Perioperative Immunotherapy in Non-Small Cell Lung Cancer

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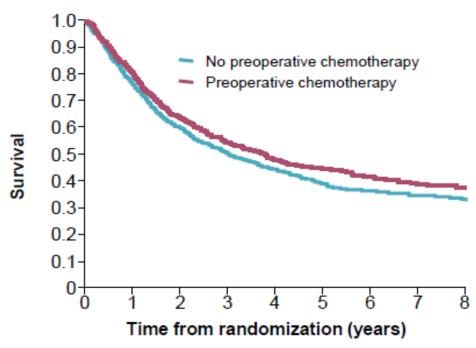


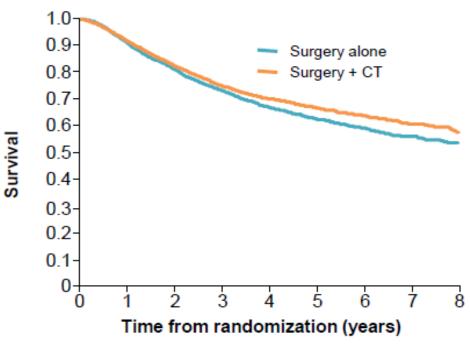




LUNG CANCER EARLY STAGES

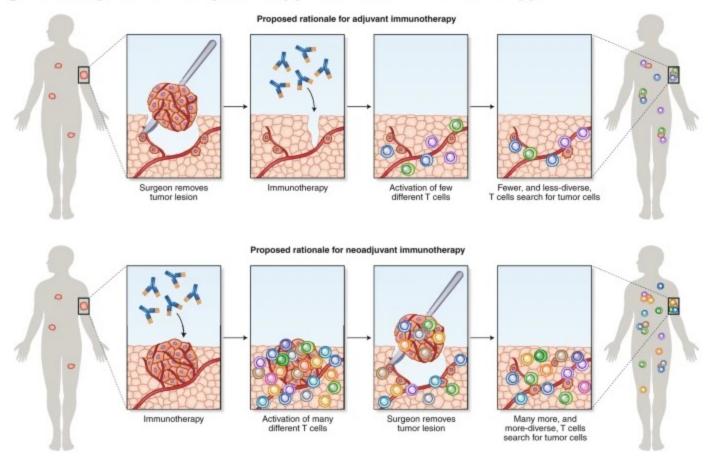
Background & Current Situation





| | N | Absolute Δ5 yr OS | HR | P value |
|--------------------|------|-------------------|-------------------------|----------|
| Neoadjuvant Trials | 2385 | 5% | 0.87 (95% CI 0.78-0.96) | 0.007 |
| Adjuvant Trials | 8447 | 4% | 0.86 (95% CI 0.81-0.92) | < 0.0001 |

Fig. 1: Neoadjuvant and adjuvant approaches to immunotherapy.



In adjuvant approaches, shown above, immunotherapy (as indicated by the antibodies) is given after surgery, which results in the activation of T cells directed to different antigens, as indicated by the different colors. In neoadjuvant approaches, therapy is given before surgery, which results in the raising of a more diverse T cell response.



Neoadjuvant Immunotherapy in NSCLC

- Checkmate 816
- NADIM II







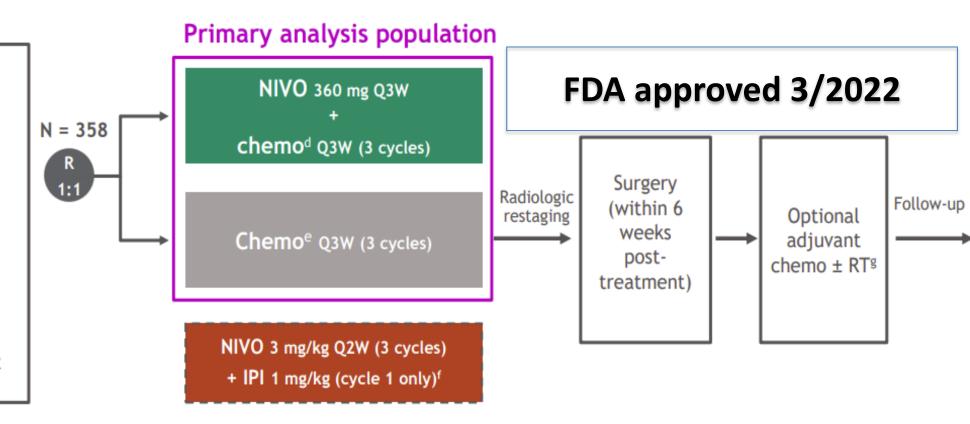


CheckMate 816 study designa

Key Eligibility Criteria

- Newly diagnosed, resectable, stage IB (≥ 4 cm)-IIIA NSCLC (per TNM 7th edition)
- ECOG performance status 0-1
- No known sensitizing EGFR mutations or ALK alterations

Stratified by
Stage (IB-II vs IIIA),
PD-L1^b (≥ 1% vs < 1%^c), and sex



Primary endpoints

- pCR by BIPR
- EFS by BICR

Secondary endpoints

- MPR by BIPR
- OS
- Time to death or distant metastases

Exploratory endpoints

- ORR by BICR
- Predictive biomarkers (PD-L1, TMB, ctDNAh)



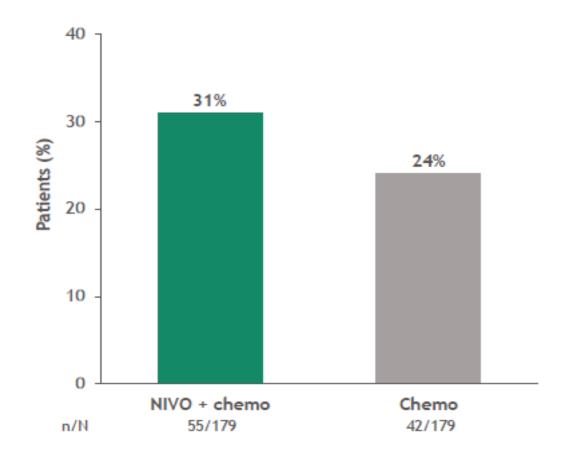
CheckMate 816: pCR with neoadjuvant NIVO + chemo in resectable NSCLC

Objective response rate and radiographic down-staging

Objective response rate

| Patients, n (%) | NIVO + chemo (n = 179) | Chemo (n = 179) |
|-----------------------|---------------------------|--------------------|
| ORR ^a | 96 (54) ^b | 67 (37)b |
| Best overall response | | |
| Complete response | 1 (1) | 3 (2) |
| Partial response | 95 (53) | 64 (36) |
| Stable disease | 70 (39) | 88 (49) |
| Progressive disease | 8 (4) | 11 (6) |
| Not evaluable | 1 (1) | 1 (1) |
| Not reported | 4 (2) | 12 (7) |

Patients with radiographic down-staging^c

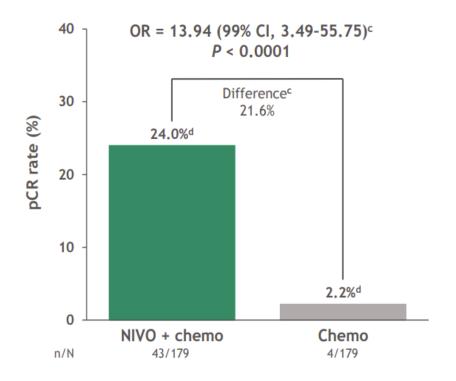




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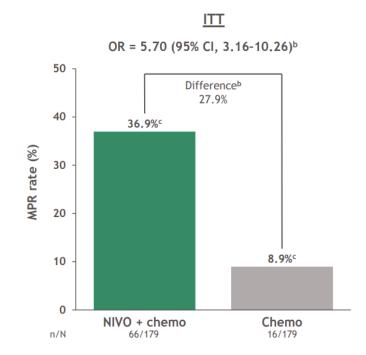
Primary endpoint: pCRa rate with neoadjuvant NIVO + chemo vs chemo

Primary endpoint: ITT (ypT0N0)b



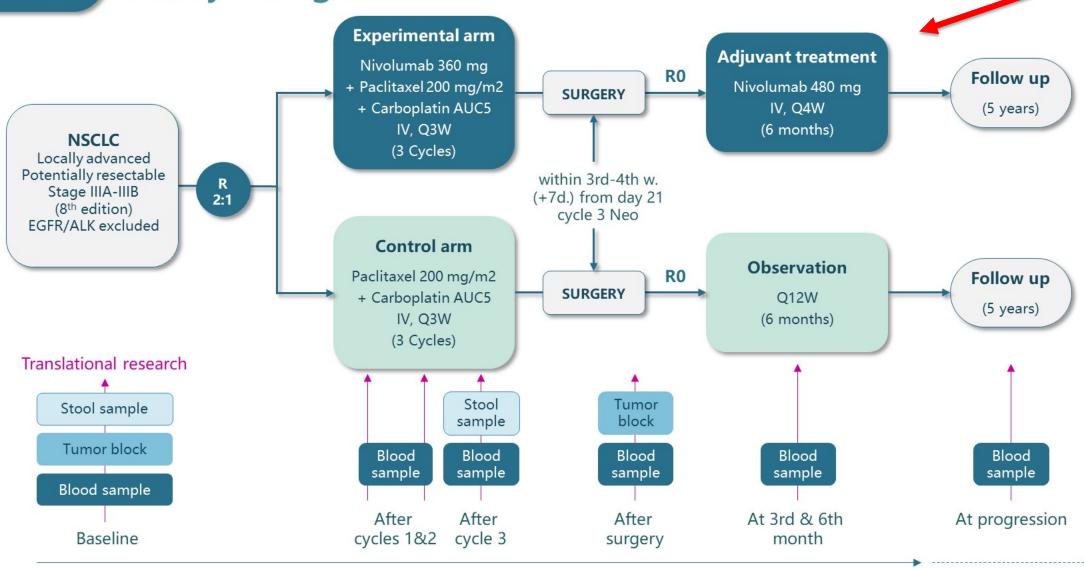
CheckMate 816: pCR with neoadjuvant NIVO + chemo in resectable NSCLC

MPR^a rate with neoadjuvant NIVO + chemo vs chemo



NADIM II

Study design

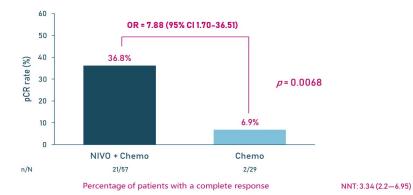


NADIM II (NCT03838159) is a randomized, phase 2, open-label, multicentre study evaluating nivolumab + chemotherapy vs chemotherapy as neoadjuvant treatment for potentially resectable NSCLC





pCR^a rate with neoadjuvant NIVO + CT vs CT in the ITT population^b



PCR was defined as 0% residual viable tumor cells in both primary tumor (lung) and sampled lymph nodes; Patients who did not undergo surgery were considered as non-responders Chemo, chemotherapy; ITT, intention-to-treat; Nivo, nivolumab; pCR, pathological complete response; RR, risk ratio





PRESENTED BY: Mariano Provencio MD, PhD. Hospital Puerta de Hierro Majadahonda-Madrid, SPAIN Spanish Lung Cancer Group

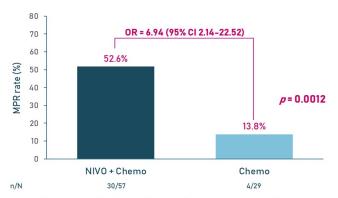
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NADIM II Secondary endpoints - MPR

MPR^a rate with neoadjuvant NIVO + CT vs CT in the ITT population b



Percentage of patients with a complete response or a major response

NNT: 2.57 (1.76-4.81)

[®]MPR was defined as ≤10% residual viable tumor cells in both the primary tumor (lung) and sampled lymph nodes; [®]Patients who did not undergo surgery were considered as non-responders Chemo, chemotherapy: ITT, intention-to-treat; MPR, major pathological response; Nivo, nivolumab; RR, risk ratio

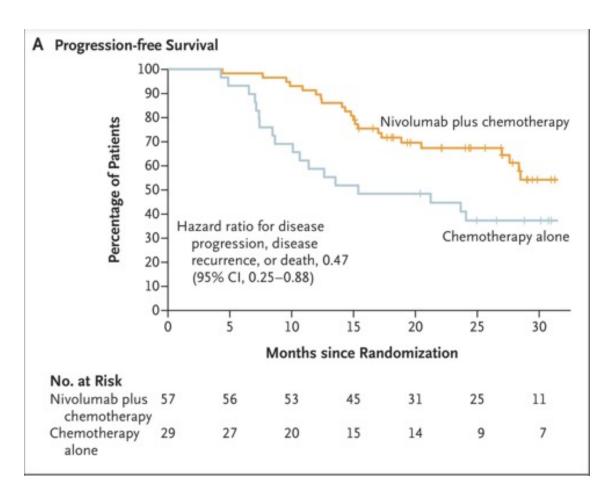


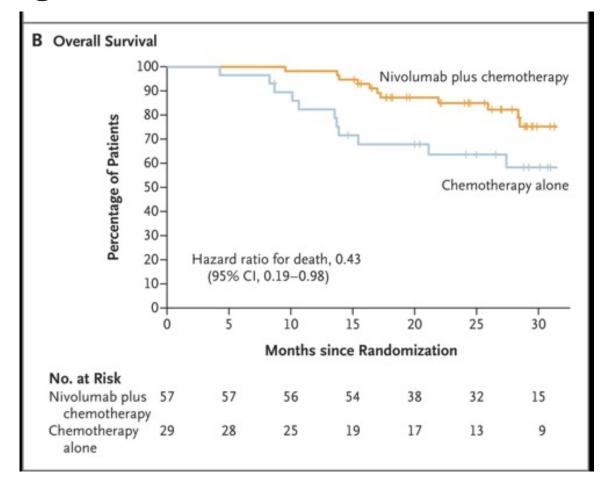






Neoadjuvant Nivolumab and Chemotherapy in Stage III Non-Small-Cell Lung Cancer







ADJUVANT IMMUNOTHERAPY IN NSCLC

- *IMPOWER 010
- *Keynote 091







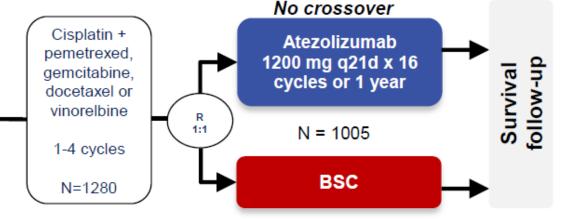




IMpower010: Phase III randomised trial of atezolizumab vs BSC in early-stage NSCLC

Completely resected stage IB-IIIAª NSCLC

- Stage IB tumors ≥4 cm
- ECOG 0-1
- Lobectomy
- Tumor tissue for PD-L1 analysis



Stratification factors

Sex | Stage | Histology | PD-L1 status

Primary endpoint

Investigator-assessed DFS tested hierarchically

Key secondary endpoints

OS in ITT | DFS in PD-L1 TC ≥50% | 3-yr and 5-year DFS

Key exploratory endpoints

OS biomarker analyses

Clinical cutoff: 18 April 2022. Both arms included observation and regular scans for disease recurrence on the same schedule. ECOG, Eastern Cooperative Oncology Group, q21d, every 21 days.

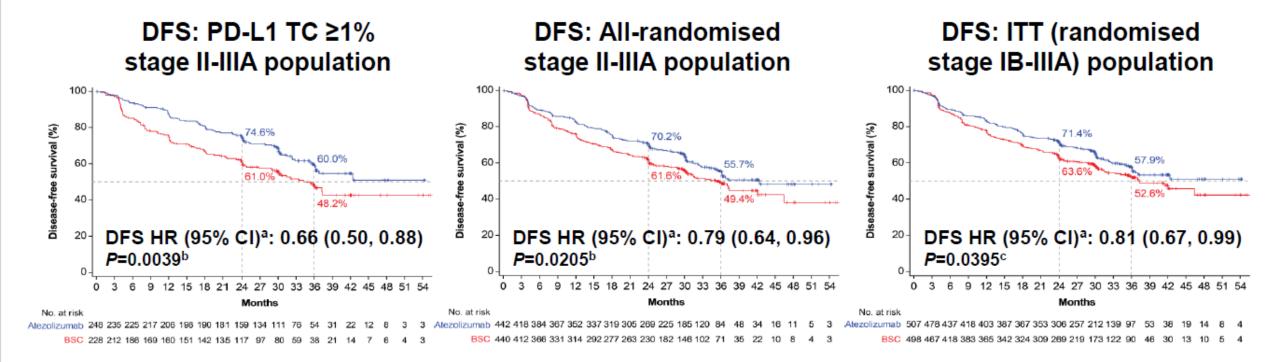
^a Per UICC/AJCC staging system, 7th edition. ^b Two-sided α=0.05.

Hierarchical statistical testing of endpoints DFS in PD-L1 TC ≥1% stage II-IIIA population^b If positive: DFS in all-randomized stage II-IIIA population^b If positive: DFS in ITT population (stage IB-IIIA)^b If positive: OS in ITT population^b Endpoint was met at DFS IA Endpoint was not met at DFS IA and follow up is ongoing

Endpoint was not formally tested

Recap of DFS and OS data from the DFS IA^{1,2}

(data cutoff: 21 Jan '21, median follow-up: 32 months)



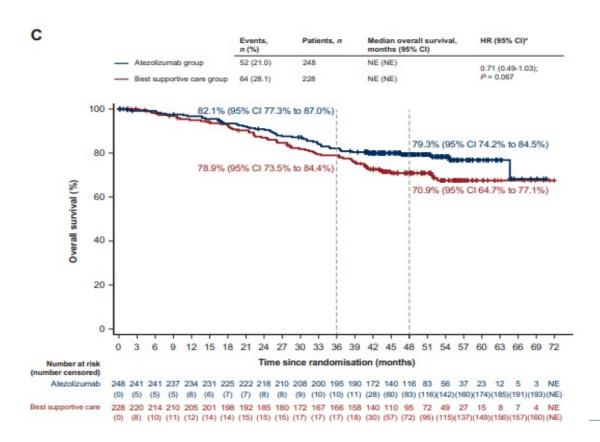
- OS data were not mature (event to patient ratio in ITT was 19% in atezolizumab arm, 18% in BSC arm)
 - PD-L1 TC ≥1% stage II-IIIA population: OS HR, 0.77 (95% CI: 0.51, 1.17)^a
 - All-randomised stage II-IIIA population: OS HR, 0.99 (95% CI: 0.73, 1.33)^a
 - ITT (randomised stage IB-IIIA) population: OS HR, 1.07 (95% CI: 0.80, 1.42)^a

Clinical cutoff: 21 Jan 2021. a Stratified. Statistical significance boundary for DFS crossed. Statistical significance boundary for DFS not crossed. Lelip, E et al Lancet 2021; 938; 1344-1357; 2. Wakelee. HA et al ASCO 2021; abs #8500.

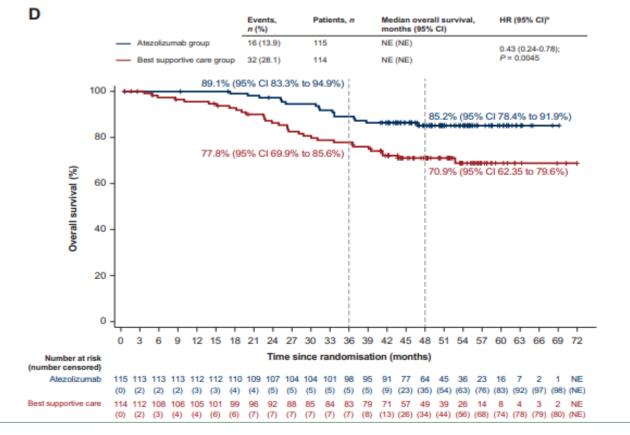


Overall Survival (data inmature)

Stage II-IIIA PD-L1 TC >1%



Stage II-IIIA PD-L1 TC >50%



Felip E. https://doi.org/10.1016/j.annonc.2023.07.001

PEARLS/KEYNOTE-091 Study Design

Eligibility for Registration

- Confirmed stage IB (T ≥4 cm), II, or IIIA NSCLC per AJCC v7
- Complete surgical resection with negative margins (R0)
- Provision of tumor tissue for PD-L1 testing

Stratification Factors

- Disease stage (IB vs II vs IIIA)
- PD-L1 TPS (<1% vs 1%–49% vs ≥50%)
- Receipt of adjuvant chemotherapy (yes vs no)
- Geographic region
 (Asia vs Eastern
 Europe vs Western
 Europe vs rest of world)

PD-L1 testing (centrally using PD-L1 IHC 22C3 pharmDx)

Eligibility for Randomization

- No evidence of disease
- ECOG PS 0 or 1
- Adjuvant chemotherapy
 - Considered for stage IB (T ≥4 cm) disease
 - Strongly recommended for stage II and IIIA disease
- Limited to ≤4 cycles

Dual Primary Endpoints

- DFS in overall population
- DFS in PD-L1 TPS ≥50% population

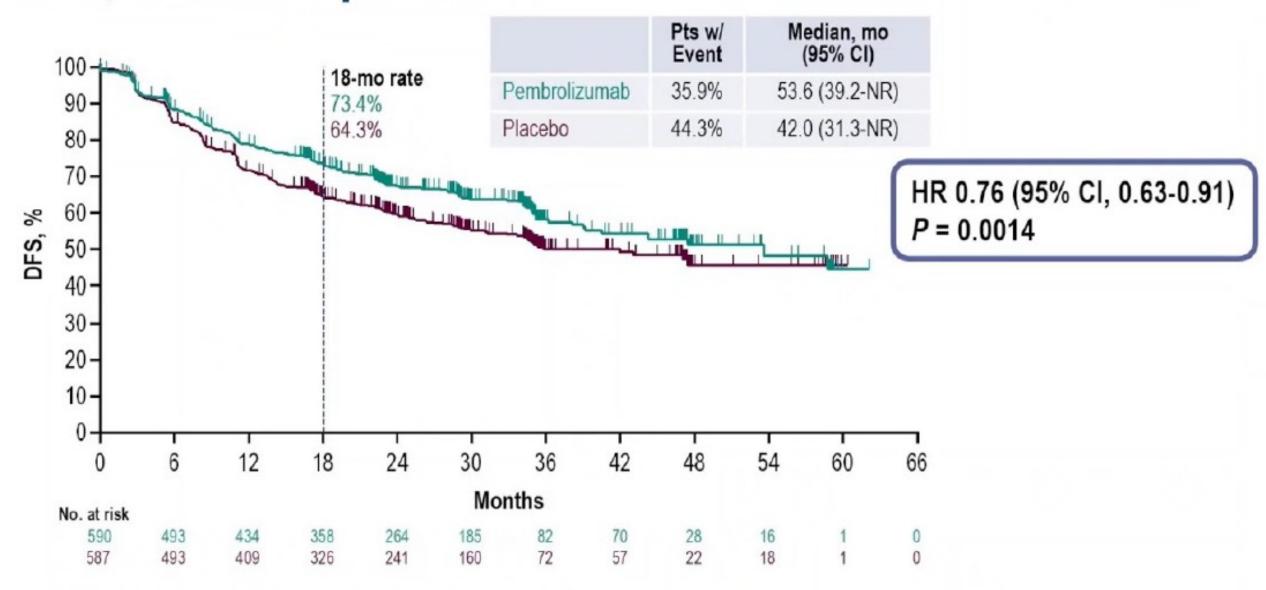
Pembrolizumab
200 mg Q3W
for ≤18
administrations
(~1 y)

Placebo Q3W
for ≤18
administrations
(~1 y)

Secondary Endpoints

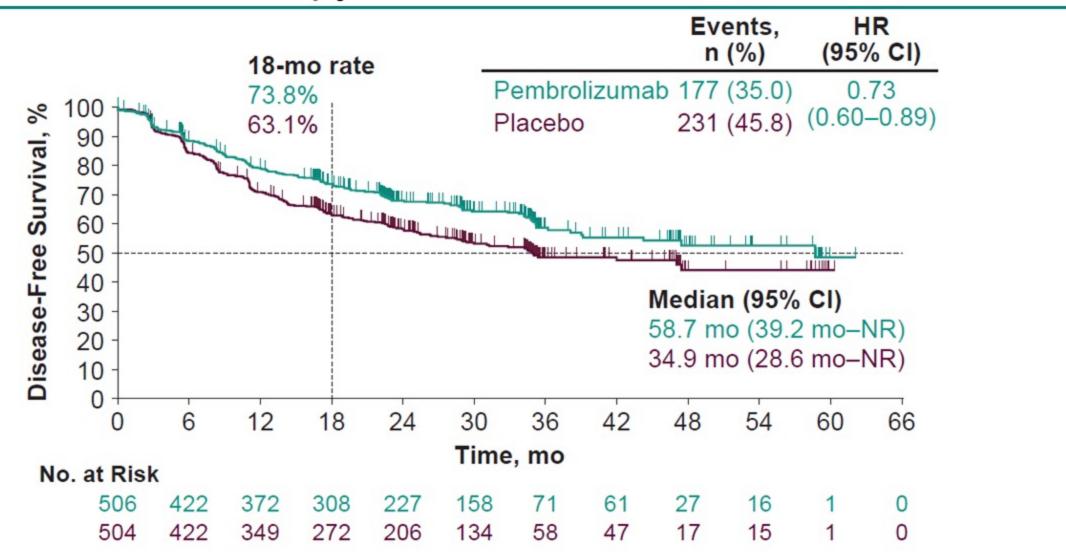
- DFS in PD-L1 TPS ≥1% population
- OS in overall, PD-L1 TPS ≥50%, and PD-L1 TPS ≥1% populations
- Lung cancer–specific survival in overall population
- Safety

DFS, Overall Population





Disease-Free Survival in Patients Who Received ≥1 Cycle of Adjuvant Chemotherapy





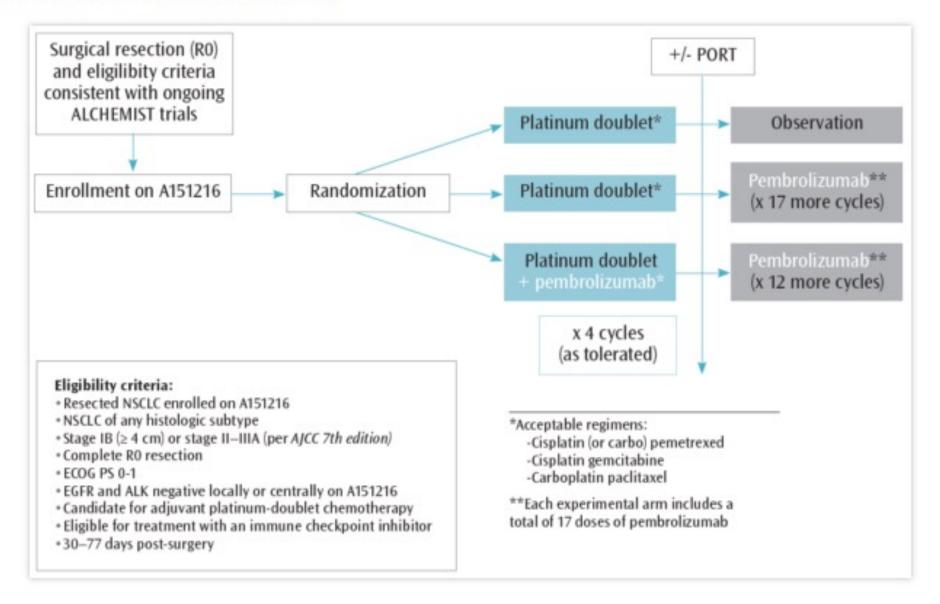
Summary and Conclusions

- Pembrolizumab provided statistically significant, clinically meaningful DFS improvement versus placebo in the overall population
 - Median DFS of 53.6 months with pembrolizumab vs 42.0 months with placebo (HR, 0.76)
 - Generally consistent DFS benefit in participants with PD-L1 TPS <1%, 1-49%, and ≥50%
 - OS data are immature
 - DFS in the PD-L1-defined populations and OS will be tested at future analyses according to the analysis plan
- Pembrolizumab safety profile as expected
- Data suggest pembrolizumab has the potential to be a new adjuvant treatment option for patients with stage IB (T ≥4 cm) to IIIA NSCLC following complete resection and adjuvant chemotherapy when recommended, regardless of PD-L1 expression

ESMO VIRTUAL PLENARY

On January 26, 2023, the Food and Drug Administration (FDA) approved pembrolizumab for adjuvant treatment following resection and platinumbased chemotherapy for stage IB (T2a ≥4 cm), II, or IIIA non-small cell lung cancer (NSCLC), regardless PDL1

Figure 1. Schema: ALCHEMIST CHEMO-IO





NEOADJUVANT PLUS ADJUVANT (PERIOPERATIVE) IMMUNOTHERAPY IN NSCLC

- *AEGEAN
- *Keynote 671
- *Neotorch
- *Checkmate 77T





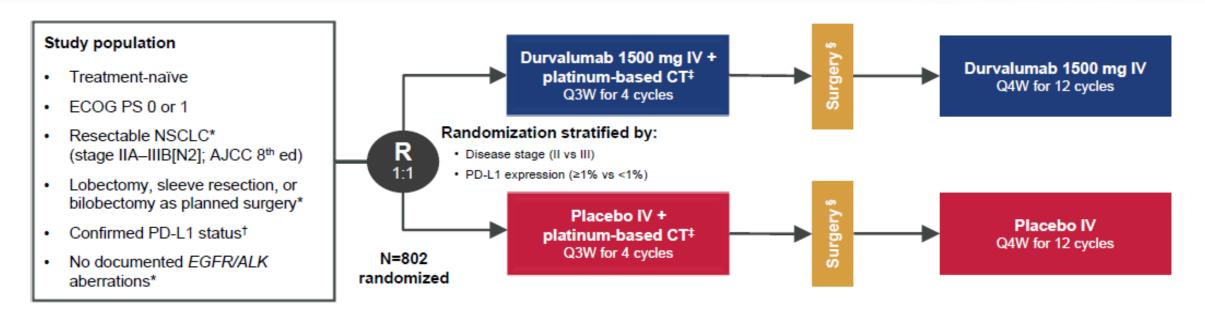




AEGEAN: a phase 3, global, randomized, double-blind, placebo-controlled study



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Endpoints: All efficacy analyses performed on a modified population that excludes patients with documented EGFR/ALK aberrations[¶]

Primary:

- pCR by central lab (per IASLC 2020¹)
- EFS using BICR (per RECIST v1.1)

Key secondary:

- MPR by central lab (per IASLC 2020¹)
- DFS using BICR (per RECIST v1.1)
- OS

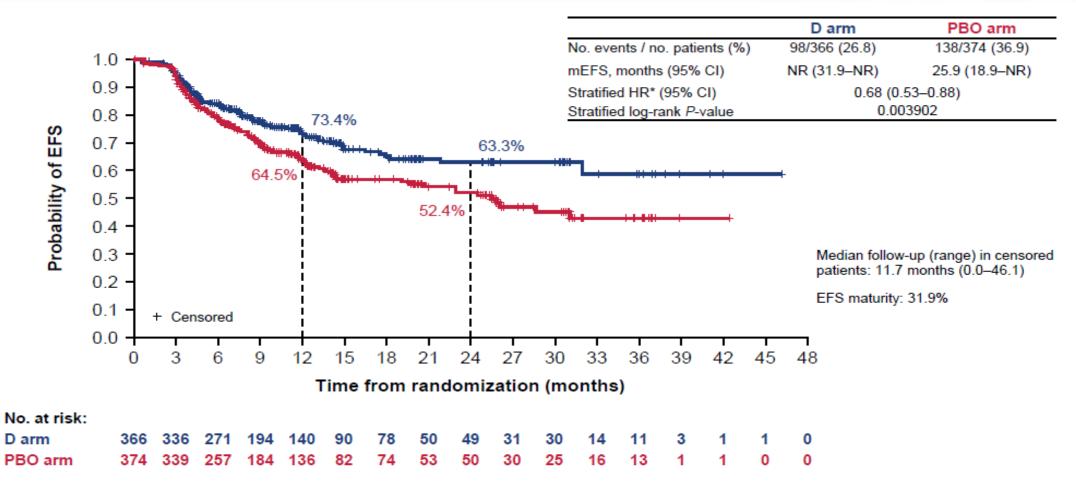
^{*}The protocol was amended while enrollment was ongoing to exclude (1) patients with tumors classified as T4 for any reason other than size; (2) patients with planned pneumonectomies; and (3) patients with documented EGFR/ALK aberrations.

1 Ventana SP263 immunohistochemistry assay. Choice of CT regimen determined by histology and at the investigator's discretion. For non-squamous: cisplatin + pemetrexed or carboplatin + pemetrexed. For squamous: carboplatin + pemetrexed or carboplatin + pemetrexed or carboplatin + pemetrexed. For squamous: carboplatin + pemetrexed or carboplatin + pe

EFS using RECIST v1.1 (BICR) (mITT) First planned interim analysis of EFS



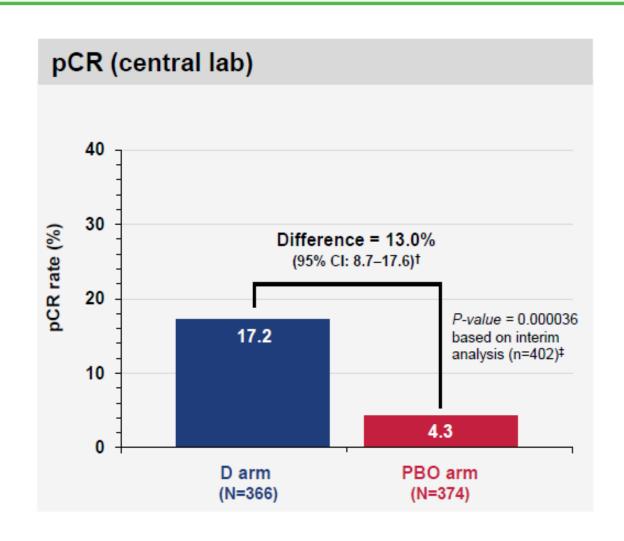
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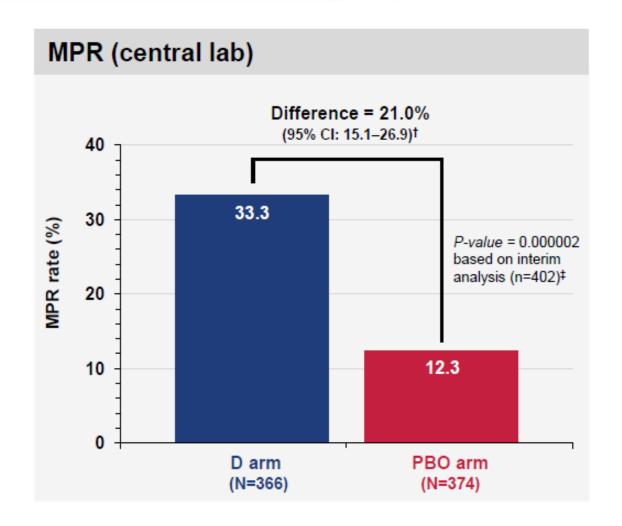


Pathologic response per IASLC 2020 methodology* (mITT) Final analysis



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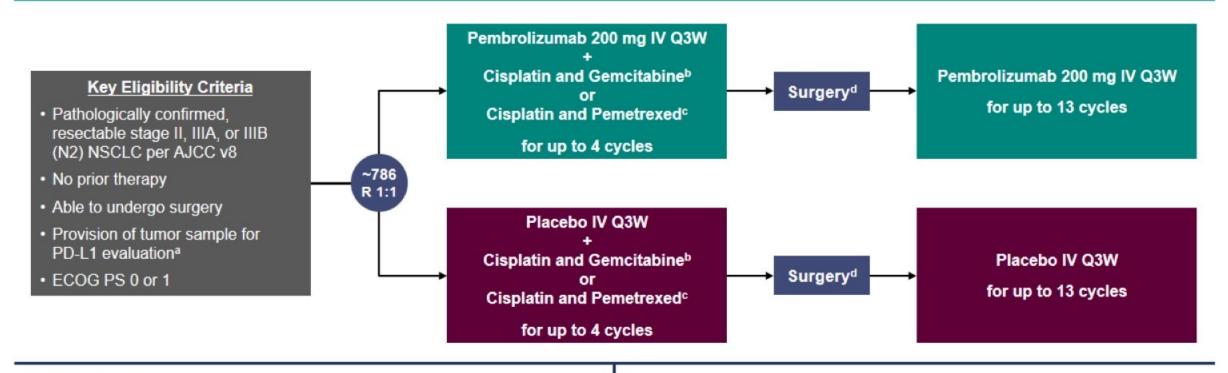
*Using IASLC recommendations for pathologic assessment of response to therapy, including gross assessment and processing of tumor bed (Travis WD, et al. *J Thorac Oncol* 2020;15:709-40). pCR = a lack of any viable tumor cells after complete evaluation of the resected lung cancer specimen and all sampled regional lymph nodes. MPR = less than or equal to 10% viable tumor cells in lung primary tumor after complete evaluation of the resected lung cancer specimen. To be eligible for pathologic assessment, patients needed to have received three cycles of neoadjuvant study Tx per protocol. Patients who were not evaluable were classified as non-responders. **TCIs calculated by stratified Miettinen and Nurminen method. **No formal statistical testing was performed at the pCR final analysis (DCO: Nov 10, 2022; n=740 [data shown]). Statistical significance was achieved at the interim pCR analysis (DCO: Jan 14, 2022; n=402; P-value for pCR/MPR calculated using a stratified Cochran-Mantel-Haenszel test with a significance boundary = 0.000082 calculated using a Lan-DeMets alpha spending function with O'Brien Fleming boundary).



Conclusions

- Perioperative durvalumab + neoadjuvant CT significantly improved both pCR and EFS among patients with resectable NSCLC versus neoadjuvant CT alone
 - Difference in pCR rate = 13.0% (95% CI: 8.7–17.6)
 - EFS HR = 0.68 (95% CI: 0.53–0.88); P = 0.003902; median follow-up of 11.7 months and 31.9% maturity
 - The AEGEAN study continues for assessment of longer-term EFS, as well as DFS and OS
- Improvements in both pCR and EFS were largely consistent across predefined subgroups
 - EFS benefit was observed regardless of the planned neoadjuvant platinum agent: the HR was
 0.59 (95% CI: 0.35–1.00) for cisplatin and 0.73 (95% CI: 0.54–0.98) for carboplatin
- Perioperative durvalumab + neoadjuvant CT was associated with a manageable safety profile that was consistent with the known safety profiles of durvalumab and CT
 - The addition of durvalumab did not impact completion of neoadjuvant CT (4 cycles) or surgery
- AEGEAN is the first phase 3 study to describe the benefit of perioperative immunotherapy + neoadjuvant CT
- Perioperative durvalumab + neoadjuvant CT is a potential new treatment for patients with resectable NSCLC

KEYNOTE-671 Study Design Randomized, Double-Blind, Phase 3 Trial



Stratification Factors

- Disease stage (II vs III)
- PD-L1 TPS^a (<50% vs ≥50%)
- Histology (squamous vs nonsquamous)
- Geographic region (east Asia vs not east Asia)

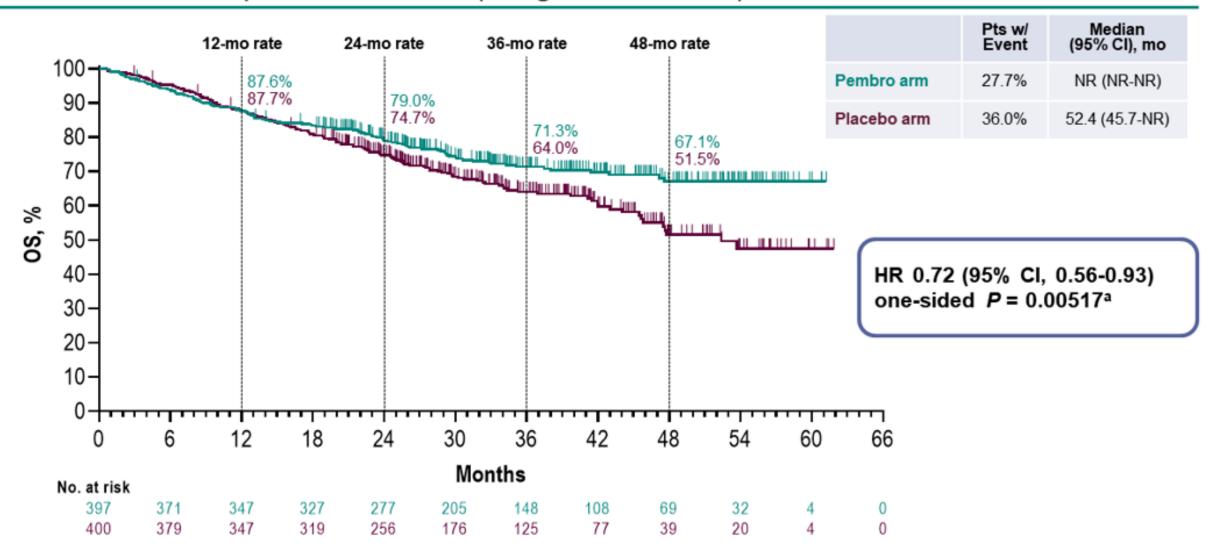
Dual primary end points: EFS per investigator review and OS

Key secondary end points: mPR and pCR per blinded, independent pathology review, and safety

a Assessed at a central laboratory using PD-L1 IHC 22C3 pharmDx. ^b Cisplatin 75 mg/m² IV Q3W + gemcitabine 1000 mg/m² IV on days 1 and 8 Q3W was permitted for squamous histology only. ^c Cisplatin 75 mg/m² IV Q3W + pemetrexed 500 mg/m² IV Q3W was permitted for nonsquamous histology only. ^d Radiotherapy was to be administered to participants with microscopic positive margins, gross residual disease, or extracapsular nodal extension following surgery and to participants who did not undergo planned surgery for any reason other than local progression or metastatic disease. ClinicalTrials.gov identifier: NCT03425643.

Overall Survival, IA2

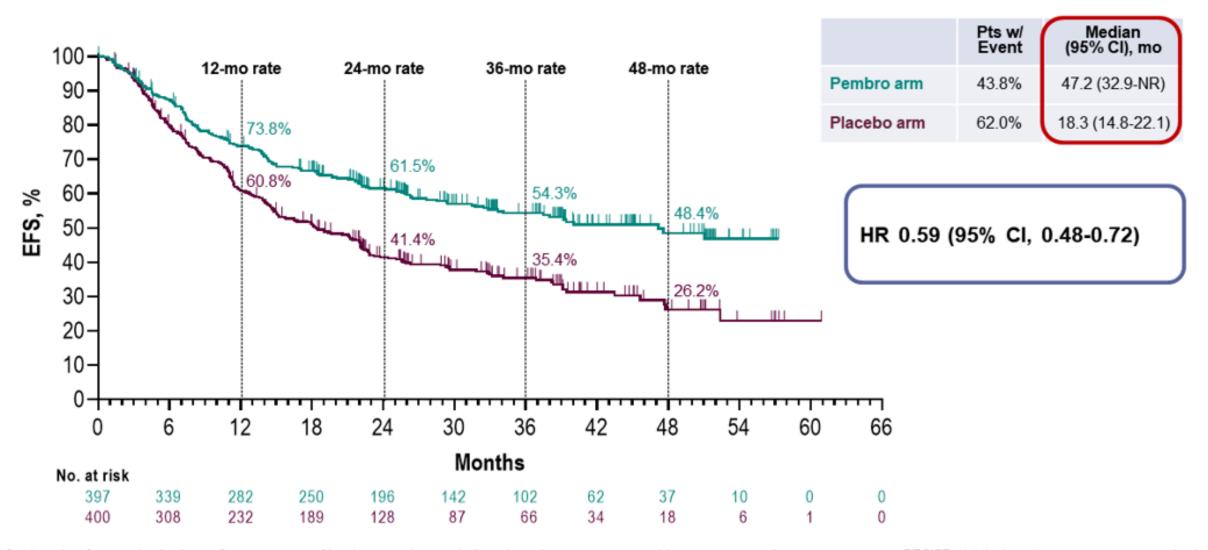
Median Follow-Up: 36.6 months (range, 18.8-62.0)



OS defined as time from randomization to death from any cause. a Significance boundary at IA2, one-sided P = 0.00543. Data cutoff date for IA2: July 10, 2023.

Event-Free Survival, IA2

Median Follow-Up: 36.6 months (range, 18.8-62.0)

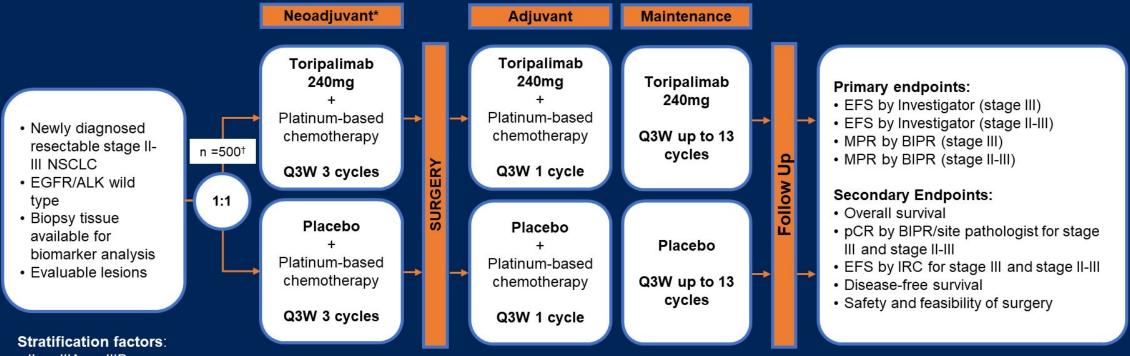


EFS defined as time from randomization to first occurrence of local progression precluding planned surgery, unresectable tumor, progression or recurrence per RECIST v1.1 by investigator assessment, or death from any cause. Data cutoff date for IA2: July 10, 2023.

Summary and Conclusions

- A statistically significant, clinically important OS improvement was seen for neoadjuvant pembrolizumab plus chemotherapy followed by surgery and adjuvant pembrolizumab versus neoadjuvant chemotherapy and surgery alone
 - With median follow-up of 3 years, the HR for death was 0.72 (95% CI, 0.56-0.93)
 - Median OS was not reached in the pembrolizumab arm vs 52.4 months in the placebo arm
 - OS benefit was generally consistent across the majority of subgroups analyzed
- EFS benefit observed at IA1 was maintained at IA2
 - At IA2, median EFS was almost 2.5 years longer in the pembrolizumab arm compared with the placebo arm
- AE profile was consistent with IA1 with no new safety signals and no new treatment-related deaths
 - Any increases in incidence of individual treatment-related AE rates were mostly by 1-2 participants each
 - Most immune-mediated AEs were due to hypothyroidism
- The significant OS improvement in the absence of new safety signals establishes the perioperative pembrolizumab regimen as a new standard of care for resectable stage II, IIIA, or IIIB (N2) NSCLC
 - On October 16, 2023, the US FDA granted pembrolizumab approval for the treatment of resectable (tumors ≥4 cm or node positive) NSCLC in combination with platinum-containing chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery

Neotorch Study Design



- II vs IIIA vs IIIB
- Lobectomy vs pneumonectomy
- · Non-squamous vs squamous
- PD-L1 TC expression: ≥ 1% vs < 1% or non-evaluable

*3 cycles of neoadjuvant chemotherapy with 4 cycles of peri-operative chemotherapy in total were required with in Neotorch study, meanwhile, surgeons were allowed to determine the most appropriate timing for surgery based on the patient's condition [†]About 400 patients with Stage III NSCLC and ~100 patients with Stage II NSCLC patients would be enrolled

EFS: Event-Free Survival MPR: Major Pathologic Response BIPR: Blinded Independent Pathologic Review pCR: Pathological Complete Response IRC: Independent Review Committee





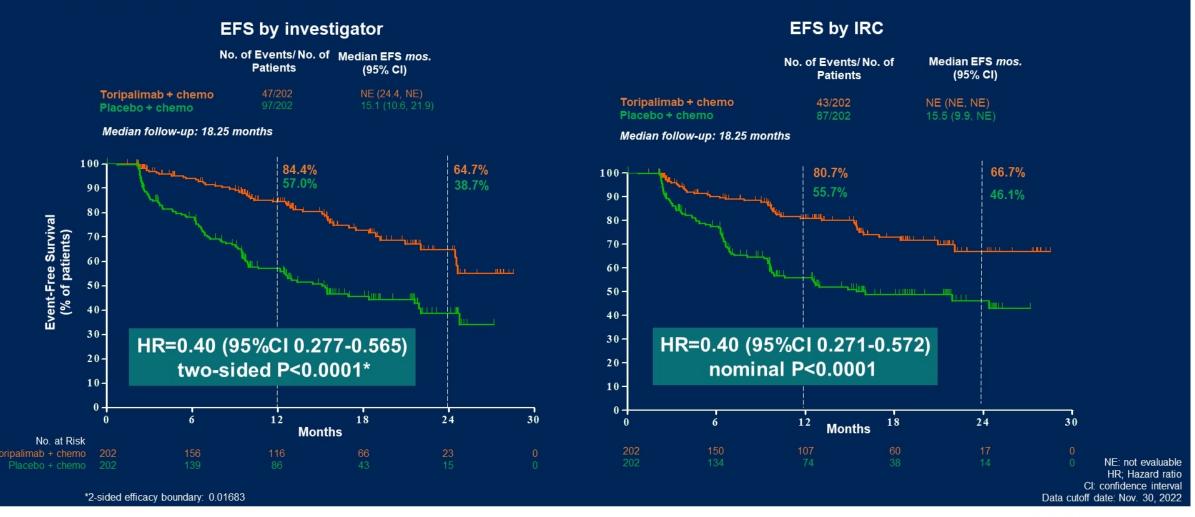
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Event-Free Survival Analysis

Intent-to-treat Stage III patients assessed by investigator per RECIST v1.1







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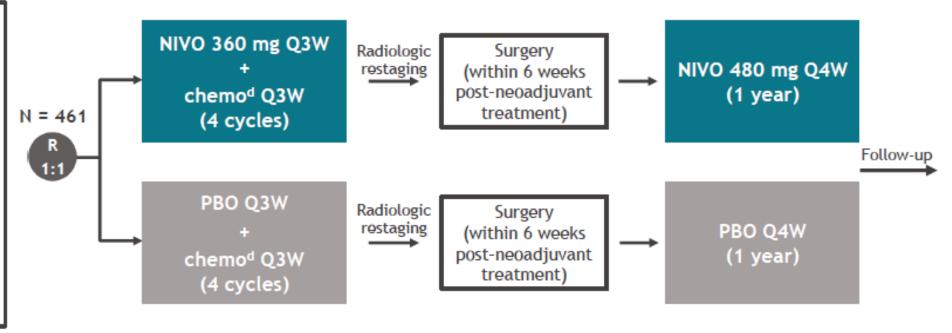


CheckMate 77Ta study design

Key eligibility criteria

- Resectable, stage IIA (> 4 cm)-IIIB (N2) NSCLC (per AJCC 8th edition)
- No prior systemic anti-cancer treatment
- ECOG PS 0-1
- No EGFR mutation/known ALK alterations^b

Stratified by
histology (NSQ vs SQ)
disease stage (II vs III),
and tumor PD-L1c (≥ 1% vs < 1% vs
not evaluable/indeterminate)



Follow-up, median (range): 25.4 (15.7-44.2) months

Primary endpoint

EFS by BICR

Secondary endpoints

- pCR^e by BIPR
- MPR^e by BIPR
- OS
- Safety

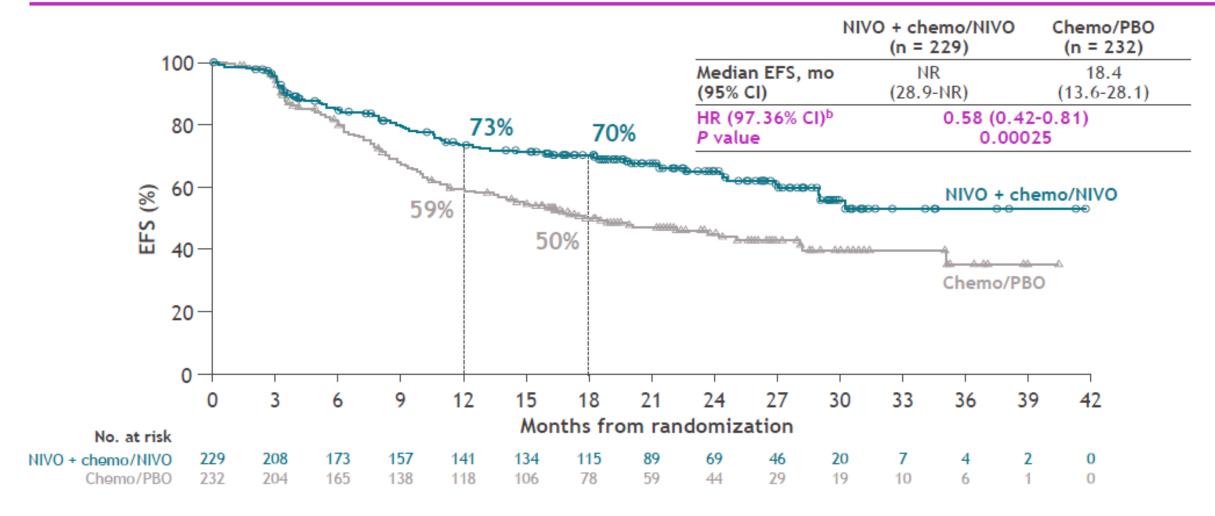
Exploratory analyses

- EFS by pCR/MPR
- EFS by adjuvant treatment

Database lock date: September 6, 2023.

^aNCT04025879. ^bEGFR testing was mandatory in all patients with NSQ histology. ALK testing was done in patients with a history of ALK alterations. EGFR/ALK testing done using US FDA/local health authority-approved assays. ^cDetermined by the PD-L1 IHC 28-8 pharmDx assay (Dako). ^dNSQ: cisplatin + pemetrexed, carboplatin + pemetrexed, or carboplatin + paclitaxel; SQ: cisplatin + docetaxel or carboplatin + paclitaxel. ^eAssessed per immune-related pathologic response criteria. ¹ BICR, blinded independent central review; BIPR, blinded independent pathological review. 1. Cottrell TR, et al. Ann Oncol 2018:29:1853-1860.

Primary endpoint: EFS^a per BICR with neoadjuvant NIVO + chemo/adjuvant NIVO vs chemo/PBO

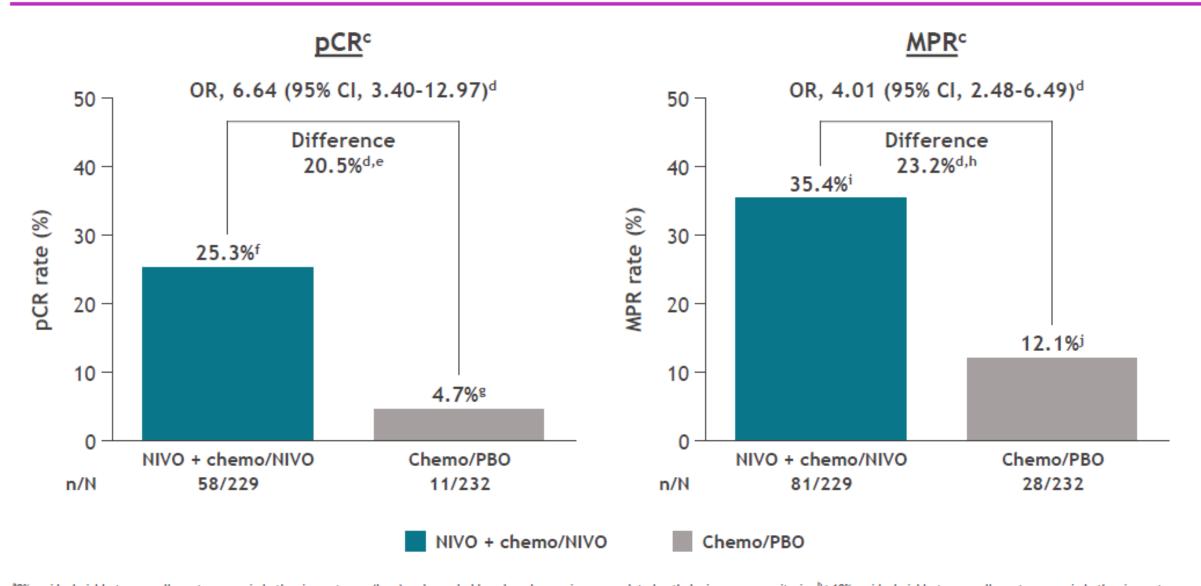


EFS per investigator assessment, NIVO + chemo/NIVO vs chemo/PBO: HR, 0.56; 95% CI, 0.41-0.76

Median follow-up (range): 25.4 months (15.7-44.2).

^aTime from randomization to any disease progression precluding surgery, abandoned surgery due to unresectability or disease progression, disease progression/recurrence after surgery, progression in patients without surgery, or death due to any cause. Patients who received subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy. ^bUnstratified HR (95% CI), 0.59 (0.44-0.79).

pCR^a and MPR^b per BIPR



⁸0% residual viable tumor cells post-surgery in both primary tumor (lung) and sampled lymph nodes per immune-related pathologic response criteria. ^b≤ 10% residual viable tumor cells post-surgery in both primary tumor (lung) and sampled lymph nodes per immune-related pathologic response criteria. ^cPatients who did not undergo surgery or received alternative anti-cancer treatment prior to surgery were classified as non-responders. ^cCalculated using the stratified Cochran-Mantel-Haenszel method. ^cJ95% CI: ^c14.3-26.6; ^f19.8-31.5; ^f2.4-8.3; ^h15.8-30.6; ^J29.2-41.9; ^J8.2-17.0. BIPR, blinded independent pathological review.

Summary

- Neoadjuvant NIVO + chemo followed by surgery and adjuvant NIVO demonstrated statistically significant and clinically meaningful EFS improvement vs chemo/PBO in patients with resectable NSCLC (HR, 0.58; P = 0.00025)
 - EFS benefit was seen across most key subgroups
- pCR and MPR rates were also improved: 25.3% vs 4.7% and 35.4% vs 12.1%, respectively
- In an exploratory analysis, perioperative NIVO favored EFS in patients with a pCR following neoadjuvant therapy, with a trend toward improved EFS in patients without a pCR
- Among patients eligible for adjuvant therapy, perioperative NIVO improved EFS vs chemo/PBO, regardless
 of pCR status
 - Neoadjuvant NIVO + chemo continued to provide benefit over chemo in patients who were unable to receive adjuvant therapy
- Perioperative NIVO-based regimen showed no new safety signals. Surgical feasibility was similar between treatment arms
- CheckMate 77T is the first phase 3 perioperative study to build on the SOC neoadjuvant NIVO + chemo and supports perioperative NIVO as a potential new treatment option for patients with resectable NSCLC











