

# Neoadjuvant and Perioperative immunotherapy for NSCLC

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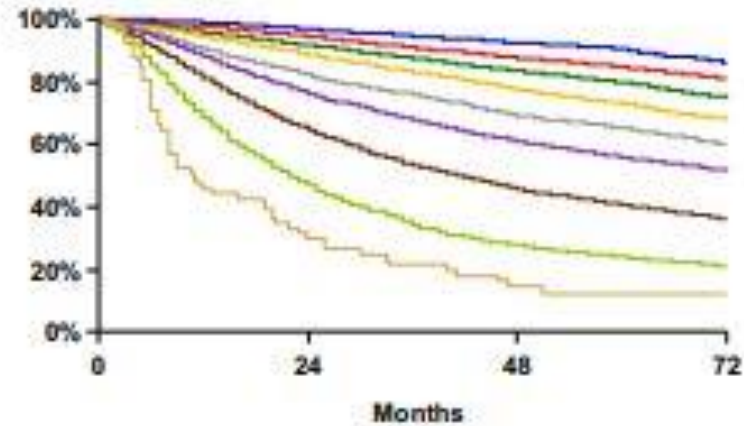
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14<sup>th</sup> Annual Winter cancer Symposium

March 1<sup>st</sup>, 2025

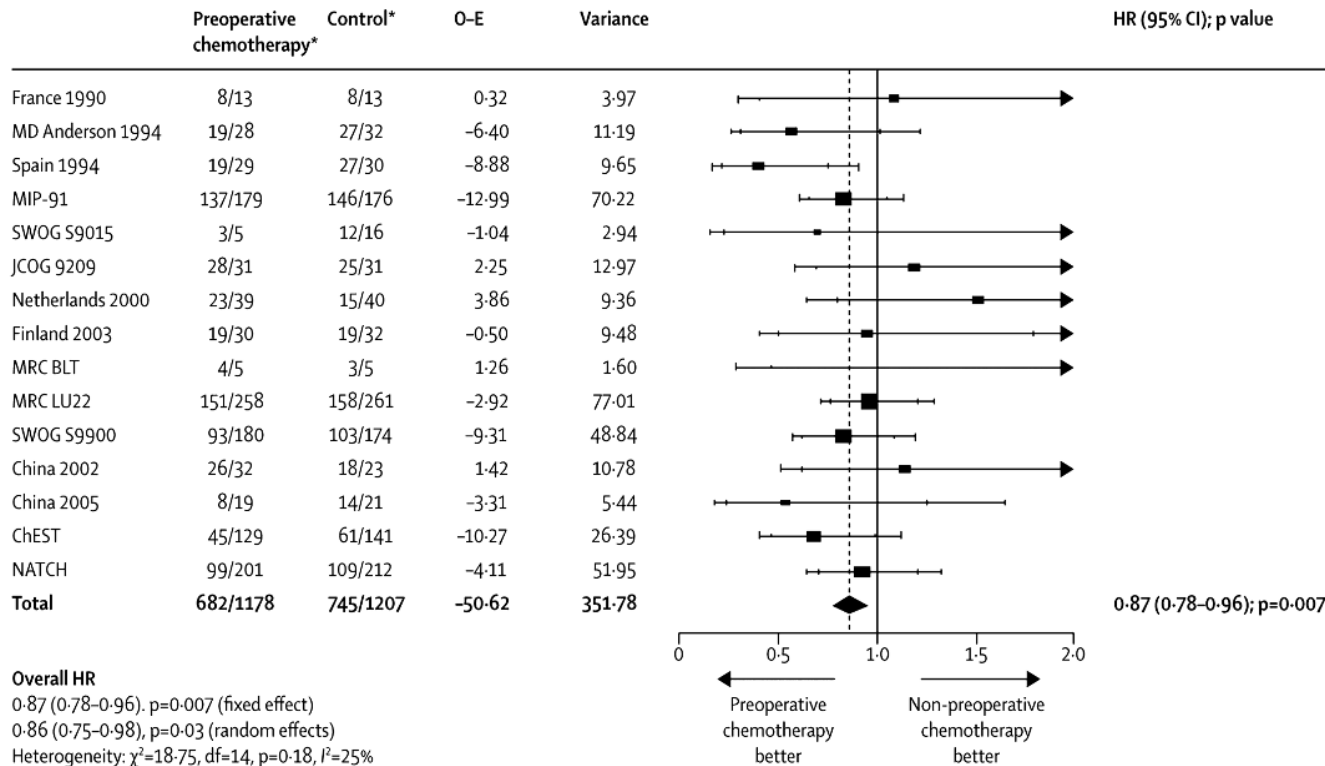
# Operable Non-Small cell Lung Cancer : The Unmet Need



Proposed	Events / N	MST	24 Month	60 Month
IA1	139 / 1389	NR	97%	90%
IA2	823 / 5633	NR	94%	85%
IA3	875 / 4401	NR	92%	80%
IB	1618 / 6095	NR	89%	73%
IIA	556 / 1638	NR	82%	65%
IIB	2175 / 5226	NR	76%	56%
IIIA	3219 / 5756	41.9	65%	41%
IIIB	1215 / 1729	22.0	47%	24%
IIIC	55 / 69	11.0	30%	12%

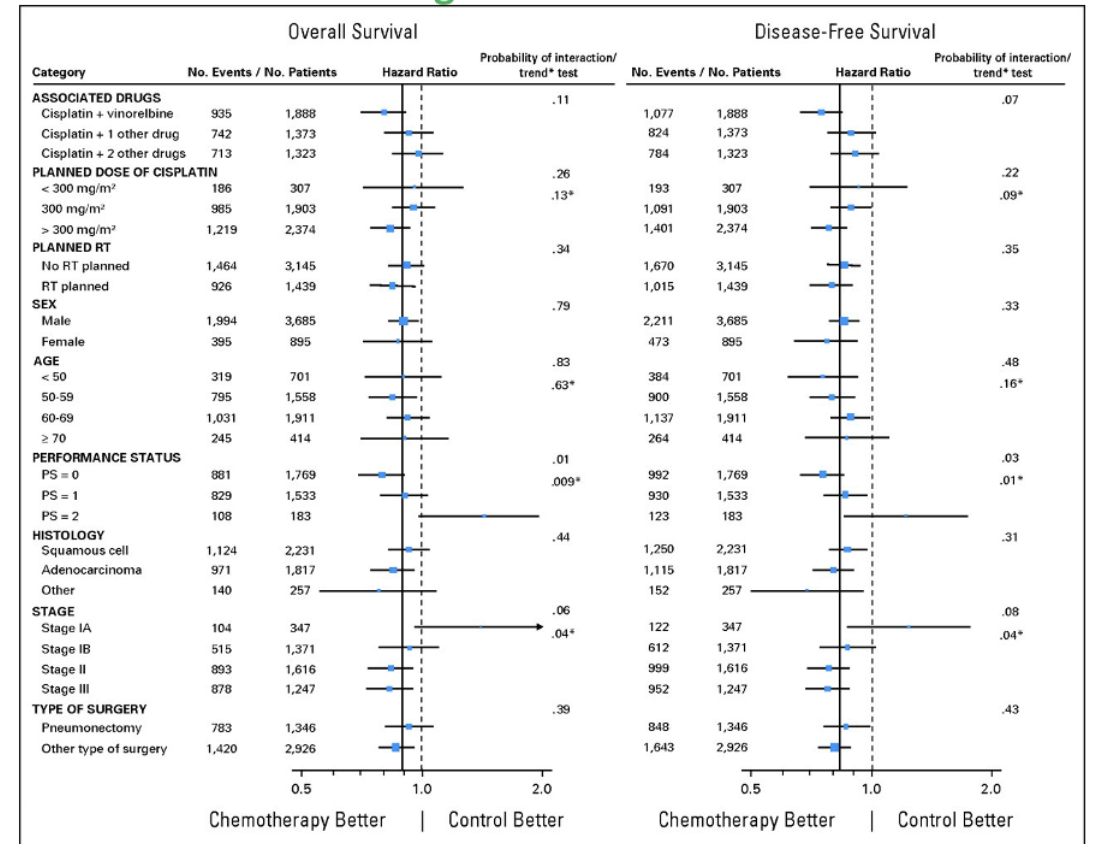
# Operable Non-Small cell Lung Cancer: *Prior to the era of novel therapies*

Analysis of 15 RCTs of preoperative chemotherapy in stage IB-IIIa NSCLC



**Neo adjuvant chemotherapy improves OS, RFS and time to distant m**  
**ABSOLUTE SURVIVAL IMPROVEMENT OF ~ 5%**

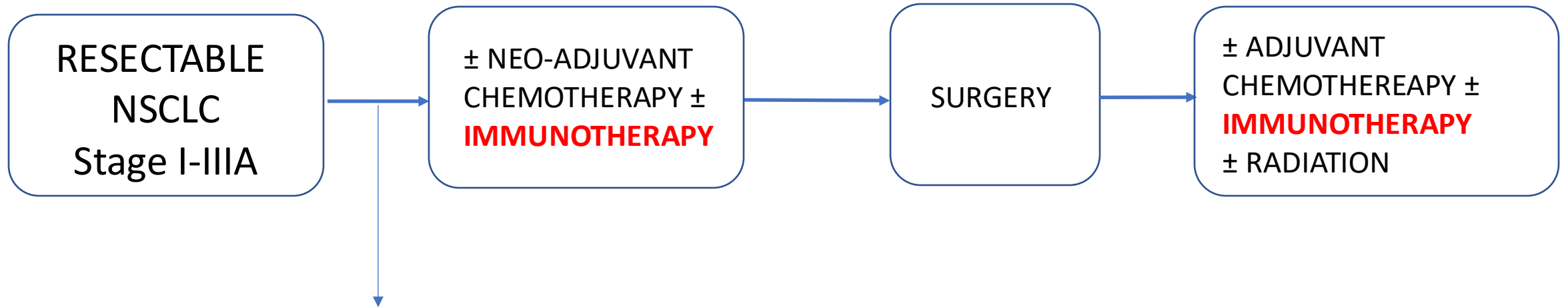
LACE Meta-Analysis of 5 RCTs of adjuvant chemotherapy in stage IB-IIIa NSCLC



**Adjuvant chemotherapy improves OS and DFS**  
**ABSOLUTE SURVIVAL IMPROVEMENT OF ~ 5% in 5 years**

# Operable Non-Small cell Lung Cancer IN 2025

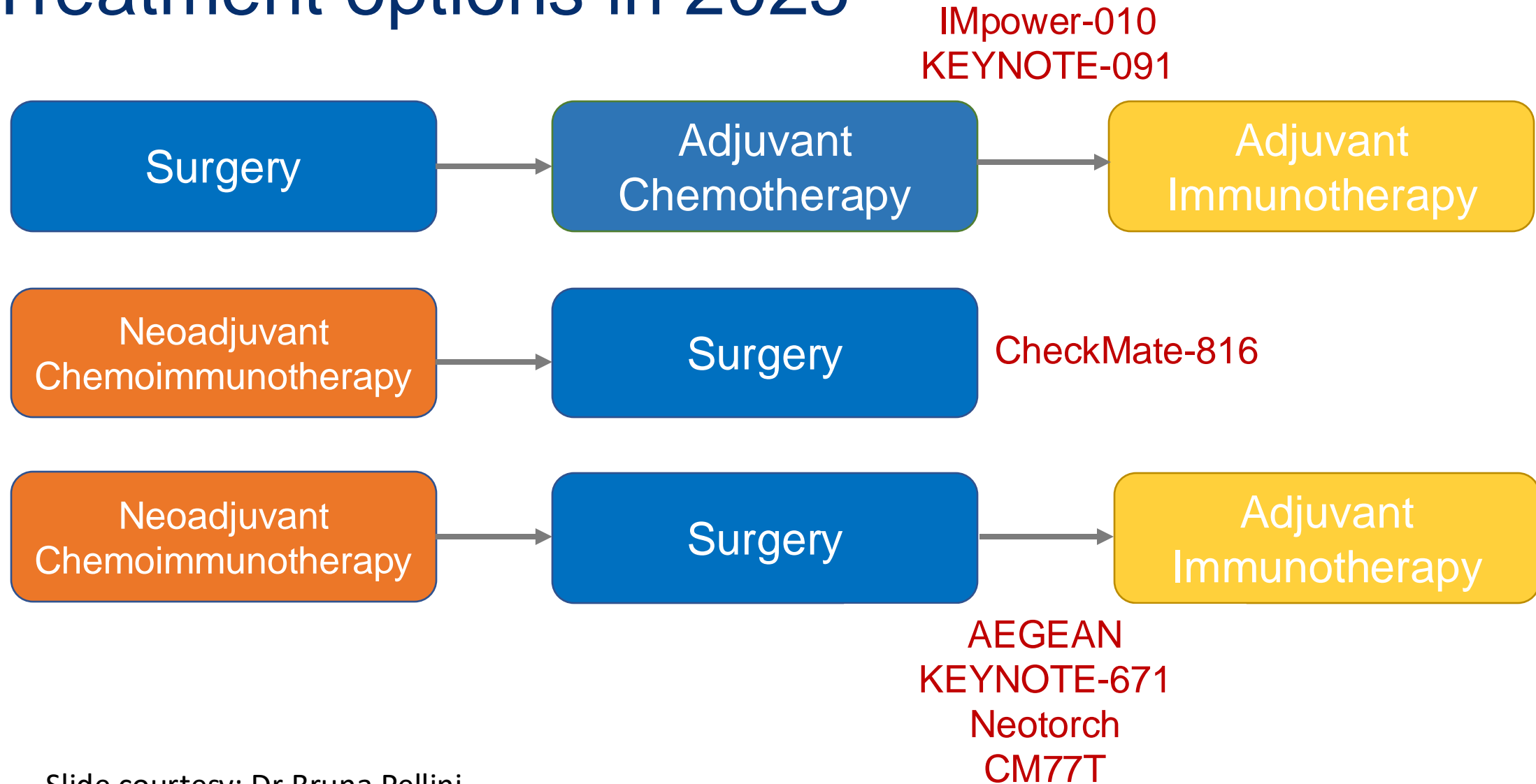
## *Change in Treatment paradigm*



Multidisciplinary Tumor board discussion  
Tumor testing for mutations – EGFR/ALK and PD-L1

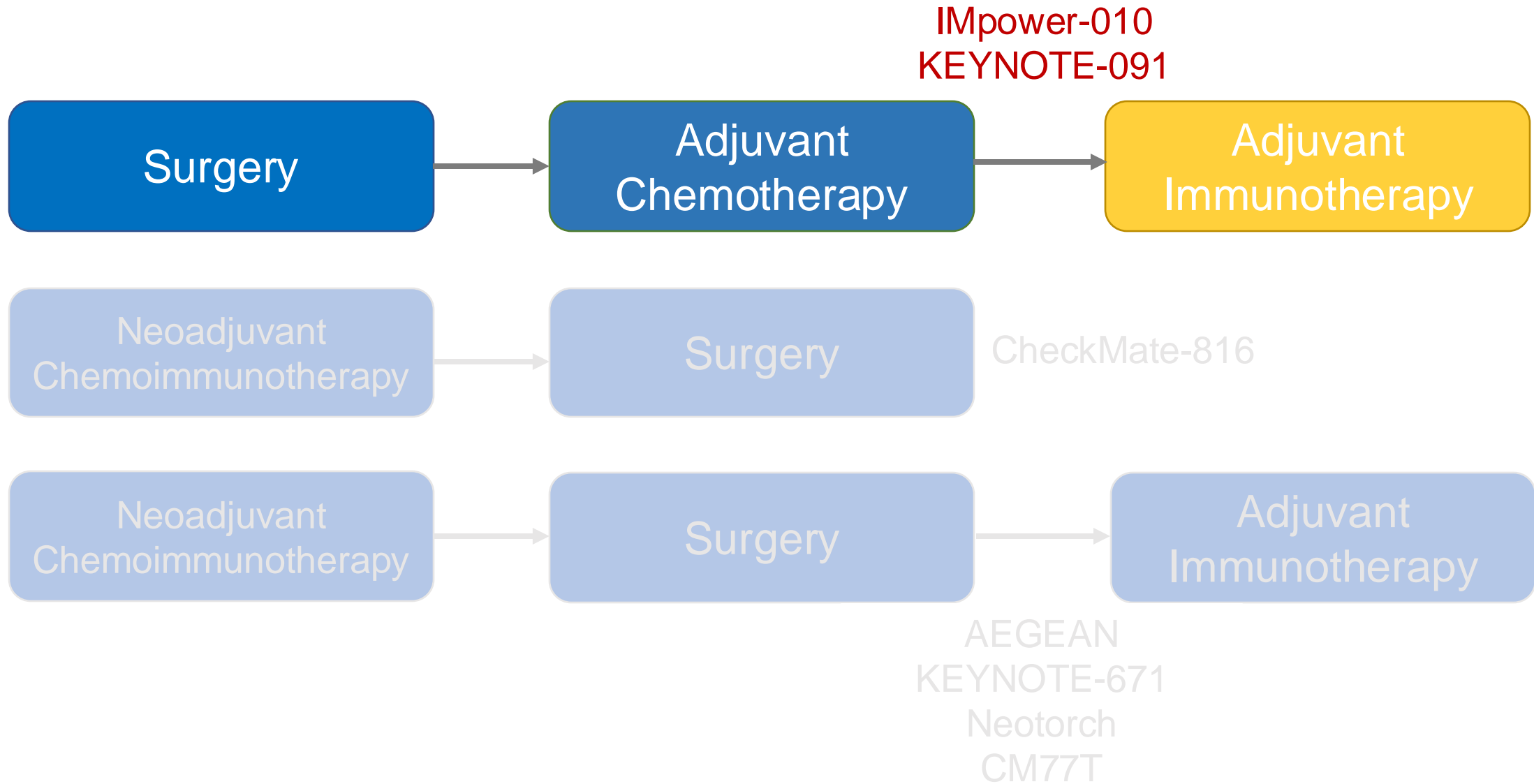
# Immunotherapy for Resectable NSCLC

## Treatment options in 2025



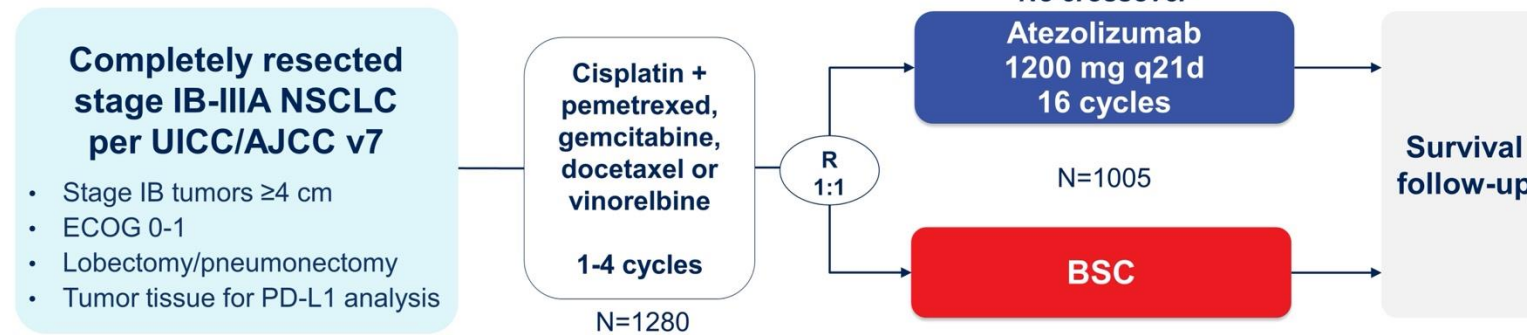
Slide courtesy: Dr Bruna Pellini

# Treatment options:



# Adjuvant IO/CT: IMPOWER 010

## IMpower010: study design



### Stratification factors

- Male/female
- Stage (IB vs II vs IIIA)
- Histology
- PD-L1 tumor expression status<sup>a</sup>: TC2/3 and any IC vs TC0/1 and IC2/3 vs TC0/1 and IC0/1

### Primary endpoints

- Investigator-assessed DFS tested hierarchically:
  - PD-L1 TC  $\geq 1\%$  (per SP263) stage II-IIIa population
  - All-randomized stage II-IIIa population
  - ITT population (stage IB-IIIa)

### Key secondary endpoints

- OS in ITT population
- DFS in PD-L1 TC  $\geq 50\%$  (per SP263) stage II-IIIa population
- 3-y and 5-y DFS in all 3 populations

Both arms included observation and regular scans for disease recurrence on the same schedule.  
ECOG, Eastern Cooperative Oncology Group; IC, tumor-infiltrating immune cells; ITT, intent to treat; TC, tumor cells. <sup>a</sup>Per SP142 assay.

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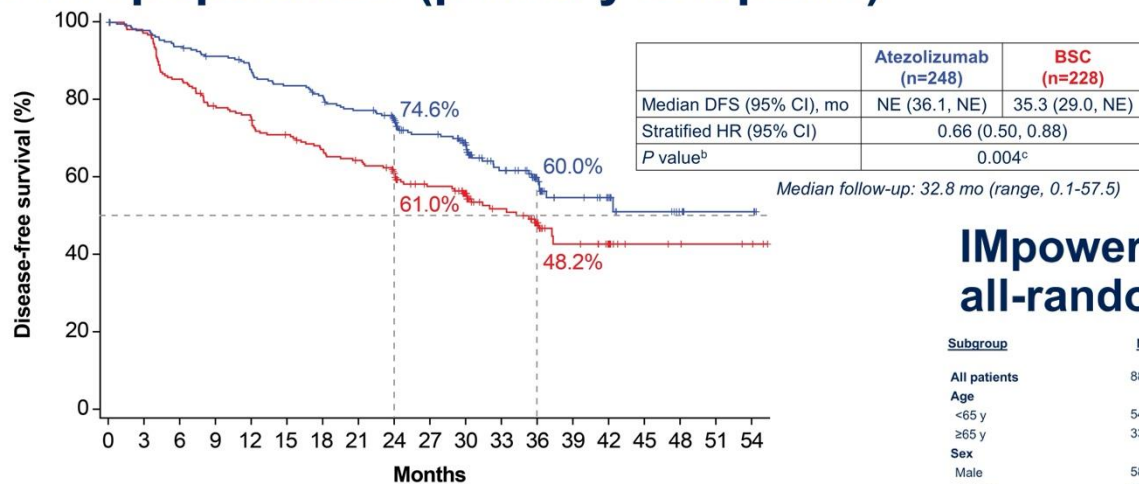
# IMpower 010: baseline characteristics

Characteristic	All patients (N=1005)	PD-L1 TC ≥1% (SP263) (stage II-III A)		All randomized (stage II-III A)		ITT (stage IB-III A)	
		Atezo (n=248)	BSC (n=228)	Atezo (n=442)	BSC (n=440)	Atezo (n=507)	BSC (n=498)
Median (range) age,	<b>62 (26-84)</b>	61 (34–82)	62 (26–84)	62 (33–82)	62 (26–84)	62 (33–83)	62 (26–84)
Age ≥65 y, n (%)	<b>382 (38.0)</b>	92 (37.1)	97 (42.5)	161 (36.4)	177 (40.2)	184 (36.3)	198 (39.8)
Sex, male, n (%)	<b>672 (66.9)</b>	171 (69.0)	147 (64.5)	295 (66.7)	294 (66.8)	337 (66.5)	335 (67.3)
Race, n (%)							
White	<b>738 (73.4)</b>	162 (65.3)	166 (72.8)	307 (69.5)	324 (73.6)	362 (71.4)	376 (75.5)
Asian	<b>242 (24.1)</b>	78 (31.5)	56 (24.6)	121 (27.4)	106 (24.1)	130 (25.6)	112 (22.5)
Other	<b>25 (2.5)</b>	8 (3.2)	6 (2.6)	14 (3.2)	10 (2.3)	15 (3.0)	10 (2.0)
Histology, non-SQ	<b>659 (65.6)</b>	152 (61.3)	143 (62.7)	292 (66.1)	296 (67.3)	328 (64.7)	331 (66.5)
Stage, n (%)							
IB	<b>123 (12.2)</b>	–	–	–	–	65 (12.8)	58 (11.6)
IIA	<b>295 (29.4)</b>	85 (34.3)	76 (33.3)	147 (33.3)	148 (33.6)	147 (29.0)	148 (29.7)
IIB	<b>174 (17.3)</b>	46 (18.5)	37 (16.2)	90 (20.4)	84 (19.1)	90 (17.8)	84 (16.9)
III A	<b>413 (41.1)</b>	117 (47.2)	115 (50.4)	205 (46.4)	208 (47.3)	205 (40.4)	208 (41.8)
Tobacco use, n (%)							
Never	<b>222 (22.1)</b>	51 (20.6)	41 (18.0)	100 (22.6)	96 (21.8)	114 (22.5)	108 (21.7)
Current/previous	<b>783 (77.9)</b>	197 (79.4)	187 (82.0)	342 (77.4)	344 (78.2)	393 (77.5)	390 (78.3)
PD-L1 by SP263, TC≥1%, n (%) <sup>a</sup>	<b>535 (54.6)</b>	248 (100)	228 (100)	248 (57.8)	228 (53.0)	283 (57.4)	252 (51.9)



# Adjuvant Atezolizumab extends DFS

## IMpower010: DFS in the PD-L1 TC $\geq 1\%$ <sup>a</sup> stage II-IIIa population (primary endpoint)



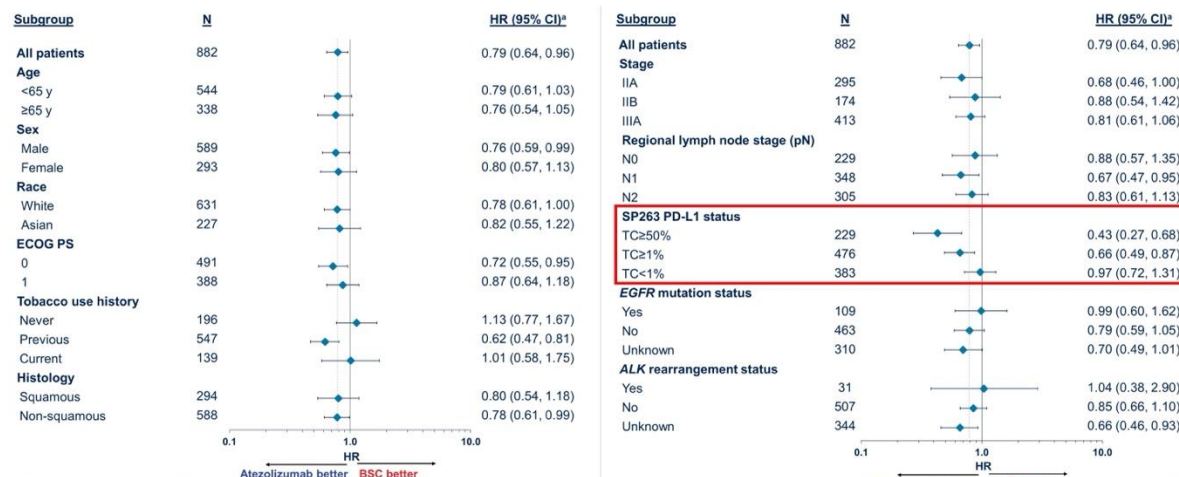
No. at risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54
Atezolizumab	248	235	225	217	206	198	190	181	159	134	111	76	54	31	22	12	8	3	3
BSC	228	212	186	169	160	151	142	135	117	97	80	59	38	21	14	7	6	4	3

Clinical cutoff: January 21, 2021. CI, confidence interval; HR, hazard ratio; NE, not evaluable. <sup>a</sup> Per SP263 assay. <sup>b</sup> Stratified log-rank. <sup>c</sup> Crossed the significance boundary

Dr. Heather A. Wakelee  
Presented By: IMpower010 Interim Analysis  
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## IMpower010: DFS in key subgroups of the all-randomized stage II-IIIa population



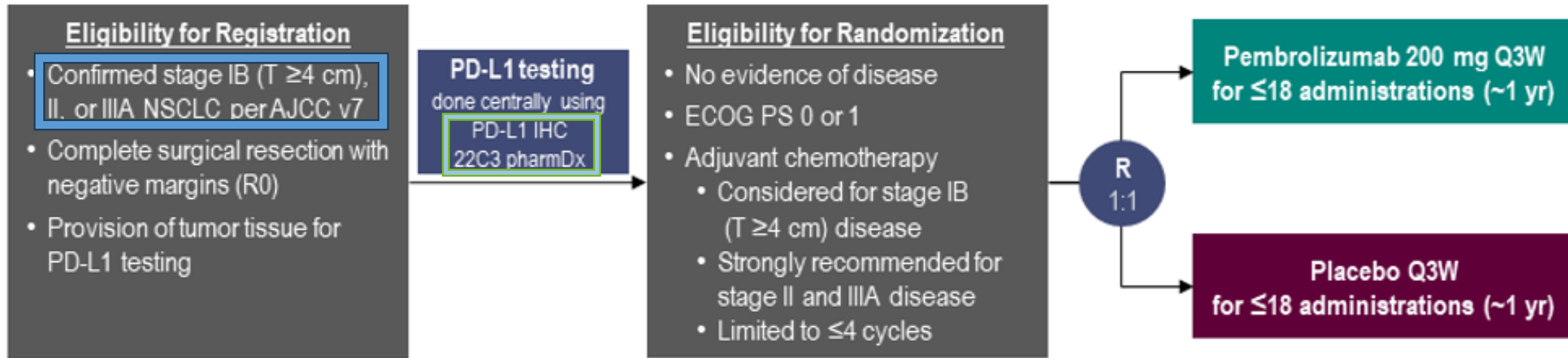
Clinical cutoff: January 21, 2021. <sup>a</sup> Stratified for all patients; unstratified for all other subgroups.

Dr. Heather A. Wakelee  
Presented By: IMpower010 Interim Analysis  
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# PEARLS/KEYNOTE-091 Study Design

Randomized, Triple-Blind, Phase 3 Trial



## Stratification Factors

- Disease stage (IB vs II vs IIIA)
- PD-L1 TPS (<1% vs 1-49% vs ≥50%)
- Receipt of adjuvant chemotherapy (yes vs no)
- Geographic region (Asia vs Eastern Europe vs Western Europe vs rest of world)

## Dual Primary End Points

- DFS in the overall population
- DFS in the PD-L1 TPS ≥50% population

## Secondary End Points

- DFS in the PD-L1 TPS ≥1% population
- OS in the overall, PD-L1 TPS ≥50%, and PD-L1 TPS ≥1% populations
- Lung cancer-specific survival in the overall population
- Safety



# Baseline Characteristics, Overall Population

	Pembrolizumab (N = 590)	Placebo (N = 587)
Age, median (range)	65 y (31-87)	65 y (37-85)
Male	401 (68.0%)	403 (68.7%)
<b>Geographic region</b>		
Asia	106 (18.0%)	105 (17.9%)
Eastern Europe	116 (19.7%)	113 (19.3%)
Western Europe	303 (51.4%)	301 (51.3%)
Rest of world	65 (11.0%)	68 (11.6%)
ECOG PS 1	210 (35.6%)	244 (41.6%)
Current/former smoker	503 (85.3%)	521 (88.8%)
EGFR mutation <sup>a</sup>	39 (6.6%)	34 (5.8%)
ALK translocation <sup>b</sup>	7 (1.2%)	7 (1.2%)

<sup>a</sup> EGFR status unknown for 333 (56.4%) in pembro arm and 337 (57.4%) in placebo arm.

<sup>b</sup> ALK status unknown for 357 (60.5%) in pembro arm and 390 (66.4%) in placebo arm.

**ESMO VIRTUAL PLENARY**

Data cutoff date: September 20, 2021

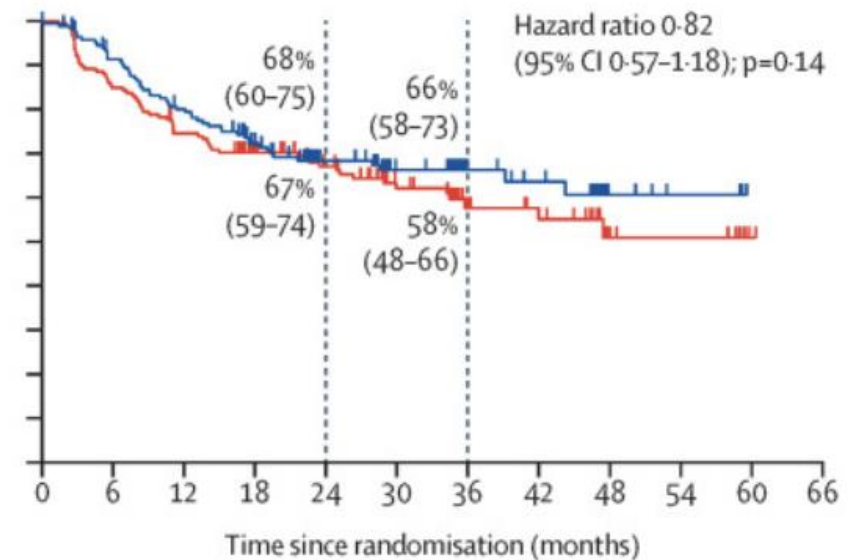
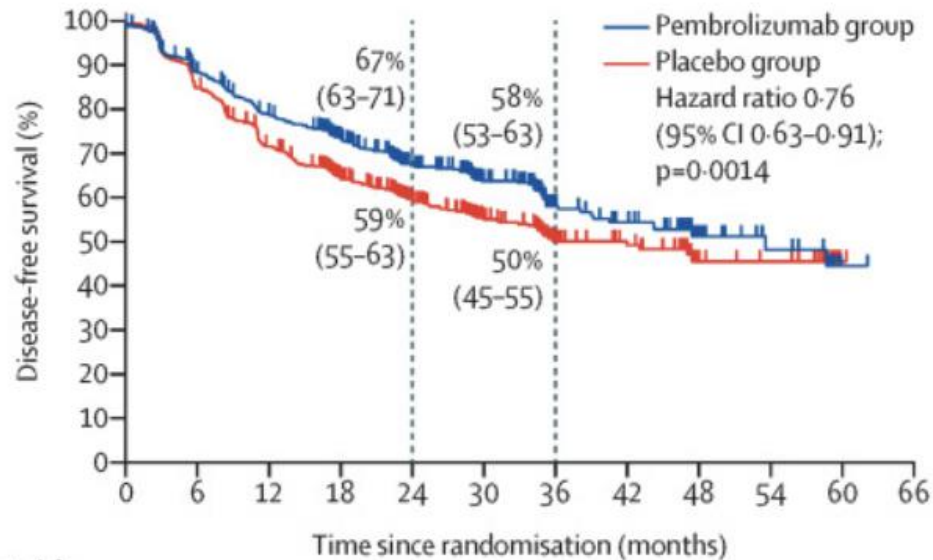
	Pembrolizumab (N = 590)	Placebo (N = 587)
Nonsquamous histology	398 (67.5%)	363 (61.8%)
<b>Pathologic stage<sup>c</sup></b>		
IB	84 (14.2%)	85 (14.5%)
II	329 (55.8%)	338 (57.6%)
IIIA	177 (30.0%)	162 (27.6%)
<b>Received adjuvant chemotherapy</b>		
Yes	506 (85.8%)	504 (85.9%)
No	84 (14.2%)	83 (14.1%)
<b>PD-L1 TPS</b>		
<1%	233 (39.5%)	232 (39.5%)
1-49%	189 (32.0%)	190 (32.4%)
≥50%	168 (28.5%)	165 (28.1%)

<sup>c</sup> 2 (0.3%) participants in the placebo group had stage IV disease.

# PEARLS: Adjuvant Pembrolizumab vs placebo

DFS : All comer PD-L1 22C3.

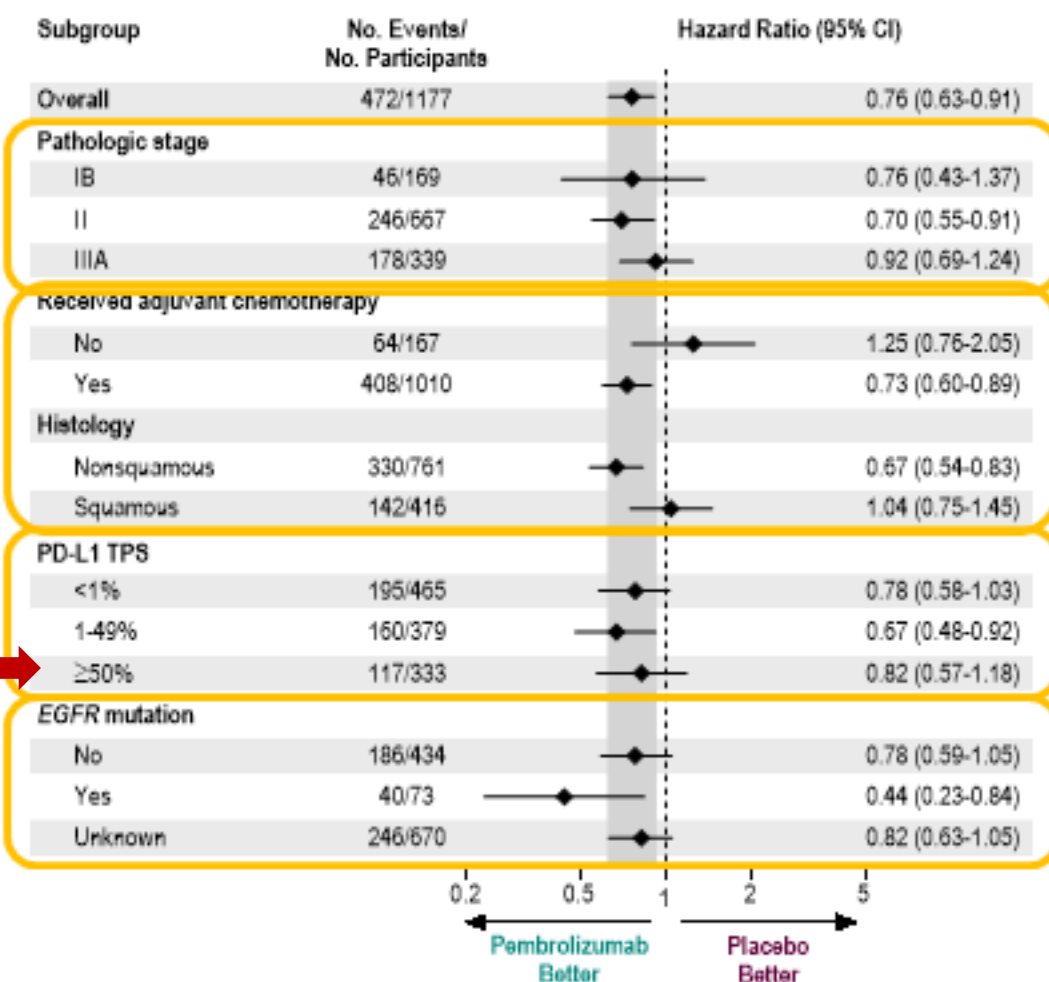
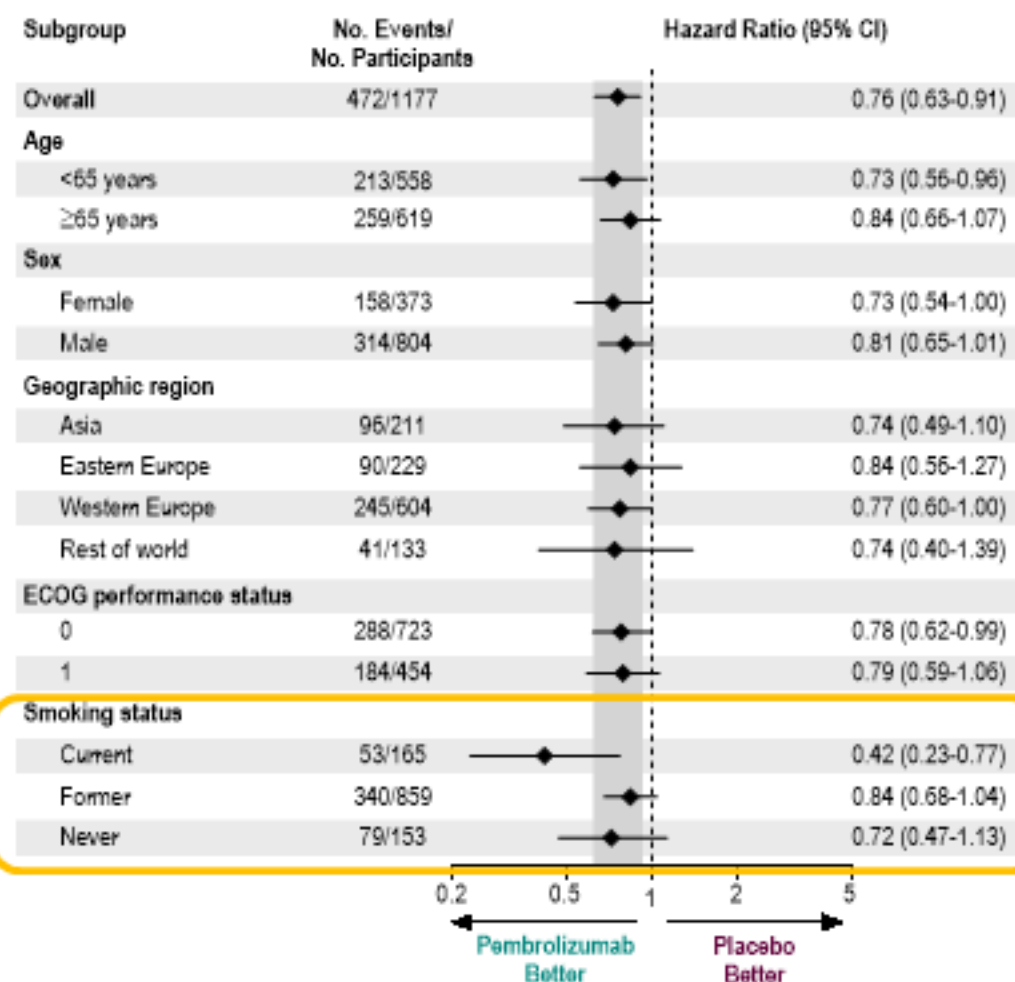
DFS: PD-L1 $\geq$ 50%



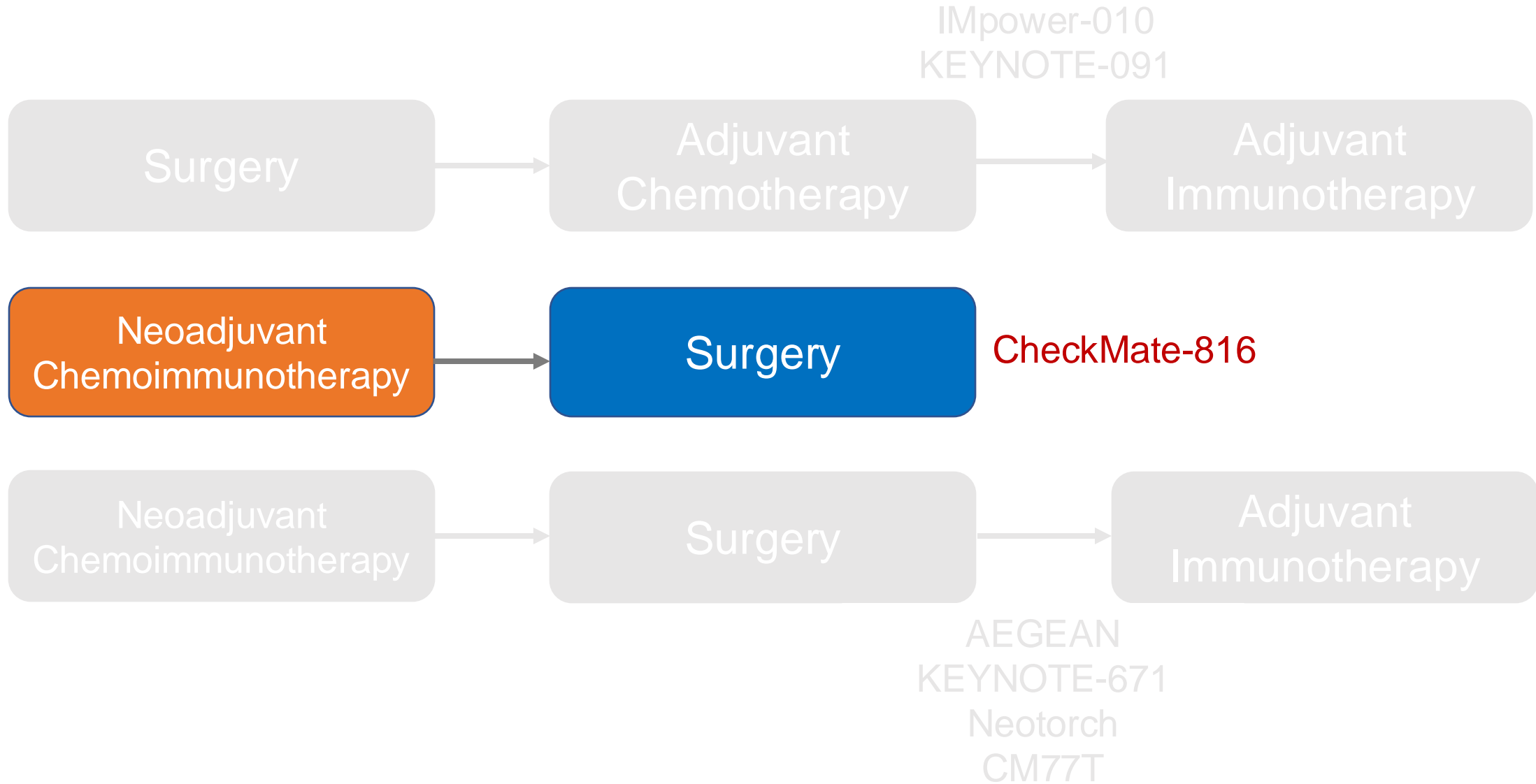
	Number at risk (number censored)											
	0	6	12	18	24	30	36	42	48	54	60	66
Pembrolizumab	590	493	434	358	264	185	82	70	28	16	1	0
	(0)	(30)	(36)	(84)	(150)	(216)	(306)	(313)	(352)	(363)	(377)	(378)
Placebo	587	493	409	326	241	160	72	57	22	18	1	0
	(0)	(5)	(13)	(56)	(118)	(183)	(259)	(273)	(305)	(309)	(326)	(327)

	168	145	126	99	69	50	26	22	7	4	0	0
	(0)	(8)	(9)	(24)	(49)	(66)	(90)	(93)	(107)	(110)	(114)	(114)
	165	140	121	100	75	54	28	22	8	6	1	0
	(0)	(0)	(2)	(16)	(37)	(53)	(76)	(81)	(94)	(96)	(101)	(102)

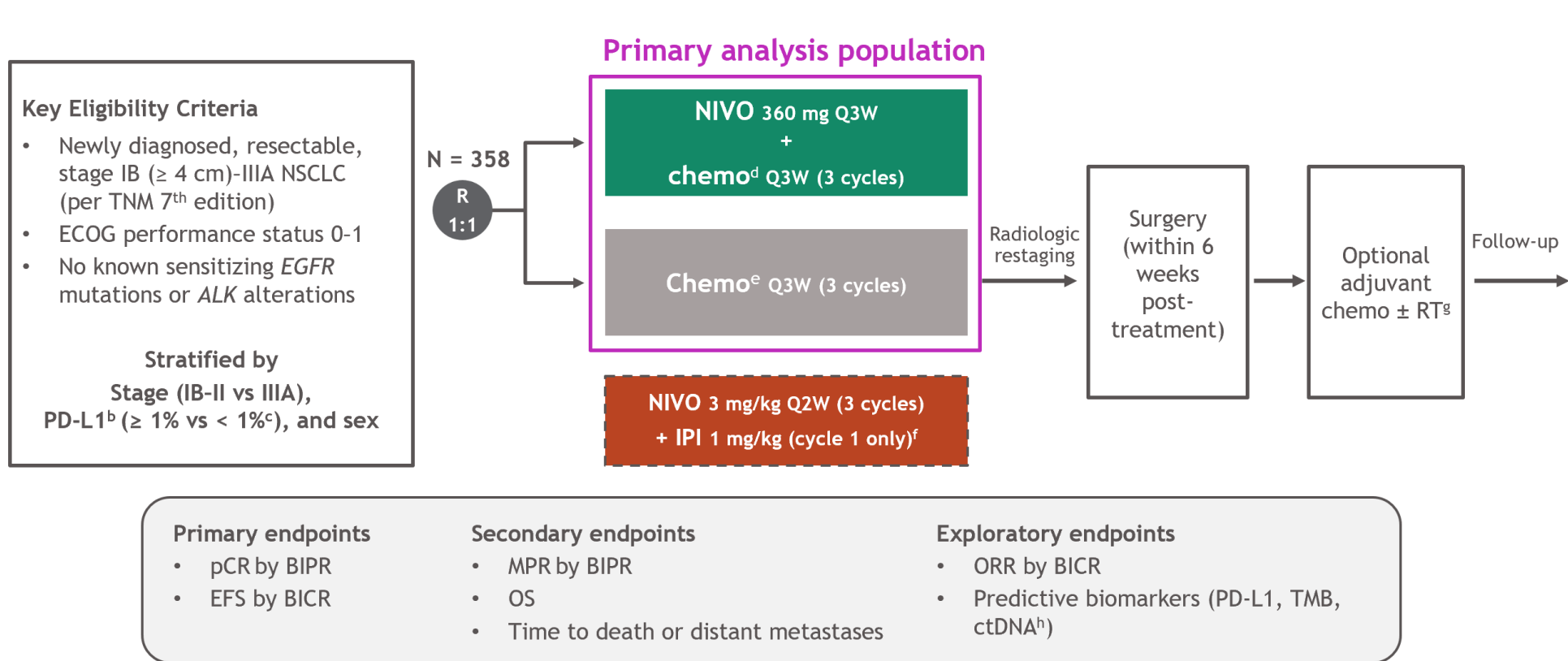
# DFS in Key Subgroups, Overall Population



# Treatment options:



# CheckMate 816: Study design



# CheckMate 816: Baseline Characteristics



	NIVO + Chemo (n = 179)	Chemo (n = 179)
Age, median (range), years	64 (41–82)	65 (34–84)
Female, %	28	29
Region, %*		
North America	23	28
Europe	23	14
Asia	48	51
Stage, % <sup>†</sup>		
IB–II <sup>‡</sup>	36	35
<b>IIIA</b>	<b>63</b>	<b>64</b>
Histology, %		
Squamous	49	53
<b>Nonsquamous</b>	<b>51</b>	<b>47</b>
Smoking status, % <sup>§</sup>		
Current / former	89	88
Never	11	11

	NIVO + Chemo (n = 179)	Chemo (n = 179)
Tumor PD-L1 expression, % <sup>¶</sup>		
Not evaluable	7	7
<1%	44	43
≥1%	50	50
1%–49%	28	26
≥50%	21	24
TMB, % <sup>¶</sup>		
Not evaluable / not reported <sup>//</sup>	51	50
<12.3 mut/Mb	27	30
≥12.3 mut/Mb	22	21

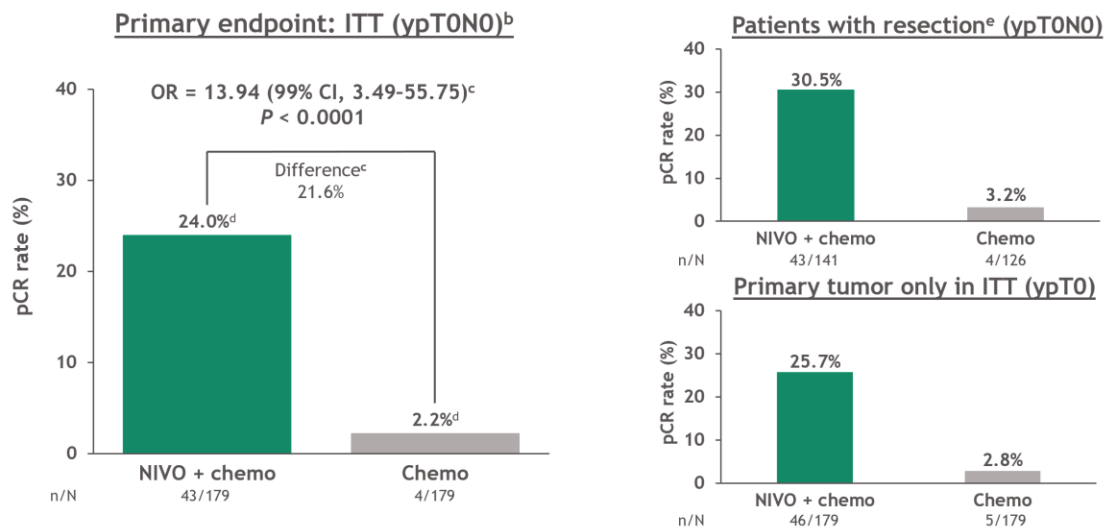
\*Rest of the world: 7% of patients in each of the NIVO + chemo and chemo arms. <sup>†</sup>Disease stage by CRF, with TNM 7<sup>th</sup> edition used for classification; 1 patient in each of the NIVO + chemo and chemo arms had stage IV disease. <sup>‡</sup>Stage IB, IIA, IIB disease: 6%, 17%, and 14% of patients in the NIVO + chemo arm, and 4%, 18%, and 13% in the chemo arm, respectively. <sup>§</sup>Smoking status unknown: 1 patient in chemo arm. <sup>¶</sup>Percentages are based on ITT. <sup>//</sup>TMB was not analyzed for patients in China, and these patients are included in the “not reported” category.

Abbreviations: ITT, intention to treat; NIVO, nivolumab; PD-L1, programmed death ligand 1; TMB, tumor mutational burden.

Forde PM, et al. Abstract CT003. Presented at: 2021 AACR; April 10–15, 2021. Graphic courtesy of Patrick Forde, MBBCh.



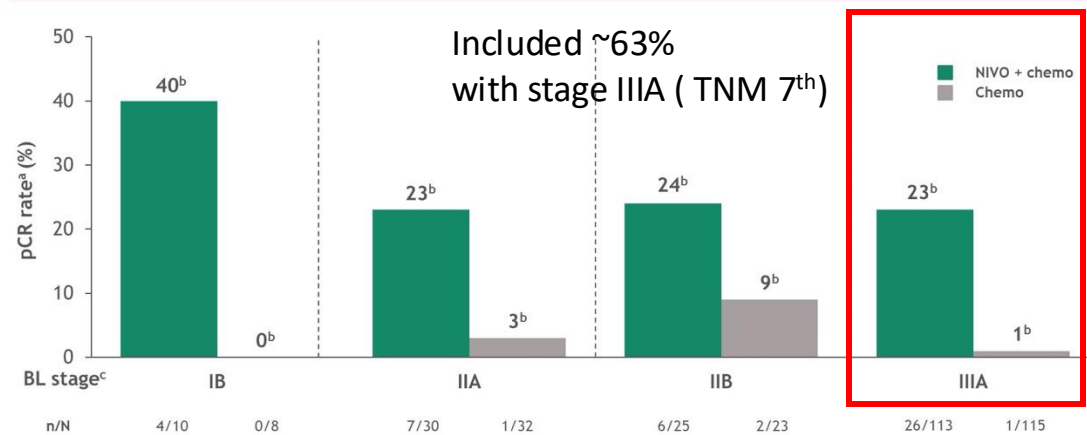
## Primary endpoint: pCR<sup>a</sup> rate with neoadjuvant NIVO + chemo vs chemo



pCR rate in the exploratory NIVO + IPI arm (ITT) was 20.4% (95% CI, 13.4-29.0)

CheckMate 816: surgical outcomes with neoadjuvant NIVO + chemo in resectable NSCLC

## pCR by baseline stage of disease

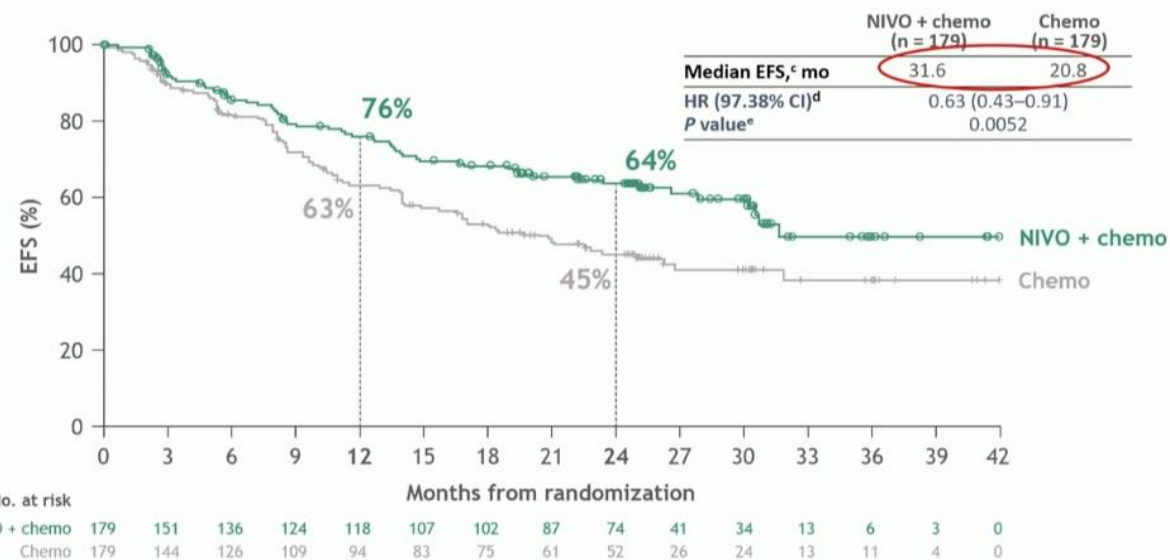


pCR improvement with NIVO + chemo vs chemo was observed regardless of radiologic down-staging<sup>d</sup>

<sup>a</sup>Per BIPR in the ITT population; neither of the 2 patients with stage IV disease (1 in each arm) achieved pCR; <sup>b</sup>95% CI: NIVO + chemo, chemo (stage): 12.2-73.8, 0.0-36.9 (IB); 9.9-42.3, 0.1-16.2 (IIA); 9.4-45.1, 1.1-28.0 (IIB); 15.6-31.9, 0.0-4.7 (IIIA); <sup>c</sup>Baseline stage of disease by CRF, TNM 7<sup>th</sup> edition used for classification; <sup>d</sup>pCR rate in patients with radiographic down-staging: 31% with NIVO + chemo vs 7% with chemo; pCR rate in patients without radiographic down-staging: 22% with NIVO + chemo vs 1% with chemo.

10

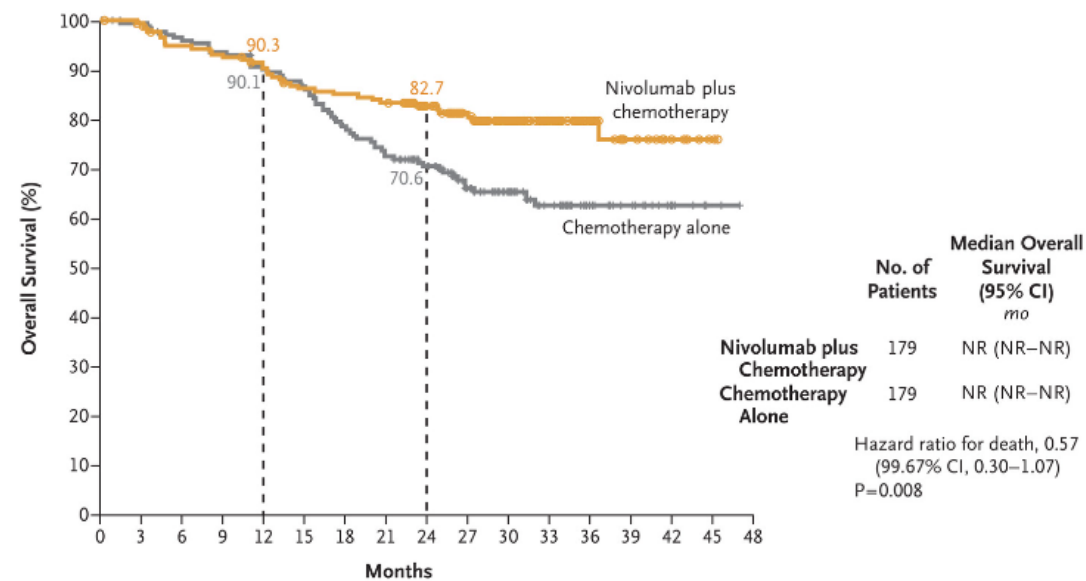
## Primary endpoint: EFS<sup>a,b</sup> with neoadjuvant NIVO + chemo vs chemo



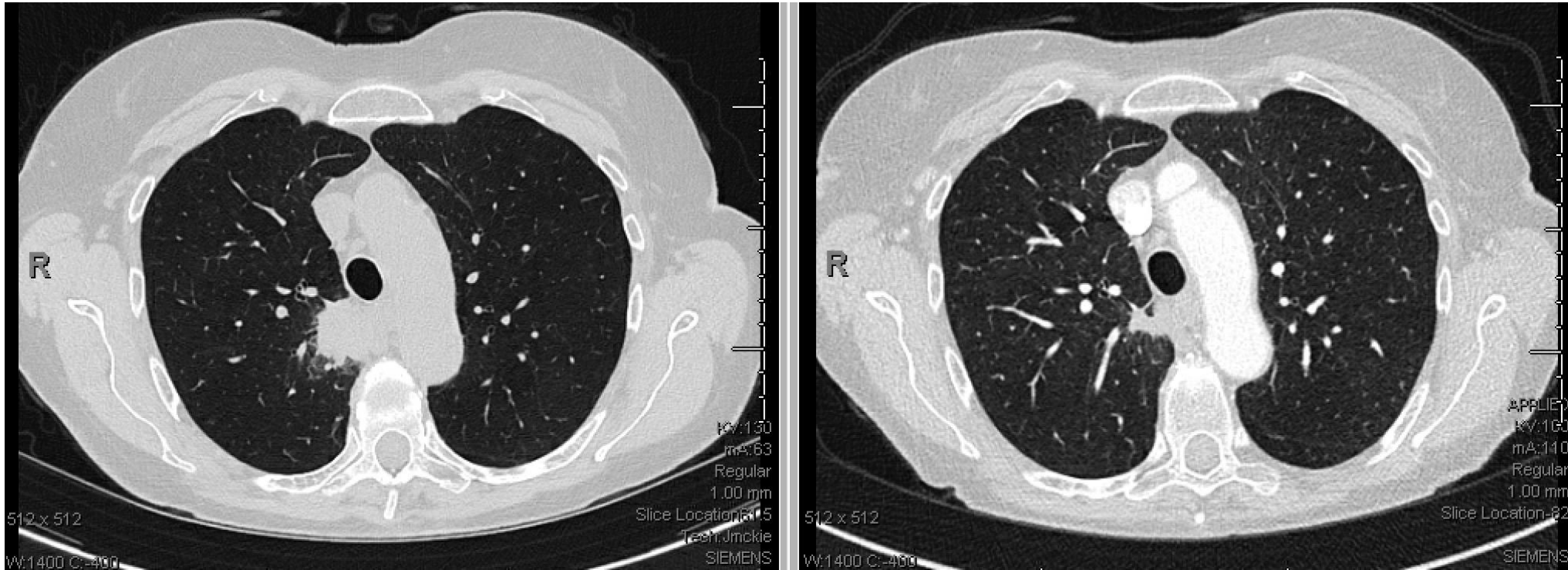
Minimum follow-up: 21 months; median follow-up, 29.5 months.

<sup>a</sup>Per BIPR; <sup>b</sup>EFS defined as the time from randomization to any progression of disease precluding surgery, progression or recurrence of disease after surgery, progression for patients without surgery, or death due to any cause; patients with subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy; <sup>c</sup>95% CI = 30.2-NR (NIVO + chemo) and 14.0-26.7 (chemo); <sup>d</sup>95% CI = 0.45-0.87; <sup>e</sup>The significance boundary at this interim analysis was 0.0262.

## OS

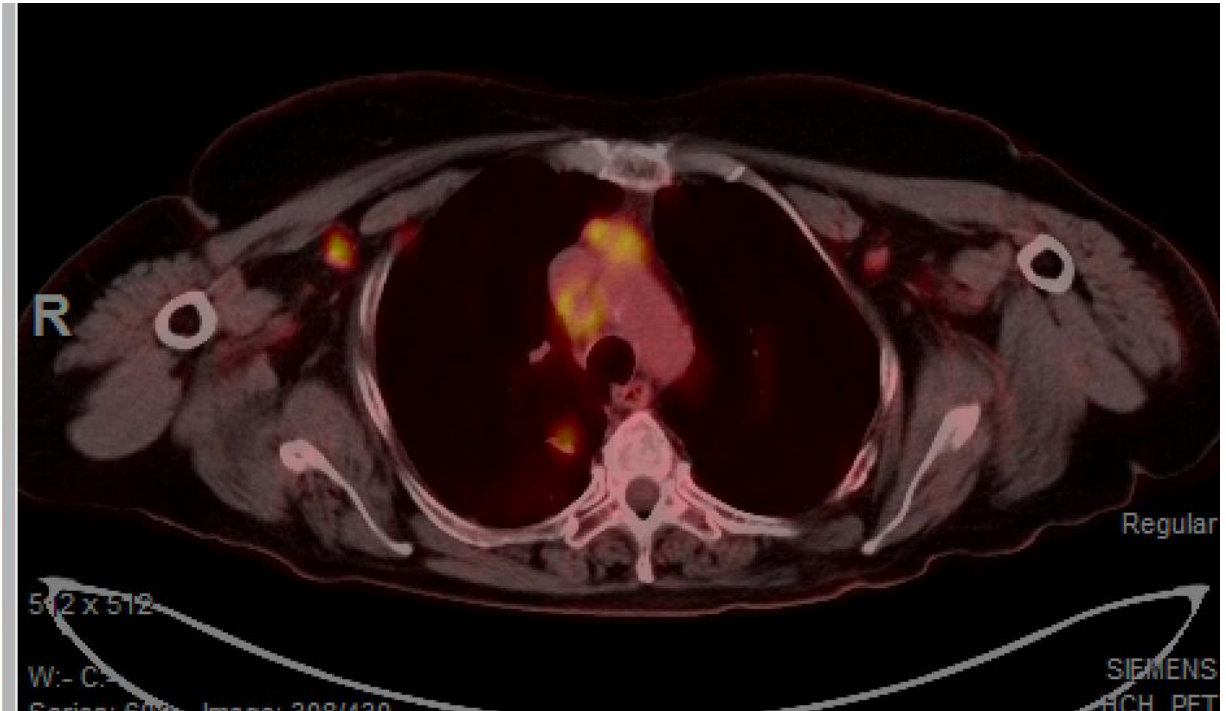
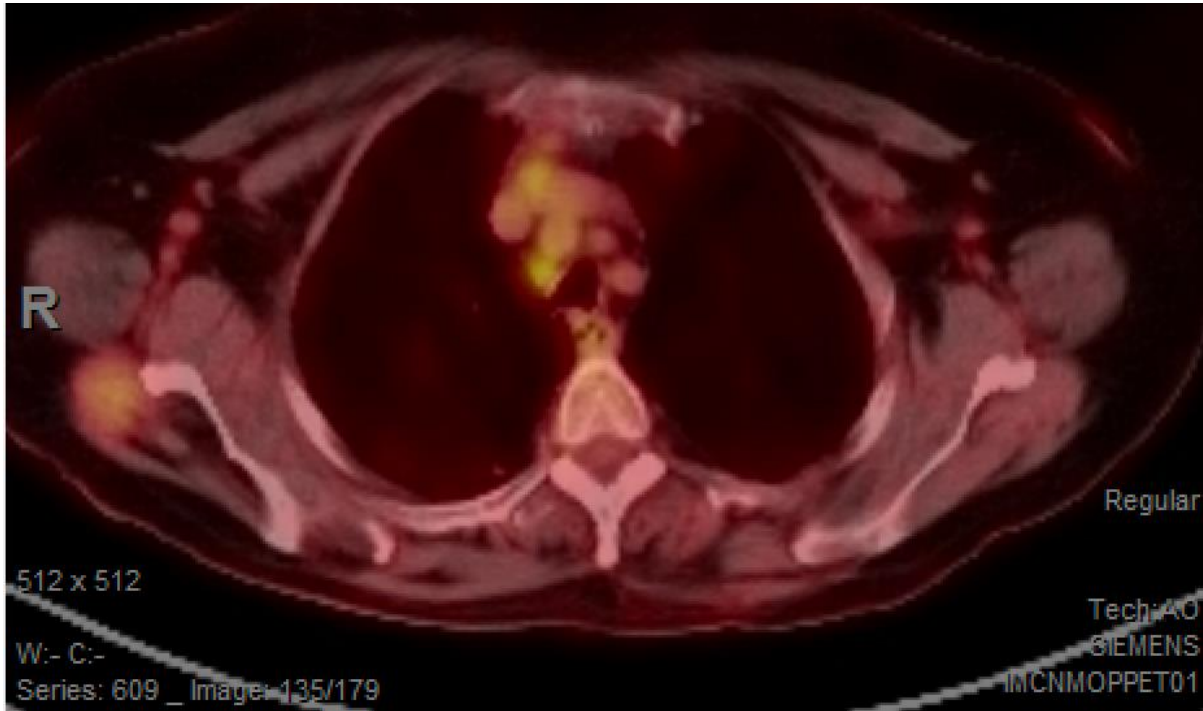


# CM 816 regimen: The real world success



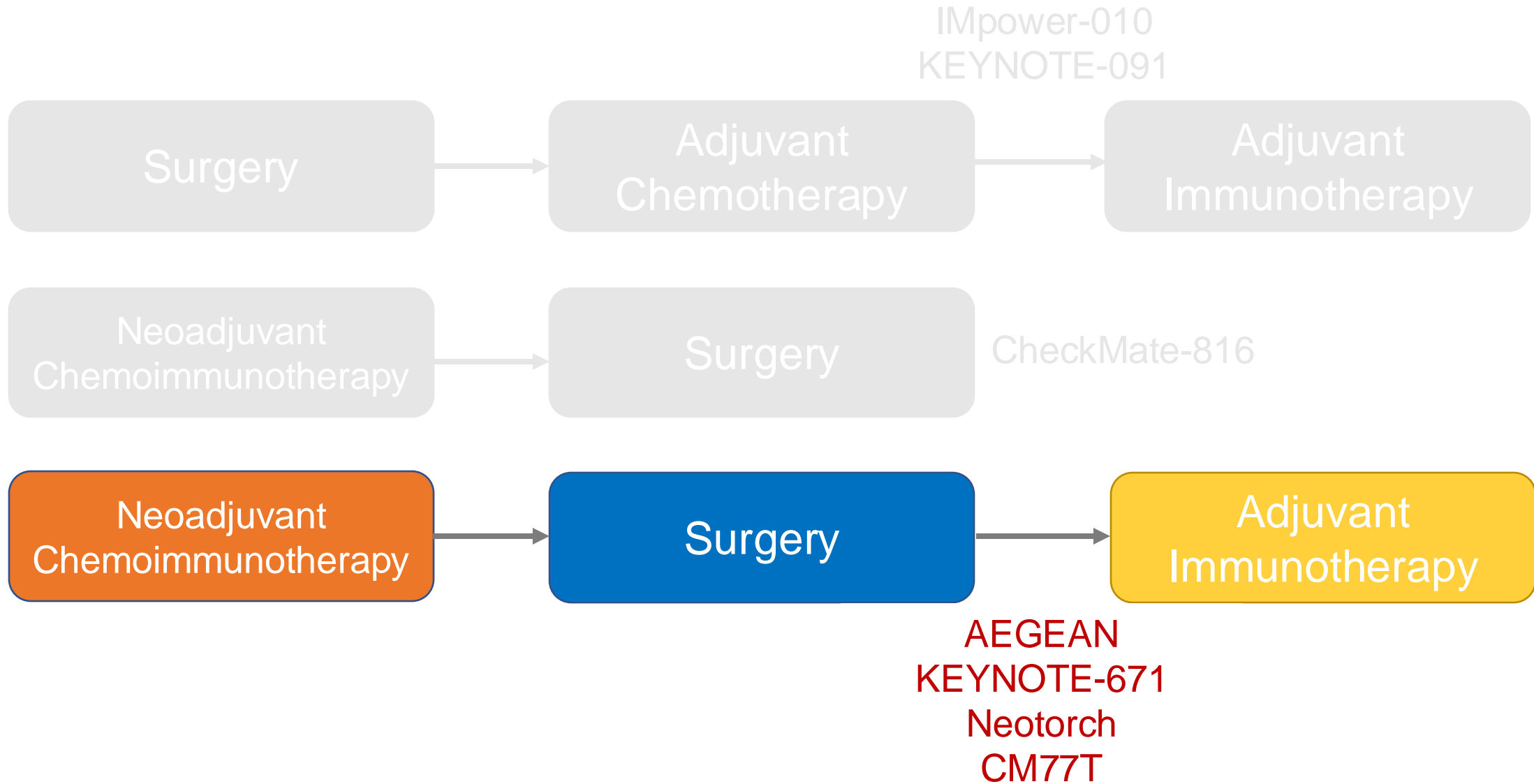
81 y/o female with stage IIIA single station N2 positive NSCLC s/p 3 cycles of NA chemotherapy plus immunotherapy

# CM 816 regimen: The real-world failures

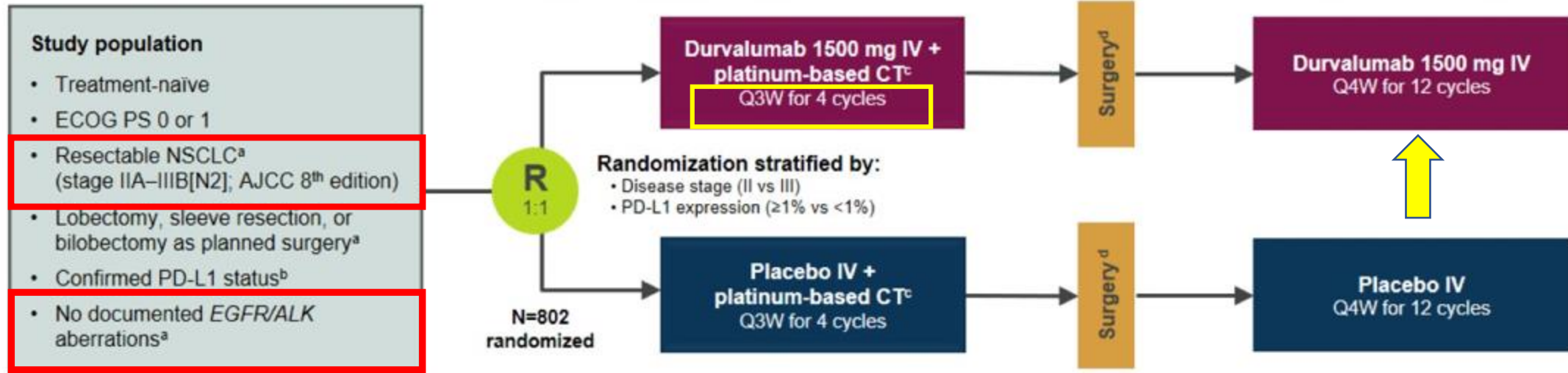


64 y/o female with stage IIIA single station N2 positive NSCLC s/p 3 cycles of NA chemotherapy plus immunotherapy

# Treatment options:



# AEGEAN: Study design



**Endpoints:** All efficacy analyses performed on a modified population that excludes patients with documented *EGFR/ALK* aberrations<sup>e</sup>

**Primary:**

- pCR by central lab (per IASLC 2020)
- EFS using BICR (per RECIST v1.1)

**Key secondary:**

- MPR by central lab (per IASLC 2020)
- DFS using BICR (per RECIST v1.1)
- OS

# AEGEAN: Baseline characteristics



- Baseline characteristics were largely balanced between the study arms
- The planned neoadjuvant CT doublet regimen was carboplatin-based for >70% of patients

TNM classification†		D arm (N=366)	PBO arm (N=374)
Primary tumor, %	T1	12.0	11.5
	T2	26.5	28.9
	T3	35.0	34.5
	T4	26.5	25.1
Regional lymph nodes, %	N0	30.1	27.3
	N1	20.5	23.3
	N2	49.5	49.5

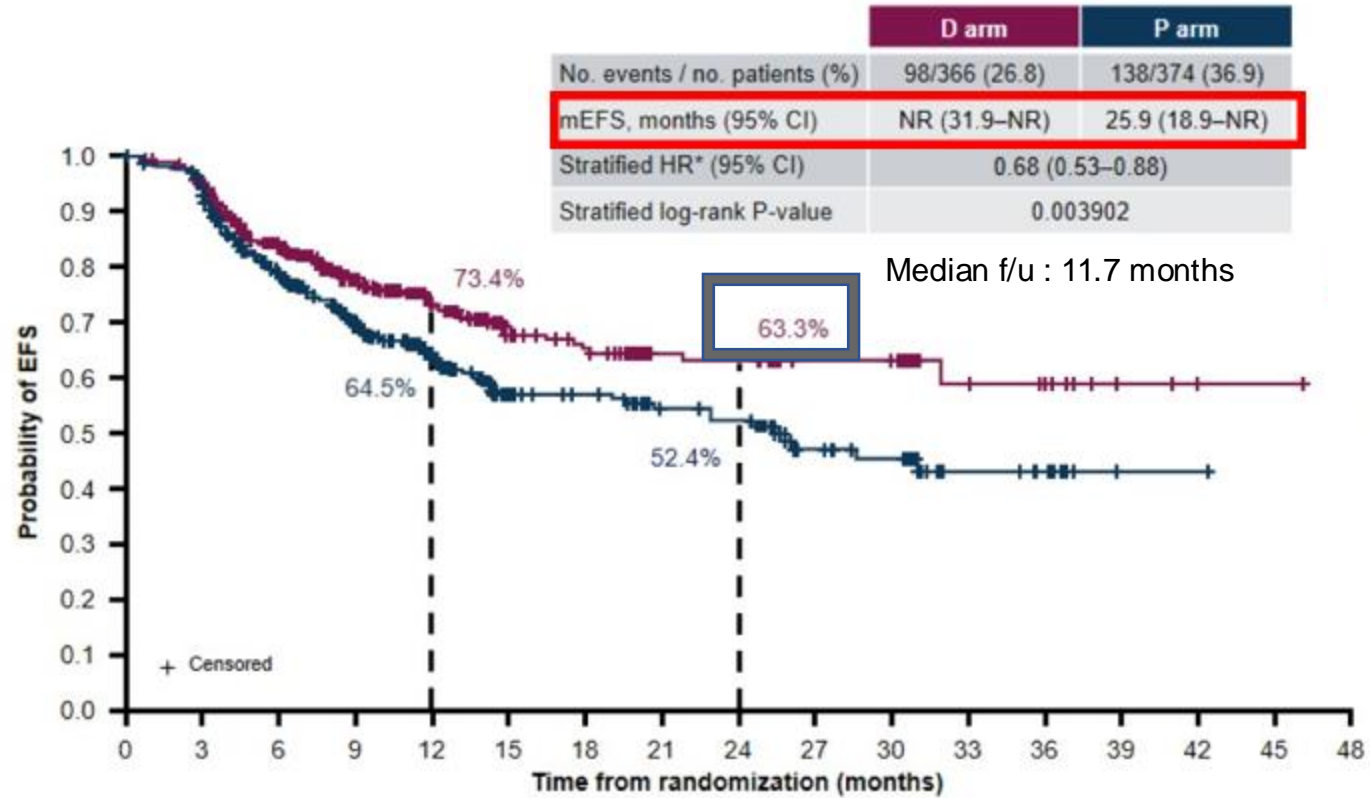
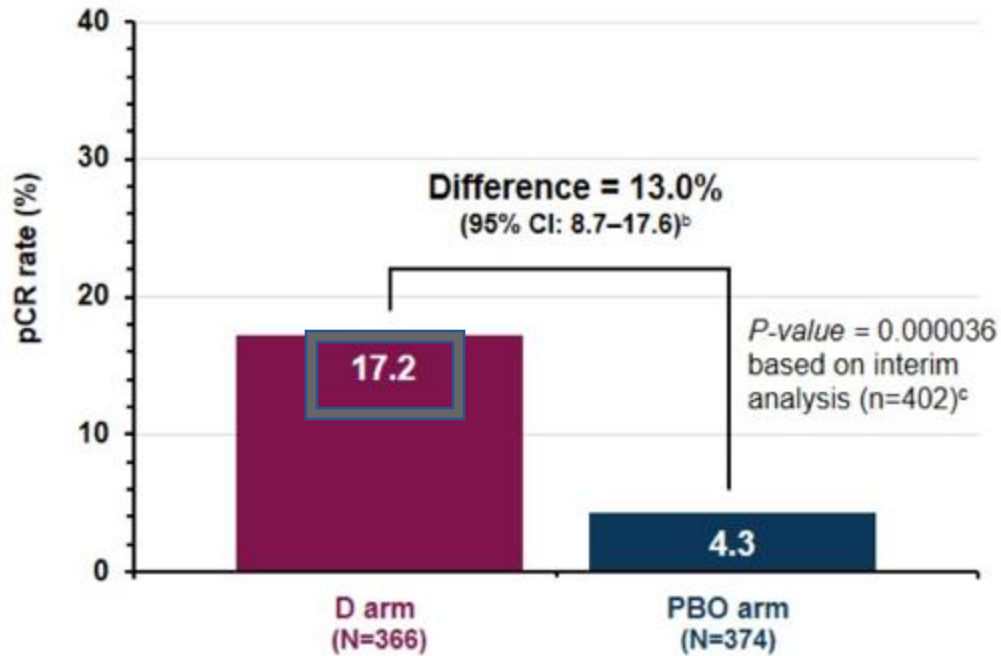
Characteristics*		D arm (N=366)	PBO arm (N=374)
Age	Median (range), years	65.0 (30–88)	65.0 (39–85)
	≥75 years, %	12.0	9.6
Sex, %	Male	68.9	74.3
	Female	31.1	25.7
ECOG PS, %	0	68.6	68.2
	1	31.4	31.8
Race‡, %	Asian	39.1	43.9
	White	56.3	51.1
	Other	4.6	5.1
Region, %	Asia	38.8	43.6
	Europe	38.5	37.4
	North America	11.7	11.5
	South America	10.9	7.5
Smoking status, %	Current	26.0	25.4
	Former	60.1	59.6
	Never	13.9	15.0
Disease stage (AJCC 8 <sup>th</sup> ed.), %	II	28.4	29.4
	IIIA	47.3	44.1
	IIIB	24.0	26.2
Histology, %	Squamous	46.2	51.1
	Non-squamous	53.6	47.9
PD-L1 expression, %	TC <1%	33.3	33.4
	TC 1–49%	36.9	38.0
	TC ≥50%	29.8	28.6
Planned neoadjuvant platinum agent, %	Cisplatin	27.3	25.7
	Carboplatin	72.7	74.3

DCO = Nov 10, 2022. \*Characteristics with missing/other responses are histology (0.3% in the D arm and 1.1% in PBO arm had 'other' histology) and disease stage (0.3% in D arm had stage IV disease, and 0.3% in the PBO arm had stage III [NOS] disease, as reported per the electronic case report form [eCRF]). †All patients were M0 except one patient in the D arm who was classified as M1 (NOS). ‡Race was self-reported per the eCRF. NOS, not otherwise specified; TC, tumor cells.

# AEGEAN: pCR and EFS



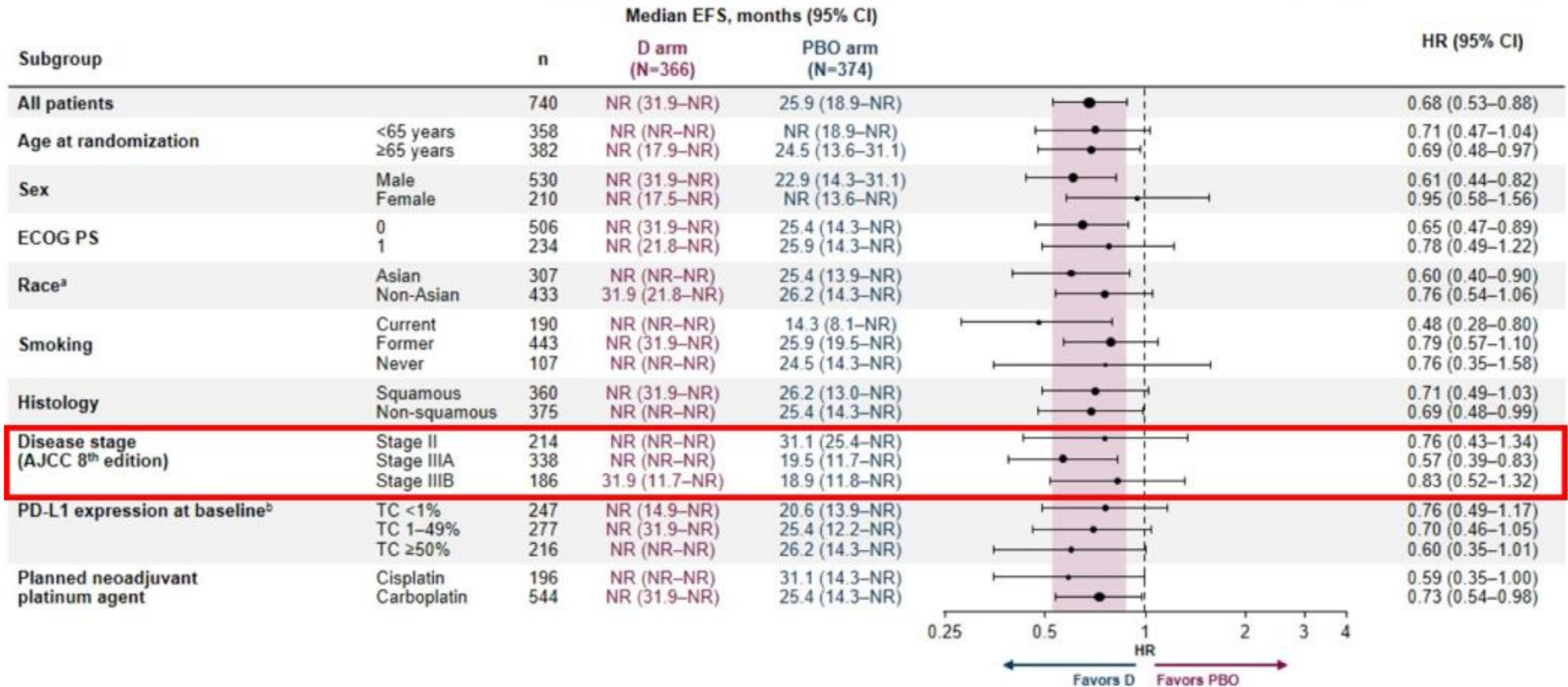
pCR (central lab)



No. at risk:	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48
D arm	366	336	271	194	140	90	78	50	49	31	30	14	11	3	1	1	0
PBO arm	374	339	257	184	136	82	74	53	50	30	25	16	13	1	1	0	0

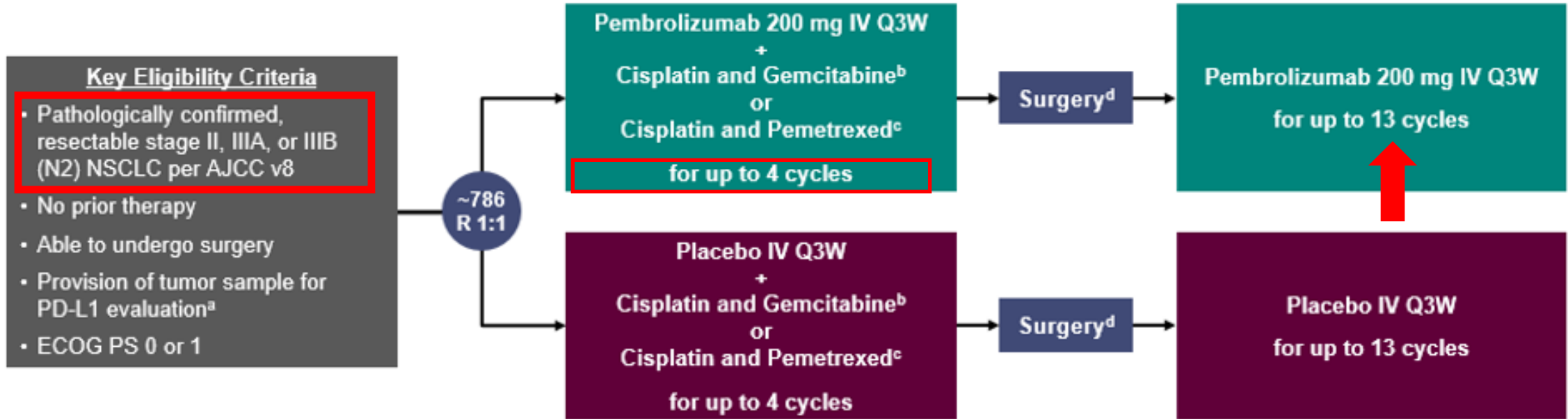


# AEGEAN: EFS subgroup analysis





# KEYNOTE-671: Trial design



## Stratification Factors

- Disease stage (II vs III)
- PD-L1 TPS<sup>a</sup> (<50% vs ≥50%)
- Histology (squamous vs nonsquamous)
- Geographic region (east Asia vs not east Asia)

**Dual primary end points: EFS per investigator review and OS**

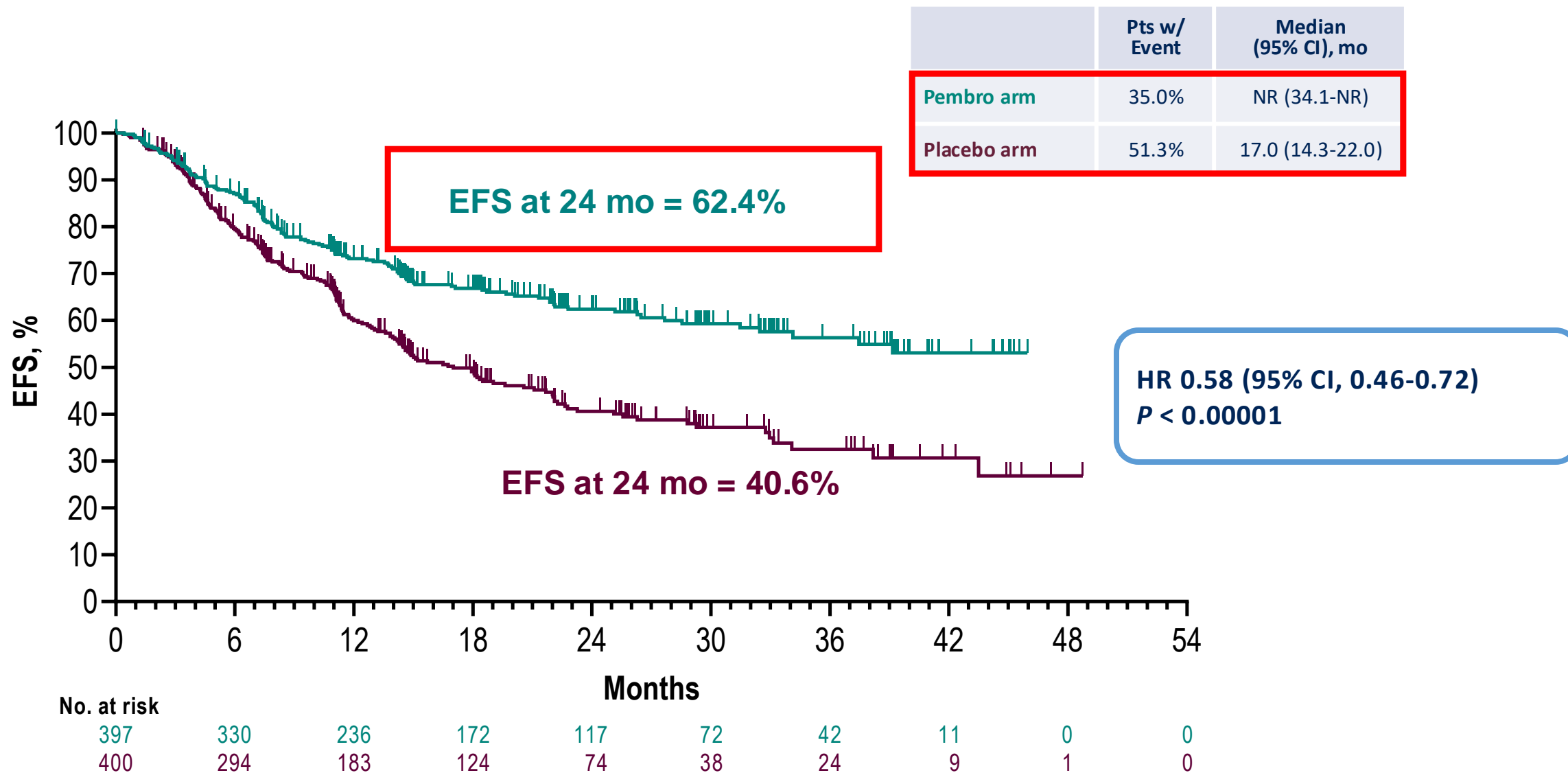
**Key secondary end points: mPR and pCR per blinded, independent pathology review, and safety**

# KEYNOTE-671: Baseline Characteristics

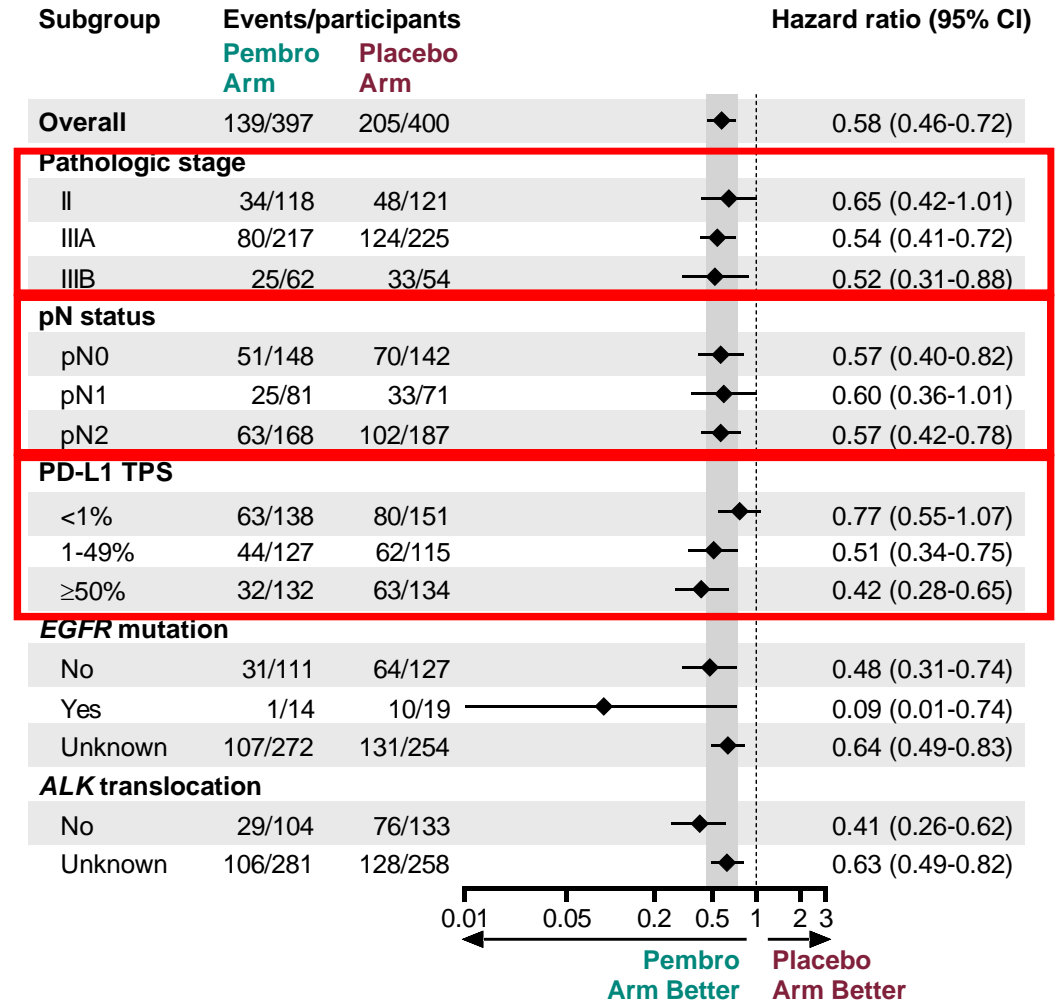
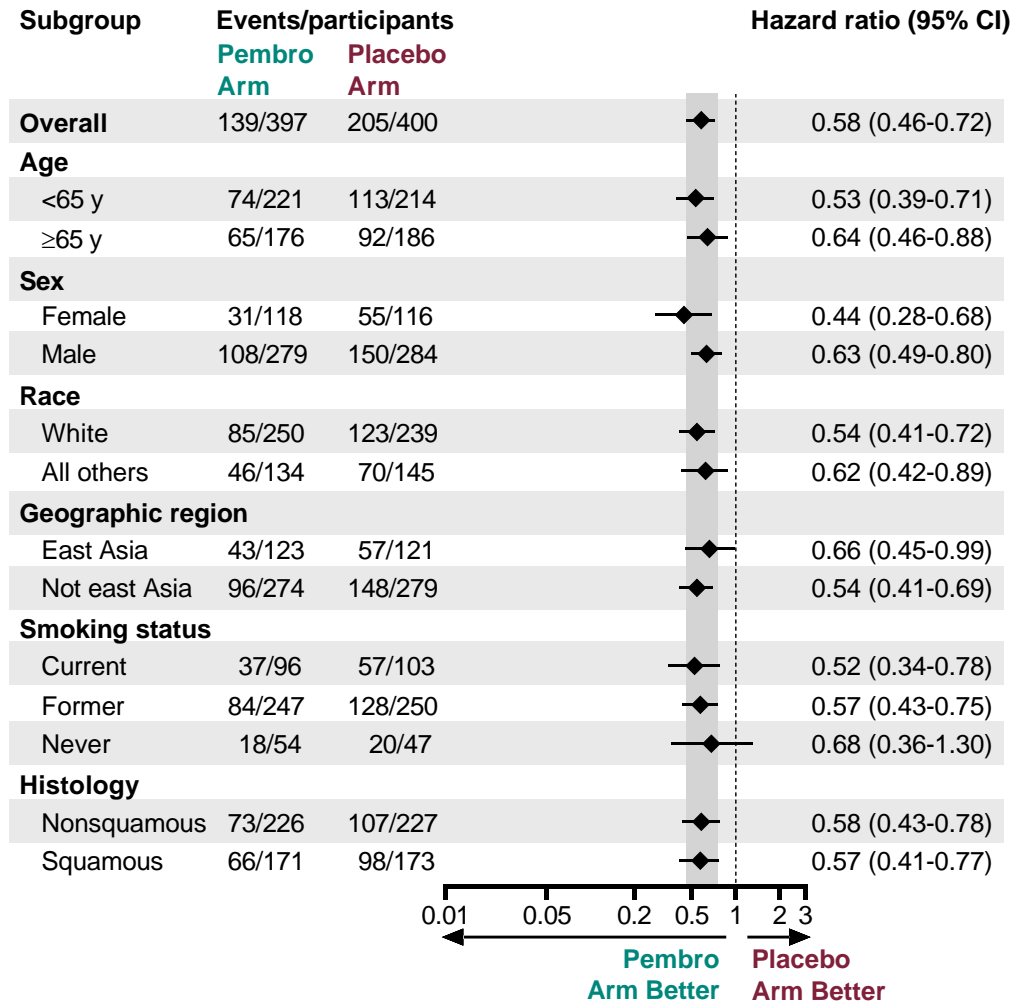
	Pembro Arm (N = 397)	Placebo Arm (N = 400)
<b>Median age (range), years</b>	63 (26-83)	64 (35-81)
<b>Male</b>	279 (70.3%)	284 (71.0%)
<b>Race</b>		
American Indian or Alaska Native	1 (0.3%)	0
Asian	124 (31.2%)	125 (31.3%)
Black or African American	6 (1.5%)	10 (2.5%)
Multiple	3 (0.8%)	10 (2.5%)
White	250 (63.0%)	239 (59.8%)
Missing data	13 (3.3%)	16 (4.0%)
<b>Geographic region</b>		
East Asia	123 (31.0%)	121 (30.3%)
Not east Asia	274 (69.0%)	279 (69.8%)
<b>ECOG PS</b>		
0	253 (63.7%)	246 (61.5%)
1	144 (36.3%)	154 (38.5%)
<b>Histology</b>		
Nonsquamous	226 (56.9%)	227 (56.8%)
Squamous	171 (43.1%)	173 (43.3%)

	Pembro Arm (N = 397)	Placebo Arm (N = 400)
<b>Smoking status</b>		
Current	96 (24.2%)	103 (25.8%)
Former	247 (62.2%)	250 (62.5%)
Never	54 (13.6%)	47 (11.8%)
<b>Disease stage at baseline (per AJCC v8)</b>		
II	118 (29.7%)	121 (30.3%)
IIIA	217 (54.7%)	225 (56.3%)
IIIB	62 (15.6%)	54 (13.5%)
<b>pN status</b>		
N0	148 (37.3%)	142 (35.5%)
N1	81 (20.4%)	71 (17.8%)
N2	168 (42.3%)	187 (46.8%)
<b>PD-L1 TPS</b>		
≥50%	132 (33.2%)	134 (33.5%)
1-49%	127 (32.0%)	115 (28.8%)
<1%	138 (34.8%)	151 (37.8%)
<b>Known EGFR mutation<sup>a</sup></b>	14 (3.5%)	19 (4.8%)
<b>Known ALK translocation<sup>a</sup></b>	12 (3.0%)	9 (2.3%)

# KEYNOTE-671: EFS

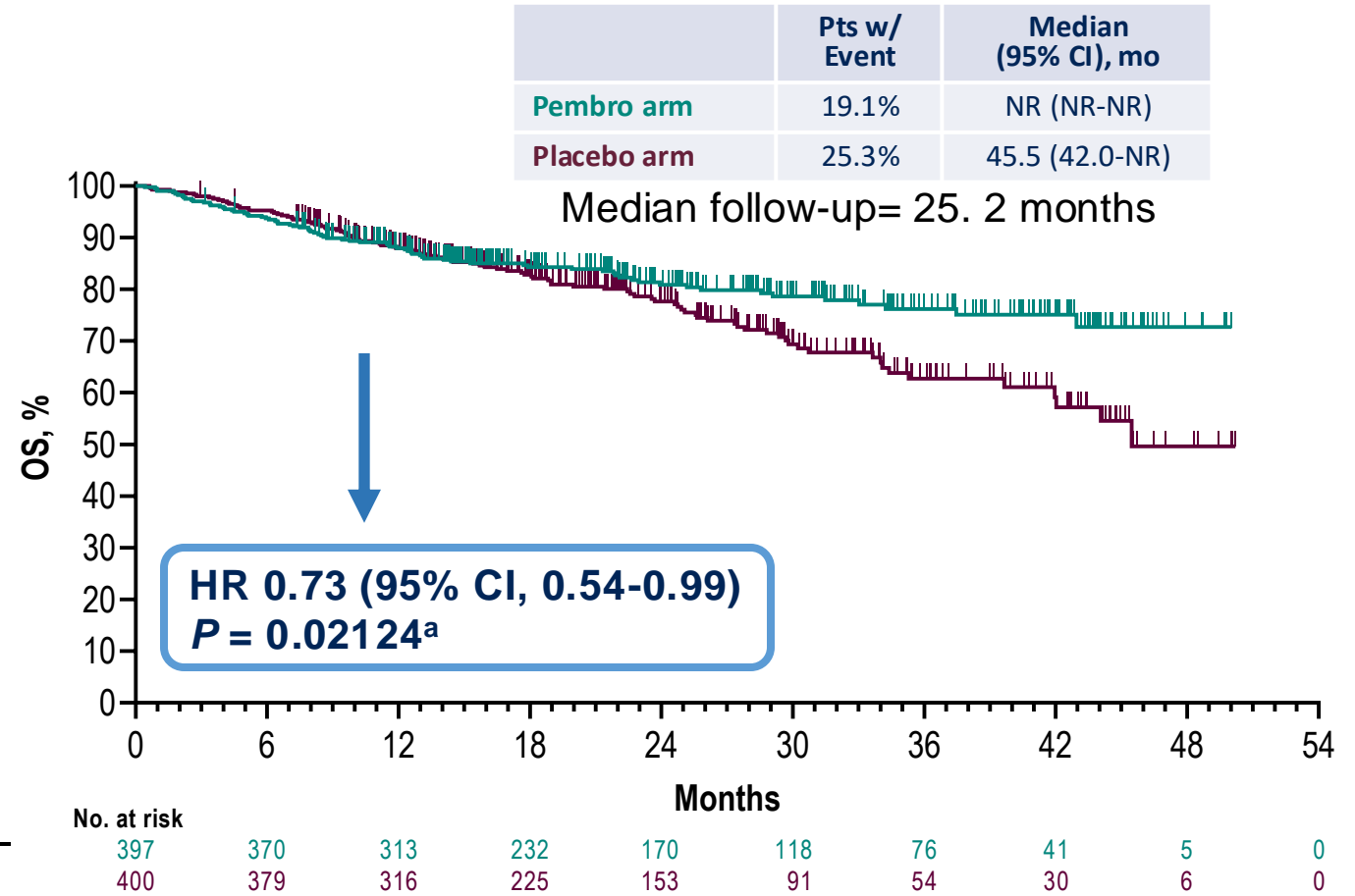
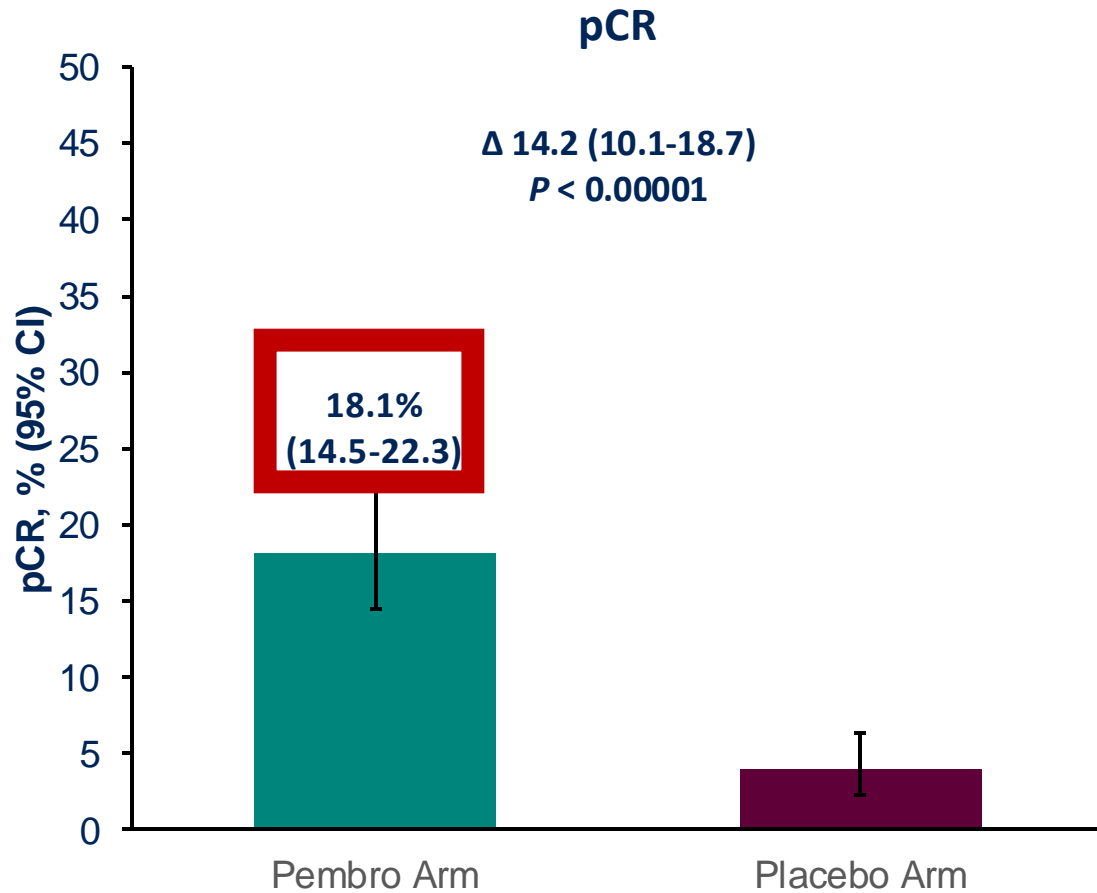


# KEYNOTE-671: EFS subgroup analysis



Wakelee H et al. *N Engl J Med.* 2023;389(6):491-503.

# KEYNOTE-671: pCR and OS

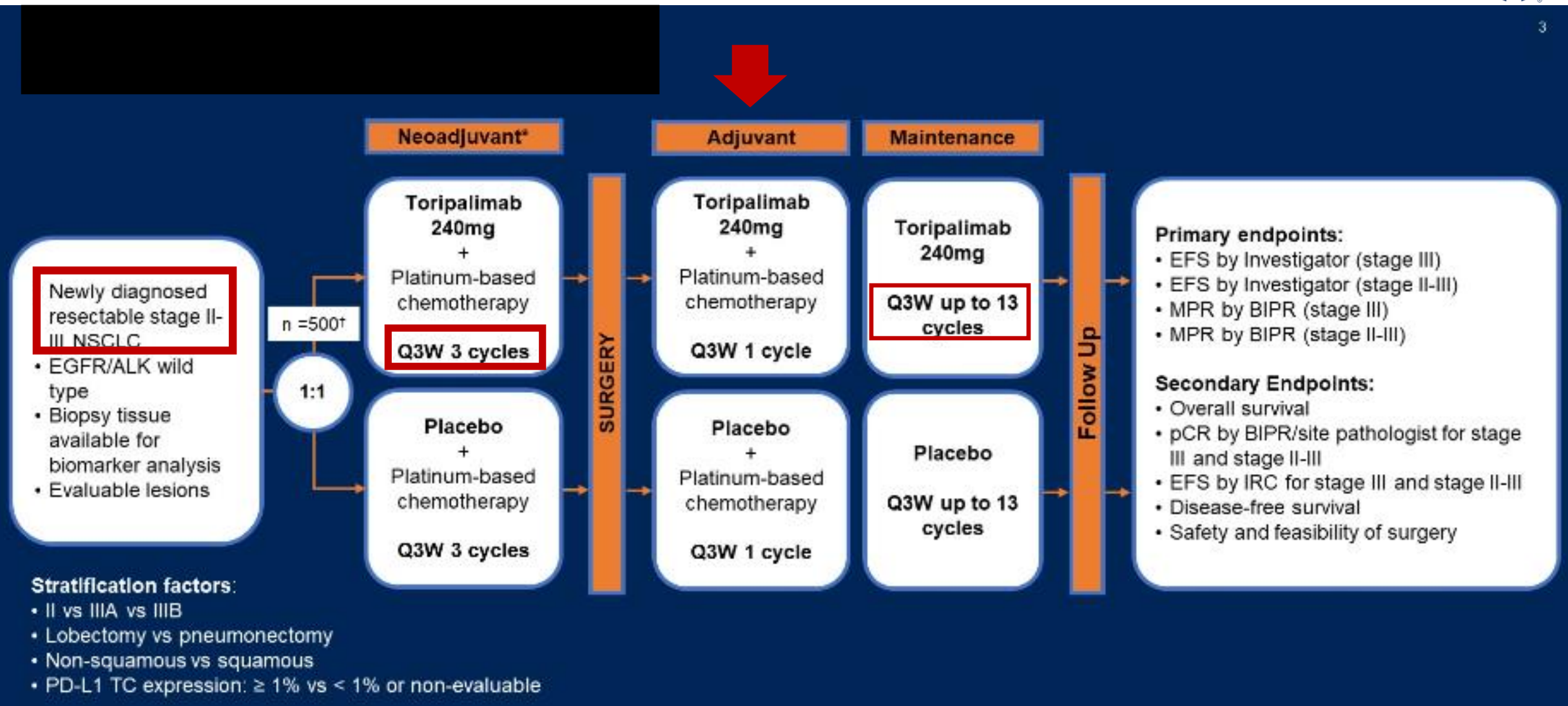


Wakelee H et al. *N Engl J Med.* 2023;389(6):491-503.

# Neotorch: Study Design



3

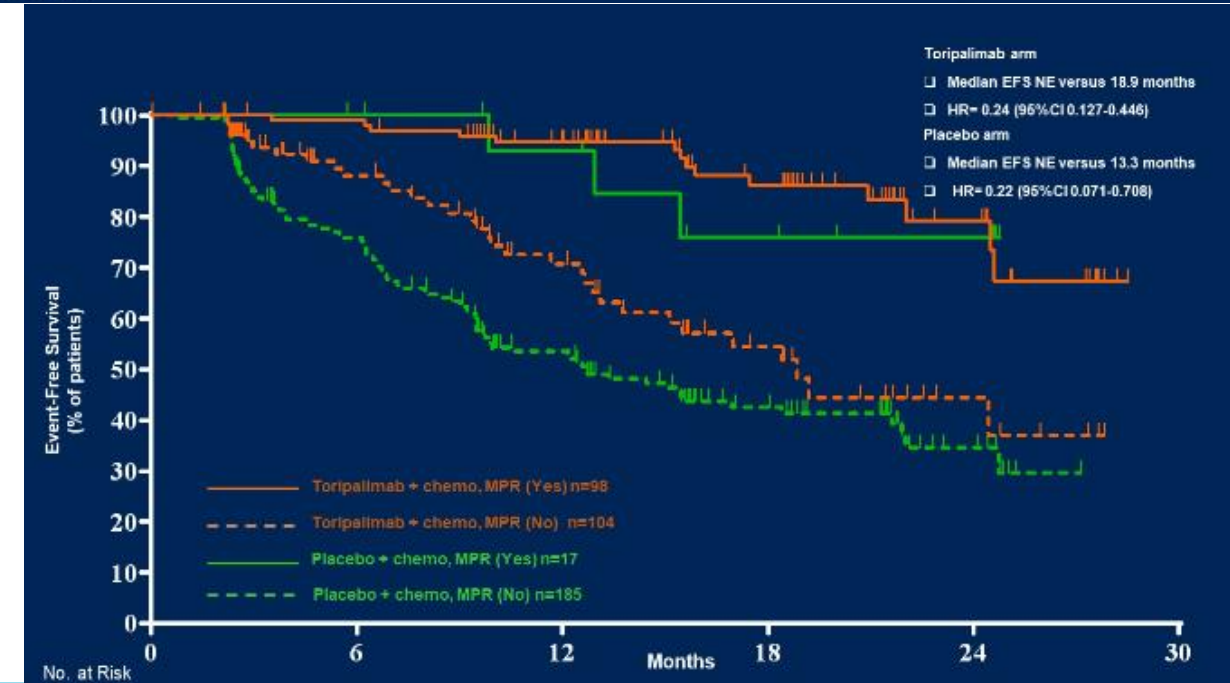
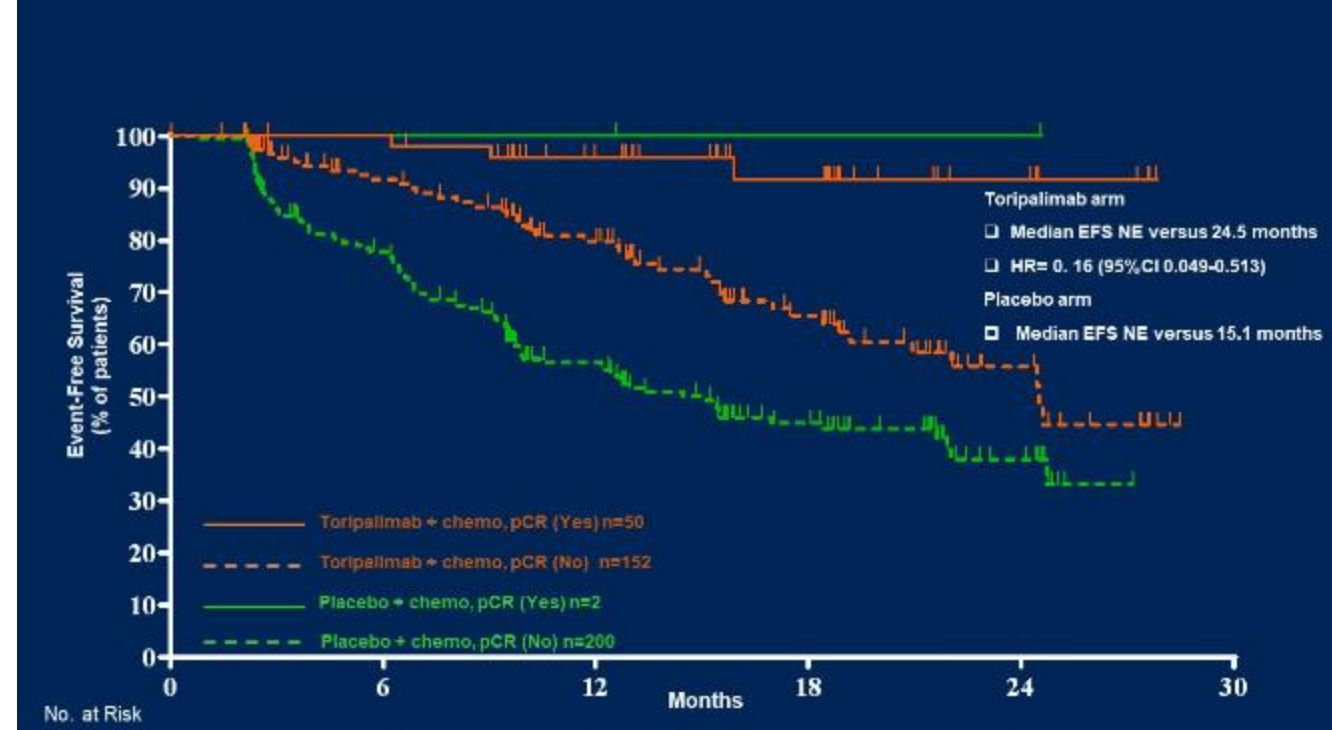
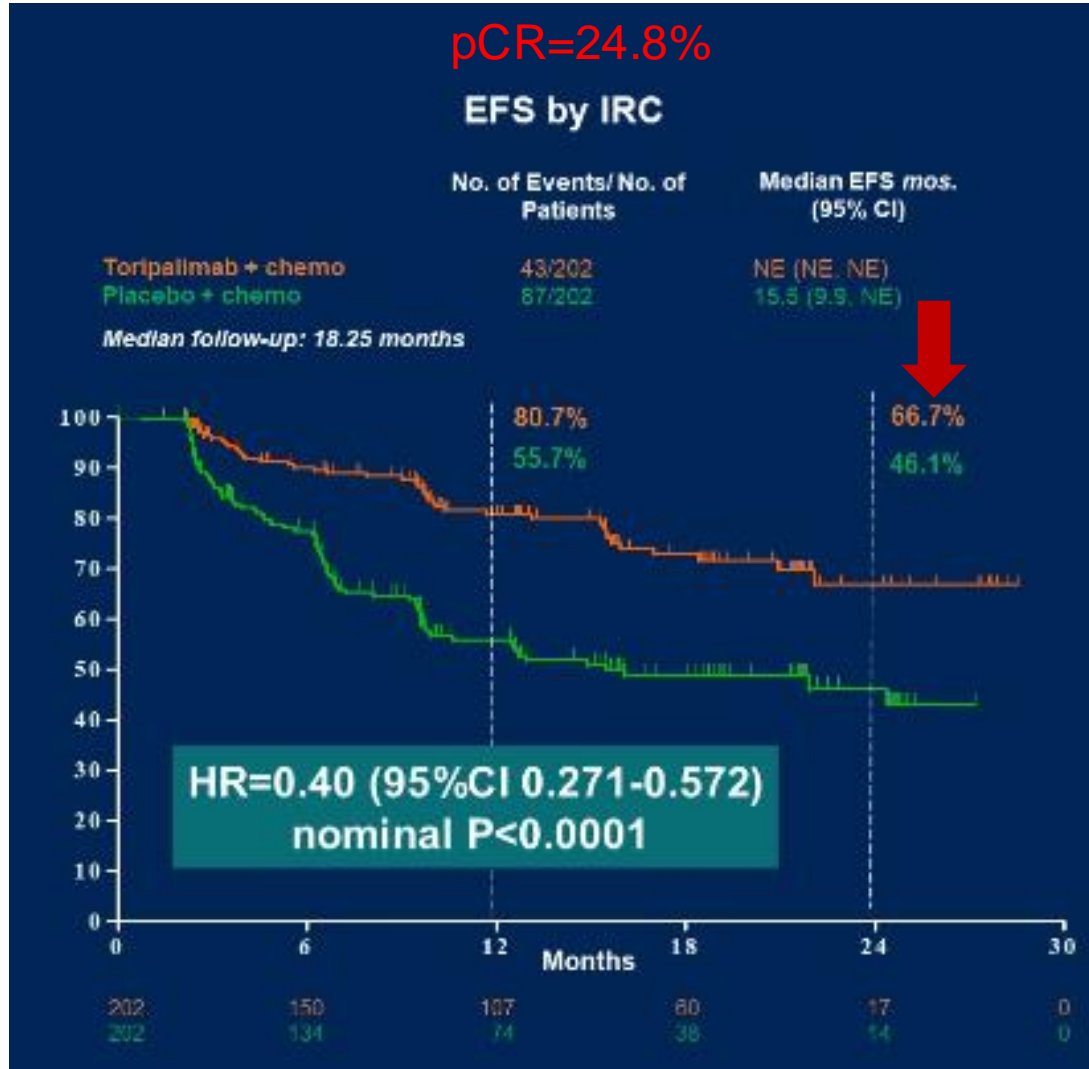


Lu S et al. J Clin Oncol 41, 2023 (suppl 16; abstr 8501)

## Baseline Characteristics of Stage III Patients

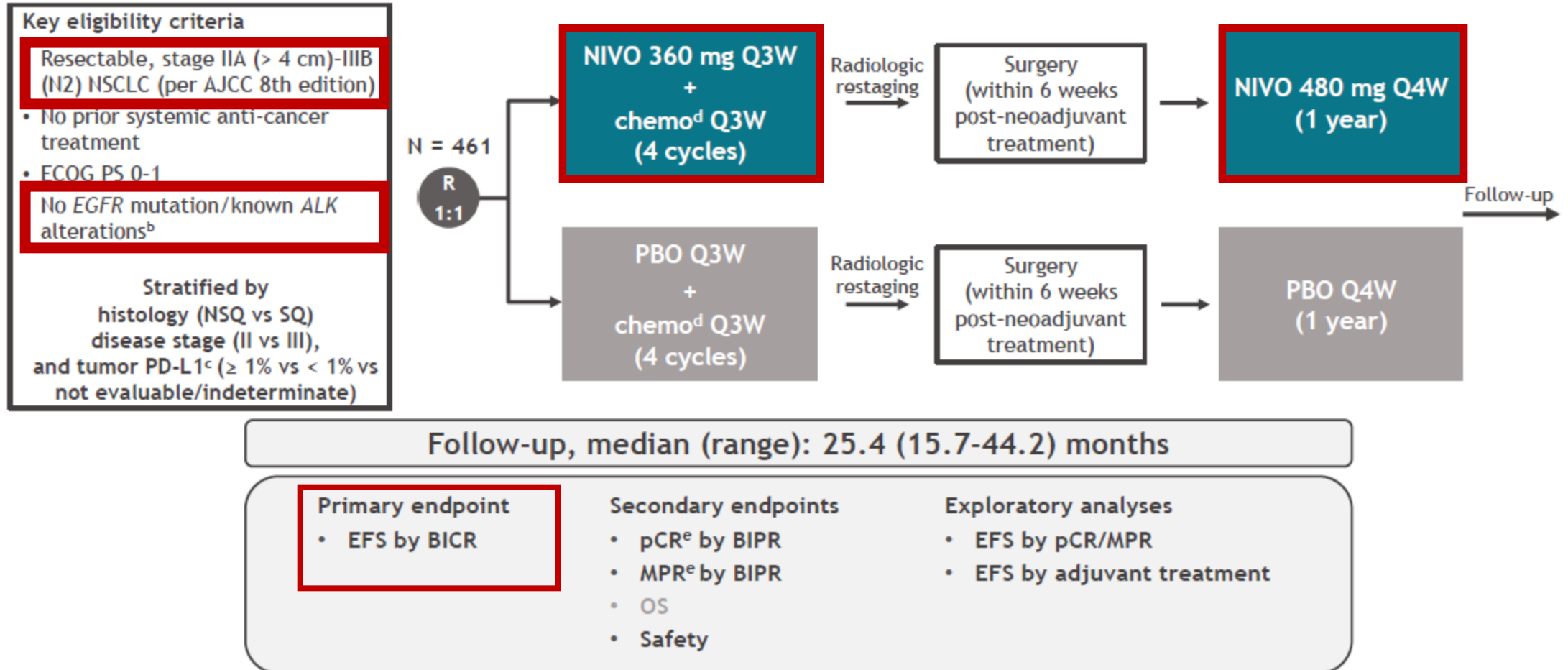
		Toripalimab + chemo n = 202	Placebo + chemo n = 202	Total n = 404
Median age, years (range)		62 (31-70)	61 (29-70)	62 (29-70)
Age < 65 years, n (%)		140 (69.3)	138 (68.3)	278 (68.8)
Gender, n (%)	Male	181 (89.6)	189 (93.6)	370 (91.6)
	Female	21 (10.4)	13 (6.4)	34 (8.4)
Smoking status, n (%)	Non-smoker	28 (13.9)	21 (10.4)	49 (12.1)
	Smoker	30 (14.9)	23 (11.4)	53 (13.1)
	Former	144 (71.3)	158 (78.2)	302 (74.8)
ECOG PS, n (%)	0	70 (34.7)	73 (36.1)	143 (35.4)
	1	132 (65.3)	129 (63.9)	261 (64.6)
Histology, n (%)	Non-squamous	45 (22.3)	45 (22.3)	90 (22.3)
	Squamous	157 (77.7)	157 (77.7)	314 (77.7)
PD-L1 expression, n (%)	TC ≥ 1%	133 (65.8)	132 (65.3)	265 (65.6)
	TC < 1% or non-evaluable	69 (34.2)	70 (34.7)	139 (34.4)
Stage*, n (%)	IIIA	136 (67.3)	136 (67.3)	272 (67.3)
	IIIB	65 (32.2)	64 (31.7)	129 (31.9)
N stage^, n(%)	N0	17(8.4)	18(8.9)	35(8.7)
	N1	46(22.8)	39(19.3)	85(21.0)
	N2	138(68.3)	145(71.8)	283(70.0)

# Neotorch: pCR and EFS





# CM77T: Study Design

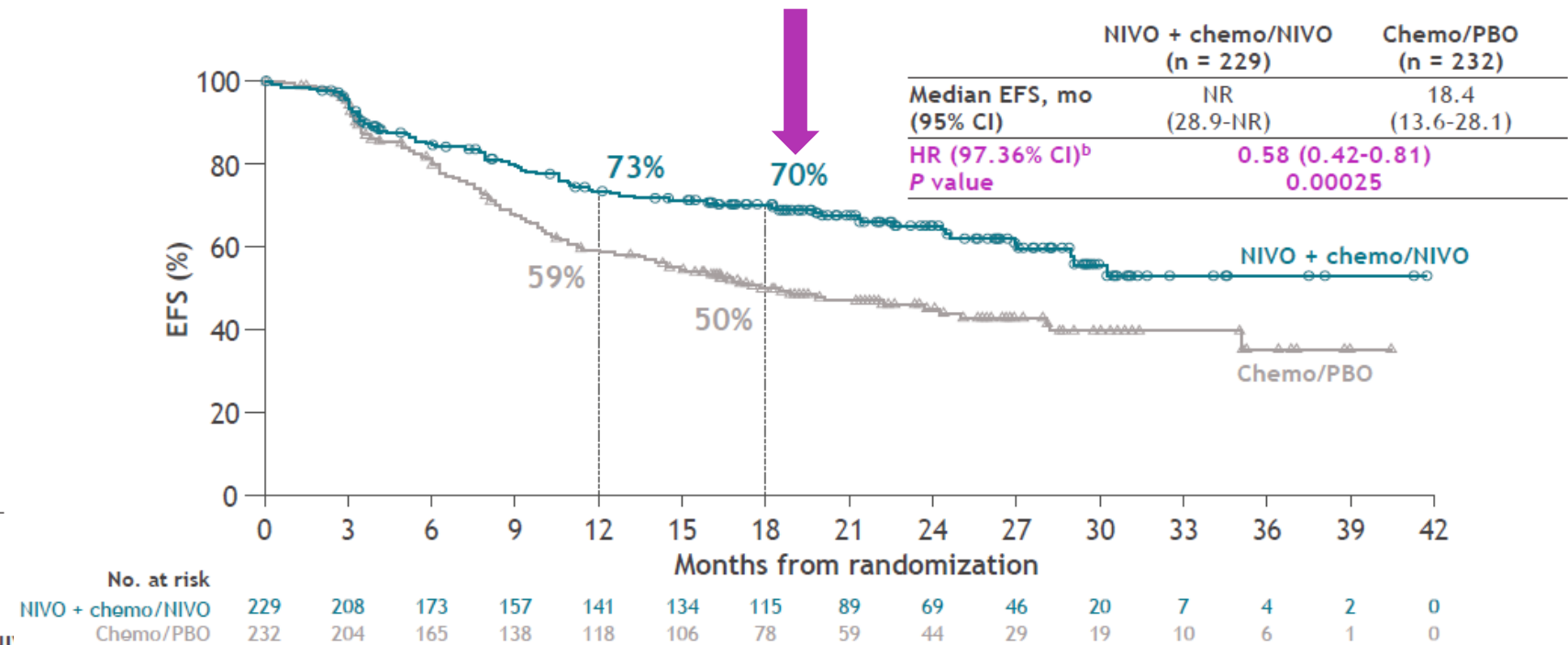
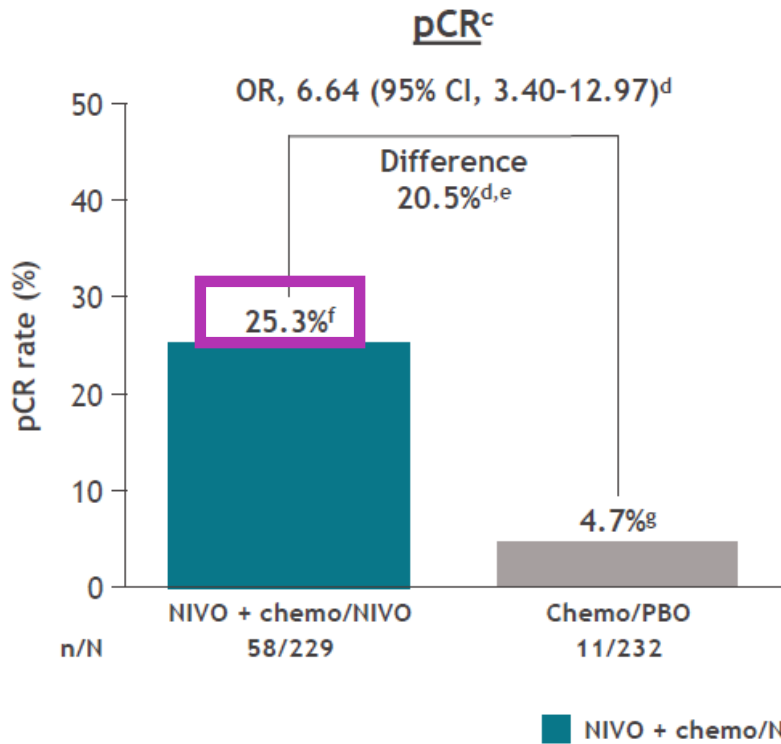


# CM77T: Baseline characteristics



	NIVO + chemo/NIVO (n = 229)	Chemo/PBO (n = 232) <sup>a</sup>		NIVO + chemo/NIVO (n = 229)	Chemo/PBO (n = 232) <sup>a</sup>
<b>Median age, years (range)</b>	66 (37-83)	66 (35-86)			
<b>Male, n (%)</b>	167 (73)	160 (69)			
<b>Geographic region, n (%)</b>					
North America	23 (10)	21 (9)			
Europe	123 (54)	127 (55)			
Asia	65 (28)	50 (22)			
Rest of the world <sup>b</sup>	18 (8)	34 (15)			
<b>ECOG PS, n (%)</b>					
0	147 (64)	141 (61)			
1	82 (36)	91 (39)			
<b>Disease stage,<sup>c</sup> n (%)</b>					
IIA-B <sup>d</sup>	81 (35)	81 (35)			
IIIA-B <sup>e</sup>	146 (64)	149 (64)			
<b>Histology, n (%)</b>					
Squamous	116 (51)	118 (51)			
Non-squamous	113 (49)	114 (49)			
			<b>Smoking status, n (%)</b>		
			Current/former	212 (93)	205 (88)
			Never	17 (7)	27 (12)
			<b>Tumor PD-L1 expression,<sup>f</sup> n (%)</b>		
			Not evaluable	8 (4)	11 (5)
			< 1%	93 (41)	93 (40)
			≥ 1%	128 (56)	128 (55)
			1-49%	83 (36)	76 (33)
			≥ 50%	45 (20)	52 (22)
			<b>Platinum therapy type, n (%)</b>		
			Cisplatin	55 (24)	42 (18)
			Carboplatin	167 (73)	180 (78)

# CM77T: pCR and DFS



# CM77T: EFS analysis by subgroups



## EFS analysis by key subgroups

	Median EFS, <sup>a</sup> mo		Unstratified HR (95% CI)	Unstratified HR (95% CI)
	NIVO + chemo/NIVO (n = 229)	Chemo/PBO (n = 232)		
Overall (N = 461)	NR	18.4		0.59 (0.44-0.79)
< 65 years (n = 202)	NR	16.7		0.55 (0.36-0.85)
≥ 65 years (n = 259)	NR	20.1		0.61 (0.41-0.91)
Male (n = 327)	NR	16.7		0.53 (0.37-0.75)
Female (n = 134)	30.2	18.8		0.71 (0.41-1.20)
North America (n = 44)	30.2	9.4		0.59 (0.25-1.38)
Europe (n = 250)	NR	23.7		0.61 (0.40-0.92)
Asia (n = 115)	NR	13.9		0.47 (0.26-0.86)
ECOG PS 0 (n = 288)	NR	20.1		0.57 (0.39-0.83)
ECOG PS 1 (n = 173)	29.0	17.3		0.61 (0.39-0.97)
Stage II (n = 162)	NR	NR		0.81 (0.46-1.43)
Stage III (n = 297)	30.2	13.4		0.51 (0.36-0.72)
N0 (n = 167) <sup>b</sup>	NR	NR		0.80 (0.48-1.32)
N1 (n = 108) <sup>b</sup>	NR	28.1		0.58 (0.29-1.16)
N2 (n = 182) <sup>b,c</sup>	30.2	10.0		0.46 (0.30-0.70)
Single-station (n = 112)	30.2	10.0		0.49 (0.29-0.84)
Multi-station (n = 69)	NR	10.0		0.43 (0.21-0.88)
Squamous (n = 234)	NR	17.0		0.46 (0.30-0.72)
Non-squamous (n = 227)	28.9	18.4		0.72 (0.49-1.07)
Current/former smoker (n = 417)	NR	17.0		0.54 (0.40-0.74)
Never smoker (n = 44)	19.7	25.0		1.32 (0.54-3.20)
PD-L1 < 1% (n = 186) <sup>d</sup>	29.0	19.8		0.73 (0.47-1.15)
PD-L1 ≥ 1% (n = 256) <sup>d</sup>	NR	15.8		0.52 (0.35-0.78)
PD-L1 1-49% (n = 159) <sup>e</sup>	30.2	28.1		0.76 (0.46-1.25)
PD-L1 ≥ 50% (n = 97)	NR	8.0		0.26 (0.12-0.55)
Cisplatin (n = 97)	27.0	15.8		0.61 (0.35-1.08)
Carboplatin (n = 347)	NR	17.3		0.53 (0.37-0.75)

Median follow-up (range): 25.4 months (15.7-44.2).

<sup>a</sup>Per BICR. <sup>b</sup>Nodal status was N3 in 4 patients. <sup>c</sup>N2 subcategory was not reported in 1 patient. Baseline characteristics were similar across treatment arms in the N2 nodal status subgroup, which comprised ~40% of patients. <sup>d</sup>Tumor PD-L1 expression was not evaluable/indeterminate in 19 patients. <sup>e</sup>Most patients in this subgroup had low PD-L1 expression (median 10% across both arms).

0.125 0.25 0.5 1 2 4  
 Favors NIVO + chemo/NIVO ← → Favors chemo/PBO

# KEY PHASE III IMMUNOTHERAPY STUDIES IN RESECTABLE NSCLC

	Adjuvant		Neoadjuvant	Perioperative therapy			
	IMP-010	KN-091	CM816	AEGEAN	KN671	Neotorch	CM77T
Primary endpoint	DFS	DFS	EFS, pCR	EFS, pCR	EFS, OS	EFS, MPR	EFS
Non-Squamous (%)	64.7	67.5	51	53.6	56.9	22.3	49
PD-L1 ≥1% (%)	57.4	60.5	50	66.7	65.2	65.8	56
Stage IIIA (%)	40.4	30	63	47.3	54.7	67.3	45
Stage IIIB (%)	-	-	-	24.0	15.6	32.2	19
Median Follow-up	32.2	35.6	21	11.7	25.2	NA	15.7
pCR (%)	NA	NA	24	17.2	18.1	24.8	25.3
MPR (%)	NA	NA	36.9	33.3	30.2	48.5	35.4
EFS at 2y (%)	74.6	67	63.8	63.3	62.4	66.7	~63*
OS at 2y (%)	~88%*	89	82.7	-	80.9	81.2	-
Grade 3≥ AEs (%)	24	33	11.9	32.3	44.9	63.4	32
FDA approval	Oct 2021	Jan 2023	Mar 2022	Aug 2024	Oct 2023	-	Oct 2024

# Take Home Points and Future Directions



Patients with **resectable NSCLC II-IIIB** should be considered for therapy with either **adjuvant, neoadjuvant or a combination of neoadjuvant and adjuvant (perioperative) chemotherapy and immunotherapy**



## UNANSWERED CLINICAL QUESTIONS

- Should stage IA with specific HR features be treated with novel therapies?
- Would you consider omitting surgical resection and consider observation alone in a patient with path CR after CM 816 therapy?
- What is an optimal duration of treatment with perioperative novel therapies?
- What is the optimal therapy of a patient with no response to neoadjuvant chemotherapy plus immunotherapy?
- Should adjuvant immunotherapy be considered in patients who have received neoadjuvant chemo + immunotherapy prior to resection?
- Neoadjuvant vs Adjuvant therapy?
- Perioperative immunotherapy in NSCLC with driver mutations?



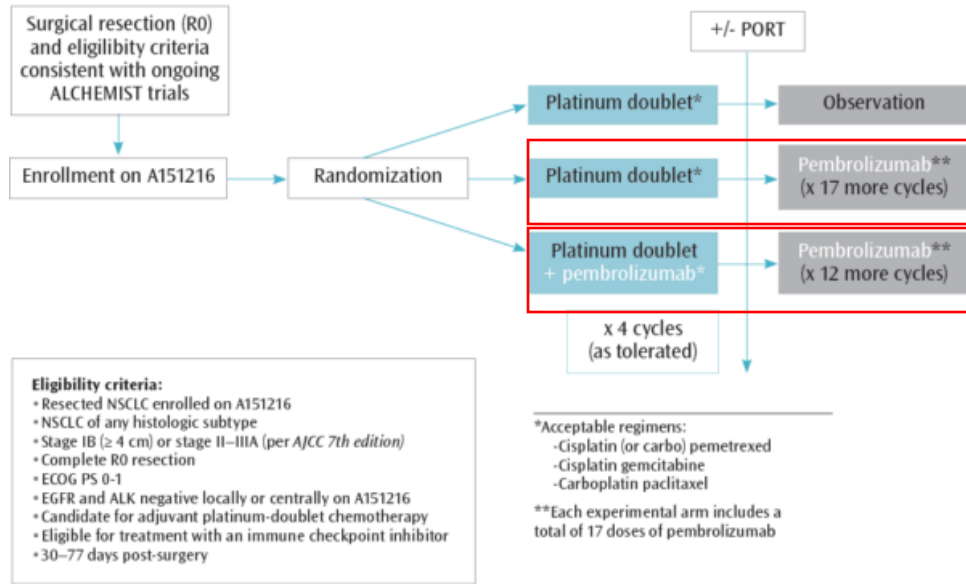
## LOGISTICS

- Access to rapid perioperative tumor tissue molecular testing.
- For assessment of pCR or MPR – processes like pathological assessment and specimen processing need to be standardized.
- Development and standardization of biological biomarkers for identification of high-risk patients.
- Prompt recognition of treatment related adverse events with perioperative novel therapies to avoid surgical delays.
- Access to novel therapies after regulatory approvals.



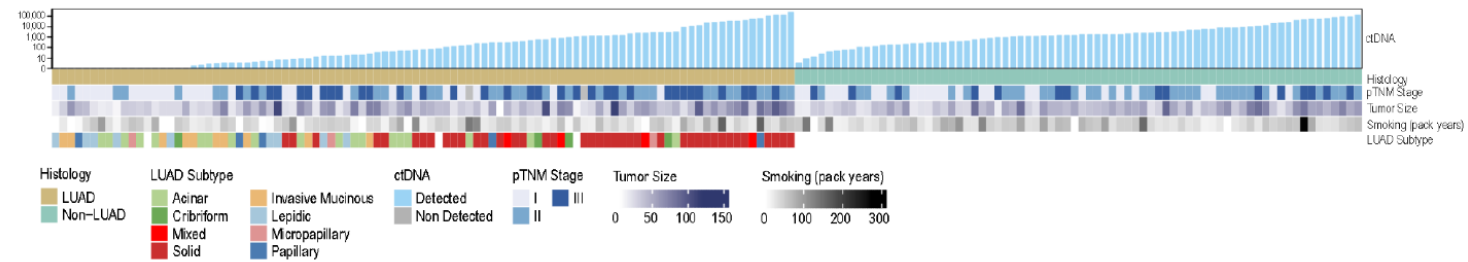
# Next steps in Adjuvant IO: Concurrent CT+IO, ctDNA assessment

## ALCHEMIST CHEMO IO

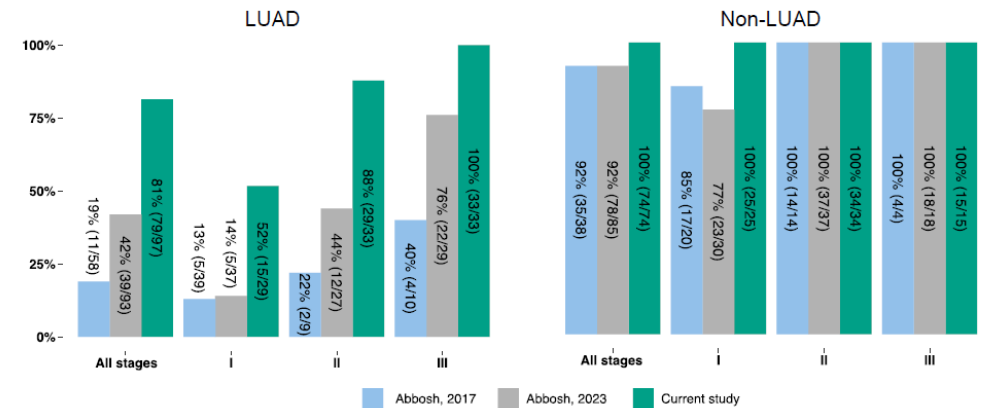


Primary endpoint: DFS and OS

## Pre-operative detection of ctDNA using NeXT Personal

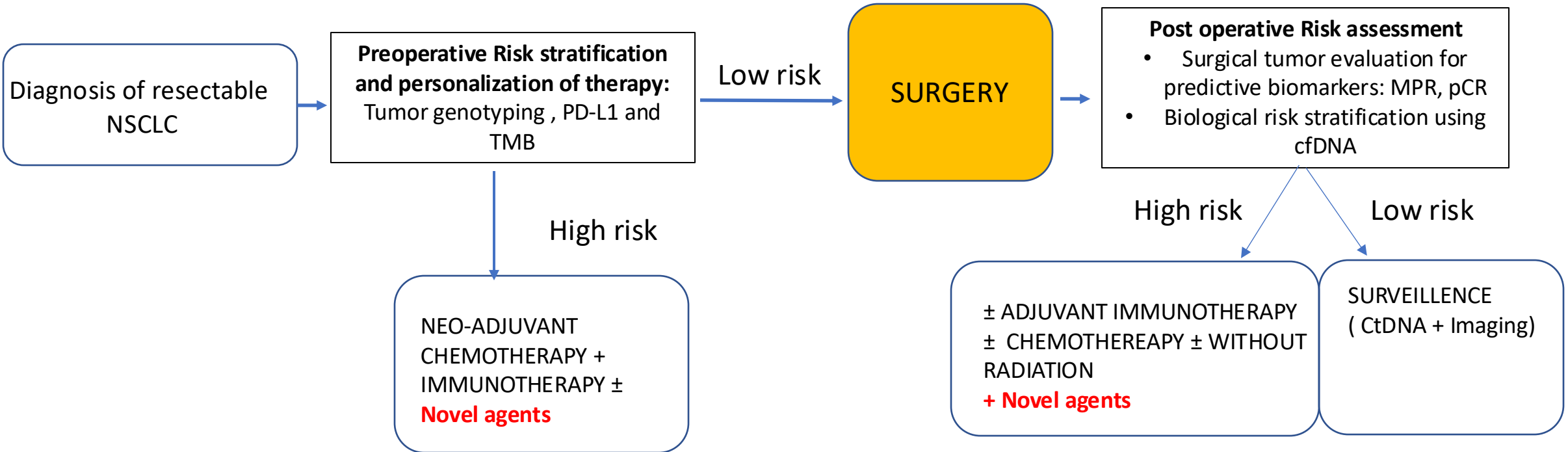


- ctDNA detected from 1.7 to 253,826 PPM
- Pre-operative ctDNA detected in **81%** of LUAD and **100%** of non-LUAD patients
- Includes **52%** of pTNM stage I LUAD patients



MADRID 2023 ESMO congress

# PAVING THE WAY FOR NOVEL THERAPIES IN RESECTED NSCLC





THANK YOU