

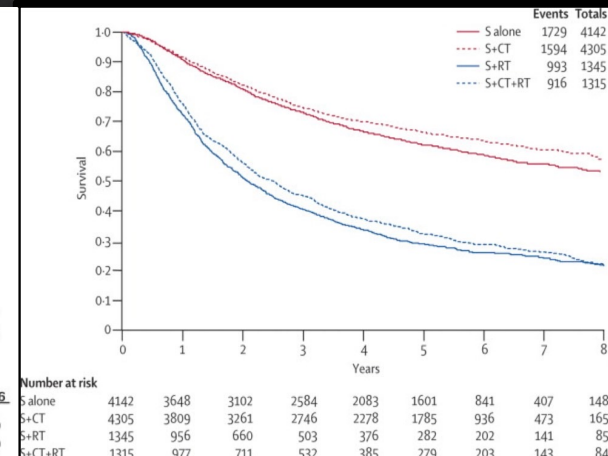
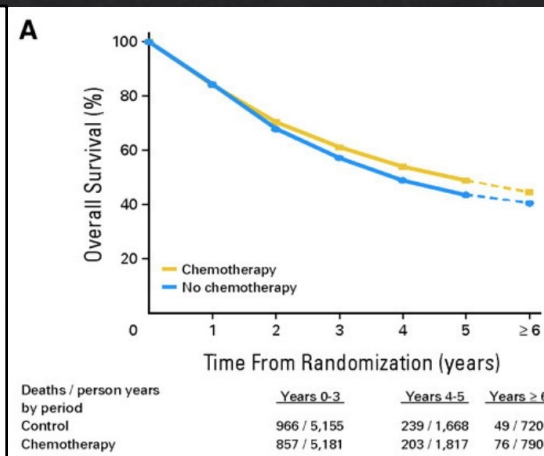
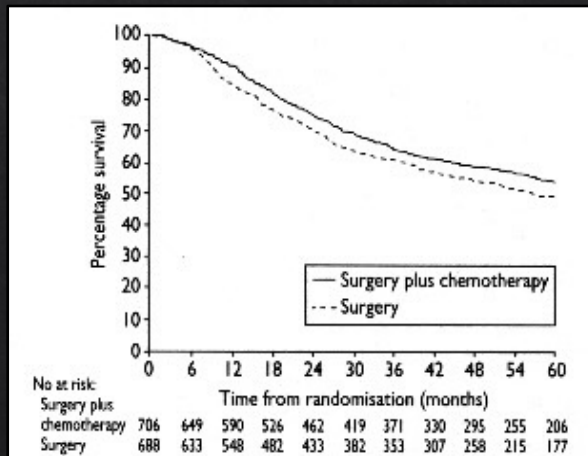
Advances in early stage and localized NSCLC

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Rocky Mountain Cancer Centers

Adjuvant chemotherapy meta-analyses

Meta-Analyses	N	5-year OS benefit	OS HR (95% CI)	p value
NSCLCCG 1995	1,300	5%	0.87 (0.74-1.02)	0.08
LACE 2008	4,584	5.4%	0.89 (0.82-0.96)	0.005
NSCLCCG 2010	8,447	4%	0.86 (0.81-0.92)	<0.0001

Abbreviations: NSCLC, non-small cell lung cancer; OS, overall survival; HR, hazard ratio; CI, confidence interval.



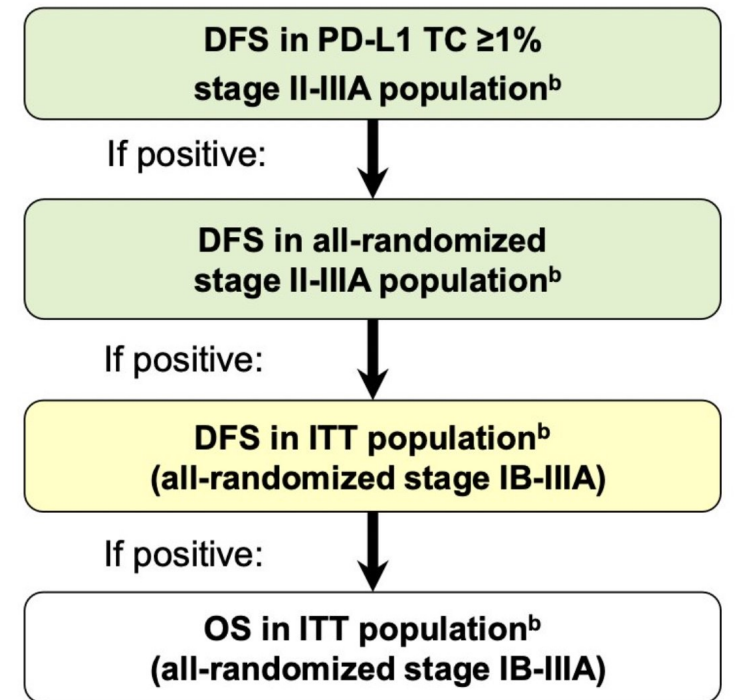
Chemotherapy in non-small cell lung cancer: a meta-analysis using updated data on individual patients from 52 randomized clinical trials. *Br. Med. J.* 1995; 311:899.

Lung Adjuvant Cisplatin Evaluation: A Pooled Analysis by the LACE Collaborative Group. Pignon JP, et al., *JCO* 2008; 26:3552.

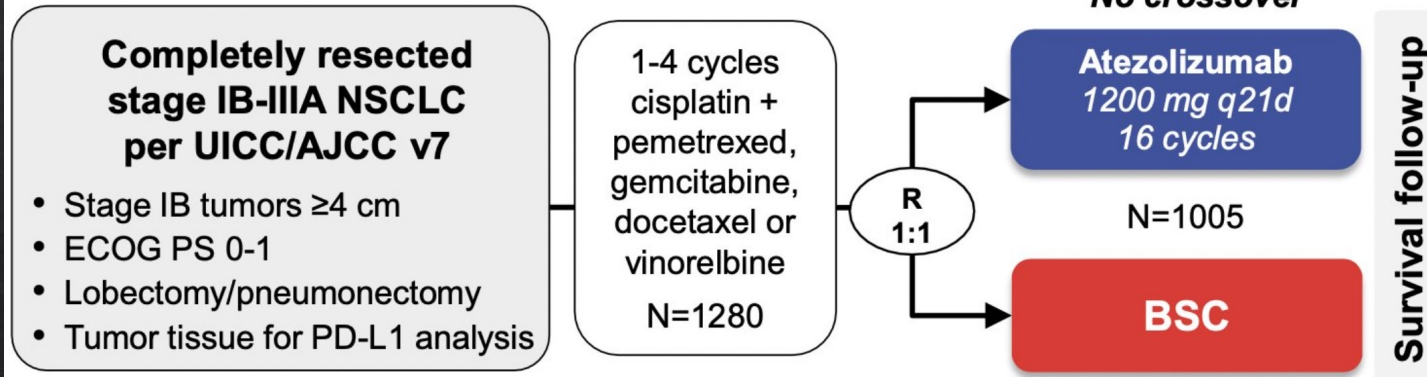
Adjuvant chemotherapy, with or without postoperative radiotherapy, in operable non-small-cell lung cancer: two meta-analyses of individual patient data. *Lancet.* 2010; 375:1267.

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Hierarchical statistical testing



- Endpoint was met at DFS IA
- Endpoint was not met at DFS IA, and follow-up is ongoing
- OS data were immature, and endpoint was not formally tested



Stratification factors

- Male vs female
- Stage (IB vs II vs IIIA)
- Histology
- PD-L1 tumor expression status^a: TC2/3 and any IC vs TC0/1 and IC2/3 vs TC0/1 and IC0/1

Primary endpoints

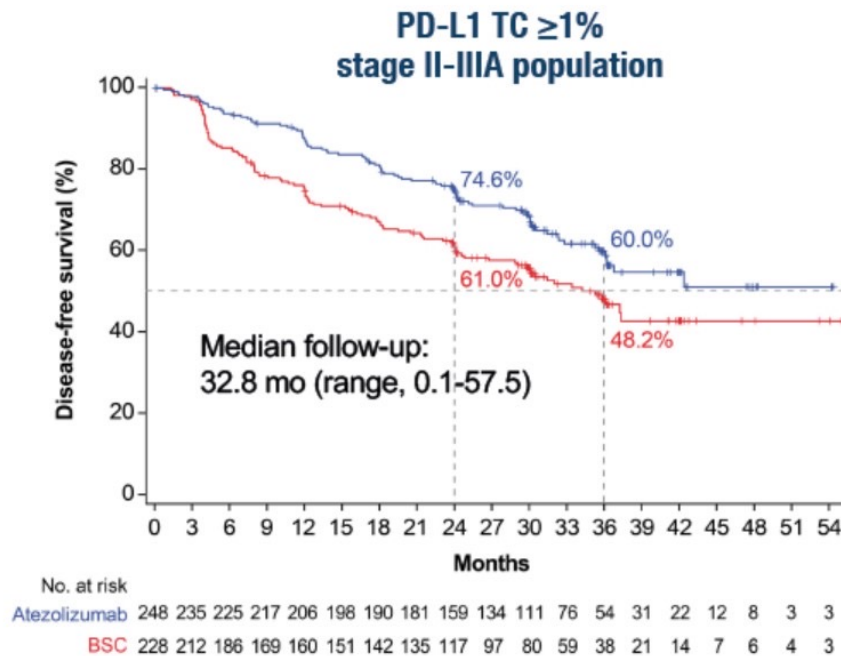
- Investigator-assessed DFS tested hierarchically:
 1. PD-L1 TC ≥1% (SP263) stage II-IIIa population
 2. All-randomized stage II-IIIa population
 3. ITT (all-randomized stage IB-IIIa) population

Both arms included observation and regular scans for disease recurrence on the same schedule. IC, tumor-infiltrating immune cells. ^a Per SP142 assay. ^b Two-sided $\alpha=0.05$.

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Disease Free Survival (Stage II-III)

PDL-1 $\geq 1\%$



	Atezolizumab (n=248)	BSC (n=228)
Median DFS (95% CI), mo	NE (36.1, NE)	35.3 (29.0, NE)
Stratified HR (95% CI)	0.66 (0.50, 0.88)	
<i>P</i> value ^b	0.004 ^c	

Clinical cutoff: 21 January 2021. ^a Per SP263 assay. ^b Stratified log-rank. ^c Crossed the significance boundary for DFS.

^d The statistical significance boundary for DFS was not crossed. ¹ Wakelee H, et al. J Clin Oncol. 2021;39(suppl 15):8500.

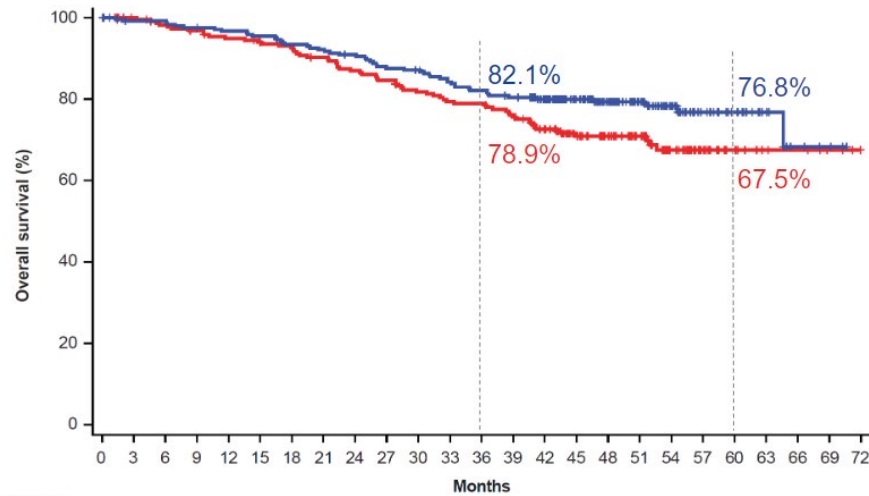
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Overall Survival (Stage II-III)

PDL-1 $\geq 1\%$

Results of OS IA: PD-L1 TC $\geq 1\%$ ^a (stage II-III A)

(data cutoff: 18 Apr '22, median follow-up: 46 months)



No. at risk	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54	57	60	63	66	69	72
Atezolizumab	248	241	241	237	234	231	225	222	218	210	208	200	195	190	172	140	116	83	56	37	23	12	5	3	NE
BSC	228	220	214	210	205	201	198	192	185	180	172	167	166	158	140	110	95	72	49	27	15	8	7	4	NE

	Atezo (n=248)	BSC (n=228)
Events, n (%)	52 (21.0%)	64 (28.1%)
mOS (95% CI), mo	NR	NR
HR (95% CI) ^b	0.71 (0.49, 1.03)	

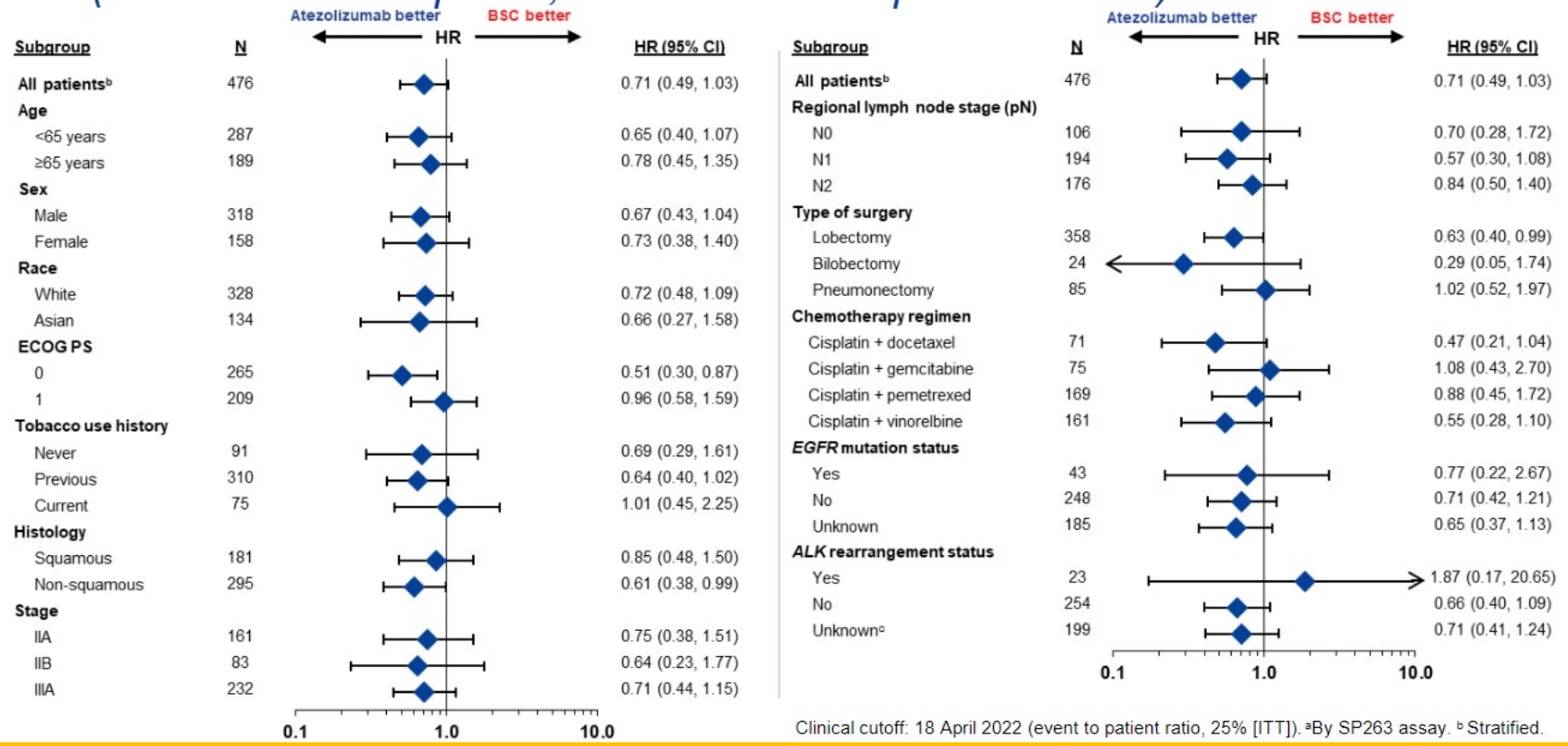
mOS, median overall survival; NR, not reached. ^aBy SP263 assay. ^bStratified.

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Subgroup Analysis (Stage II-III)

PDL-1 $\geq 1\%$

Subgroup analysis of OS in PD-L1 TC $\geq 1\%$ ^a (stage II-III A)
 (data cutoff: 18 Apr '22, median follow-up: 46 months)

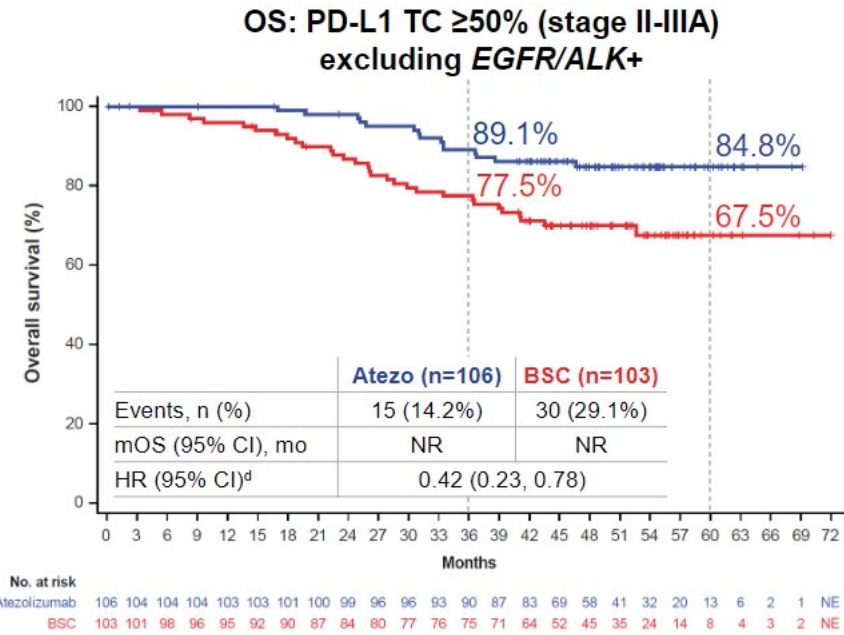
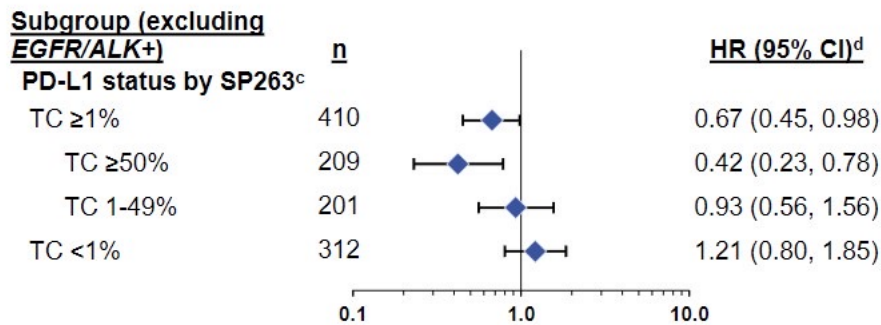
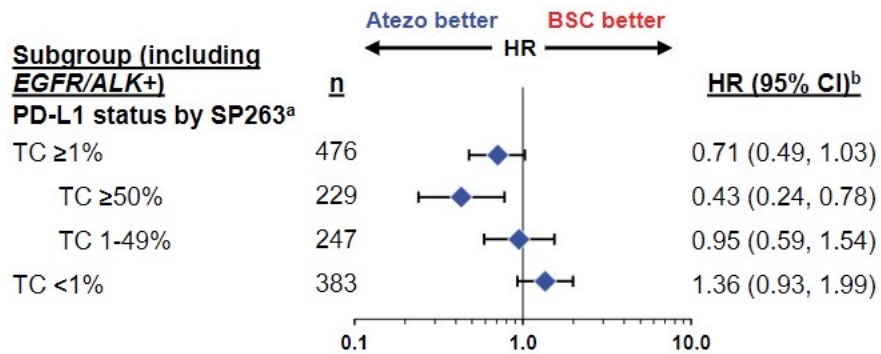


Clinical cutoff: 18 April 2022 (event to patient ratio, 25% [ITT]). ^aBy SP263 assay. ^bStratified.

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Subgroup Analysis (Stage II-III) by biomarker status

OS by biomarker status (stage II-III) (data cutoff: 18 Apr '22)



^a 23 patients had unknown PD-L1 status. ^b Stratified for PD-L1 TC ≥1%; unstratified for all other subgroups. ^c 21 patients had unknown PD-L1 status. ^d Unstratified.

Efficacy of Preoperative Immunotherapy

Study	Total n= Squam, %	Stage I/II III	Drug # of preoperative cycles	# taken to surgery(%) #R0	ORR DCR	pCR [^]	MPR [^]	Biomarker Correlation with MPR
PD-(L)1 Monotherapy								
Forde NEJM 2018	21 6 (29%)	66% 33%	Nivo 3 mg/kg x 2	21 (100) 20 R0	10% 95%	10%	45%	PD-L1: No correlation TMB: Correlation (+)
Gao JTO 2021	40 33 (83%)	55% 45%	Sintilimab 200 mg x 2	37 (92.5) 36 R0	20% 90%	16.2%	40.5%	PD-L1: Correlation (+)* TMB: NR
LCMC3	181 69 (38%)	51% 49%	Atezo 1200 mg x 2	159 (88) 145 R0	7%** 95%	7%	21%	PD-L1: No correlation TMB: No correlation
NEOSTAR	23 10 (43%)	78% 22%	Nivo 3 mg/kg x 3	22 (96) 22 R0	22% 87%	10%	19%	PD-L1: Correlation (+) TMB: NR
MK3475-223	15 NR	100% 0%	Pembro 200 mg x 1-2	13 (87) NR	13% NR	15%	31% 40% (2 doses)	PD-L1: No correlation TMB: NR
IFCT-1601 IONESCO	50 21 (42%)	96% 4%	Durva 750 mg x 3	43 (93) 41 R0	9% 87%	7%	18.6%	PD-L1: NR TMB: NR
PRINCEPS	30 NR	70% 30%	Atezo 1200 mg x 1	30 (100) 29 R0	7% 100%	0%	14%	PD-L1: Correlation (+) TMB: NR
Dual Checkpoint Inhibitors								
Reuss JITC 2020	9 1 (11%)	33% 66%	Nivo 3 mg/kg x3, Ipi 1 mg/kg x 1	6 (67%) R0 NR	11% 55%	33%	33% (all pCR)	PD-L1: Correlation (+) TMB: No correlation
NEOSTAR	21 7 (33%)	81% 19%	Nivo 3 mg/mg x 3 Ipi 1 mg/kg x 1	17 (81) 17 R0	19% 81%	38%	44%	PD-L1: Correlation (+) TMB: NR

Squam: squamous; ORR: objective response rate; DCR: disease control rate; pCR: pathologic complete response; MPR: major pathologic response; TMB: tumor mutation burden; nivo: nivolumab; atezo: atezolizumab; pembro: pembrolizumab; durva: durvalumab; ipi: ipilimumab; NR: not reported

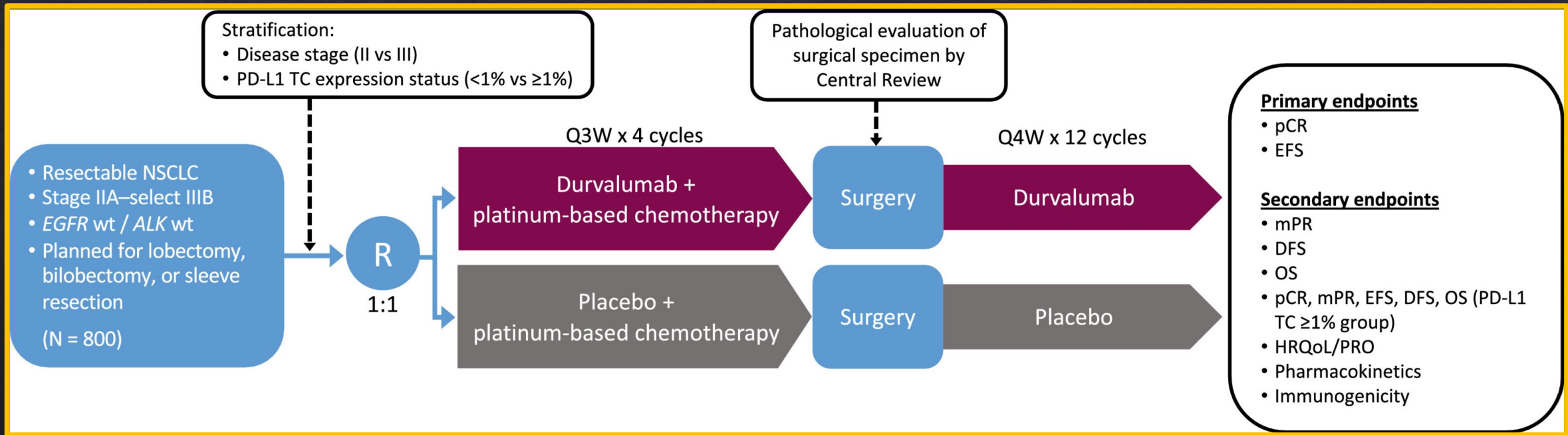
[^]Specimens with pCR also included among those with MPR. The denominator is patients undergoing resection. *Correlation in stromal cells only; **Based on data reported for 82 patients

Neoadjuvant Immunotherapy

- ❖ CheckMate 816
- ❖ AEGEAN
- ❖ KEYNOTE 671

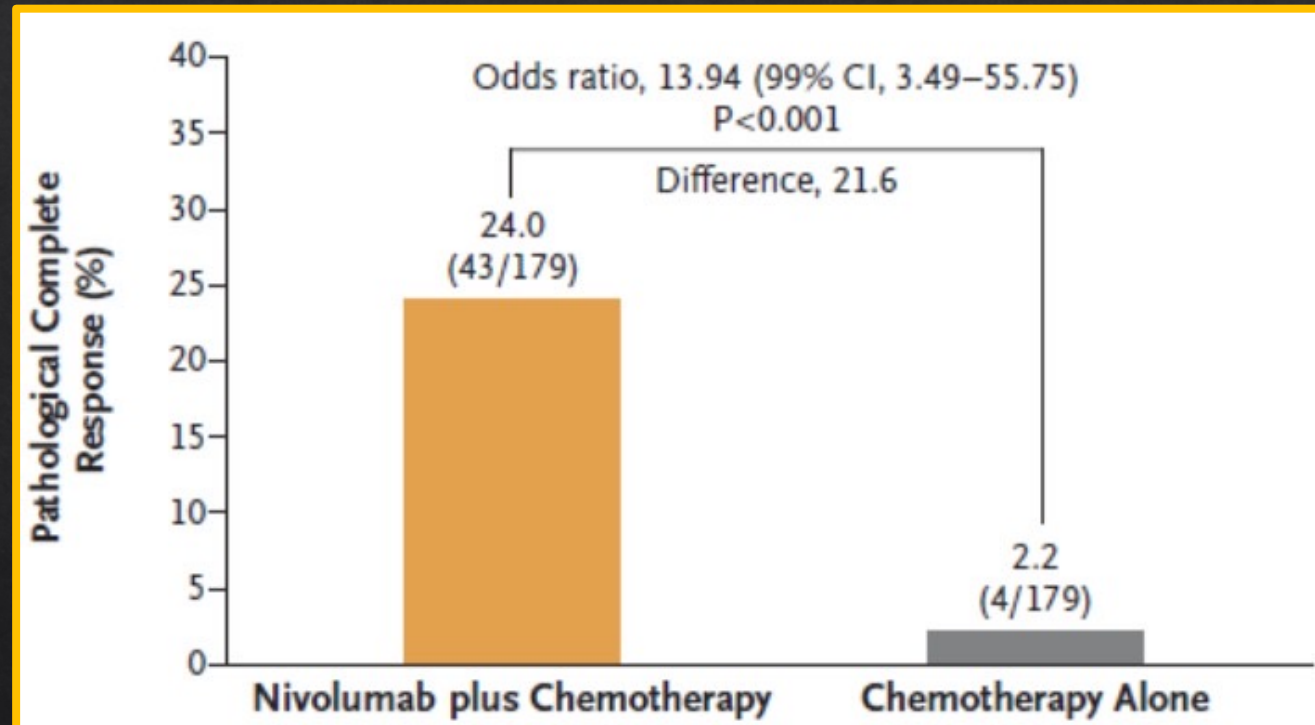
CheckMate 816

Neoadjuvant nivolumab plus chemotherapy in resectable lung cancer

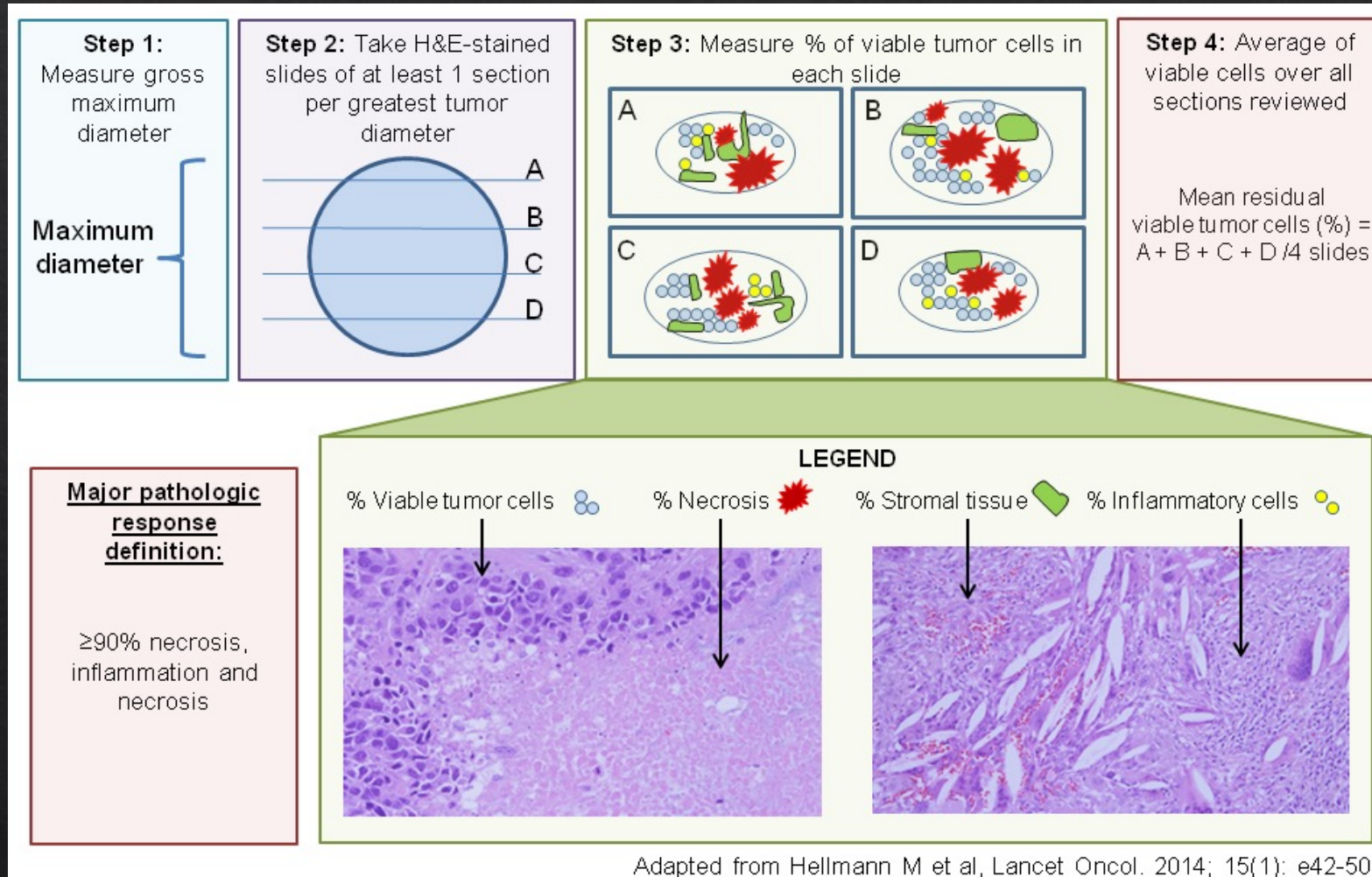


CheckMate 816

Pathologic Response Rate

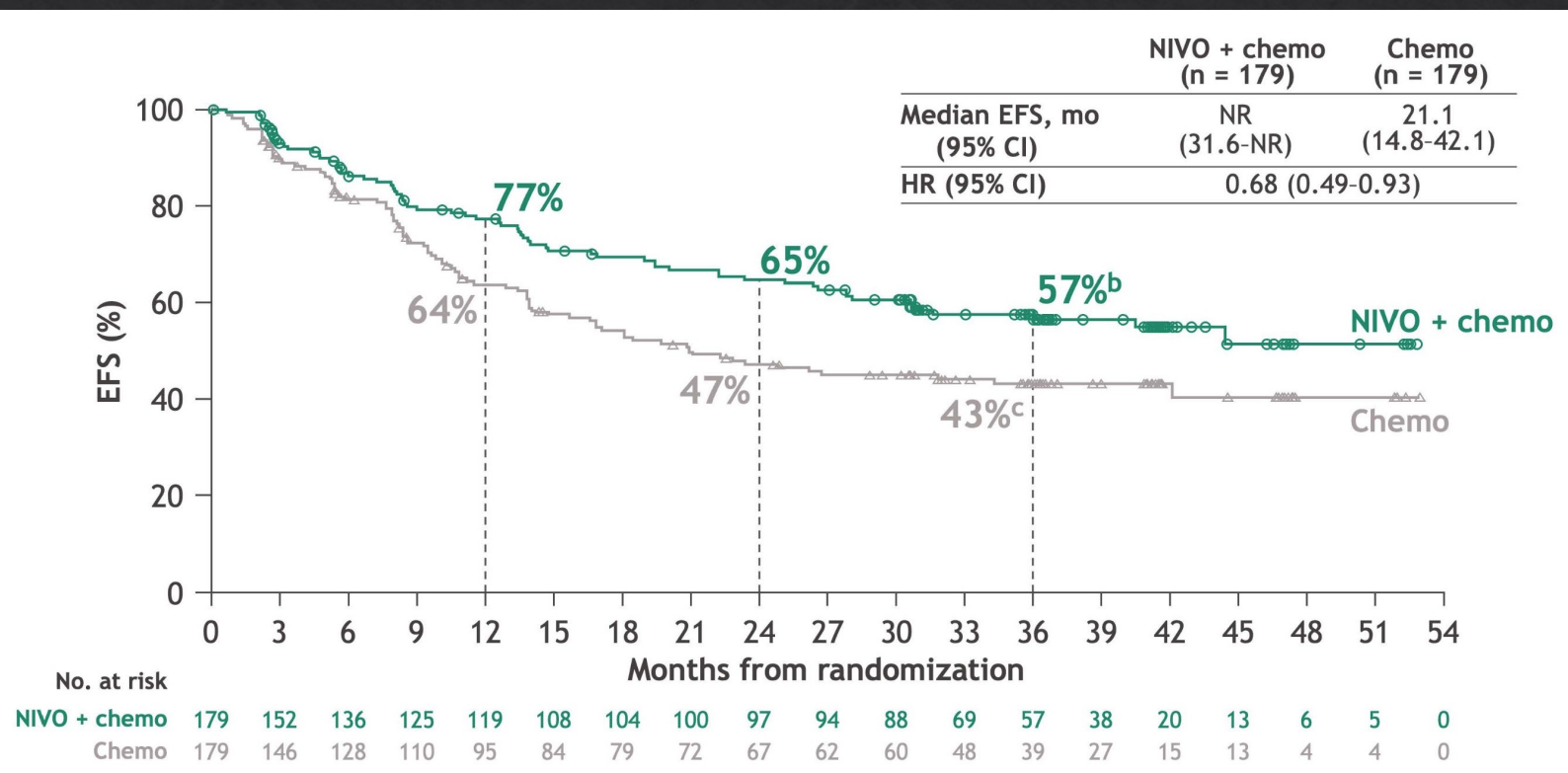


Pathologic response assessment



CheckMate 816

Event Free Survival



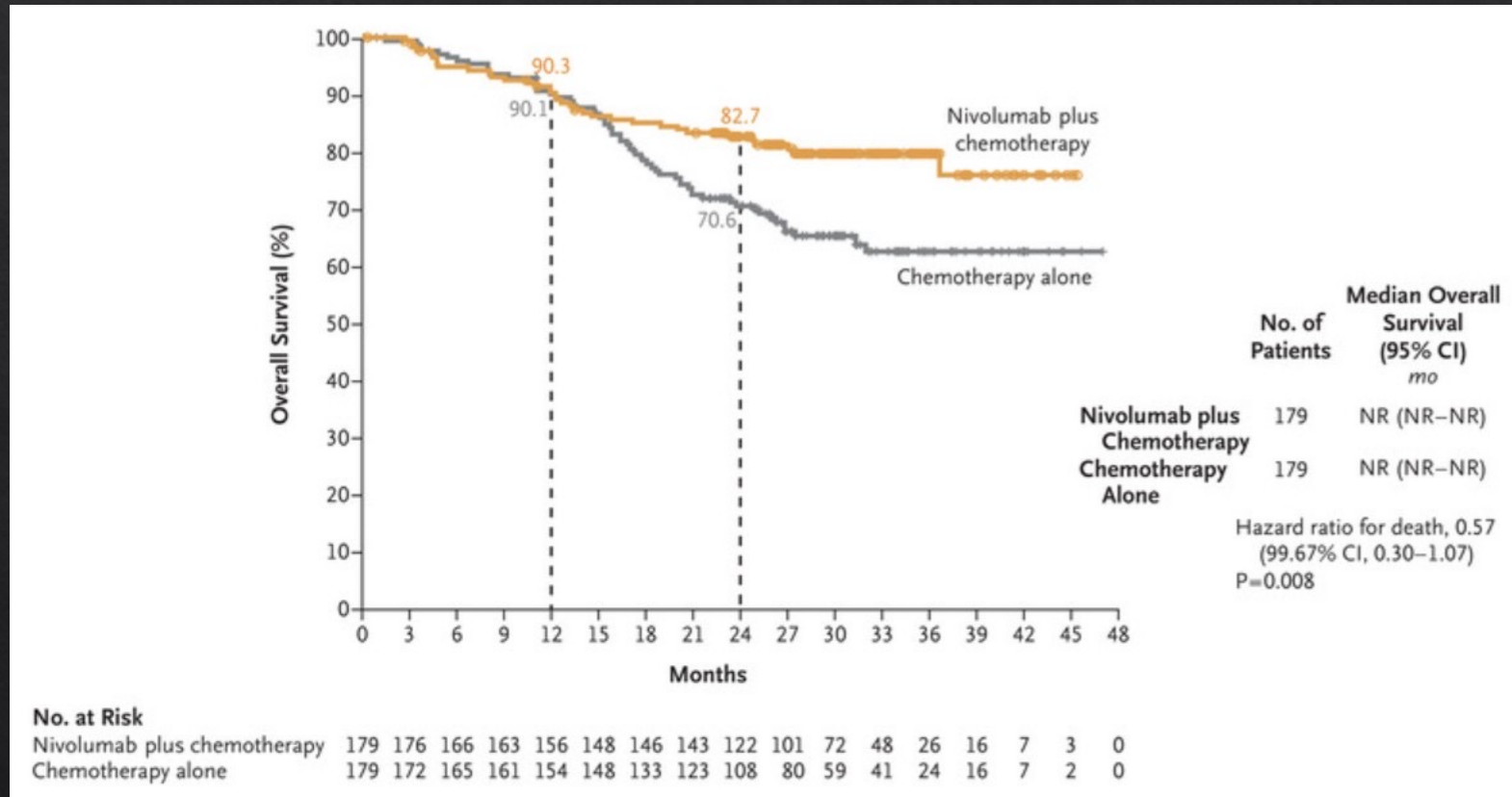
Minimum/median follow-up: 32.9/41.4 months.

^aExploratory analysis. Time from randomization to any disease progression precluding surgery, disease progression/recurrence after surgery, progression in patients without surgery, or death due to any cause per BICR. Patients who received subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy.

^{b,c}95% CIs for 3-year EFS rates: ^b48-64; ^c35-51.

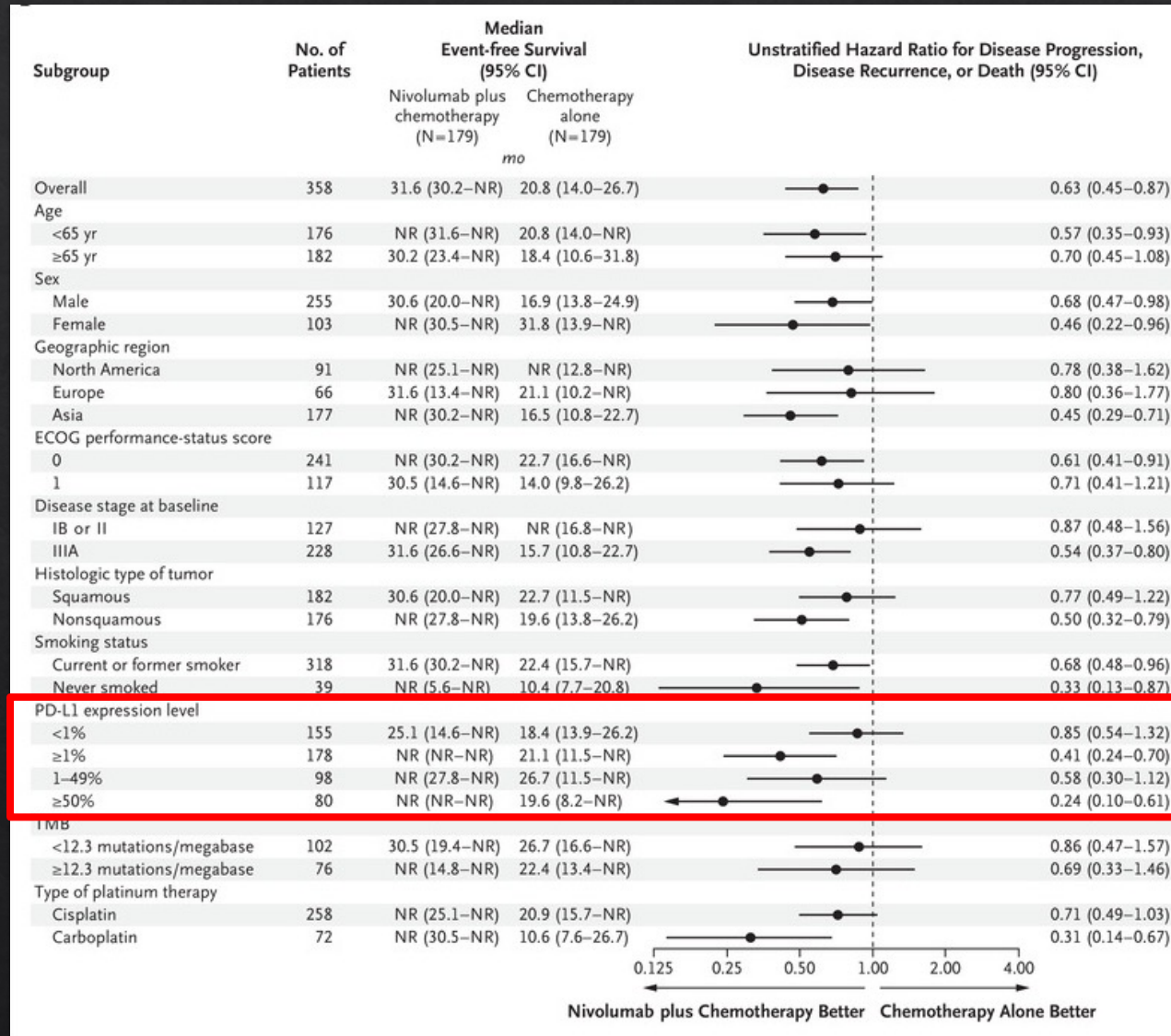
CheckMate 816

Overall Survival

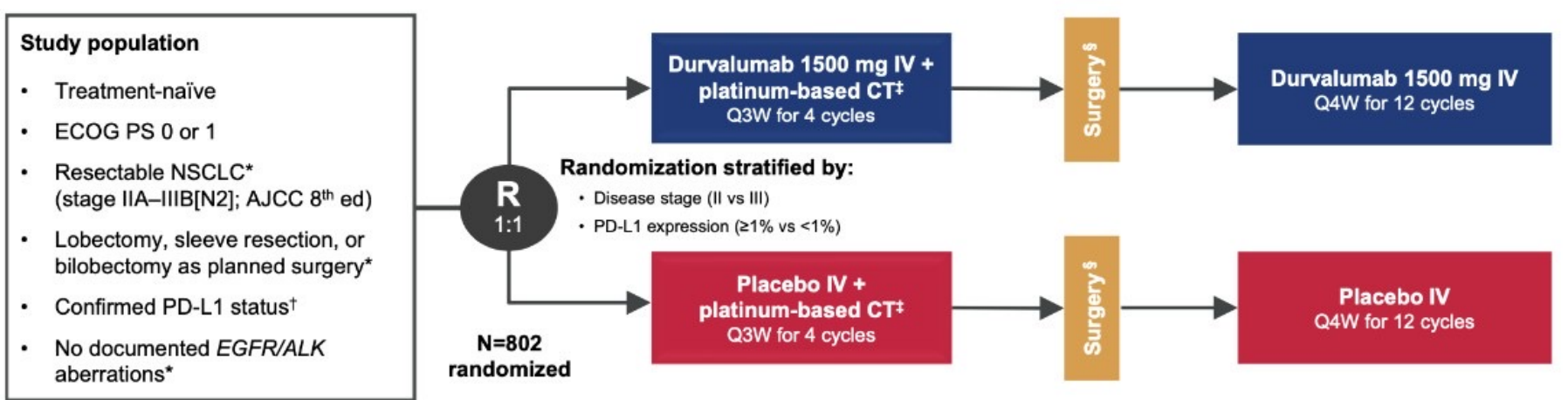


Neoadjuvant Nivolumab plus Chemotherapy in Resectable Lung Cancer. Forde PM, et al., 2022. JCO;386:1973.

Forest plot of EFS in CM816



AEGEAN



Endpoints: All efficacy analyses performed on a modified population that excludes patients with documented *EGFR/ALK* aberrations[†]

Primary:

- pCR by central lab (per IASLC 2020¹)
- EFS using BICR (per RECIST v1.1)

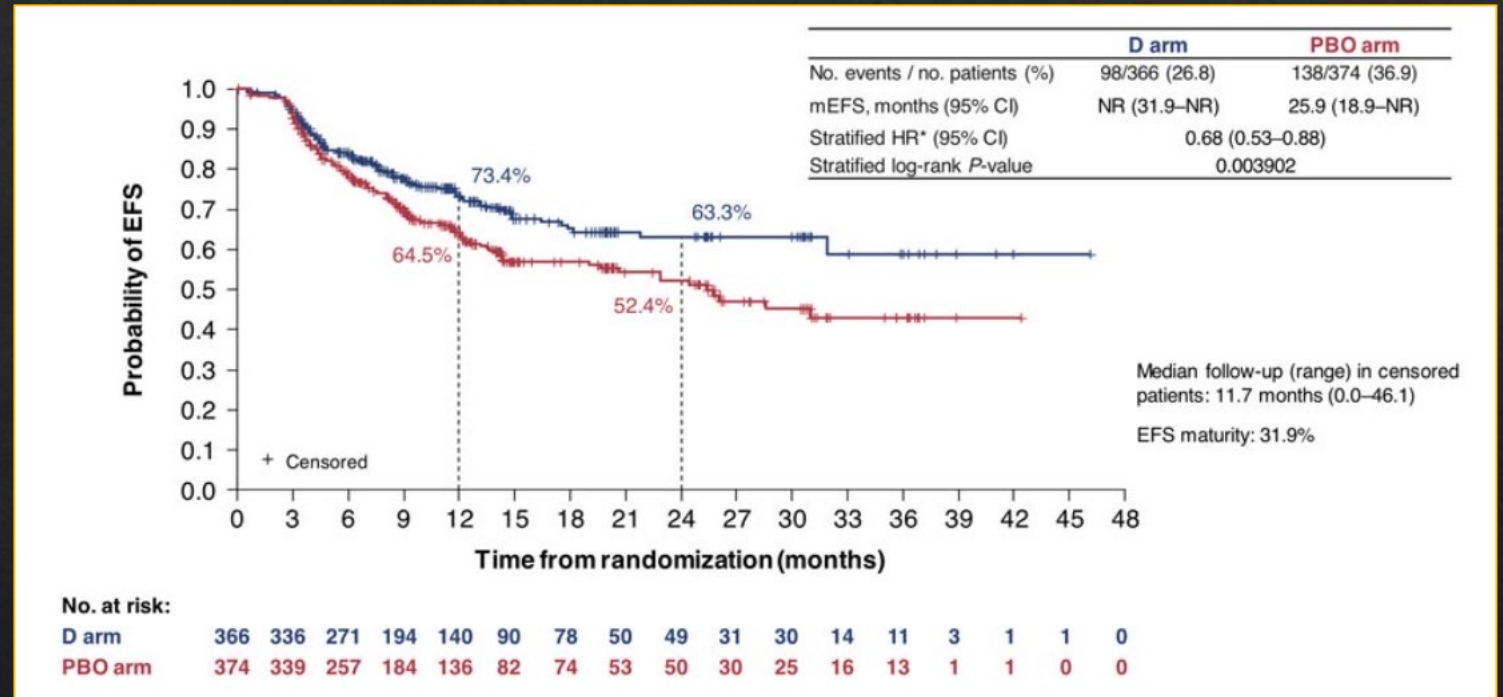
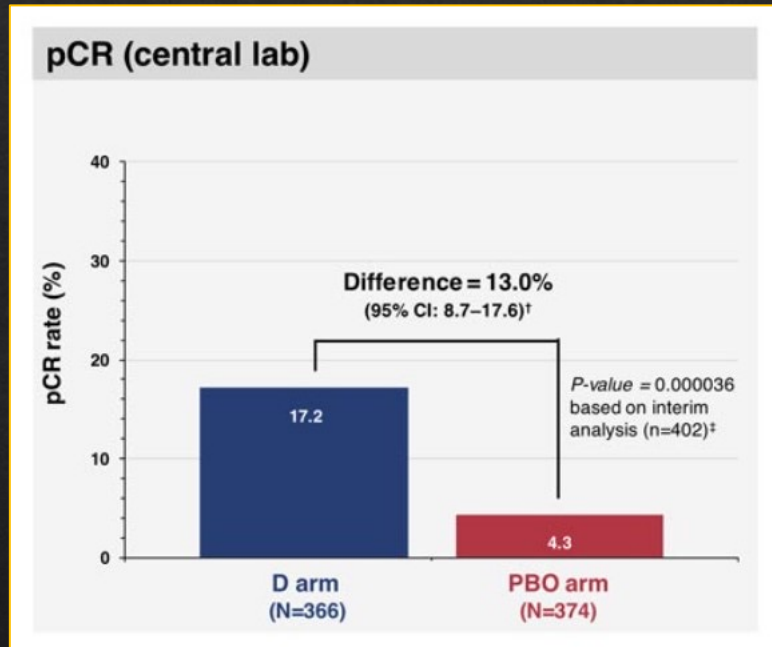
Key secondary:

- MPR by central lab (per IASLC 2020¹)
- DFS using BICR (per RECIST v1.1)
- OS

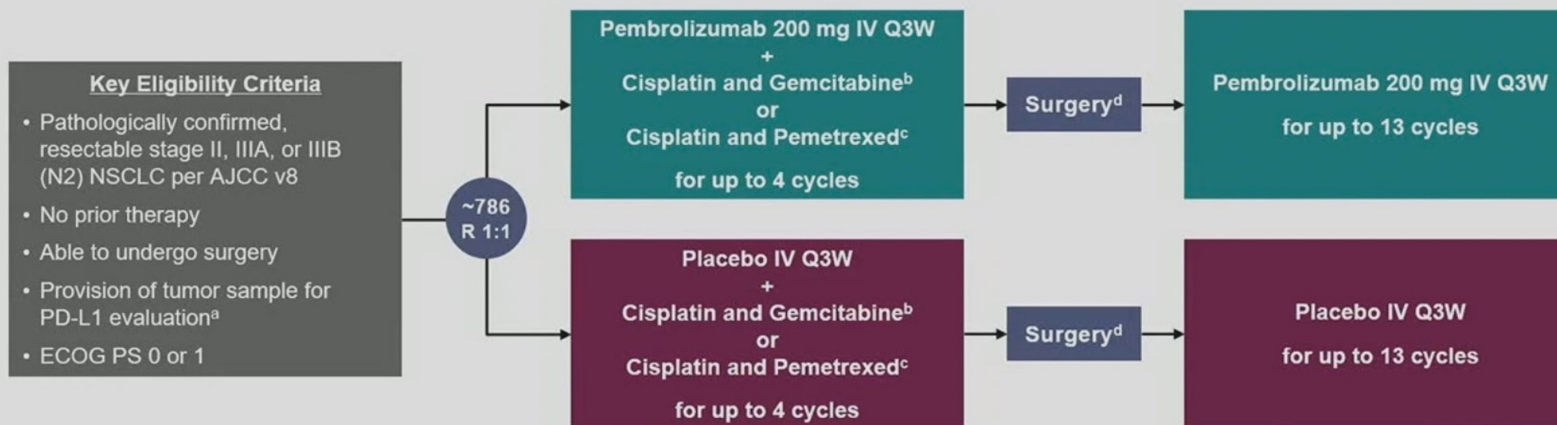


AEGEAN

pathologic CR rate and EFS



KEYNOTE 671



Stratification Factors

- Disease stage (II vs III)
- PD-L1 TPS^a (<50% vs ≥50%)
- Histology (squamous vs nonsquamous)
- Geographic region (east Asia vs not east Asia)

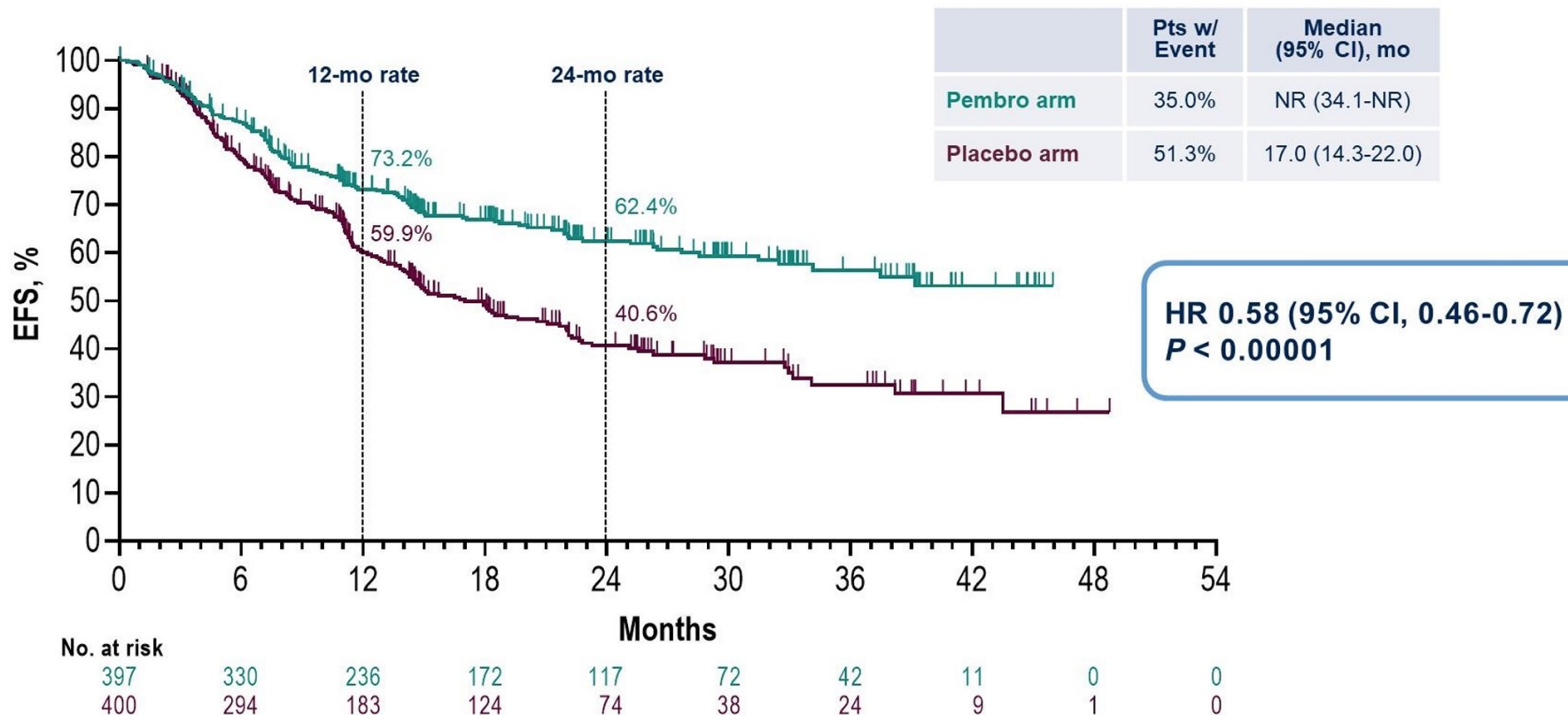
Dual primary end points: EFS per investigator review and OS

Key secondary end points: mPR and pCR per blinded, independent pathology review, and safety

^a Assessed at a central laboratory using PD-L1 IHC 22C3 pharmDx. ^b Cisplatin 75 mg/m² IV Q3W + gemcitabine 1000 mg/m² IV on days 1 and 8 Q3W was permitted for squamous histology only. ^c Cisplatin 75 mg/m² IV Q3W + pemetrexed 500 mg/m² IV Q3W was permitted for nonsquamous histology only. ^d Radiotherapy was to be administered to participants with microscopic positive margins, gross residual disease, or extracapsular nodal extension following surgery and to participants who did not undergo planned surgery for any reason other than local progression or metastatic disease. ClinicalTrials.gov identifier: NCT03425643.

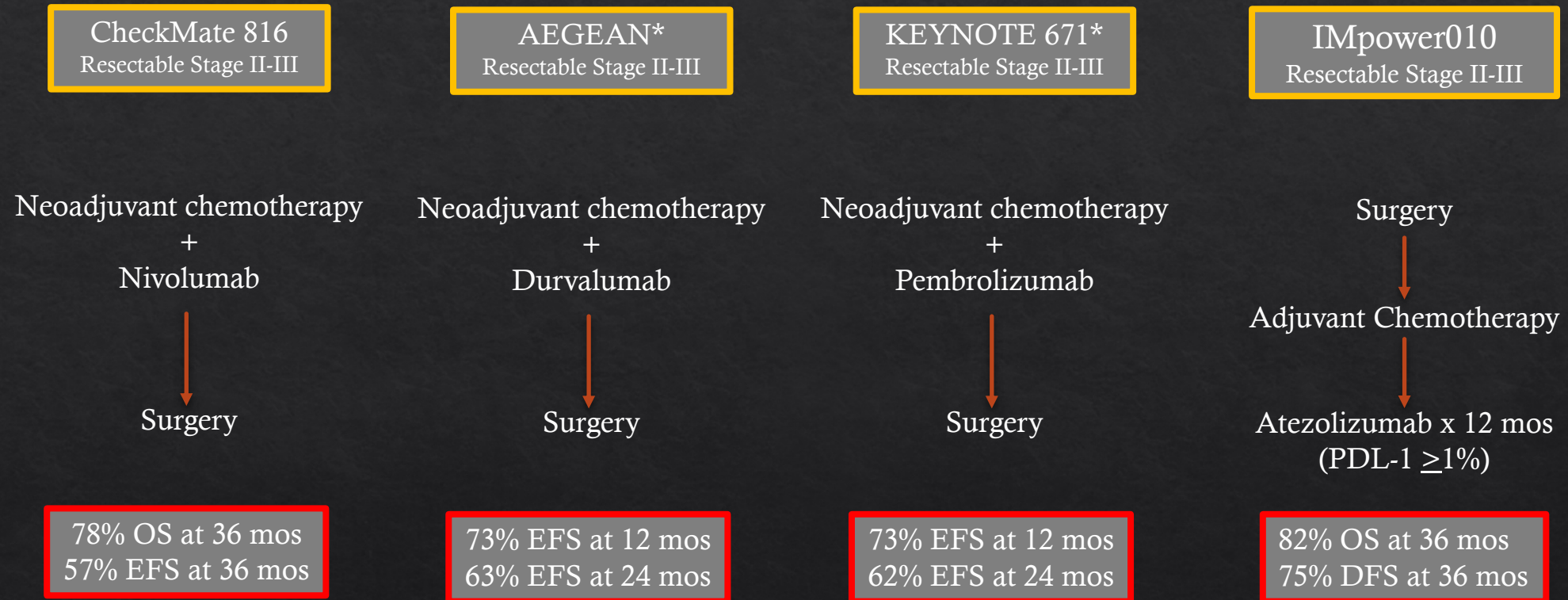
KEYNOTE 671

Event-Free Survival



EFS defined as time from randomization to first occurrence of local progression precluding planned surgery, unresectable tumor, progression or recurrence per RECIST v1.1 by investigator assessment, or death from any cause. Data cutoff date for IA1: July 29, 2022 (median follow-up, 25.2 mo [range, 7.5-50.6]).

Summary of Immunotherapy Options in Early Stage NSCLC

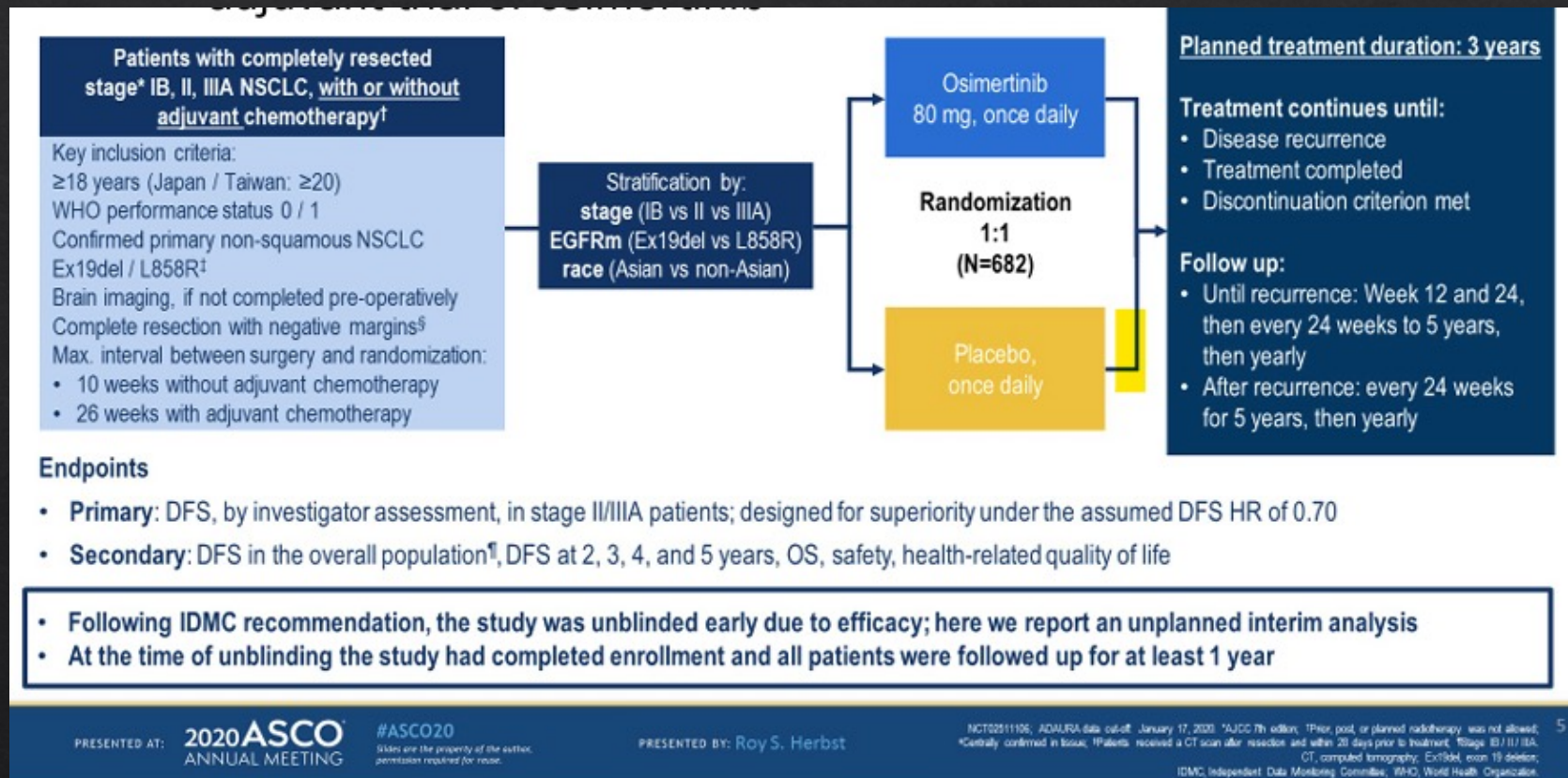


Stage I NSCLC: SBRT + IO Combinations

Study Name	Phase	Arm I SBRT	Arm II SBRT + IO	Placebo	Primary Endpoints
PACIFIC-4 N = 706	III	Standard of care 3, 4, 5 or 8 fraction regimens	SBRT followed by Durvalumab 1500 mg Q 4 w x 24 months	Yes	PFS
SWOG/NRG S1914 N = 480	III	Standard of care 3-5 fractions	Atezolizumab x Q 3 w x 2 → SBRT + Atezolizumab → Atezolizumab (8 cycles total)	No	EFS, OS
KEYNOTE-867 N = 530	III	Standard of care 3 – 5 fractions	SBRT followed by Pembrolizumab 200 mg Q 3 week x 12 months	Yes	OS

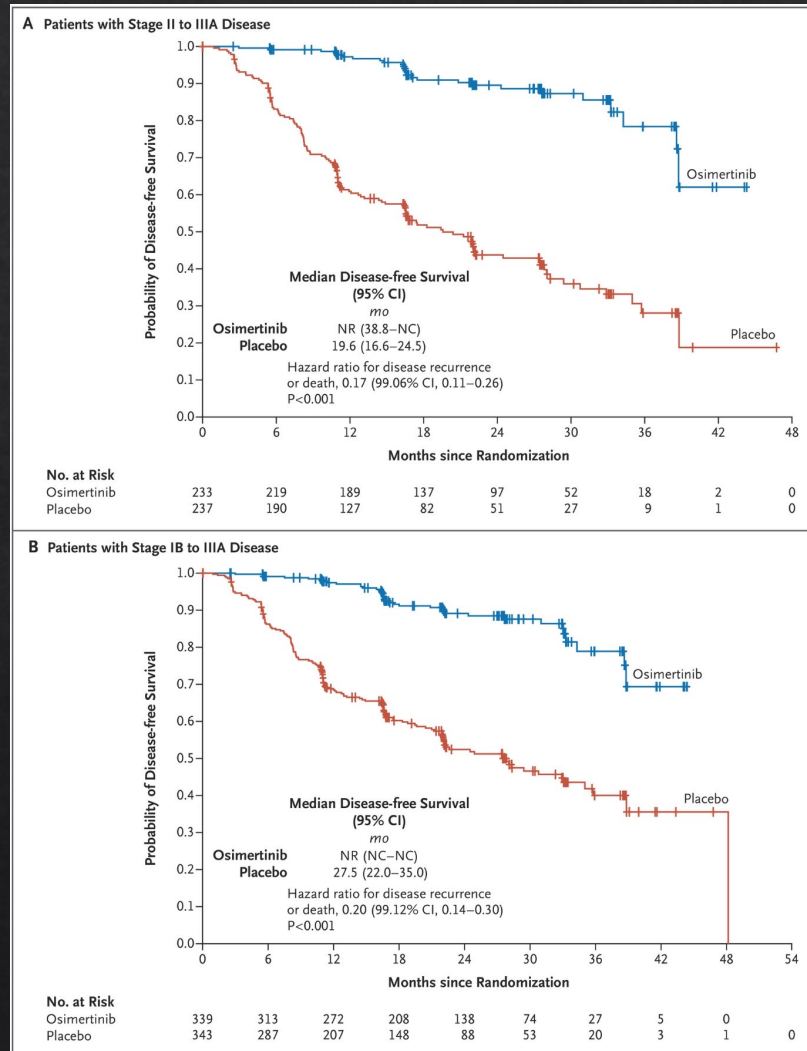
Targeted Adjuvant/Neoadjuvant Therapy

ADAURA Trial



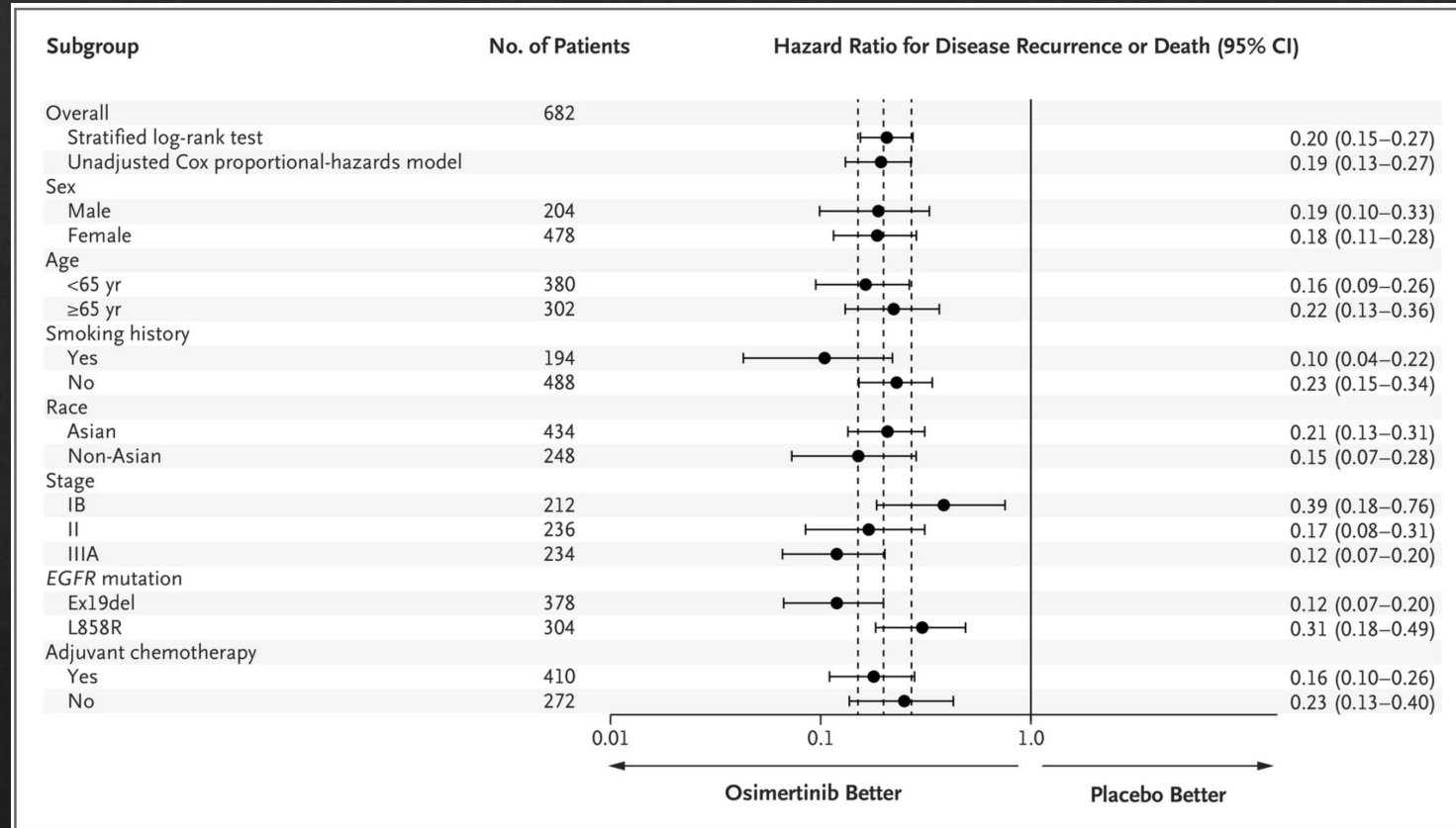
ADAURA Adjuvant Osi vs Placebo

4 Year DFS F/U

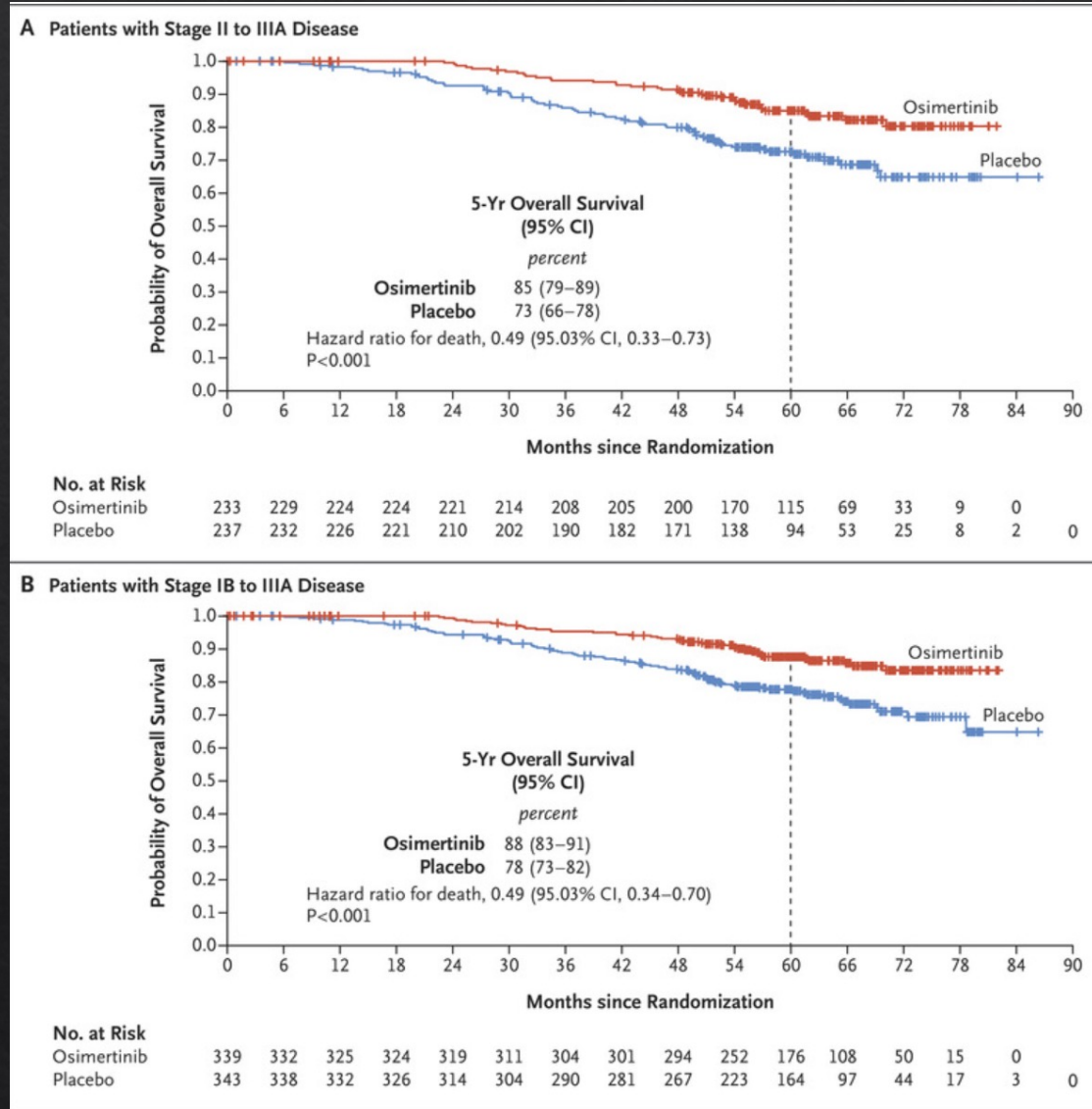


About 1/3 of patients received no adjuvant chemo

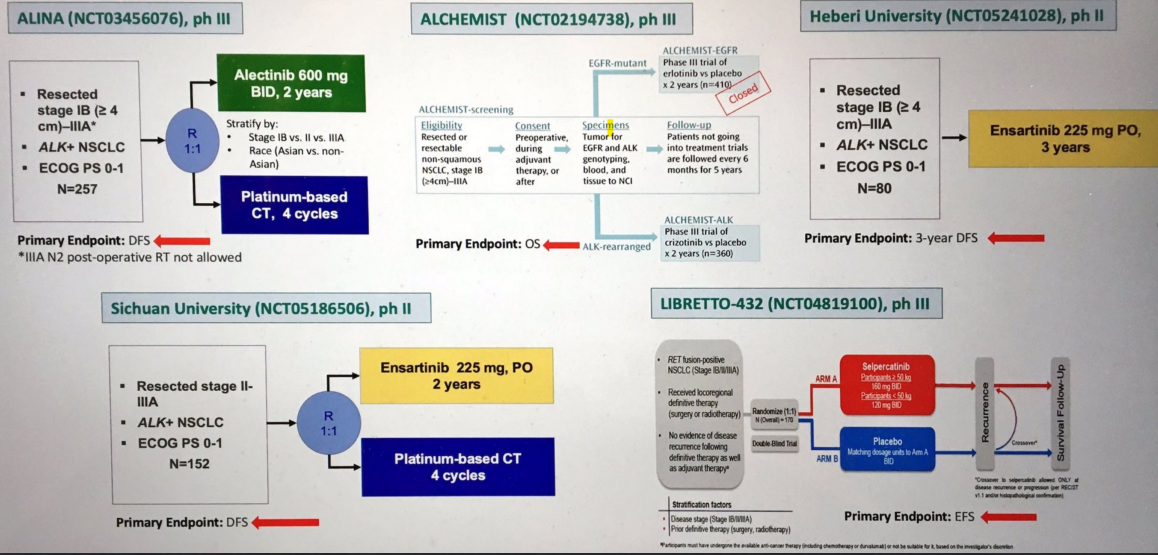
ADAURA FOREST Plot of Risk factors



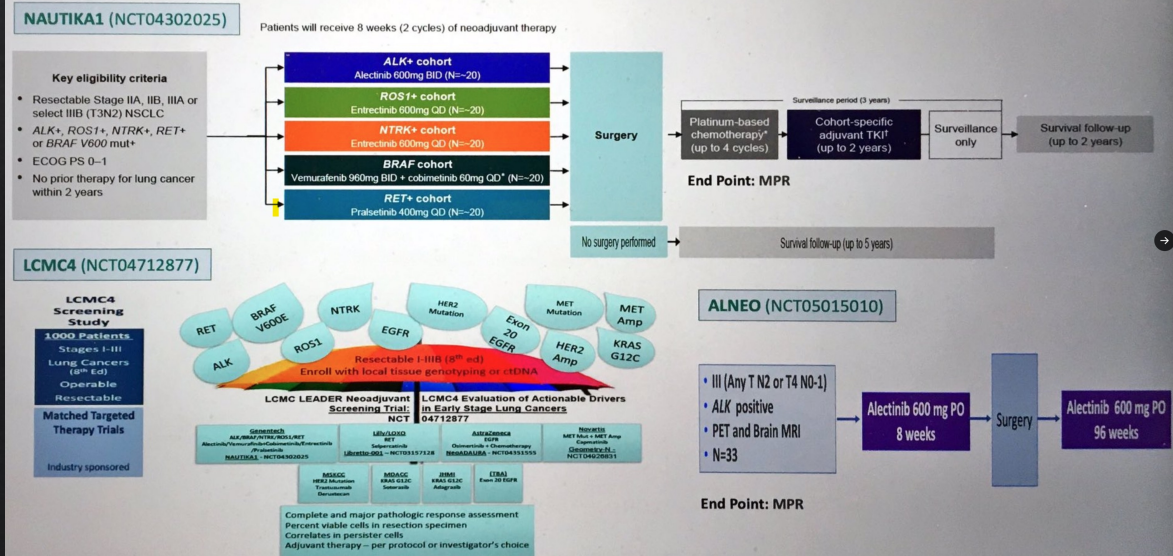
ADAURA Adjuvant Osi vs Placebo 5 Year OS F/U



Ongoing adjuvant TKI trials in ALK+, RET+



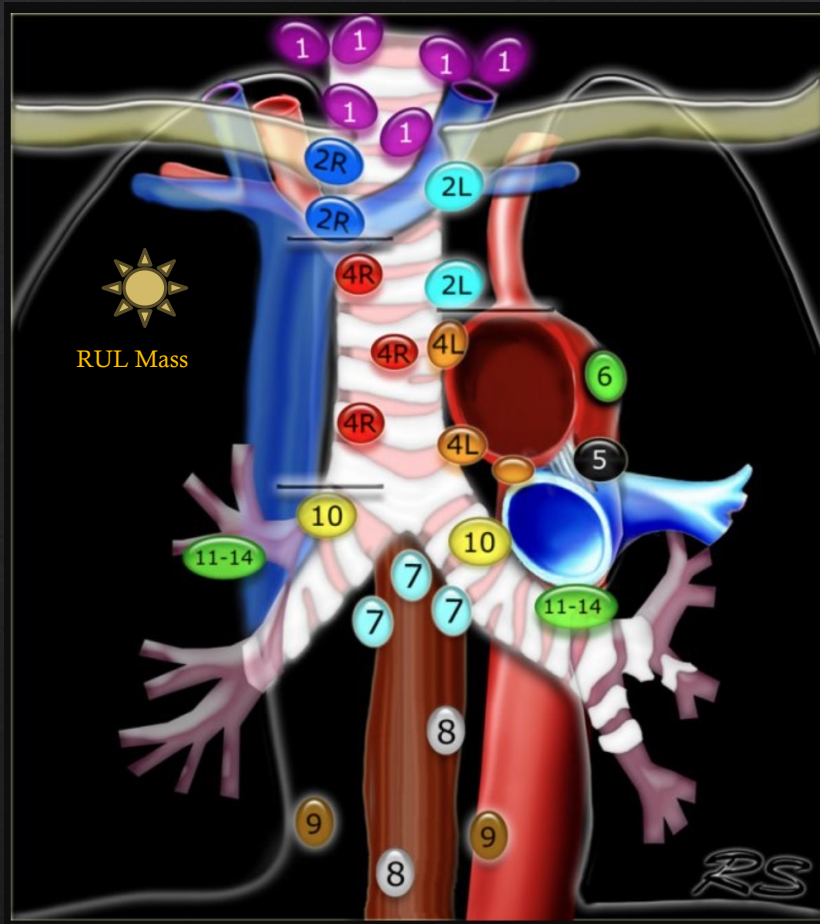
Ongoing clinical trials with NEOADJ TKI



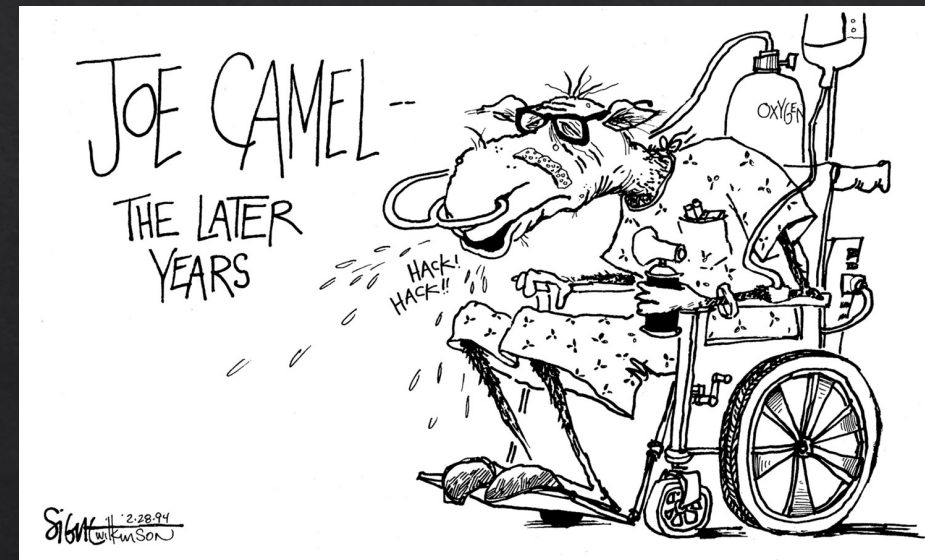
Major Remaining Questions: Neoadjuvant IO+CT & TKI Rx

- ◇ How many pre-op cycles? 2 vs 3
- ◇ Need for post-op adjuvant IO?
 - ◇ Does pCR matter?
 - ◇ Does ctDNA matter?
- ◇ Stage IB included?
- ◇ Baseline MRIs
- ◇ Does PD-L1 status matter?
- ◇ Should patients with genetic alterations receive neoadjuvant TKI (duration?) or CT/IO?

Unresectable Stage III

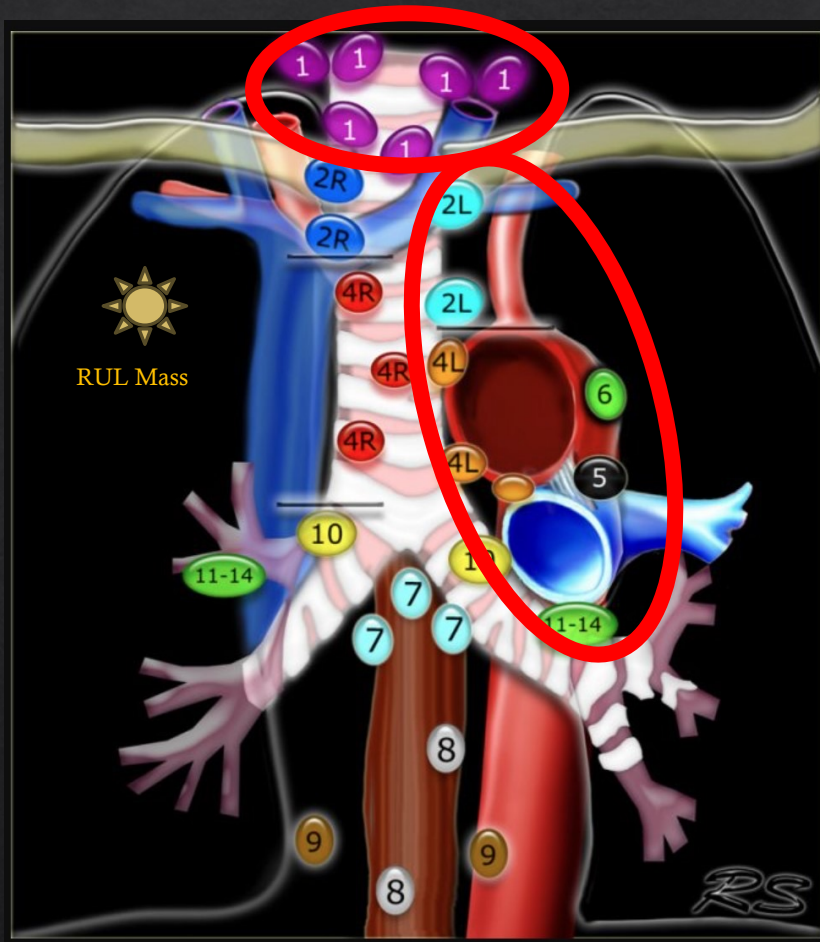


Contralateral/Supraclavicular LNs

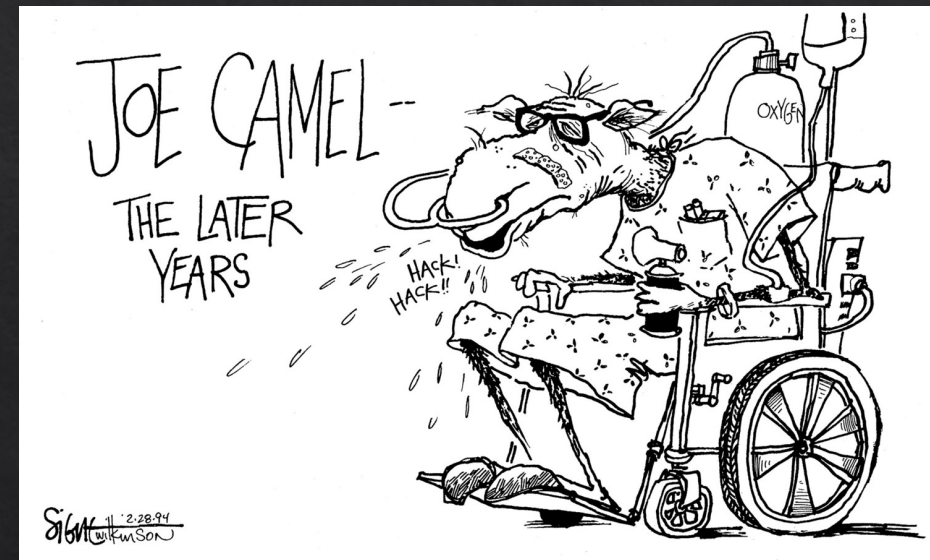


Non-surgical candidates

Unresectable Stage III



Contralateral/Supraclavicular LNs



Non-surgical candidates

PACIFIC: Phase III, Randomized, Double-blind, Placebo-controlled, Multicenter, International Study in unresectable stage III NSCLC

- Patients with stage III, locally advanced, unresectable NSCLC who have not progressed following definitive platinum-based cCRT (≥2 cycles)
- 18 years or older
- WHO PS score 0 or 1
- Estimated life expectancy of ≥12 weeks
- Archived tissue was collected

All-comers population

1–42 days
post-cCRT

R

Durvalumab
10 mg/kg q2w for
up to 12 months
N=476

2:1 randomization,
stratified by age, sex,
and smoking history
N=713

Placebo
10 mg/kg q2w for
up to 12 months
N=237

Co-primary endpoints

- PFS by BICR using RECIST v1.1*
- OS

Key secondary endpoints

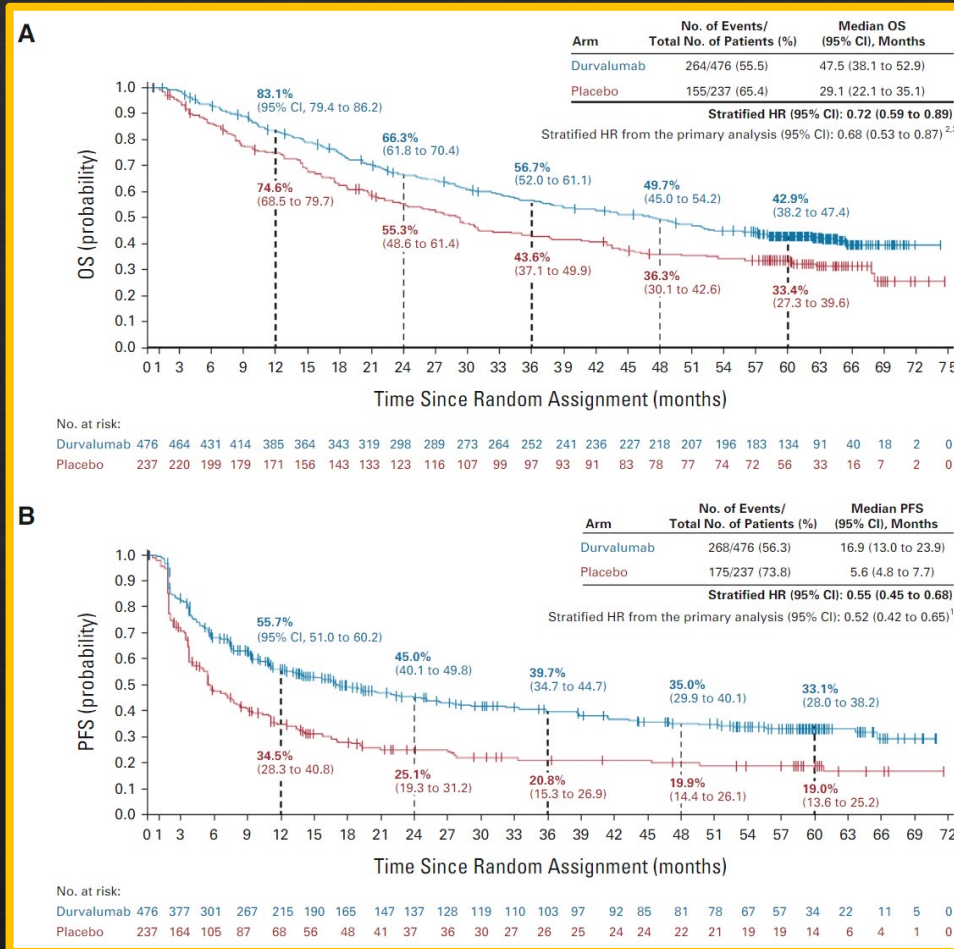
- ORR (per BICR)
- DoR (per BICR)
- Safety and tolerability
- PROs

*Defined as the time from randomization (which occurred up to 6 weeks post-cCRT) to the first documented event of tumor progression or death in the absence of progression.
ClinicalTrials.gov number: NCT02125461 BICR, blinded independent central review; cCRT, concurrent chemoradiation therapy; DoR, duration of response; NSCLC, non-small cell lung cancer; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; PROs, patient-reported outcomes; PS, performance status; q2w, every 2 weeks; RECIST, Response Evaluation Criteria in Solid Tumors; WHO, World Health Organization

PACIFIC trial

5 yr outcome

Overall Survival



Summary of Immunotherapy Options in Early Stage and Locally Advanced NSCLC

CheckMate 816
Resectable Stage II-III

AEGEAN*
Resectable Stage II-III

KEYNOTE 671*
Resectable Stage II-III

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Resectable Stage II-III

PACIFIC
Unresectable Stage III

Neoadjuvant chemotherapy
+
Nivolumab
↓
Surgery

Neoadjuvant chemotherapy
+
Durvalumab
↓
Surgery

Neoadjuvant chemotherapy
+
Pembrolizumab
↓
Surgery

Surgery
↓
Adjuvant Chemotherapy
↓
Atezolizumab x 12 mos
(PDL-1 ≥1%)

Definitive Chemo/XRT
(Stable or PR/CR)
↓
Durvalumab x 12 mos

78% OS at 36 mos
57% EFS at 36 mos

73% EFS at 12 mos
63% EFS at 24 mos

73% EFS at 12 mos
62% EFS at 24 mos

82% OS at 36 mos
75% DFS at 36 mos

57% OS at 36 mos
43% OS at 60 mos