



# Hormone Therapy in Breast Cancer: New Directions



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# Outline

- I. Early-stage HR+, HER2 negative breast cancer
  - Role of adjuvant CDK 4/6 inhibitors
    - NATALEE trial
  
- II. Advanced HR+, HER2 negative breast cancer
  - SONIA trial
  - Overcoming endocrine resistance
    - Sequencing CDK 4/6 inhibitors
    - Oral SERDs
    - Targeting the PI3K/AKT/pTEN Pathway

# EARLY BREAST CANCER

## Current Data for Adjuvant CDK 4/6 Inhibitors

	PALLAS	PENELOPE-B	monarchE	NATALEE
No of patients	5760	1250	5637	5101
Eligibility	Anatomic stage II/III	Lack of pCR after NAC, CPS EG $\geq 3$ or $\geq 2$ with ypN+	$\geq n2$ or $n1$ w/at least G3 tumor, $\geq 5$ cm, Ki-67 $\geq 20\%$	Included high risk N0 defined as G3 or G2 w/high genomic risk or Ki-67 $\geq 20\%$
Treatment	Palbociclib 2 years	Palbociclib 1 year	Abemaciclib 2 years	Ribociclib 3 years *400 mg
Discontinuation rate	42%	19.5%	27.7%	21%
IDFS	88.2% (palbociclib) vs 88.5% (endocrine therapy)	73.5% (palbociclib) vs 72.4% (endocrine therapy) at 4 years	92.2% (abemaciclib) vs 88.7% (endocrine therapy)	90.4% (ribociclib) vs 87.1% (endocrine therapy)
DRFS	89.3% vs 90.7%	-	93.8% vs 90.8%	90.8% vs 88.6%

# NATALEE Study Design<sup>1,2</sup>

- Adult patients with HR+/HER2- EBC
- Prior ET allowed up to 12 mo
- **Anatomical stage IIA<sup>a</sup>**
  - **N0** with:
    - Grade 2 and evidence of high risk:
      - Ki-67 ≥ 20%
      - Oncotype DX Breast Recurrence Score ≥ 26 or
      - High risk via genomic risk profiling
    - Grade 3
  - **N1**
- **Anatomical stage IIB<sup>a</sup>**
  - N0 or N1
- **Anatomical stage III**
  - N0, N1, N2, or N3

**N = 5101<sup>b</sup>**

**R 1:1<sup>c</sup>**

## Ribociclib

400 mg/day  
3 weeks on/1 week off  
for 3 y

## NSAI

Letrozole or  
anastrozole<sup>d</sup> for ≥ 5 y  
+ **goserelin** in men  
and premenopausal  
women

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## Primary End Point

- iDFS using STEEP criteria

## Secondary End Points

- Recurrence-free survival
- Distant disease-free survival
- OS
- PROs
- Safety and tolerability
- PK

## Exploratory End Points

- Locoregional recurrence-free survival
- Gene expression and alterations in tumor ctDNA/ctRNA samples

### Randomization stratification

**Anatomical stage:** II vs III

**Menopausal status:** men and premenopausal women vs postmenopausal women

**Receipt of prior (neo)adjuvant chemotherapy:** yes vs no

**Geographic location:** North America/Western Europe/Oceania vs rest of world

<sup>a</sup> Enrollment of patients with stage II disease was capped at 40%. <sup>b</sup> 5101 patients were randomized from 10 Jan 2019 to 20 April 2021. <sup>c</sup> Open-label design. <sup>d</sup> Per investigator choice.

CT, chemotherapy; ctDNA/RNA, circulating tumor DNA/RNA; EBC, early breast cancer; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; iDFS, invasive disease-free survival; N, node; NSAI, nonsteroidal aromatase inhibitor; OS, overall survival; PAM50, prediction analysis of microarray 50; PK, pharmacokinetics; PRO, patient reported outcome; R, randomized; STEEP, Standardized Definitions for Efficacy End Points in Adjuvant Breast Cancer Trials.

1. ClinicalTrials.gov. <https://clinicaltrials.gov/ct2/show/NCT03701334>. Accessed April 6 2023. 2. Slamon DJ, et al. *J Clin Oncol*. 2019;37(15 suppl) [abstract TPS597].

# NATALEE study design: unique features

- Adult patients with HR+/HER2- EBC
- Prior ET allowed up to 12 mo

## Anatomical stage

### IIA<sup>a</sup>

• N0 with:

- Grade 2 and evidence of high risk:
  - Ki-67 ≥ 20%
  - Oncotype DX Breast Recurrence Score ≥ 26 or
  - High risk via genomic risk profiling
- Grade 3

### N1

## Anatomical stage

### IIA<sup>a</sup>

• N0 or N1

## Anatomical stage III

• N0, N1, N2, or N3

N = 5101<sup>b</sup>

## Ribociclib

400 mg/day  
3 weeks on/1 week off  
for 3 y

**Rationale for 400 mg RIB**  
To improve tolerability while maintaining efficacy

**Rationale for broad population of patients**  
Patients with stage II and III HR+/HER2- EBC, including those with no nodal involvement, are at risk of disease recurrence up to decades after initial diagnosis<sup>3,4</sup>

**Rationale for 3-year treatment duration**  
Extended duration of treatment is crucial to prolong cell cycle arrest and drive more tumor cells into irreversible senescence<sup>5-7</sup>

## Randomization stratification

**Anatomical stage:** II vs III

**Menopausal status:** men and premenopausal women vs postmenopausal women

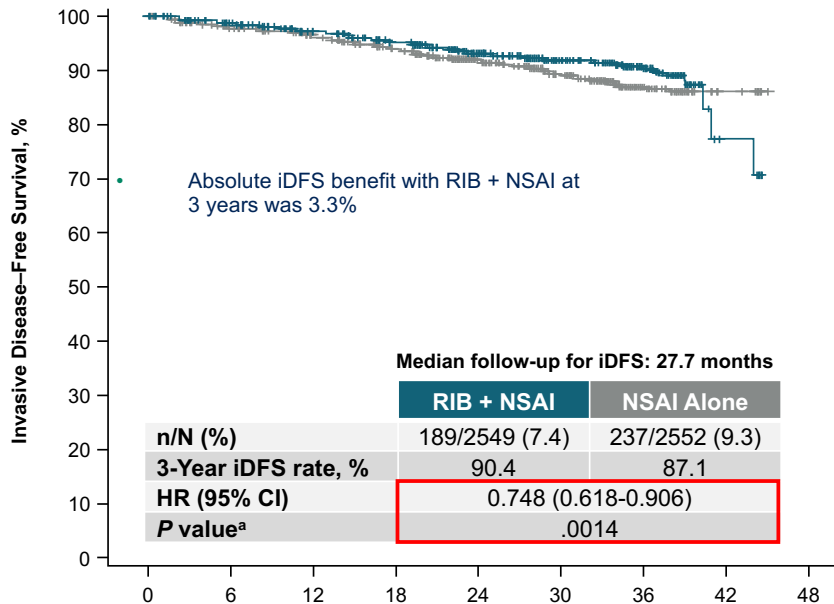
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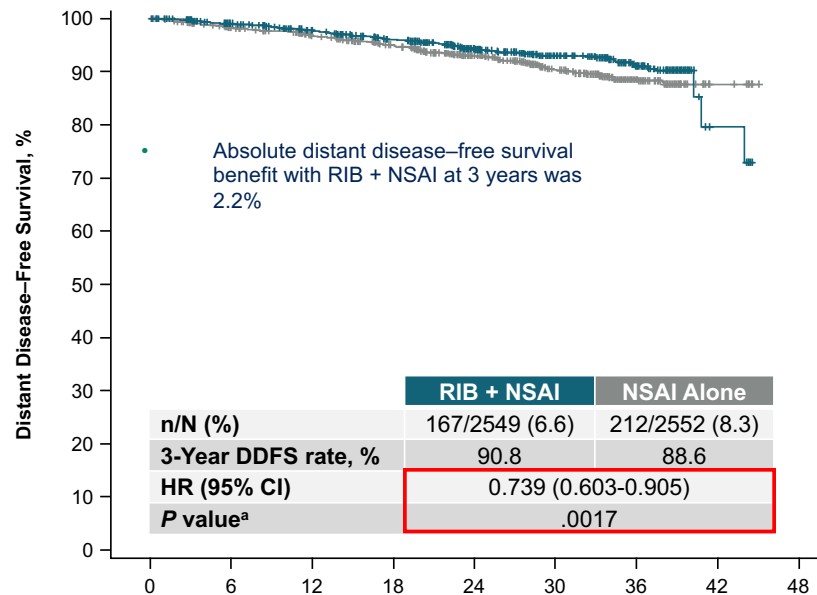
<sup>a</sup> Enrollment of patients with stage II disease was capped at 40%. <sup>b</sup> 5101 patients were randomized from 10 Jan 2019 to 20 April 2021. <sup>c</sup> Open-label design. <sup>d</sup> Per investigator choice. CT, chemotherapy; ctDNA/RNA, circulating tumor DNA/RNA; EBC, early breast cancer; HER2, human epidermal growth factor receptor 2; HR, hormone receptor; IDFS, invasive disease-free survival; N, node; NSAI, nonsteroidal aromatase inhibitor; OS, overall survival; PAM50, prediction analysis of microarray 50; PK, pharmacokinetics; PRO, patient reported outcome; R, randomized; STEEP, Standardized Definitions for Efficacy End Points in Adjuvant Breast Cancer Trials.

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# IDFS and DDFS

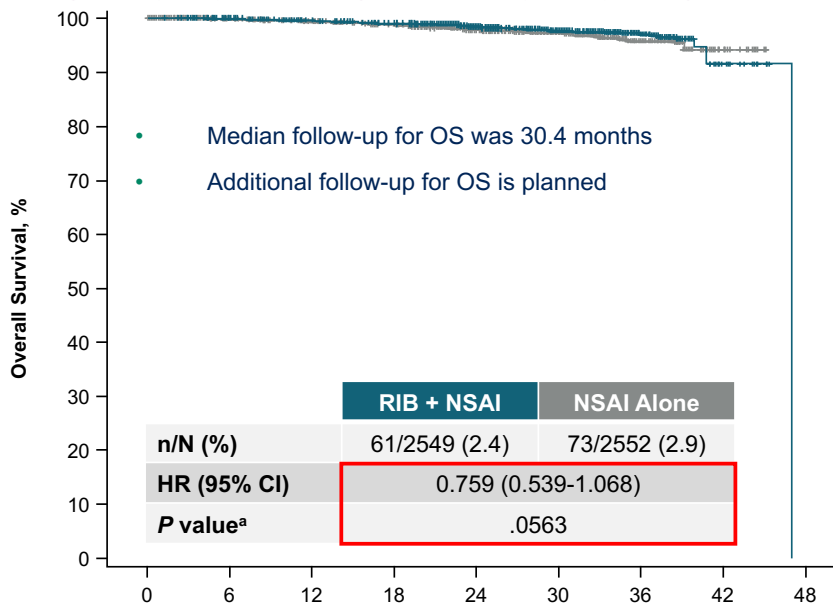


	Months								
No. at risk	0	6	12	18	24	30	36	42	48
RIB + NSAI	2549	2350	2274	2193	1718	1111	311	12	0
NSAI alone	2552	2240	2166	2071	1631	1067	286	13	0



	Months								
No. at risk	0	6	12	18	24	30	36	42	48
RIB + NSAI	2549	2352	2280	2199	1729	1119	311	12	0
NSAI alone	2552	2244	2168	2080	1643	1076	288	13	0

# Overall Survival and AEs



No. at risk	Months								
	0	6	12	18	24	30	36	42	48
RIB + NSAI	2549	2405	2337	2303	1905	1338	451	21	0
NSAI alone	2552	2303	2256	2209	1823	1273	385	22	0

AEIs, %	RIB + NSAI n = 2524		NSAI Alone n = 2444	
	Any Grade	Grade ≥ 3	Any Grade	Grade ≥ 3
Neutropenia <sup>a</sup>	62.1	43.8	4.5	0.8
Febrile neutropenia	0.3	0.3	0	0
Liver-related AEs <sup>b</sup>	25.4	8.3	10.6	1.5
QT interval prolongation <sup>c</sup>	5.2	1.0	1.2	0.5
ECG QT prolonged	4.2	0.2	0.7	0
ILD pneumonitis <sup>d</sup>	1.5	0	0.8	0.1
<b>Other clinically relevant AEs,%</b>				
Arthralgia	36.5	1.0	42.5	1.3
Nausea	23.0	0.2	7.5	0.04
Headache	22.0	0.4	16.5	0.2
Fatigue	21.9	0.7	12.7	0.2
Diarrhea	14.2	0.6	5.4	0.1
VTE	1.4	0.6	0.6	0.2

- The most frequent all-grade AEs (RIB + NSAI vs NSAI alone) leading to discontinuation were:
  - Liver-related AEs: 8.9% vs 0.1%
  - Arthralgia: 1.3% vs 1.9%
- Most of the AE discontinuations of RIB occurred early in treatment
  - Median time of these discontinuations was 4 months

AE, adverse event; AEIs, adverse event of special interest; ILD, interstitial lung disease; MedDRA, Medical Dictionary for Regulatory Activities; NSAI, nonsteroidal aromatase inhibitor; RIB, ribociclib.  
<sup>a</sup> This is a grouped term that combines neutropenia and neutrophil count decreased. <sup>b</sup> This is a grouped term that includes all preferred terms identified by standardized MedDRA queries for drug-related hepatic disorders. <sup>c</sup> This is a grouped term. <sup>d</sup> This is a grouped term that includes all preferred terms identified by standardized MedDRA queries for interstitial lung disease.



## Summary NATALEE

- Ribociclib improves IDFS, DDFS in high-risk HR+/HER2- early breast cancer
  - High risk is defined more broadly- expanded definition to include any lymph node positive disease, node negative with high risk features
  - Administered at 400 mg for 3 years
  - Approximately 20% of patients completed 3 years at report - short term follow-up
- Ribociclib is not yet FDA approved in early breast cancer
- Who really needs adjuvant CDK 4/6 inhibitors beyond stage II or III patients?
  - ctDNA

# Current Data for Adjuvant CDK 4/6 Inhibitors

## Abemaciclib

- High risk disease - node positive
- 2 years, continuous dosing
- Same dosing in metastatic trials – 150 mg twice daily
- Adverse effects profile – diarrhea, fatigue, LFT increase
- Longer follow-up data available now including efficacy in subpopulations
- FDA approved in Oct 2021

## Ribociclib

- High risk disease included any lymph node positive disease, and N0 high risk
- 3 years, intermittent dosing
- 400 mg (dose reduced from metastatic trials)
- Adverse effects profile - less incidence of QTc prolongation and neutropenia due to lower dose
- Shorter follow-up data available
- Not yet FDA approved for this indication

# ADVANCED BREAST CANCER

## CDK 4/6 Inhibitors for Metastatic Breast Cancer

	PALOMA-2	MONALESSA-2	MONARCH-3
Study Design	Phase III first line	Phase III first line	Phase III first line
Endocrine Partner	Letrozole	Letrozole	Letrozole or anastrozole
CDK 4/6 Inhibitor	Palbociclib	Ribociclib	Abemaciclib
Patients, N	666	668	493
HR	0.58	0.56	0.54
PFS, mos	24.8 vs. 14.5	25.3 vs. 16	28.2 vs. 14.8
ORR, %	55.3 vs. 44.4	52.7 vs. 37.1	59 vs. 44
OS, mos	53.9 vs 51.9	63.9 vs 51.4	67.1 vs 54.5

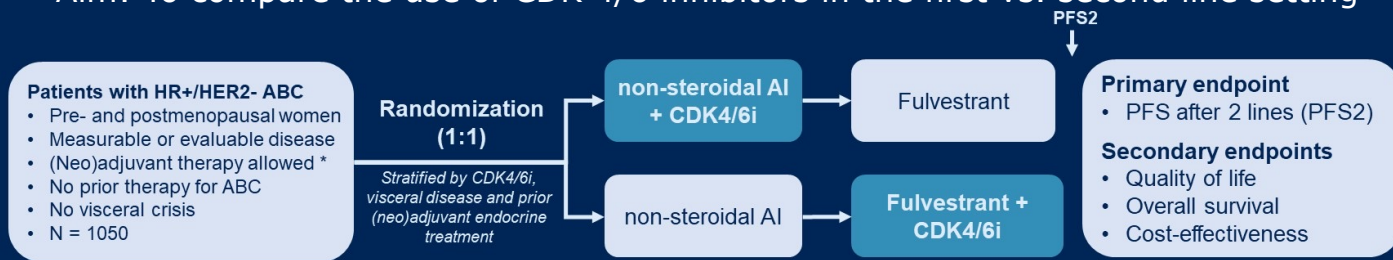
- Combination CDK 4/6i and endocrine therapy:
  - Higher risk of emergence of resistance mutation patterns
  - Increased toxicity and cost

HR = hazard ratio. PFS = progression-free survival. ORR = overall response rate. OS = overall survival

Source: Finn RS, et al. Palbociclib and Letrozole in Advanced Breast Cancer. *N Engl J Med.* 2016 Nov 17;375(20):1925-1936. doi: 10.1056/NEJMoa1607303. PMID: 27959613.  
 Hortobagyi GN, et al. Overall Survival with Ribociclib plus Letrozole in Advanced Breast Cancer. *N Engl J Med.* 2022 Mar 10;386(10):942-950. doi: 10.1056/NEJMoa2114663. PMID: 35263519.  
 Goetz MP, et al. MONARCH 3: Abemaciclib As Initial Therapy for Advanced Breast Cancer. *J Clin Oncol.* 2017 Nov 10;35(32):3638-3646. doi: 10.1200/JCO.2017.75.6155. Epub 2017 Oct 2. PMID: 28968163.

# SONIA Trial Design

Aim: To compare the use of CDK 4/6 inhibitors in the first vs. second line setting



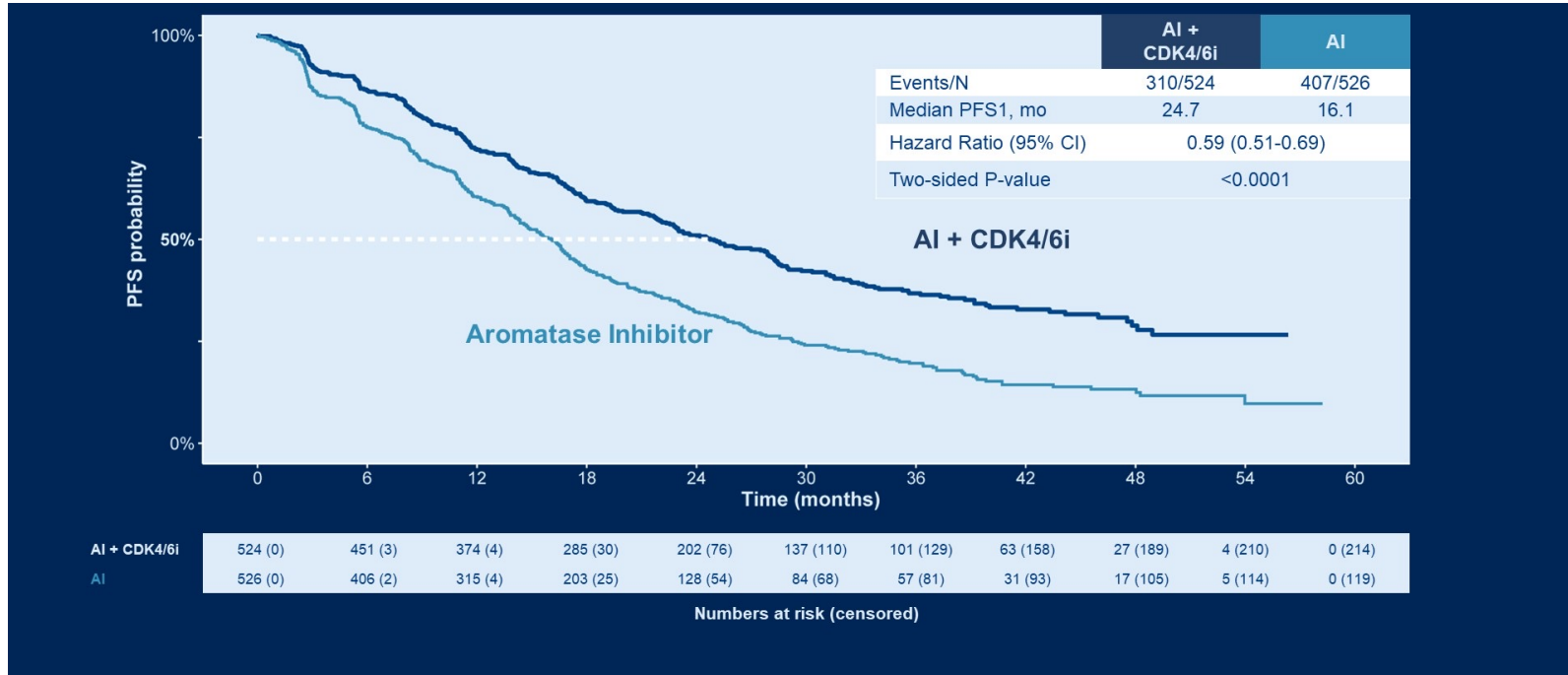
- Tumor assessments every 12 weeks
- PFS locally assessed per RECIST v1.1
- Primary analysis planned after 574 PFS2 events
  - 89% power to detect superiority according to ESMO MCBS (HR lower limit CI  $\leq 0.65$  and  $\Delta \geq 3$  months) with two-sided  $\alpha=5\%$ <sup>1</sup>

HR+, hormone receptor positive; HER2-, HER2 negative; ABC, advanced breast cancer; AI, aromatase inhibitor; PFS, progression-free survival  
 \* disease-free interval after non-steroidal aromatase inhibitor >12 months. ClinicalTrials.gov (NCT03425838)  
 1. Cherny NI, et al. Ann Oncol 2017

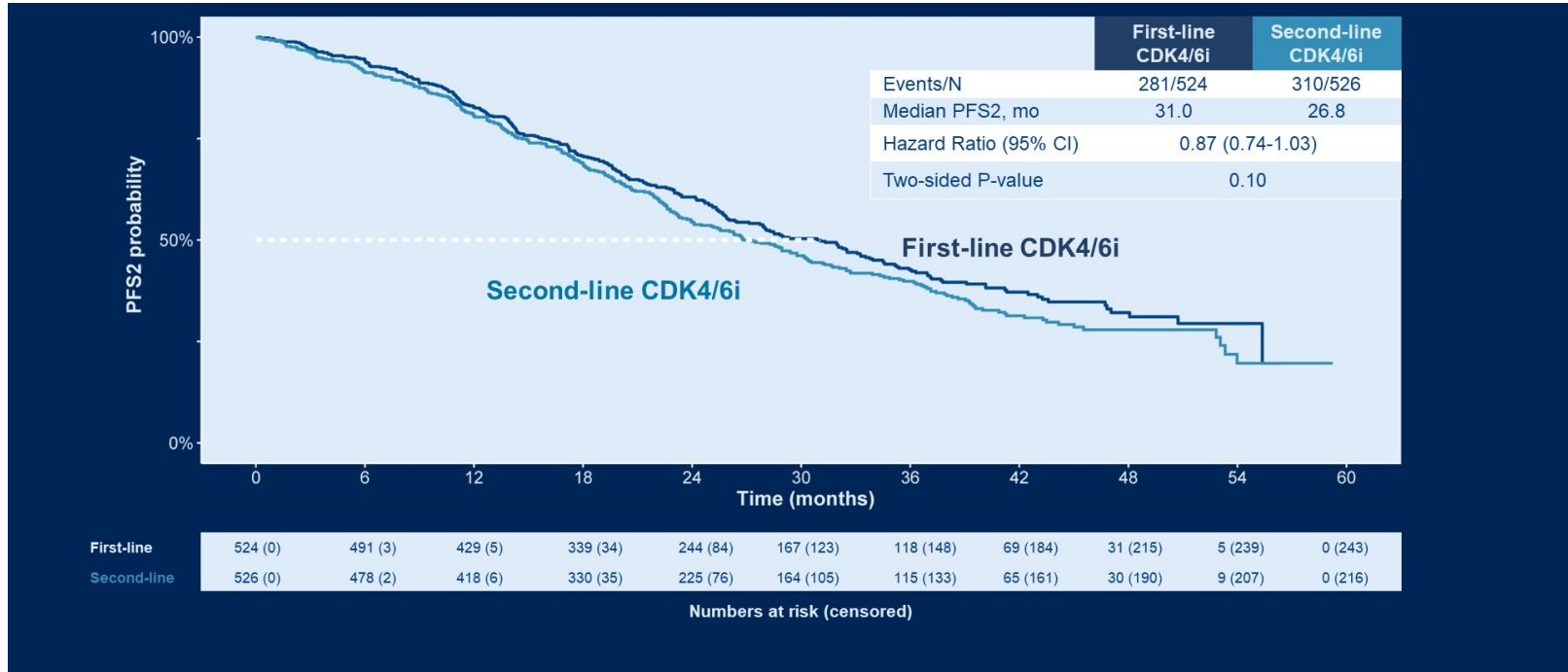
# SONIA Baseline Characteristics

	First-line CDK4/6i N=524	Second-line CDK4/6i N=526
Median age, years (range)	64 (24-88)	63 (25-87)
WHO PS, n (%)	0	257 (49)
	≥1	267 (51)
Menopausal status, n (%)	Pre- / perimenopausal	76 (14)
	Postmenopausal	455 (87)
	Newly diagnosed	182 (35)
Disease-free interval, n (%)	≤24 months	98 (19)
	>24 months	246 (47)
	Chemotherapy	212 (40)
Prior (neo)adjuvant therapy, n (%)	Endocrine therapy	254 (48)
	Visceral disease	292 (56)
Metastatic site, n (%)	Bone-only disease	91 (17)
	Measurable disease, n (%)	315 (60)
Type of CDK4/6i, n (%)	Palbociclib	479 (91)
	Ribociclib	42 (8)
	Abemaciclib	3 (1)

# SONIA Progression-free Survival in First Line

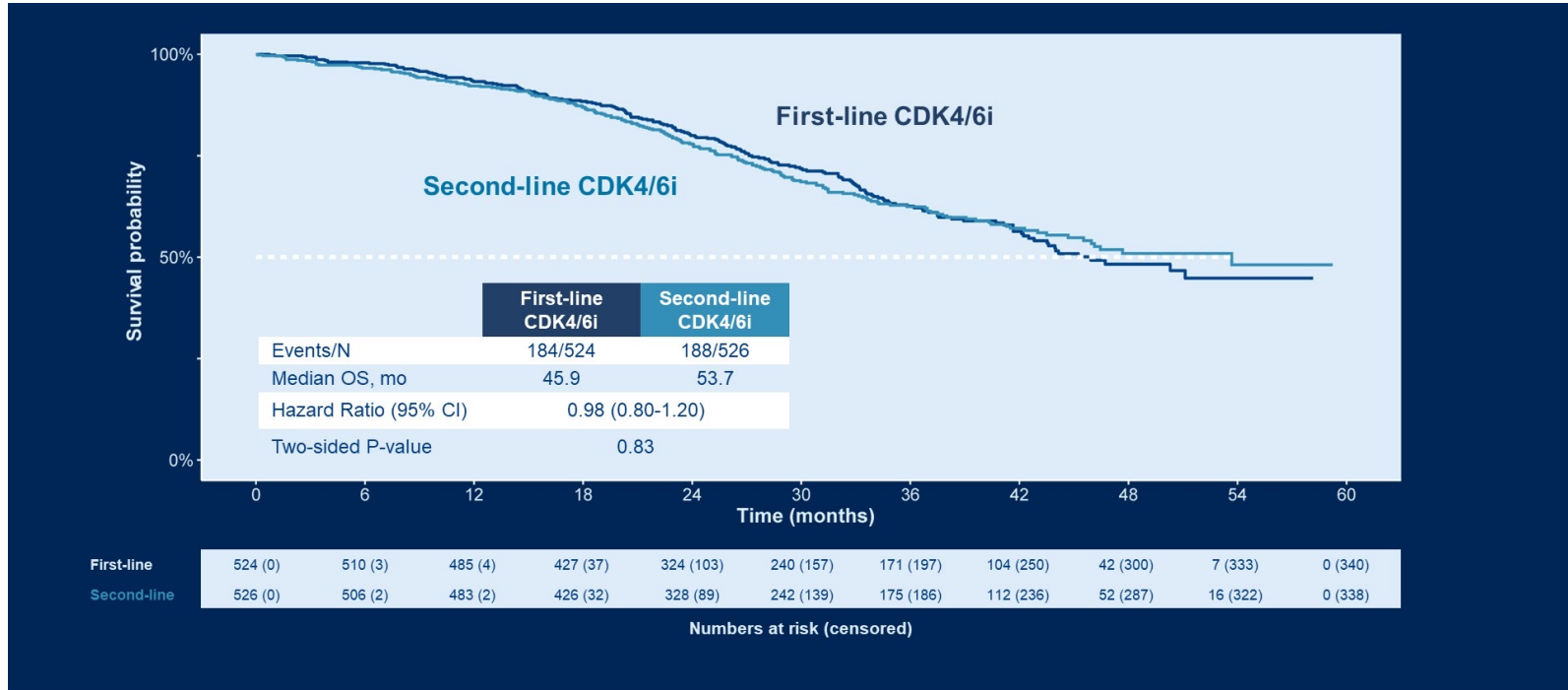


# SONIA Primary Endpoint: PFS2





# SONIA Overall Survival



# SONIA – Summary of Main Findings

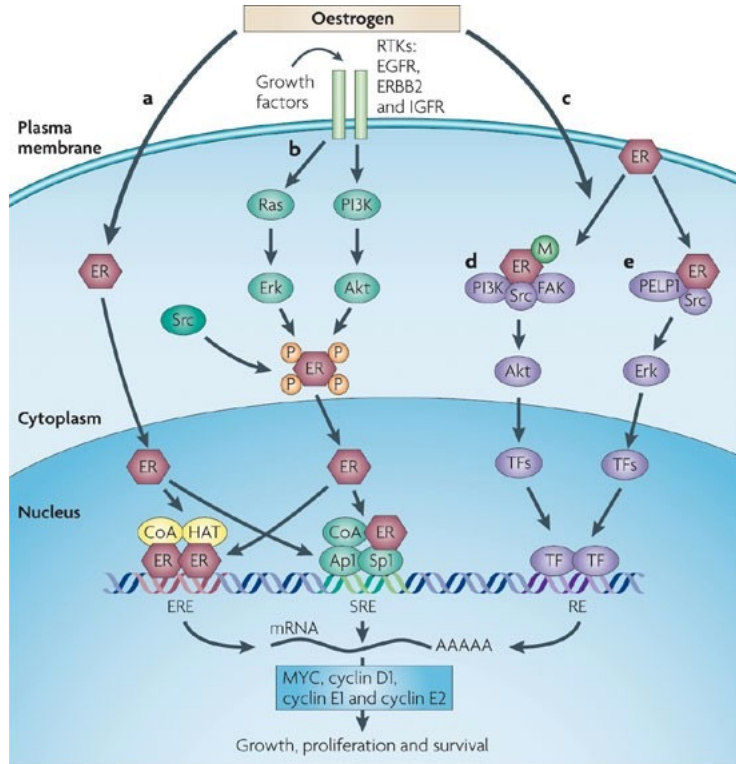
## CDK4/6 inhibition in first-line compared to second-line

- Does not improve Progression-Free Survival
- Does not improve Overall Survival
- Does not improve Quality of Life
- Extends time on CDK4/6i by 16.5 months
- Increases incidence of grade 3-4 toxicity by 42%
- Increases drug expenditure by \$200,000 per patient<sup>1</sup>

1. CMS drug prices: CMS.gov, Centers for Medicare & Medicaid Services

## SONIA – Conclusions

- Do all patients need a CDK 4/6i in the first line setting?
  - How do we determine which subset of pts could be appropriate to not receive 1<sup>st</sup> line CDK 4/6i?
    - ctDNA?
- Does the CDK 4/6i matter?
  - 90% pts rec'd Palbociclib; OS data, adjuvant data for ribo and abema
- SONIA challenges the need for CDK 4/6i upfront for all pts

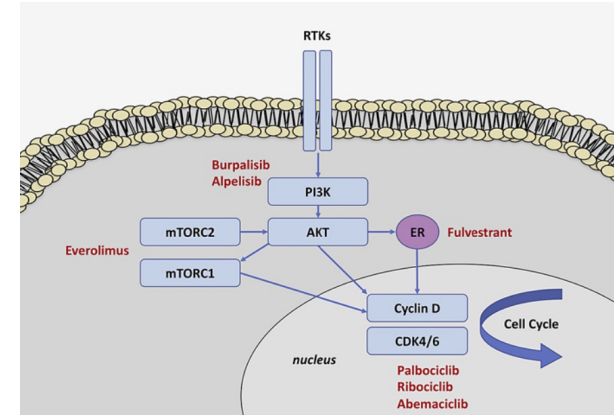


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# OVERCOMING ENDOCRINE RESISTANCE

# Overcoming Endocrine Resistance: What do we do post progression on CDK 4/6i?

- Shorter PFS, heterogeneity
- Primary endocrine resistance:
  - relapse within 2 years of adjuvant endocrine treatment for EBC
  - disease progression during the first 6 months of first-line endocrine therapy for ABC
- Secondary endocrine resistance:
  - relapse that occurs after at least 2 years of endocrine therapy and during or within the first year of completing adjuvant endocrine therapy for EBC
  - disease progression after more than 6 months of endocrine therapy for ABC
- NGS: ESR1, PIK3CA, AKT, PTEN
- Comorbidities
- Patient goals, toxicity

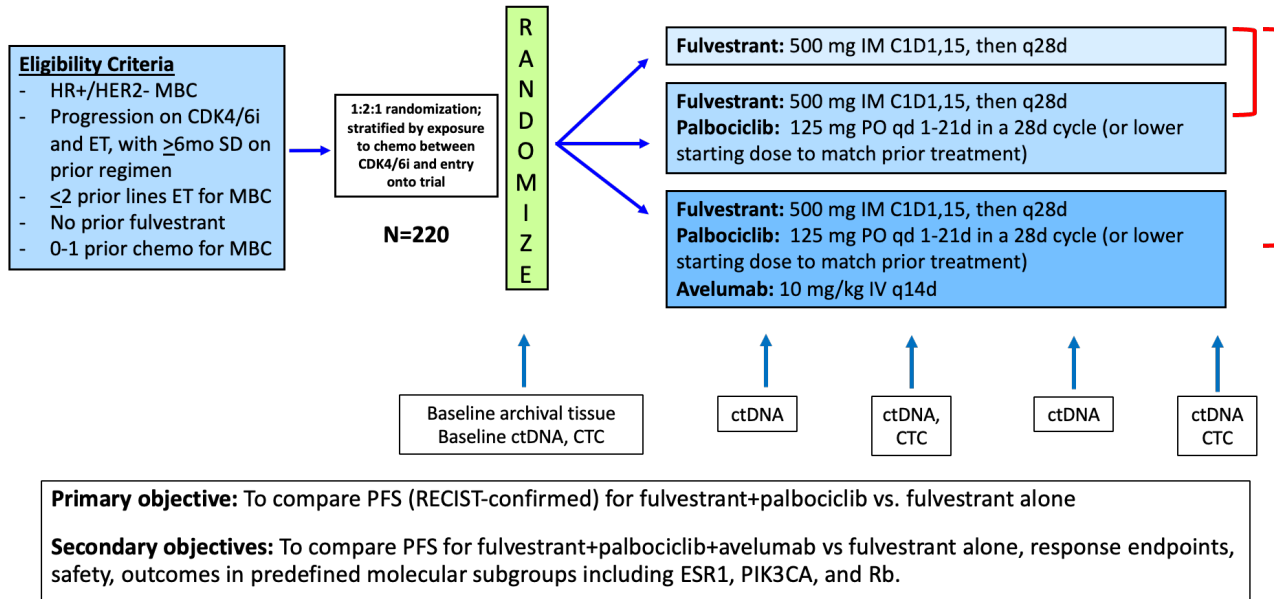


# Sequencing CDK 4/6 Inhibitors Post Progression

	MAINTAIN	PACE	PALMIRA
Patients (n)	120	220	198
1 <sup>st</sup> line CDK 4/6 inhibitor	Palbociclib (84%)	Palbociclib (90%)	Palbociclib (100%)
Endo rx	Fulvestrant (83%)	Fulvestrant (100%)	Fulvestrant (90%)
Subsequent CDK 4/6i	Ribociclib	Palbociclib	Palbociclib
PFS endo rx and CDK 4/6i	5.3 months	4.6 months	4.9 months
PFS endo rx	2.8 months	4.8 months	3.6 months

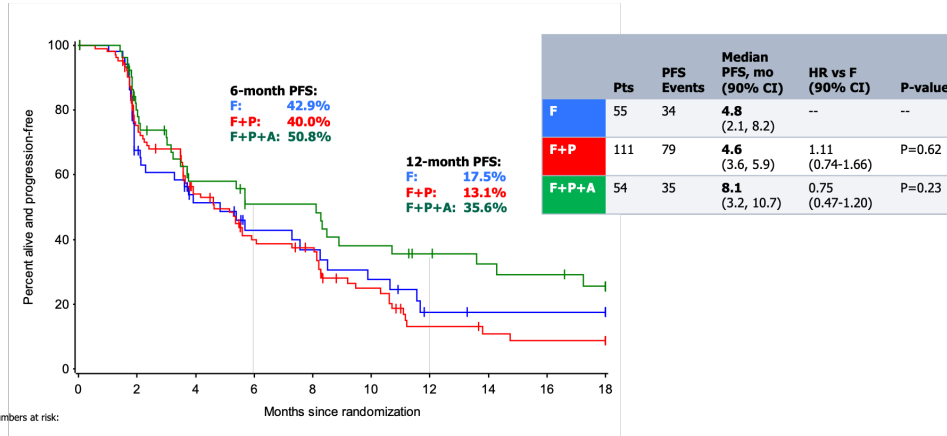
# PACE Trial Schema

- Designed to explore activity of continuation of CDK 4/6 inhibitor beyond progression, with a change of endocrine therapy to fulvestrant and to explore the addition of a PD-L1 inhibitor, avelumab

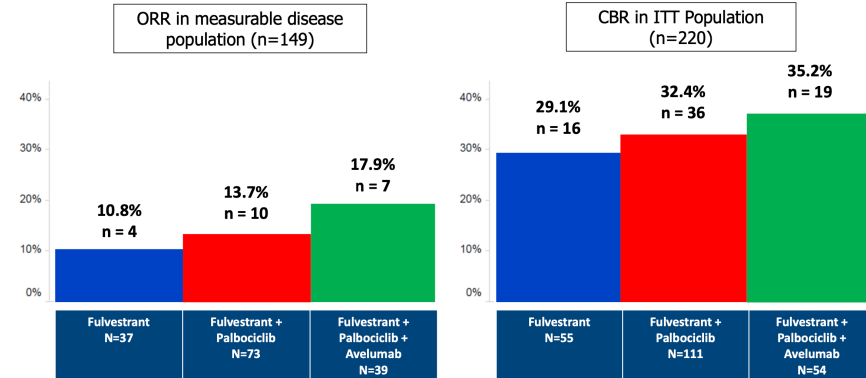


# PACE PFS, ORR, CBR

## PACE: Progression Free Survival ITT



## PACE: Response Endpoints



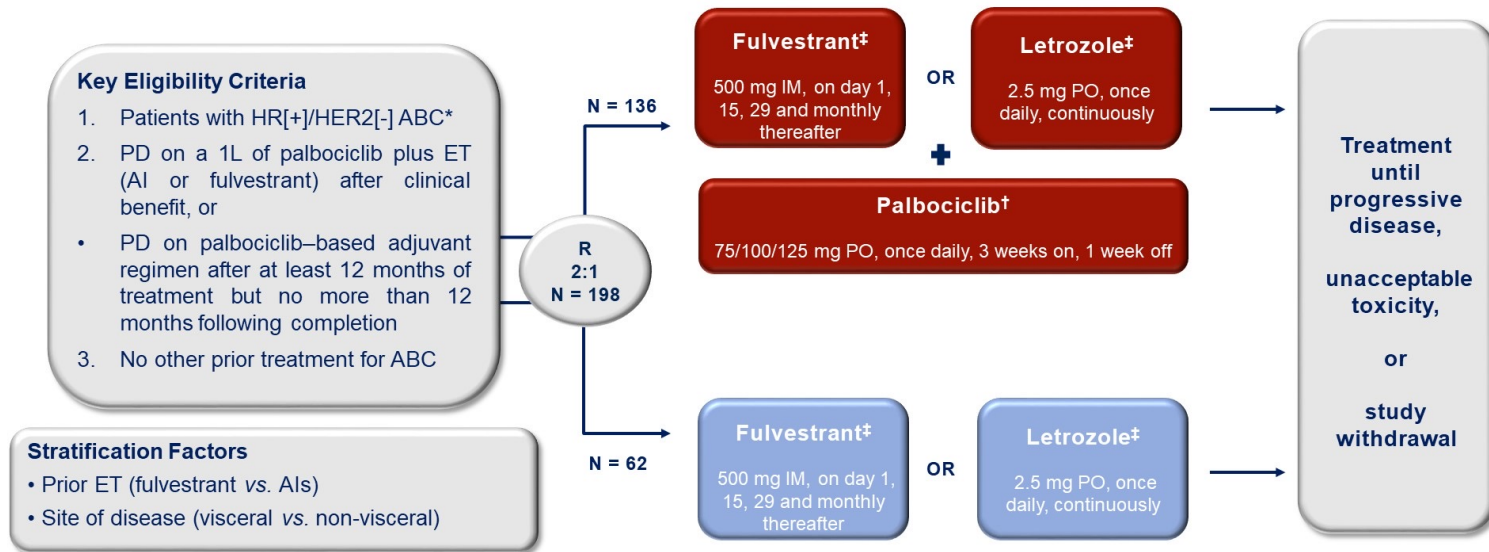
- Primary endpoint not met
- PFS 4.6 mos vs 4.8 mos w/ful alone
- Triplet nearly doubles PFS to 8.1 mos



# PALMIRA Trial Schema

## PALMIRA Study Design (NCT03809988)

4



1L: First-line; ABC: Advanced breast cancer; AI: Aromatase inhibitors; ET: Endocrine therapy; HER2[-]: Human Epidermal Growth Factor Receptor 2-negative; HR[+]: Hormone receptor-positive; IM: Intramuscular injection; PO: oral administration; PD: Progressive disease; R: Randomization.

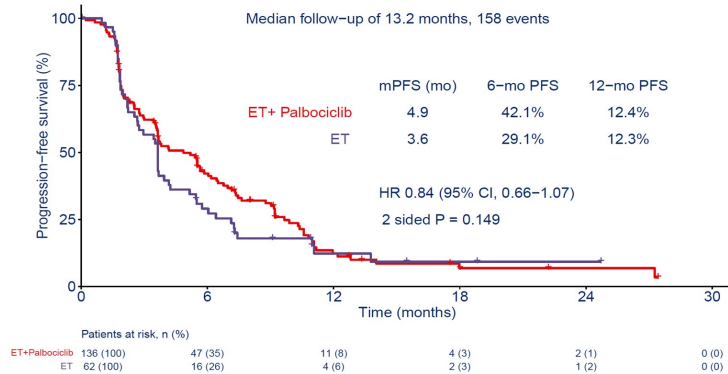
\*If pre-menopausal, ovarian function suppression method required.

<sup>‡</sup>Palbociclib dose could be reduced until 75 mg. If a dose reduction below 75 mg is required, treatment must be discontinued.

<sup>‡</sup>Administration of endocrine therapy was chosen depending on the prior administered agent.

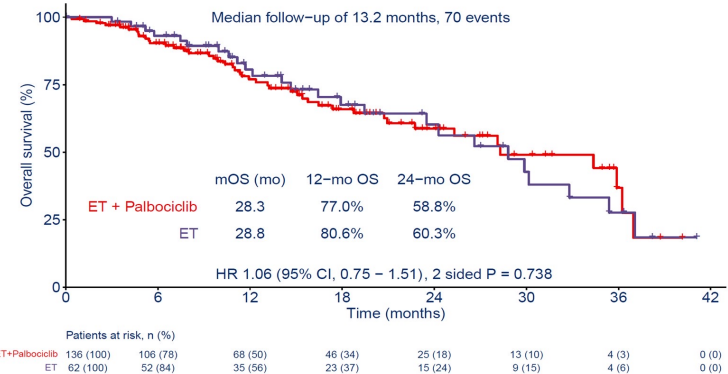
# PALMIRA PFS and OS

## Primary Objective: Investigator-assessed PFS (ITT Population)



CI: Confidence interval; HR: Hazard ratio; ITT: Intention to treat; mo: Months; mPFS: Median progression-free survival; PFS: Progression-free survival

## Overall Survival (ITT Population)



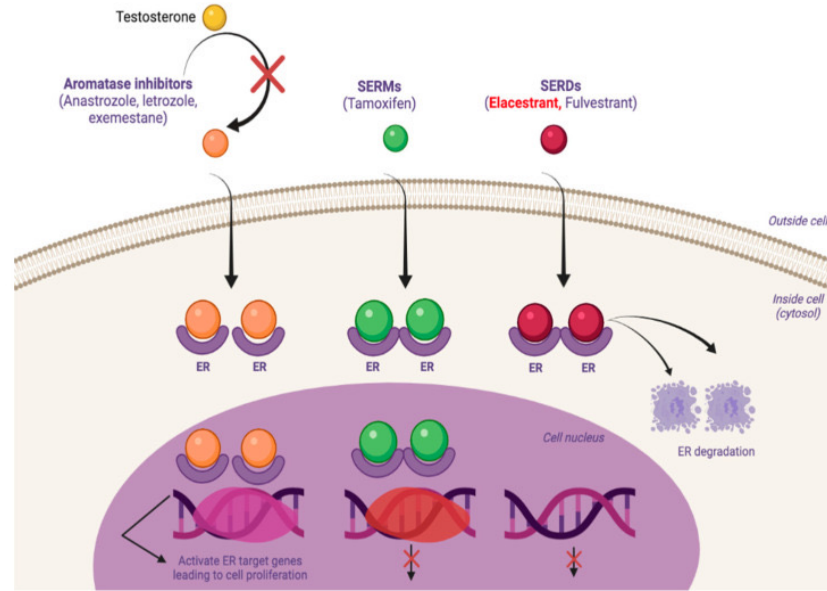
CI: Confidence interval; HR: Hazard ratio; ITT: Intention to treat; mo: Months; mOS: median Overall survival

# Is the story over for sequencing CDK 4/6 inhibitors after progression?

- Change of CDK 4/6 inhibitor
- Change of endocrine partner
  - Oral SERDs, ARV-471, SERM
- Subset of pts with disease that would likely respond to further CDK 4/6i
  - Biomarkers need to be identified
  - Differential impact based on mutation status noted on ctDNA
- Ongoing trials: postMONARCH, ELAINE-3, EMBER-3

# Oral SERDs

- Resistance mechanism: *ESR1*
  - Estrogen receptor–dependent transcription and proliferation in the absence of estrogen
  - Predict resistance to AIs
- SERD: binds to estrogen receptor causing ER to be degraded/downregulated
- Elacestrant only FDA approved oral SERD
- Multiple oral SERDs in pipeline



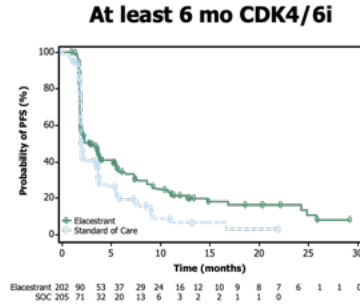
# Treatment Landscape of Oral SERDs

	EMERALD <sup>1</sup>	SERENA-2 <sup>2</sup>	EMBER-3 <sup>3</sup>	AMEERA-3 <sup>4-6</sup>	aceLERA <sup>6-9</sup>
<b>Treatment</b>	<b>Elacestrant</b>	<b>Camizestrant</b>	<b>Imlunestrant +/- abemaciclib</b>	<b>Amceneztrant</b>	<b>Giredestrant</b>
<b>Control Arm</b>	fulvestrant / AIs	fulvestrant	fulvestrant / exemestane	fulvestrant / AIs / tamoxifen	fulvestrant / AIs
<b>Phase (n)</b>	Phase 3 (478)	Phase 2 (240)	Phase 3 (800)	Phase 2 (367)	Phase 2 (303)
<b>Patients</b>	Men or postmenopausal women	Postmenopausal women	Men or postmenopausal women	Men or women (any menopausal status)	Men or women (any menopausal status)
<b>Prior CDK4/6i</b>	<b>Required (100%)</b>	Permitted	Permitted	Permitted (79.7%)	Permitted (42%)
<b>Allowed Prior Fulvestrant</b>	<b>YES</b>	NO	NO	YES	YES
<b>Allowed Prior Chemotherapy in mBC</b>	<b>YES</b>	YES	NO	YES	YES
<b>Data readout</b>	<b>Positive (Registrational)</b>	Positive (Non-Registrational)	Ongoing	<b>Negative</b>	<b>Negative</b>

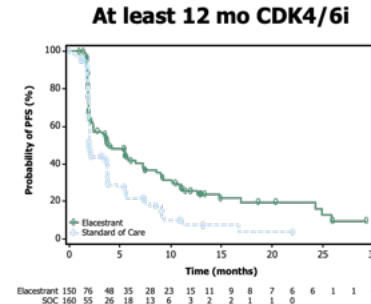
1. Bidard FC, et al. *J Clin Oncol.* 2022;40(28):3246-3256. 2. SERENA2. ClinicalTrials.gov identifier: NCT04214288. Accessed November 18, 2022, <https://clinicaltrials.gov/ct2/show/NCT04214288>; 3. EMBER-3. Clinical Trials.gov identifier: NCT04975308. Accessed November 18, 2022, <https://clinicaltrials.gov/ct2/show/NCT04975308>; 4. AMEERA3. ClinicalTrials.gov identifier: NCT04059484. Accessed November 18, 2022, <https://clinicaltrials.gov/ct2/show/NCT04059484>; 5. Tolaney SM, et al. *Ann Oncol.* 2022; 33(7):S88-S121 (Abstr 212MO); 6. Evaluate Vantage. <https://www.evaluate.com/vantage/articles/news/trial-results/roche-has-rare-breast-cancer-setback>. Accessed July 20, 2022; 7. aceLERA ClinicalTrials.gov identifier: NCT04576455. Accessed November 18, 2022, <https://clinicaltrials.gov/ct2/show/NCT04576455>; 8. Martin M, et al. *J Clin Oncol.* 2021;39(15):abstr TPS1100; 9. Martin Jimenez M, et al. *Ann Oncol.* 2022;33(7):S88-S121 (abstr 211MO).

# EMERALD

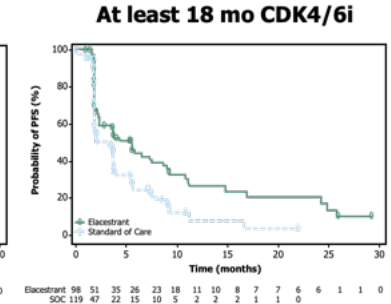
- Elacestrant monotherapy improves PFS in patients who had progressed on prior CDK 4/6i
  - Duration of CDK 4/6i associated w/PFS (longer duration, longer PFS on elacestrant vs SOC)
  - More pronounced in *ESR1* mutated tumors with at least 12 mos prior CDK 4/6i duration
- No new safety signals
  - Nausea mainly grade 1 or 2, no grade 4 events
    - Discontinuation rate 1.3% due to nausea
    - Any TRAE 3.4% discontinuation rate
    - No bradycardia
- **FDA approved elacestrant January 27, 2023 as monotherapy for *ESR1* mutant tumors!**
  - disease progression on one or two prior lines of endocrine therapy, including one line containing a CDK4/6 inhibitor



	Elacestrant	SOC Hormonal Therapy
Median PFS, months (95% CI)	<b>2.79</b> (1.94 - 3.78)	<b>1.91</b> (1.87 - 2.14)
PFS rate at 12 months, % (95% CI)	21.00 (13.57 - 28.43)	6.42 (0.75 - 12.09)
Hazard ratio (95% CI)	<b>0.688</b> (0.535 - 0.894)	



	Elacestrant	SOC Hormonal Therapy
Median PFS, months (95% CI)	<b>3.78</b> (2.33 - 6.51)	<b>1.91</b> (1.87 - 3.58)
PFS rate at 12 months, % (95% CI)	25.64 (16.49 - 34.80)	7.38 (0.82 - 13.94)
Hazard ratio (95% CI)	<b>0.613</b> (0.453 - 0.828)	

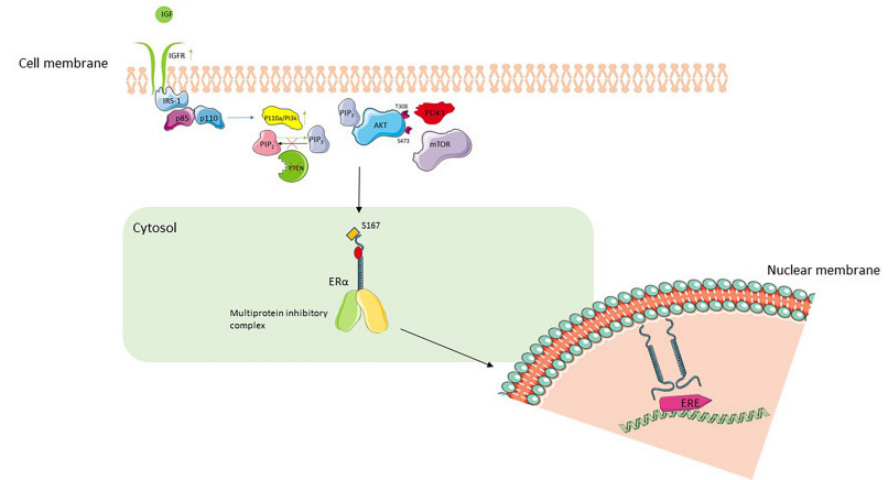


	Elacestrant	SOC Hormonal Therapy
Median PFS, months (95% CI)	<b>5.45</b> (2.33 - 8.61)	<b>3.29</b> (1.87 - 3.71)
PFS rate at 12 months, % (95% CI)	26.70 (15.61 - 37.80)	8.23 (0.00 - 17.07)
Hazard ratio (95% CI)	<b>0.703</b> (0.482 - 1.019)	

Source: *Kaklamani et al, SABCS 2022.*

# Targeting PI3K/AKT/pTEN Pathway

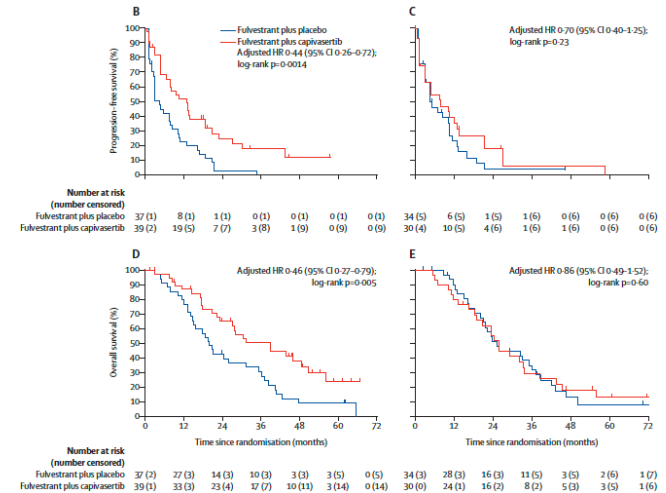
- Signaling in this pathway regulates growth, metabolism, and survival
- Overactivation occurs in 50% of HR+ ABC via activation mutations in PI3K and AKT pathways or inactivating mutations in pTEN pathway
- Alterations can be acquired from prior rx
- AKT pathway signaling can occur in the absence of genetic alterations
- Alpelisib and everolimus FDA approved
  - Prior to availability of CDK 4/6i





# Capivasertib

- Capivasertib is a potent, selective inhibitor of all three AKT isoforms (AKT1/2/3)
- FAKTION trial
  - Ph II trial of capi w/fulvestrant in AI resistant (no prior CDK 4/6i) HR+/HER2 neg ABC
  - PFS and OS benefit, more pronounced in *AKT* pathway altered tumors



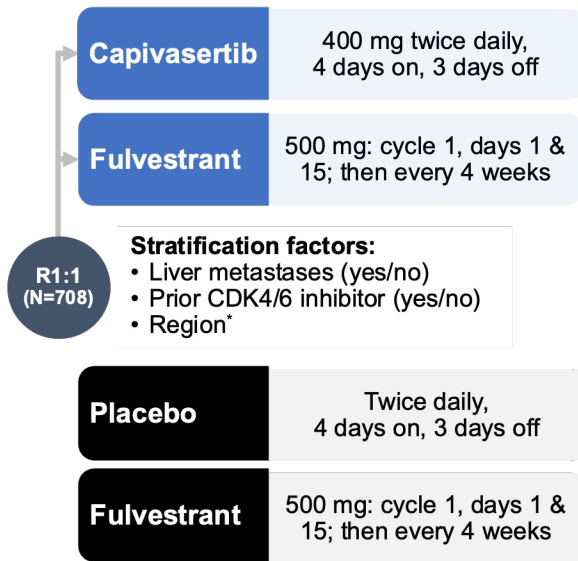


# CAPitello-291

## Phase III, randomized, double-blind, placebo-controlled study (NCT04305496)

**Patients with HR+/HER2- ABC**

- Men and pre-/post-menopausal women
- Recurrence while on or <12 months from end of adjuvant AI, or progression while on prior AI for ABC
- ≤2 lines of prior endocrine therapy for ABC
- ≤1 line of chemotherapy for ABC
- Prior CDK4/6 inhibitors allowed (at least 51% required)
- No prior SERD, mTOR inhibitor, PI3K inhibitor, or AKT inhibitor
- HbA1c <8.0% (63.9 mmol/mol) and diabetes not requiring insulin allowed
- FFPE tumor sample from the primary/recurrent cancer available for retrospective central molecular testing



### Dual primary endpoints

PFS by investigator assessment

- Overall
- AKT pathway-altered tumors (≥1 qualifying *PIK3CA*, *AKT1*, or *PTEN* alteration)

### Key secondary endpoints

#### Overall survival

- Overall
- AKT pathway-altered tumors

#### Objective response rate

- Overall
- AKT pathway-altered tumors

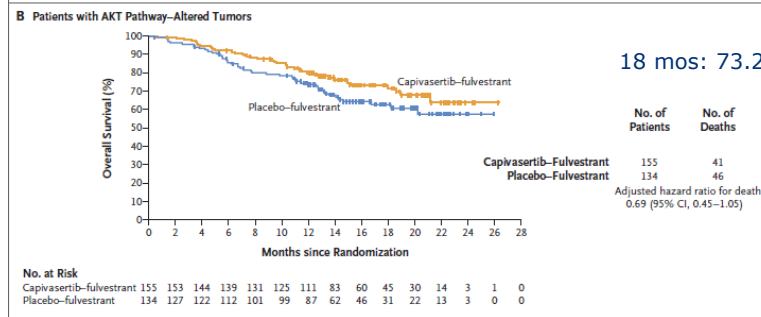
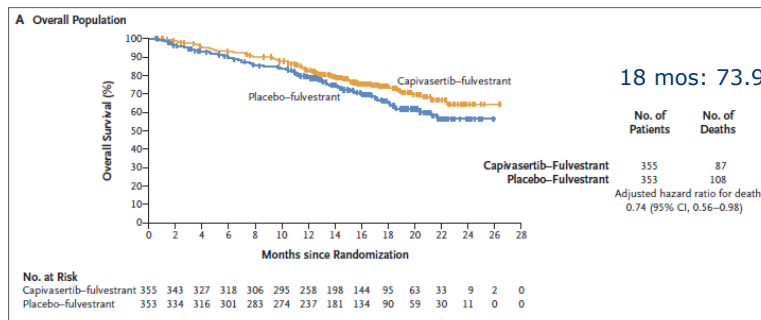
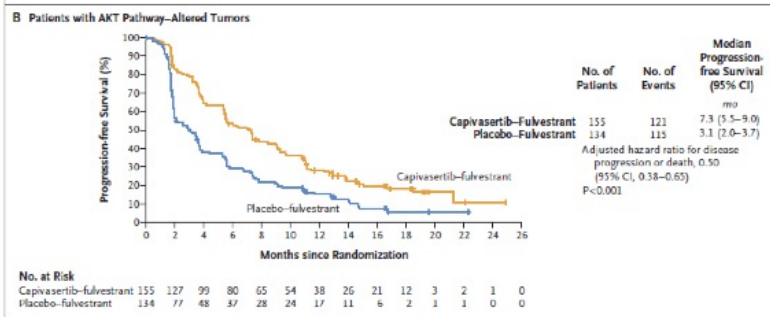
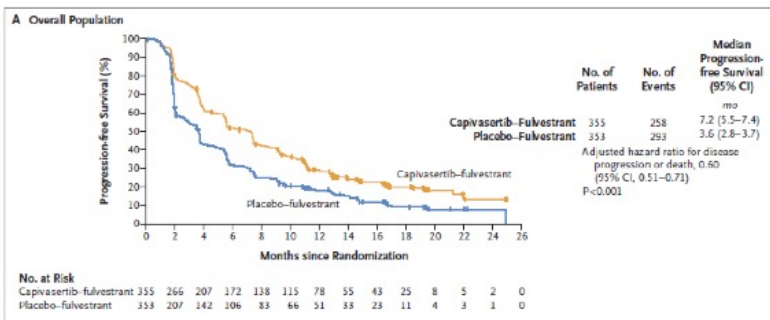
HER2- was defined as IHC 0 or 1+ or IHC 2+/ISH-. \*Region 1: United States, Canada, Western Europe, Australia, and Israel, Region 2: Latin America, Eastern Europe and Russia v s Region 3: Asia. ABC, advanced (locally advanced [inoperable] or metastatic) breast cancer. Pre- or peri-menopausal women also received a luteinizing hormone-releasing hormone agonist for the duration of the study treatment.

# CAPitello-291: Characteristics

Site of metastases — no. (%)				
Bone only	51 (14.4)	52 (14.7)	25 (16.1)	16 (11.9)
Liver	156 (43.9)	150 (42.5)	70 (45.2)	53 (39.6)
Viscera	237 (66.8)	241 (68.3)	103 (66.5)	98 (73.1)
No. of previous therapies for advanced breast cancer — no. (%)				
0	37 (10.4)	52 (14.7)	12 (7.7)	20 (14.9)
1	235 (66.2)	208 (58.9)	107 (69.0)	79 (59.0)
2	73 (20.6)	77 (21.8)	31 (20.0)	29 (21.6)
3	10 (2.8)	16 (4.5)	5 (3.2)	6 (4.5)
Hormone-receptor status — no. (%)				
ER-positive, PR-positive	255 (71.8)	246 (69.7)	116 (74.8)	101 (75.4)
ER-positive, PR-negative	94 (26.5)	103 (29.2)	35 (22.6)	31 (23.1)
ER-positive, with unknown PR status	5 (1.4)	4 (1.1)	4 (2.6)	2 (1.5)
Endocrine status — no. (%)				
Primary resistance	127 (35.8)	135 (38.2)	60 (38.7)	55 (41.0)
Secondary resistance	228 (64.2)	218 (61.8)	95 (61.3)	79 (59.0)
No. of previous endocrine therapies for advanced breast cancer — no. (%)				
0	39 (11.0)	54 (15.3)	13 (8.4)	20 (14.9)
1	287 (80.8)	252 (71.4)	131 (84.5)	96 (71.6)
2	29 (8.2)	47 (13.3)	11 (7.1)	18 (13.4)
Previous CDK4/6 inhibitor — no. (%)				
As neoadjuvant or adjuvant therapy	2 (0.6)	3 (1.1)	0	2 (1.5)
As therapy for advanced breast cancer	245 (69.0)	244 (69.1)	113 (72.9)	91 (67.9)
As neoadjuvant or adjuvant therapy — no. (%)				
As neoadjuvant or adjuvant therapy	180 (50.7)	170 (48.2)	79 (51.0)	67 (50.0)
As therapy for advanced breast cancer	65 (18.3)	64 (18.1)	30 (19.4)	23 (17.2)

Alteration; n (%)		Capivasertib + fulvestrant (N=355)	Placebo + fulvestrant (N=353)
<b>Any AKT pathway alteration</b>		<b>155 (43.7)</b>	<b>134 (38.0)</b>
<i>PIK3CA</i>	Any	116 (32.7)	103 (29.2)
	<i>PIK3CA</i> only	110 (31.0)	92 (26.1)
	<i>PIK3CA</i> and <i>AKT1</i>	2 (0.6)	2 (0.6)
	<i>PIK3CA</i> and <i>PTEN</i>	4 (1.1)	9 (2.5)
<i>AKT1</i> only		18 (5.1)	15 (4.2)
<i>PTEN</i> only		21 (5.9)	16 (4.5)
<b>Non-altered</b>		<b>200 (56.3)</b>	<b>219 (62.0)</b>
AKT pathway alteration not detected		142 (40.0)	171 (48.4)
Unknown		58 (16.3)	48 (13.6)
No sample available		10 (2.8)	4 (1.1)
Preanalytical failure		39 (11.0)	34 (9.6)
Post analytical failure		9 (2.5)	10 (2.8)

# CAPitello-291 PFS and OS



# CAPitello-291 Safety

**Table 2. Most Frequent Adverse Events in the Overall Population (Safety Population).\***

Event	Capiwasertib–Fulvestrant (N=355)					Placebo–Fulvestrant (N=350)				
	Any Grade	Grade 1	Grade 2	Grade 3	Grade 4	Any Grade	Grade 1	Grade 2	Grade 3	Grade 4
	<i>number of patients (percent)</i>									
Any adverse event	343 (96.6)	52 (14.6)	139 (39.2)	139 (39.2)	9 (2.5)	288 (82.3)	115 (32.9)	118 (33.7)	44 (12.6)	10 (2.9)
Diarrhea	257 (72.4)	164 (46.2)	60 (16.9)	33 (9.3)	0	70 (20.0)	60 (17.1)	9 (2.6)	1 (0.3)	0
Rash†	135 (38.0)	57 (16.1)	35 (9.9)	43 (12.1)	0	25 (7.1)	19 (5.4)	5 (1.4)	1 (0.3)	0
Nausea	123 (34.6)	85 (23.9)	35 (9.9)	3 (0.8)	0	54 (15.4)	42 (12.0)	10 (2.9)	2 (0.6)	0
Fatigue	74 (20.8)	49 (13.8)	23 (6.5)	2 (0.6)	0	45 (12.9)	35 (10.0)	8 (2.3)	2 (0.6)	0
Vomiting	73 (20.6)	54 (15.2)	13 (3.7)	6 (1.7)	0	17 (4.9)	10 (2.9)	5 (1.4)	2 (0.6)	0
Headache	60 (16.9)	47 (13.2)	12 (3.4)	1 (0.3)	0	43 (12.3)	33 (9.4)	8 (2.3)	2 (0.6)	0
Decreased appetite	59 (16.6)	37 (10.4)	21 (5.9)	1 (0.3)	0	22 (6.3)	11 (3.1)	9 (2.6)	2 (0.6)	0
Hyperglycemia	58 (16.3)	24 (6.8)	26 (7.3)	7 (2.0)	1 (0.3)	13 (3.7)	8 (2.3)	4 (1.1)	1 (0.3)	0
Stomatitis	52 (14.6)	24 (6.8)	21 (5.9)	7 (2.0)	0	17 (4.9)	15 (4.3)	2 (0.6)	0	0
Asthenia	47 (13.2)	29 (8.2)	14 (3.9)	4 (1.1)	0	36 (10.3)	31 (8.9)	3 (0.9)	2 (0.6)	0
Pruritus	44 (12.4)	32 (9.0)	10 (2.8)	2 (0.6)	0	23 (6.6)	19 (5.4)	4 (1.1)	0	0
Anemia	37 (10.4)	15 (4.2)	15 (4.2)	7 (2.0)	0	17 (4.9)	4 (1.1)	9 (2.6)	4 (1.1)	0
Urinary tract infection	36 (10.1)	8 (2.3)	23 (6.5)	5 (1.4)	0	23 (6.6)	2 (0.6)	21 (6.0)	0	0

SAE:

-16.1% vs 8%

Discontinuation rate

-9.3% vs 0.6%

Dose interruption:

-34.9% vs 10.3%

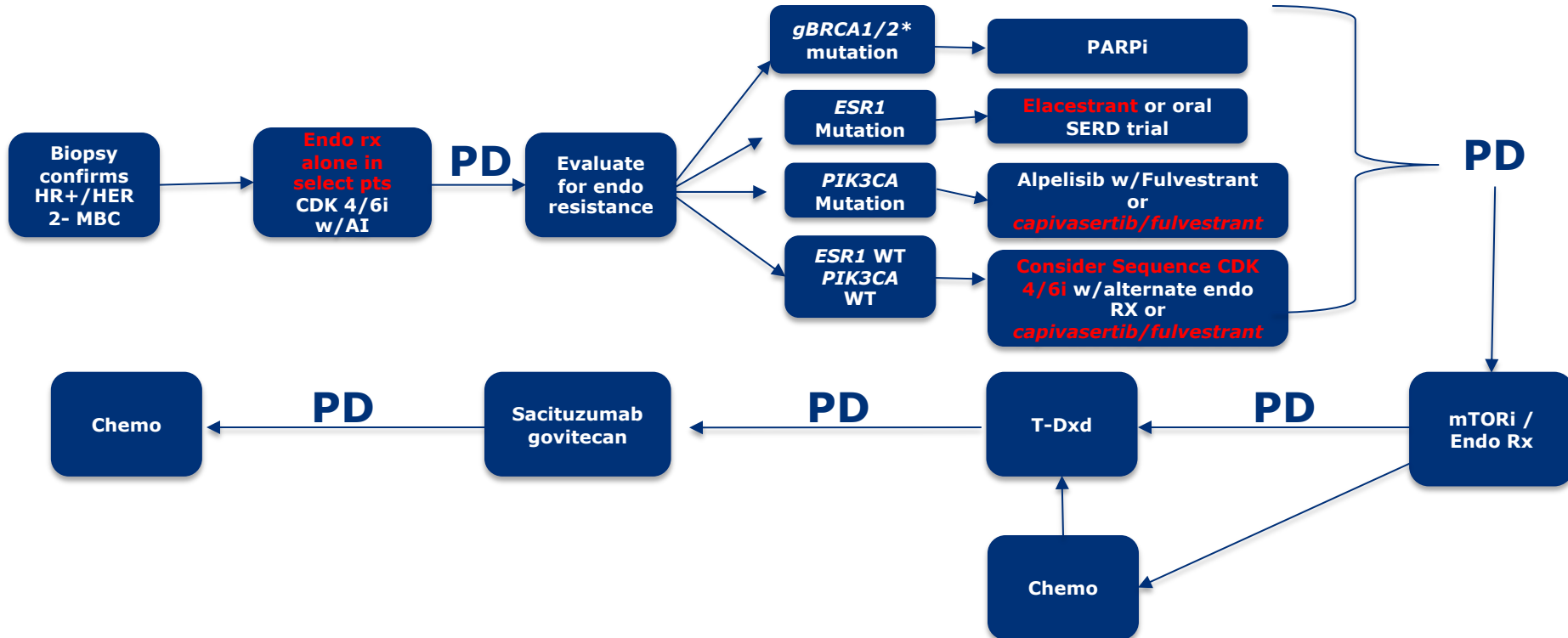
Dose reduction:

-19.7% vs 1.7%

## CAPitello-291 Summary

- Capivasertib with Fulvestrant improves PFS in the overall and AKT altered population
  - Activity in non-AKT pathway-altered tumors
  - Activity post progression on CDK 4/6i
- Safety: diarrhea and rash most common
  - Hyperglycemia mainly grade 1 and 2
- Capivasertib ongoing investigation, as well other *PIK3CA* inhibitors

# Management HR+ /HER2 Negative MBC



- ✓ Continuously assess for clinical trial eligibility
- ✓ \* can consider use for other germline HRR deficiencies

# Questions?