free Survival



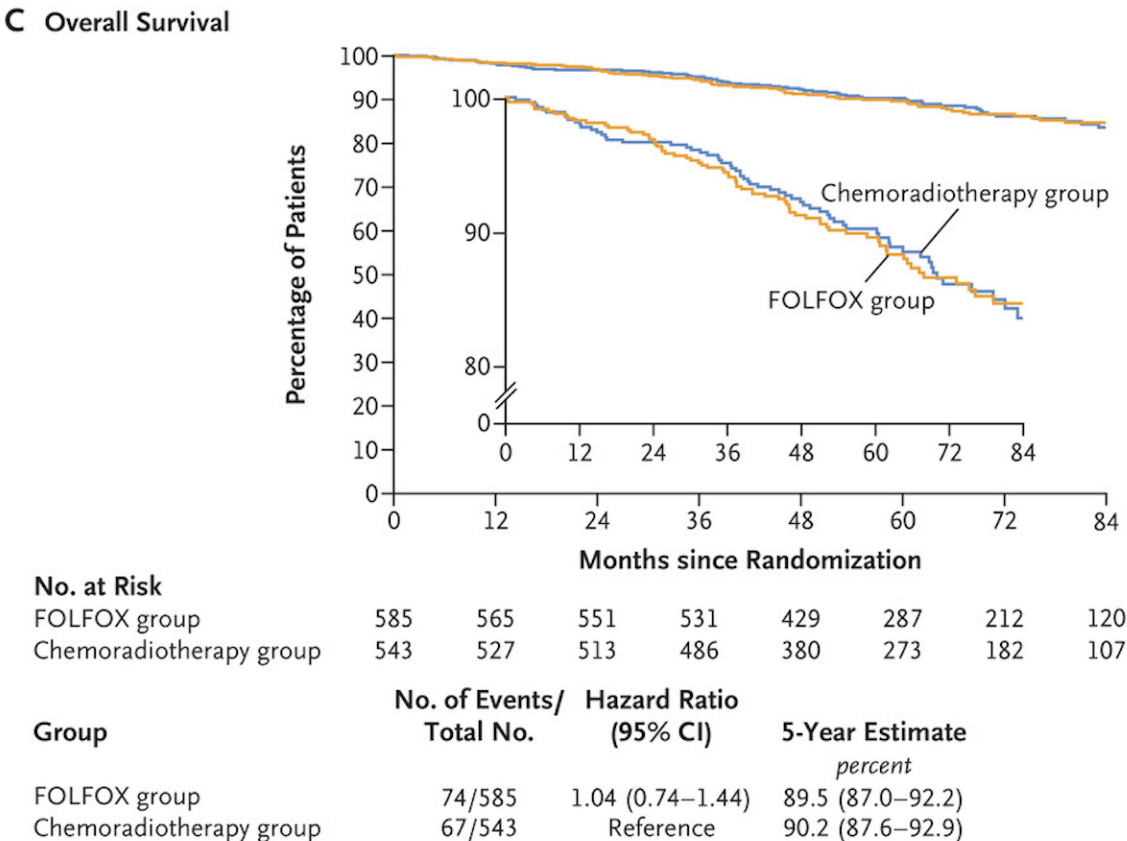
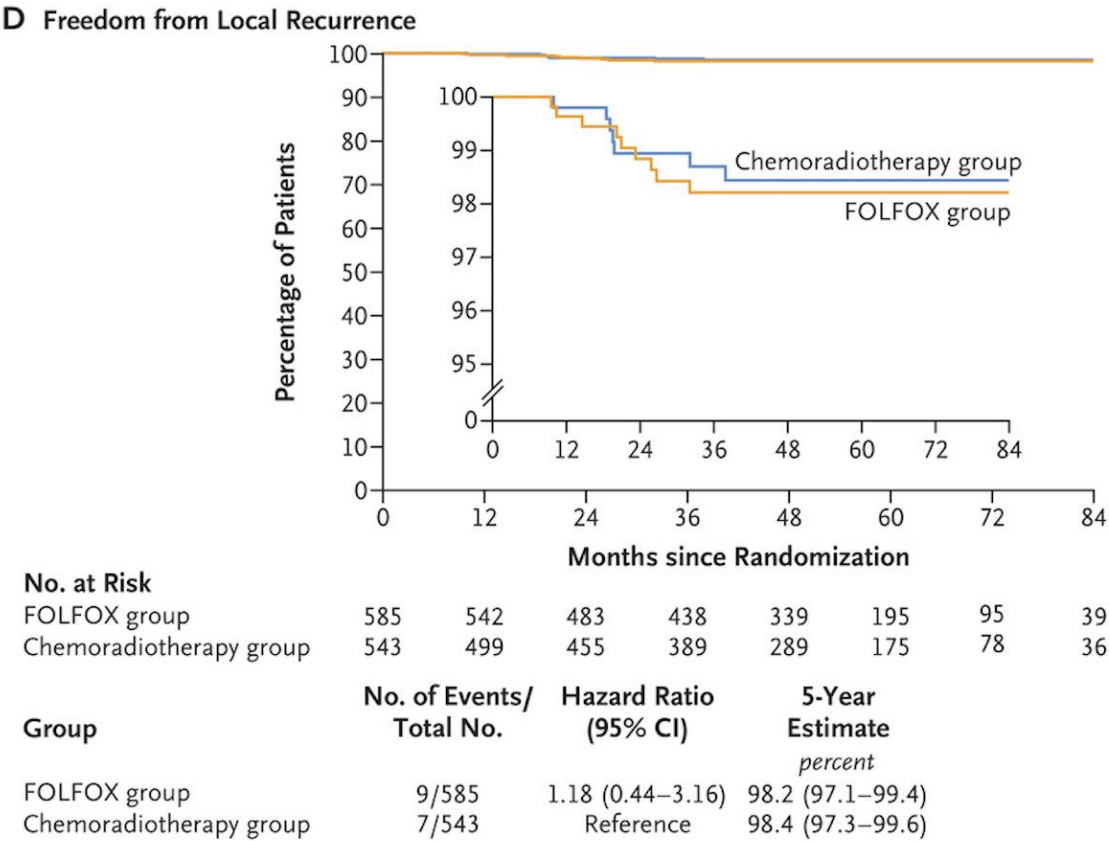
No. at Risk									
FOLFOX group		585	543	489	443	342	200	97	42
Chemoradiotherapy group		543	500	456	395	295	181	80	37
Group	No. of Events/ Total No.	Hazard Ratio (90.2% CI)		5-Year Estimate percent		Stratified P Value for NI			
FOLFOX group	114/585	0.92 (0.74–1.14)		80.8 (77.9–83.7)		0.005			
Chemoradiotherapy group	113/543	Reference		78.6 (75.4–81.8)		—			

Analysis of Noninferiority for Disease-free Survival



- 89.6% of patients assigned to neoadjuvant FOLFOX avoided CRT
- Pathological complete response rates were similar in the two groups (21.9% in the FOLFOX group and 24.3% in the CRT group)

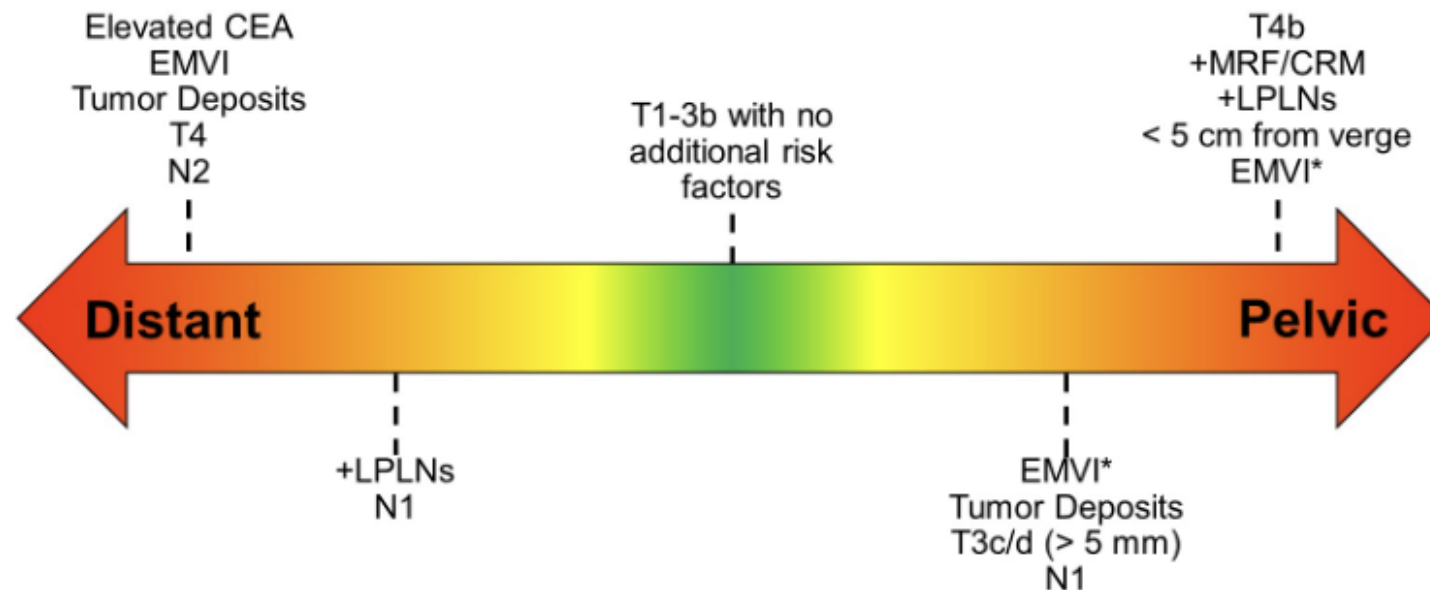
# Treatment de-escalation: NCCTG N1048/Alliance



# Should patients avoid surgery or pelvic RT in LARC?

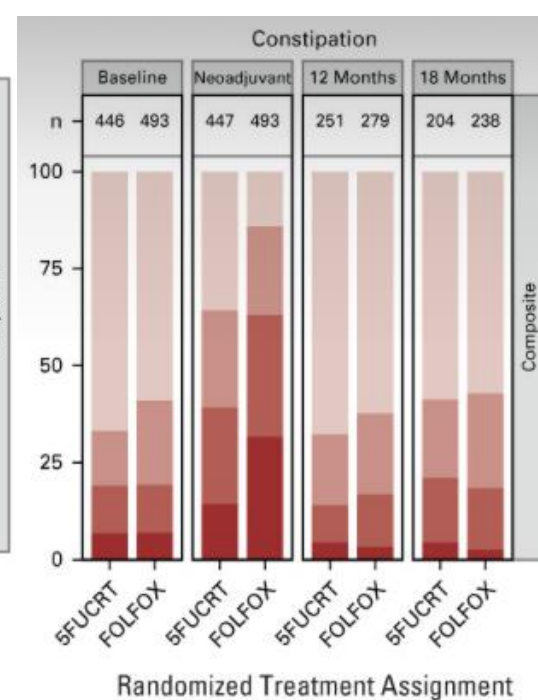
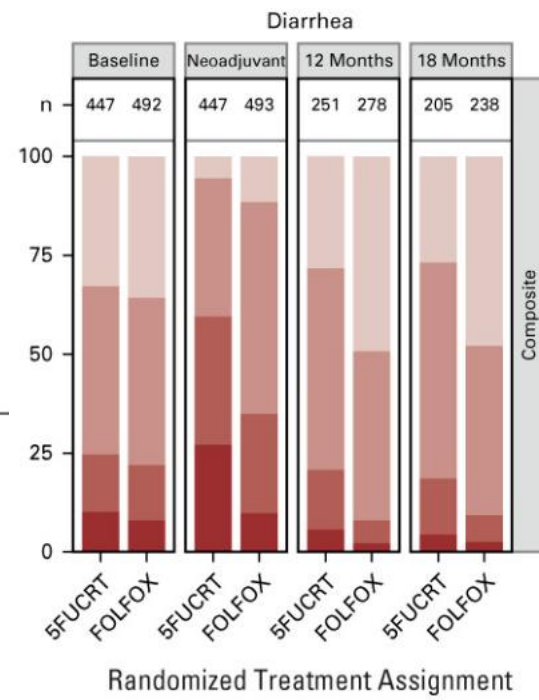
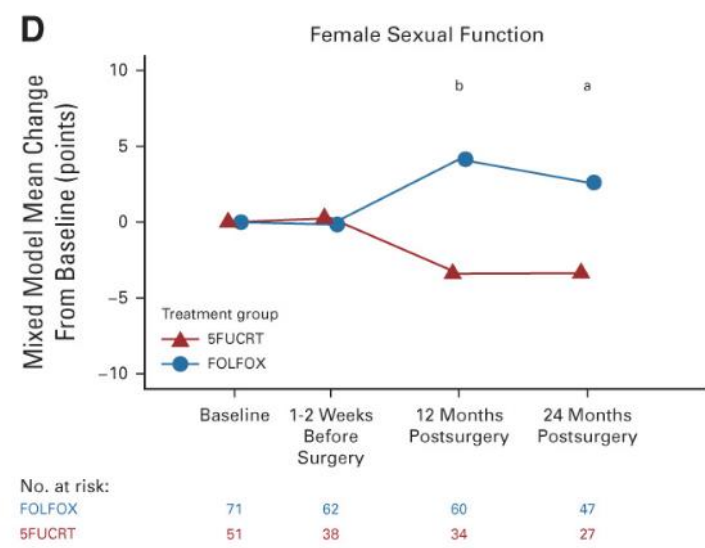
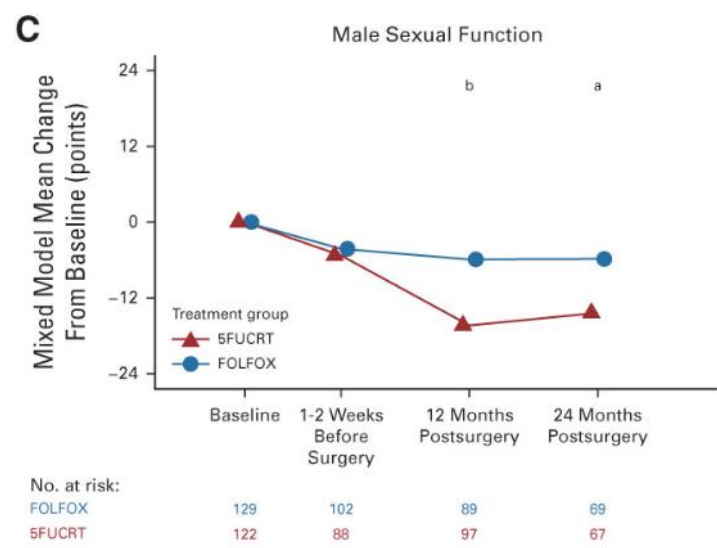
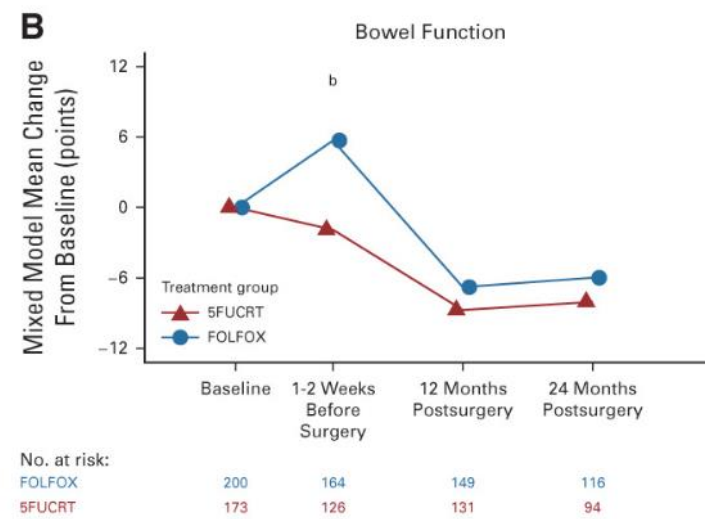
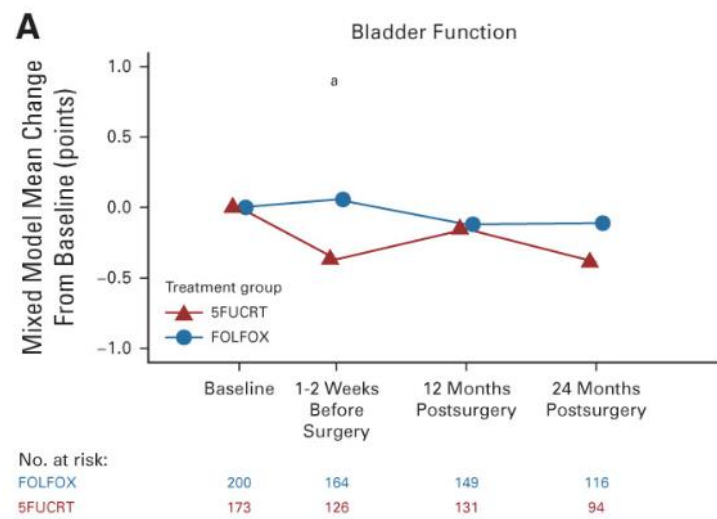
- Functional outcomes after treatment for rectal cancer :
  - Bowel, urinary, and sexual function
- Fertility preservation, prior treatment (prior pelvic radiation), ability to comply with surveillance
- Surgery candidacy

## RISK FACTORS FOR RECURRENCE



\*EMVI= high risk for pelvic recurrence if compromising MRF (risk of +CRM or T4b) or if present in low pelvis

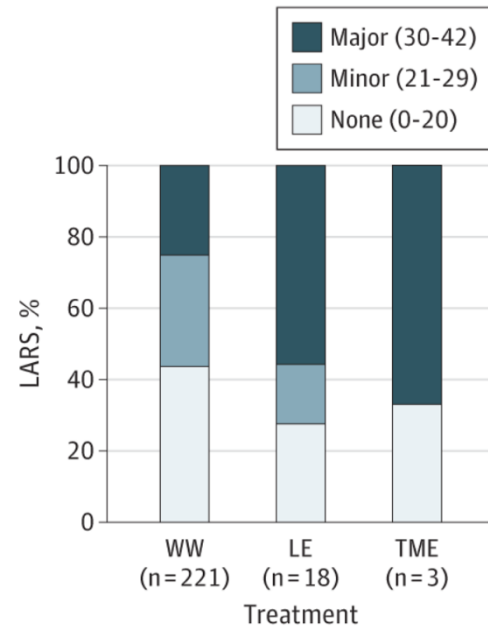
# Functional Outcomes: PROSPECT trial



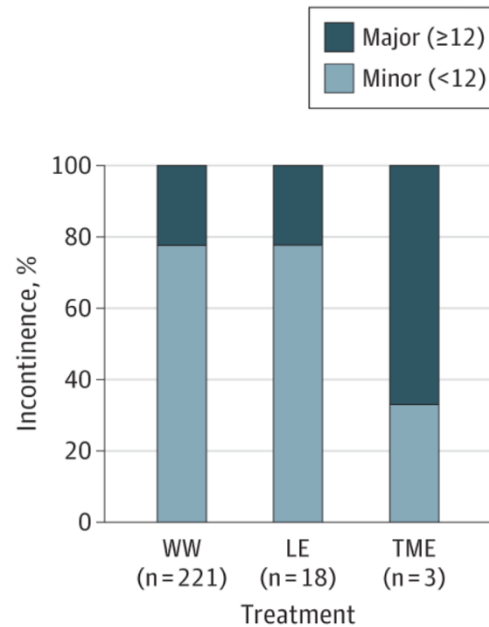


# Quality of Life measures in patients undergoing WW for LARC (Dutch WW registry)

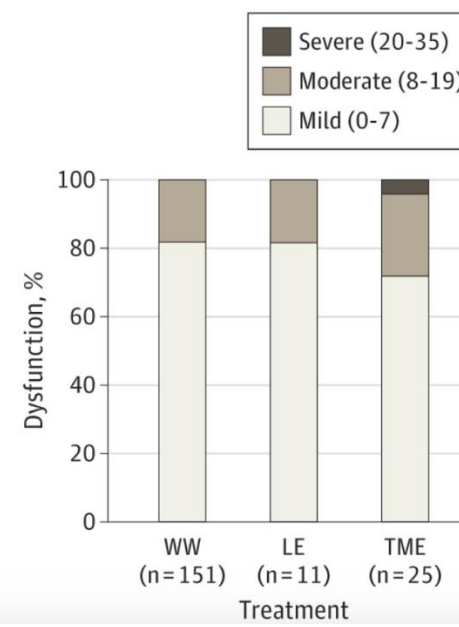
**A** LARS score by treatment



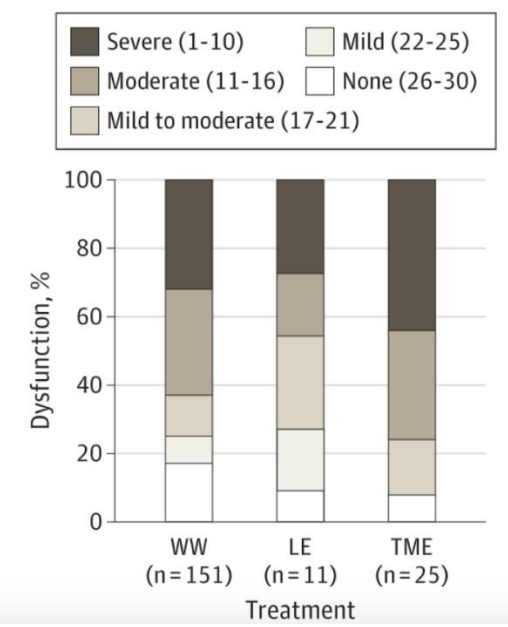
**B** Vaizey score by treatment



**C** IPSS by treatment

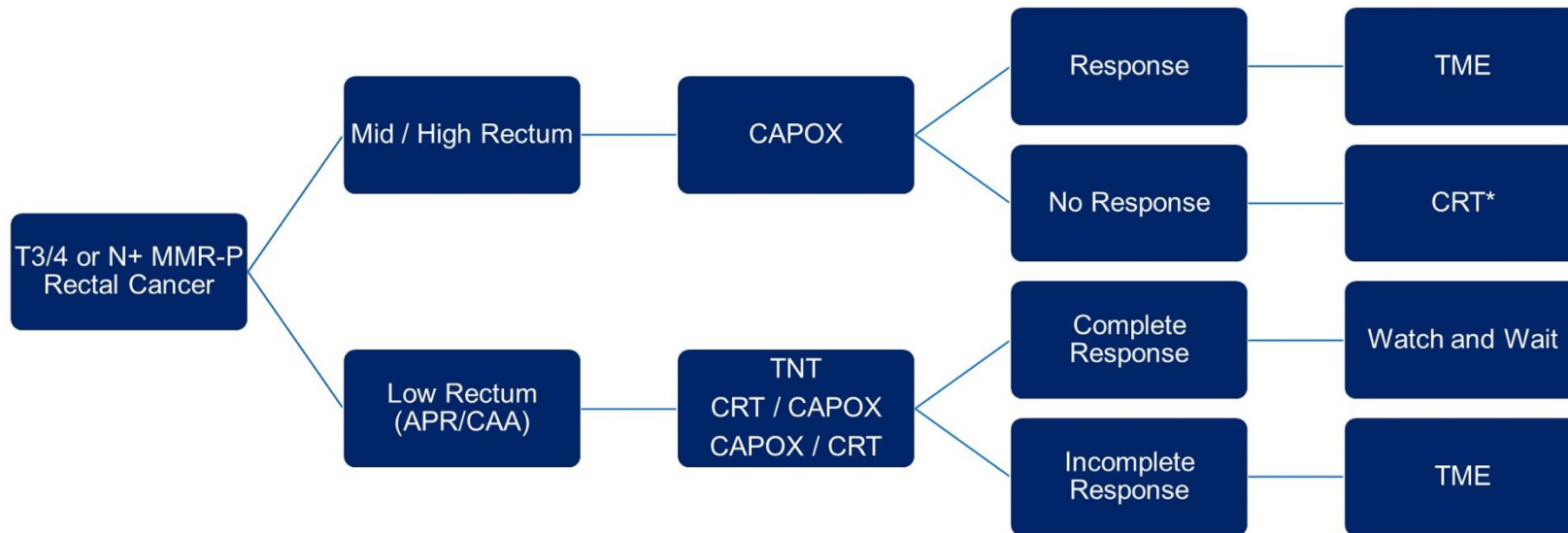


**D** IIEF by treatment



# Should patients avoid surgery or pelvic RT in LARC?

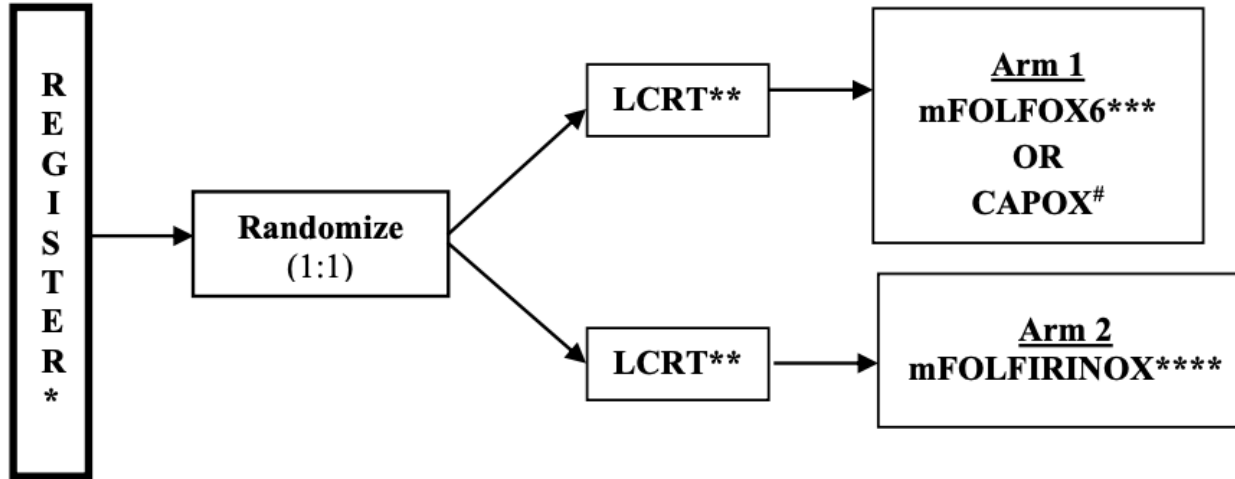
- TNT affects bowel, bladder and sexual function
- LARS is worse when pelvic RT is combined with surgery
- Radiation impacts fertility and has a small but real risk of secondary malignancies



\*TME or Watch and Wait based on response

# JANUS trial

Schema



\* Patients with locally advanced rectal cancer:  $\leq 12\text{cm}$ , T4N0 OR anyT, N1 OR T3N0 that would require APR or coloanal anastomosis

\*\* LCRT = long-course chemoradiation (5 weeks)

\*\*\*mFOLFOX6 = 8 cycles (1 cycle = 2 weeks)

\*\*\*\*mFOLFIRINOX = 8 cycles (1 cycle = 2 weeks)

# CAPOX = 5 cycles (1 cycle = 3 weeks)

## Eligibility Criteria (see [Section 3.2](#))

- Clinical stage II or III rectal adenocarcinoma defined as T4N0, or any T with node positive disease (any T, N+); also T3N0 requiring APR or coloanal anastomosis
- No prior systemic chemotherapy, targeted therapy, or immunotherapy; or radiation therapy administered as treatment for colorectal cancer within the past 5 years
- Not pregnant and not nursing
- Age  $\geq 18$  years
- ECOG Performance Status 0-1
- No upper rectal tumors (distal margin of tumor  $> 12$  cm from the anal verge)
- No recurrent rectal cancer; prior transanal excision, prior distal sigmoid cancer with a low anastomosis
- No known mismatch repair deficient rectal adenocarcinoma

# Conclusions/Take-Aways

- Treatment for LARC can be personalized to minimize toxicity without compromising long-term oncologic outcomes
- Upfront surgery may be appropriate for low-risk T3N0 rectal cancer
- Neoadjuvant RT can be avoided in patients with MRI-defined favorable risk mid-upper rectal cancers, who are not interested in organ preservation (cT1-3b N0-1)
  - This strategy may be preferred for young patients wishing to preserve fertility
- TNT is the preferred approach for patients with high-risk LARC
  - Long course RT + chemotherapy first is preferred if organ preservation is the goal
- Ongoing investigation:
  - Intensification of neoadjuvant systemic therapy
  - RT dose intensification