ctDNA in Adjuvant and Metastatic Therapy for GI Cancers: Prime Time?

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July 14, 2023

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- Brief primer on ctDNA
- Discuss the expanding role of ctDNA in the management of patients with GI malignancies
- Highlight ctDNA as an emerging biomarker in clinical trials





Introduction

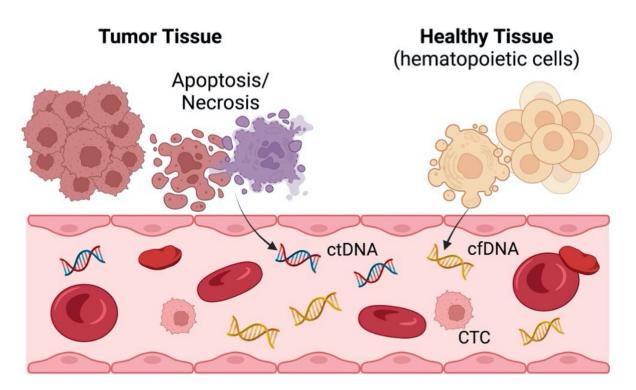
What is Circulating Tumor DNA?

- Cell-free DNA (cfDNA) = small DNA fragments (160-200 bp) in circulation
- Released into bloodstream via cell death
- Healthy adults cfDNA mainly from hematopoietic cells
- ctDNA = small DNA fragments in circulation released from tumors in cancer patients
- ctDNA shorter fragment (143-145bp) vs normal cfDNA (~ 166bp)
- Short half-life ~ 2hrs → dynamic tracking of tumor burden

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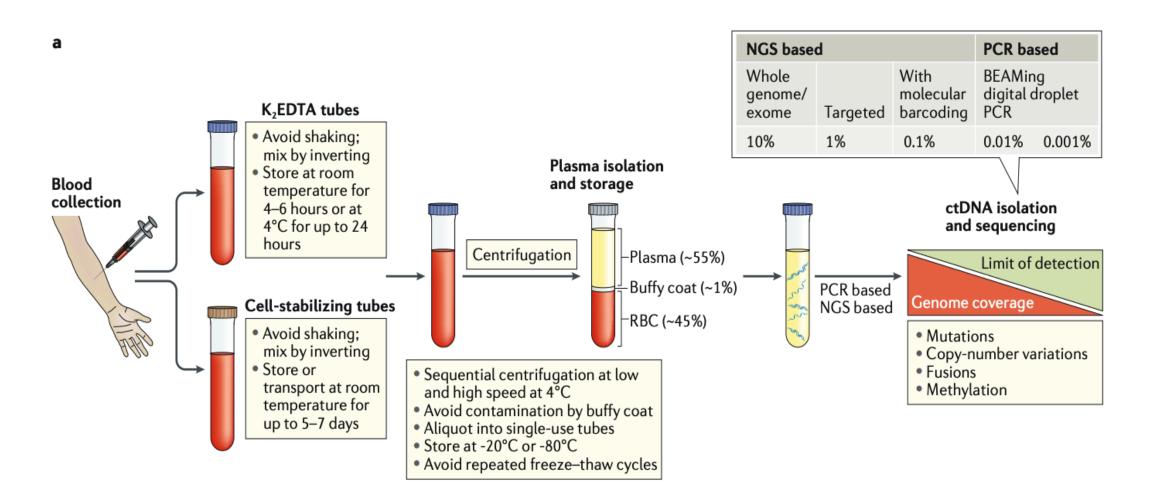
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Courtesy – Jeanine Tie, MD

Introduction





Dasari, A et al. Nat Rev Clin Oncol 17, 757–770 (2020)

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ctDNA versus Tumor Tissue Testing

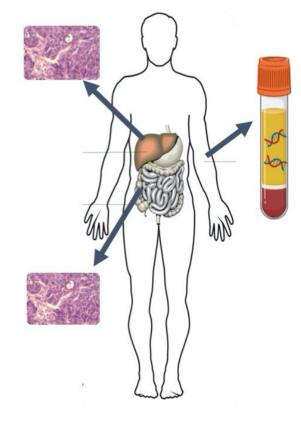
Tumor Tissue Assay

- Invasive, biopsy risk, serial biopsy more difficult
- Represent one small tumor region
- Uses existing tissue processing approaches

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No assessment of tumor load

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ctDNA Assay

- Less invasive, easy serial testing
- More representative of whole tumor or all metastatic sites
- Requires special processing or use cell stabilizing tubes
- Quantitative analysis correlates with tumor load



Liquid biopsy and other emerging ctDNA technologies represent a paradigm shift in cancer diagnostics because they allow for

- -Detection of minimal residual disease (MRD) in patients with early-stage disease
- –Improved risk stratification

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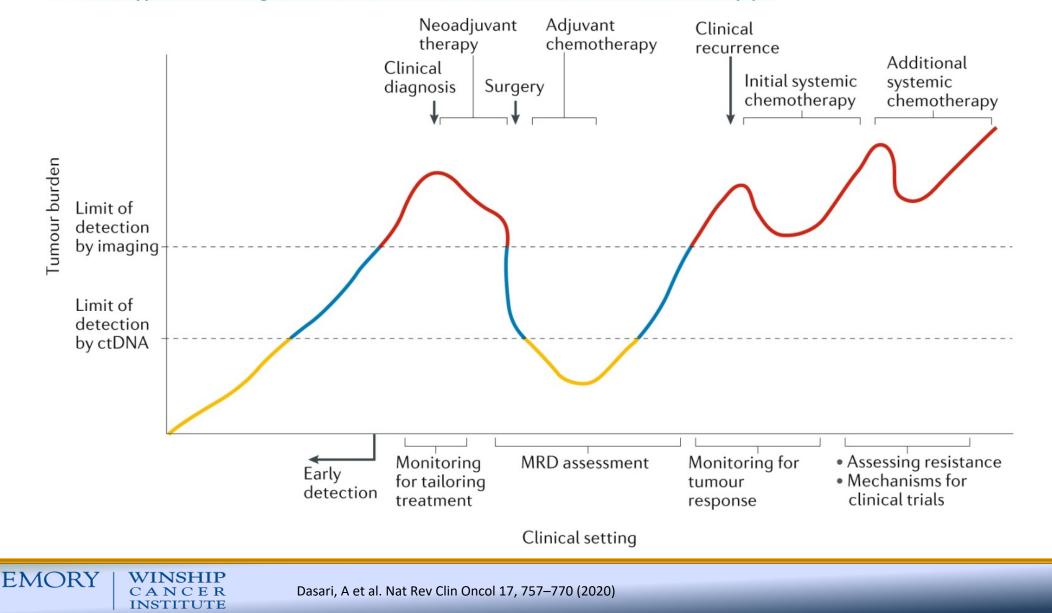
- -Captured tumor heterogeneity and genomic evolution
- -Enhanced ctDNA-guided adjuvant and palliative cancer therapy





Introduction

From: ctDNA applications and integration in colorectal cancer: an NCI Colon and Rectal-Anal Task Forces whitepaper



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- Relatively low survival benefit and the risk of substantial adverse effects with adjuvant chemotherapy
- Imperative to identify which individuals require adjuvant chemotherapy versus close monitoring alone

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Study	Patient	Blood Sample	Key findings
	population	Collection Time Points	
Tie et al	Resected	4 - 10 weeks postop,	ACT associated with poor RFS if ctDNA+; median interval between
2016 [1]	stage II CC,	then every 3 months for	ctDNA detection and radiologic recurrence - 5.5 months
	n=230	2 years	
Tie et al	Stage III	4 - 10 weeks postop	Post chemotherapy ctDNA+ with 3-year RFI of 30%; 77% with
2019[2]	CC, n=96	(pre-ACT) and within 6	undetectable ctDNA.
		weeks of the final cycle	-Postsurgical ctDNA status independently associated with RFI
		of chemotherapy.	
Reinert et	Stages I to	Pre-op, 30 days post-	30-day postop ctDNA+ was 7x more likely to relapse
al 2019[3]	III CRC,	op, and every 3 rd month	Immediate post ACT ctDNA+ was 17X more likely to relapse
	n=96	for 3 years	All 7 patients ctDNA+ after ACT relapsed
			Surveillance ctDNA+ > 40 x likely to relapse
Tarazona	Localized	At baseline, 6-8 weeks	Postop and follow up ctDNA+ associated with worse DFS
et al	CC, n=150	after surgery, and every	ctDNA + post-ACT associated with early relapse,
2019[4]		4 months for up to 5	ctDNA+ preceded radiological recurrence with median lead time of
		years	11.5 months
Taieb et al	Stage III	N/A	ACT for 6 months was superior to 3 months for both ctDNA- and
2019[5]	CC, n=805		ctDNA+ patients
			ctDNA+ ACT x 6 months similar prognosis with ctDNA- ACT x 3
			months



Alese OB, Cook N, Ortega-Franco A, Ulanja MB, Tan L, Tie J. Am Soc Clin Oncol Educ Book. 2022 Apr;42:1-20.

Colorectal Cancer - Adjuvant

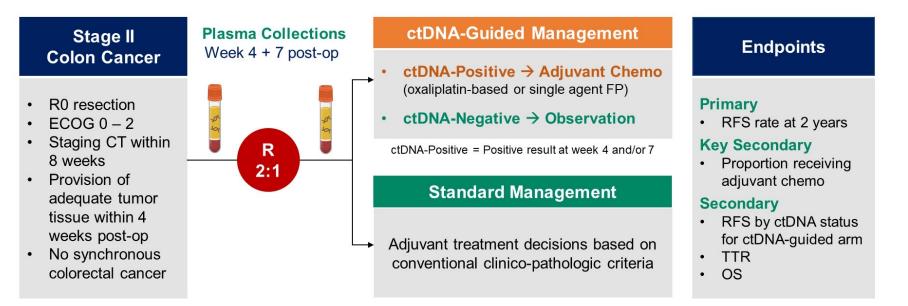
The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

JUNE 16, 2022 Circulating Tumor DNA Analysis Guiding Adjuvant Therapy in Stage II Colon Cancer

DYNAMIC Study Design

ACTRN12615000381583



Stratification Factors

T stage (T3 vs T4)

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- Type of participating center (metropolitan vs regional) •
- Surveillance:
- CEA \rightarrow 3-monthly for 24M, then 6-monthly for 36M
- CT C/A/P \rightarrow 6-monthly for 24M, then at 36M

VOL. 386 NO. 24



Tie J et al. N Engl J Med. 2022;386(24):2261-2272.

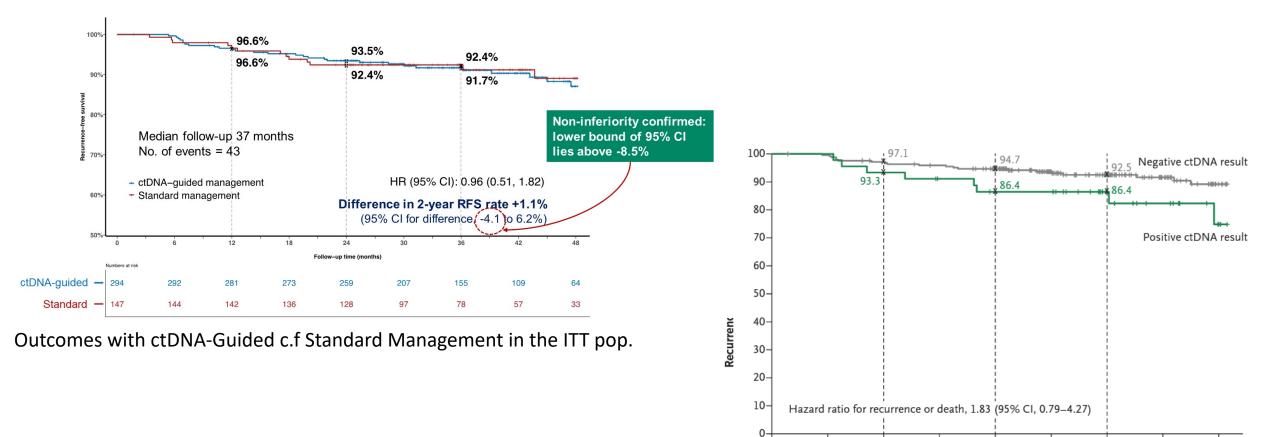
Colorectal Cancer - Adjuvant

Recurrence-Free Survival

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Recurrence-free Survival in the ctDNA-Guided Group per ctDNA Status

18

24

Follow-up (mo)

30

36

42

12

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Tie J et al. N Engl J Med. 2022;386(24):2261-2272.

GALAXY Study (CIRCULATE-Japan)

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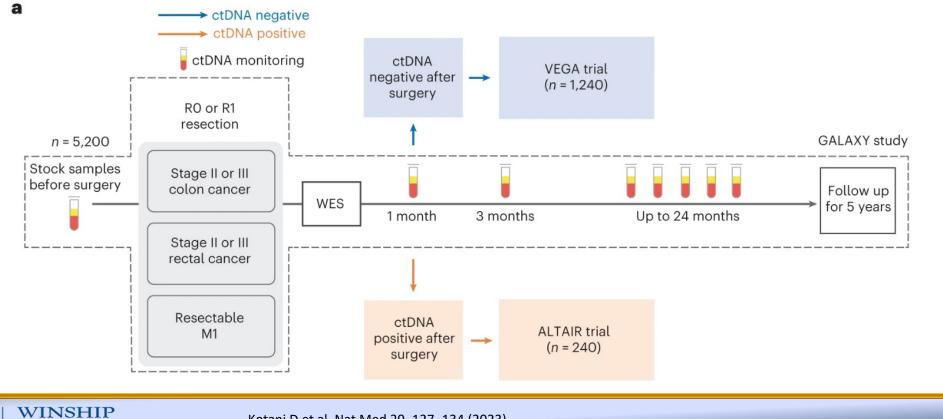
Article

https://doi.org/10.1038/s41591-022-02115-4

Molecular residual disease and efficacy of adjuvant chemotherapy in patients with colorectal cancer

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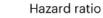
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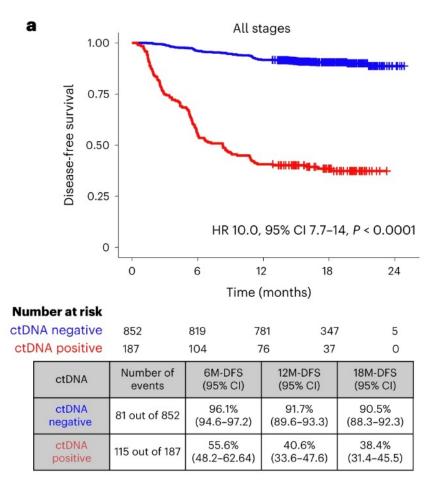
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Kotani D et al. Nat Med 29, 127–134 (2023)

GALAXY Study (CIRCULATE-Japan)

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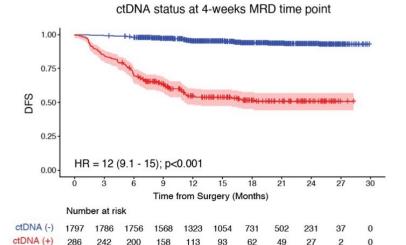
ctDNA	MRD negative (n = 597)	Reference						
	MRD positive $(n = 115)$	10.82 (7.07–16.6)						- <0.001
Sex	Female (n = 342)	Reference						
	Male (n = 370)	1.23 (0.81–1.9)						0.338
Age	≤70 (n = 383)	Reference						
	>70 (n = 329)	0.98 (0.64–1.5)	-	-	-			0.935
Performance status	0 (n = 606)	Reference		Ļ				
	1 (n = 106)	1.27 (0.74–2.2)		⊢∔∎				0.387
Pathological T stage	T1–T2 (n = 26)	Reference		Ļ.				
	T3–T4 (n = 686)	1.56 (0.49–5.0)						0.455
Pathological N stage	NO (n = 322)	Reference		ė.				
	N1–N2 (n = 390)	1.15 (0.73–1.8)						0.537
MSS	MSI-high (n = 86)	Reference						
	MSS (n = 626)	2.52 (0.85–7.5)			-		-	0.096
BRAF	Negative $(n = 642)$	Reference		,				
	Positive $(n = 70)$	2.58 (1.13–5.9)			-			0.024 *
RAS	Negative $(n = 415)$	Reference						
	Positive (n = 297)	1.47 (0.97–2.2)			∎			0.073
			0.5	1	2	5	10	20



Kotani D et al. Nat Med 29, 127–134 (2023)

GALAXY Study (CIRCULATE-Japan)

ctDNA dynamics between weeks 4 and 12 post surgery is prognostic of DFS

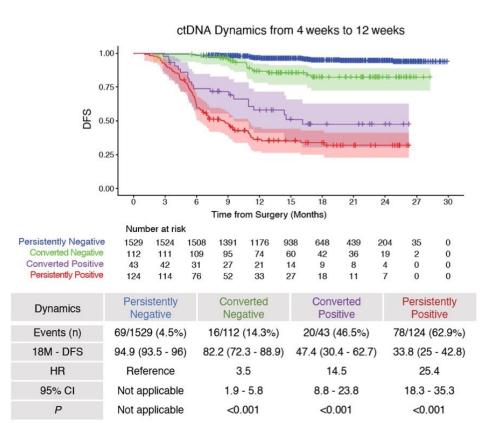


Dynamics	ctDNA Negative	ctDNA Positive
Events (n)	96/1797 (5.3%)	130/286 (45.5%)
18M - DFS	93.9 (92.5 - 95)	51.6 (45.2 - 57.6)
HR	Reference	12
95% CI	Not applicable	9.1 - 15
Р	Not applicable	<0.001

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Eiji Oki et al. Journal of Clinical Oncology 2023 41:16_suppl, 3521-3521

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Colorectal Cancer - Adjuvant

Study Identifier	Study Design	Study population	Sample size	Timepoint of ctDNA analysis	Primary Endpoint	Secondary/exploratory endpoints
NCT04120701 (PRODIGE 70 – CIRCULATE)	Phase III	Resected Stage II CRC	1980	≥2 weeks post-op and up to <8 weeks	3-year DFS in ctDNA +patients	2-yr DFS, overall survival, and toxicity, time to recurrence (TTR)
COBRA study (NCT0406810, NRG-GI005)	Phase II/III	Stage IIA CRC after surgery	1408	Post op	-Clearance of ctDNA +/- ACT up to 6 months from baseline (phase II) -RFS in ctDNA + patients +/- ACT (phase III)	-OS, Time to recurrence, Compliance with ACT and/or active surveillance - Incidence of ctDNA + post resection - Cost effectiveness of ctDNA vs. SOC -Rates of compliance with assigned intervention (all up to 3 years)
TRACC (NCT0405034 5)	Phase II/III	High risk stage II, stage III CRC And subset of rectal cancer patients	1621	Pre-op, first post-op visit, 3mos after being on ACT, and 3 mos after de- escalation to single agent ACT based on ctDNA results at 3mos	3-year DFS	-Relationship between ctDNA detection before, during and after treatment -OS, neurotoxicity, quality of life and health economics
MEDOCC- CrEATE (NL6281 / NTR6455)	Phase III	Stage II CRC	1320	Immediately after surgery in interventional arm, end of trial in control arm	Proportion of patients receiving ACT when ctDNA is detectable after resection	-2-year recurrence rate -OS -DFS -cost-effectiveness
CIRCULATE AIO-KRK-0217 (NCT0408963 1)	Phase II	Stage II CRC	4812	Post op; within 5 weeks after resection	DFS in ctDNA-positive patients treated or not treated with adjuvant chemotherapy	
VEGA (UMIN000039 205)	Phase III	ctDNA negative high risk stage II, low risk stage III colon cancer	1240	Postop week 4, end of ACT (3mos)	RFS in ctDNA-negative patients treated or not treated with adjuvant chemotherapy	-ctDNA clearance -OS



Alese OB, Cook N, Ortega-Franco A, Ulanja MB, Tan L, Tie J. Am Soc Clin Oncol Educ Book. 2022 Apr;42:1-20.

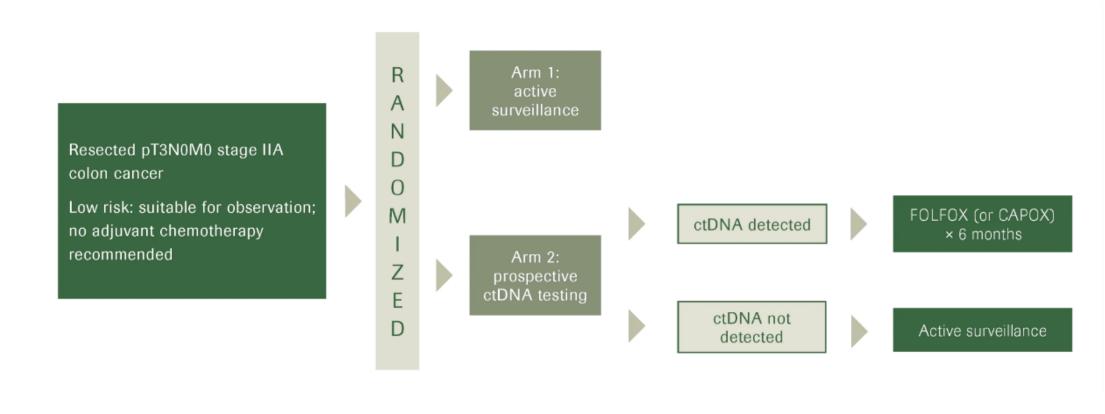
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Colorectal Cancer - Adjuvant

NRG-GI005 (COBRA): Phase II/III study of circulating tumor DNA as a predictive biomarker in adjuvant chemotherapy in patients with stage II colon cancer



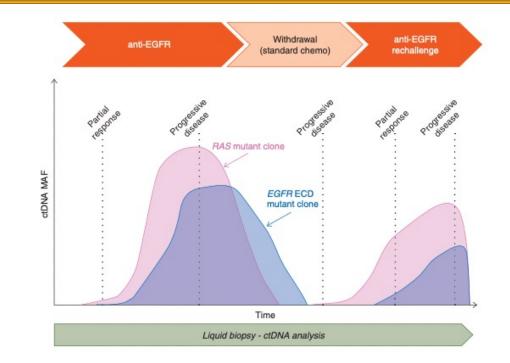
NCT04068103

Principal Investigator: Van K Morris





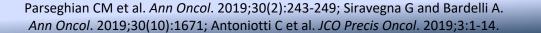
Colorectal Cancer - Metastatic



RAS and *EGFR* relative mutant allele frequency decays exponentially with a cumulative half-life of 4.4 months.

PANIRINOX (NCT02980510) ^s	II, randomized	209	Stage IV first-line therapy	RAS and BRAF wild type	mFOLFOX6 plus panitumumab v FOLFIRINOX plus panitumumab	CR rate in FOLFIRINOX plus panitumumab arm	France
CHRONOS (NCT03227926) ^h	Π	129	Stage IV third-line therapy ⁱ	RAS-extended mutational load between basal and rechallenge mutation load checkpoints	Rechallenge with panitumumab	ORR	Italy
NCT03087071 ⁱ	II, randomized	84	Stage IV cetuximab-refractory disease	Treatment allocation according to <i>RAS</i> , <i>BRAF</i> , and <i>EGFR</i> mutational status	Panitumumab v panitumumab and trametinib	ORR	USA
TRIUMPH (UMIN000027887) ^k	Ш	36	Stage IV refractory disease	ERBB2 amplification	Trastuzumab plus pertuzumab	ORR	Japan







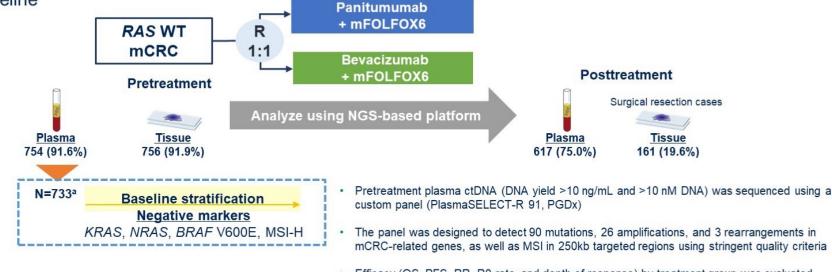
PARADIGM biomarker study

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- The PARADIGM biomarker study (NCT02394834) was designed to investigate molecular biomarkers of primary and secondary resistance to each therapy based on testing of tumor tissue and ctDNA
- Based on current guideline recommendations regarding clinically relevant biomarkers for first-line mCRC,^{1,2} we report clinical outcomes for patients with MSS or MSI-L and RAS (KRAS/NRAS)/BRAF V600E WT in ctDNA at baseline



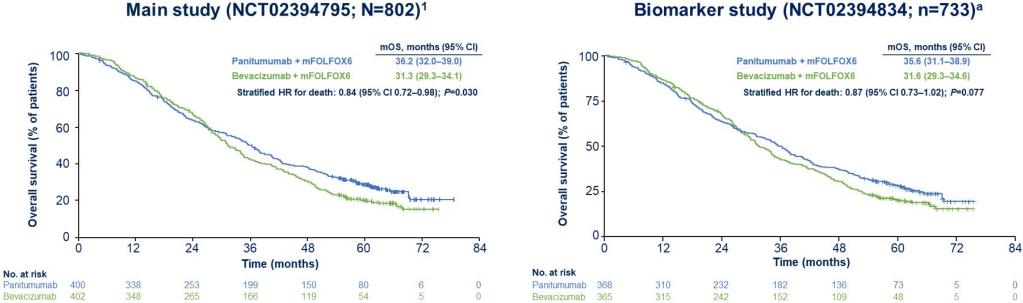
 Efficacy (OS, PFS, RR, R0 rate, and depth of response) by treatment group was evaluated according to RAS (KRAS/NRAS), BRAF V600E, and MSI status and primary tumor location

ctDNA, circulating tumor DNA; MSI, microsatellite instability; MSI-H, microsattelite instability-high; MSI-L, microsatellite instability-low; MSS, microsatellite stable; NGS, next-generation sequencing. ^aPatients with available ctDNA among those included in efficacy analysis set in the PARADIGM study 1. Morris VK, et al. J Clin Oncol. 2023;41:678–700; 2. Cervantes A, et al. Ann Oncol. 2022;34:10–32.



Takayuki Yoshino et al. Journal of Clinical Oncology 2016 34:4_suppl, TPS776-TPS776

Overall survival in overall population



Main study (NCT02394795; N=802)¹

		mOS, months	s (95% CI)		Stratified ^b	Stratified HR (95% CI)
	Panitumur	nab + mFOLFOX6	Bevacia	zumab + mFOLFOX6	Log-rank <i>P</i> -value	
Main study	n=400	36.2 (32.0–39.0)	n=402	31.3 (29.3 – 34.1)	0.030	0.84 (0.21–0.98)
Biomarker study	n=368	35.6 (31.1–38.9)	n=365	31.6 (29.3–34.6)	0.077	0.86 (0.73–1.02)

^aPatients with evaluable ctDNA at baseline. ^bStratified by age and liver metastasis

1. Watanabe J, et al. JAMA; 2023;329(15) 1271-82.

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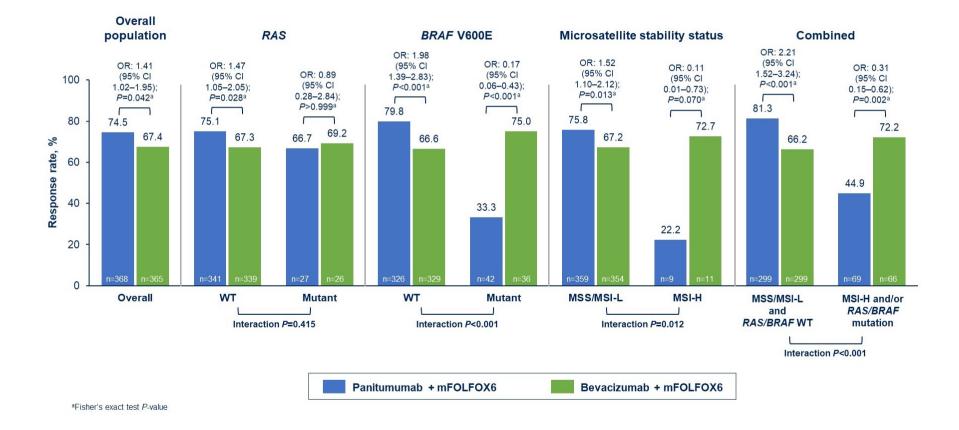
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Takayuki Yoshino et al. Journal of Clinical Oncology 2016 34:4 suppl, TPS776-TPS776

Response rate by gene alteration in the overall population





Takayuki Yoshino et al. Journal of Clinical Oncology 2016 34:4_suppl, TPS776-TPS776

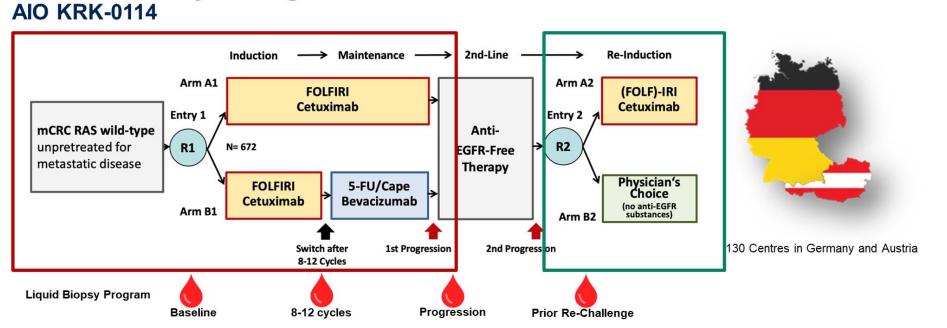
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Colorectal Cancer - Metastatic



Primary Endpoint:

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Overall Survival (OS) after randomisation 2

FIRE-4: Study Design

Secondary endpoint:

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Progression-free survival (PFS) in 1st-line, ORR, toxicity

FOLFIRI: Irinotecan 180 mg/m², folinic acid 400 mg/m², 5-FU 500 mg/m² bolus, 5-FU 2,400 mg/m² **Cetuximab:** Cetuximab 400mg/m² loading dose followed by 250mg/m² weekly Stratification factors: ECOG PS: 0 vs. 1 Leukocytes <8,000/µl vs. ≥8,000/µl Single organ vs. muliple organ metastasis Primary tumor sidedness: right vs. left

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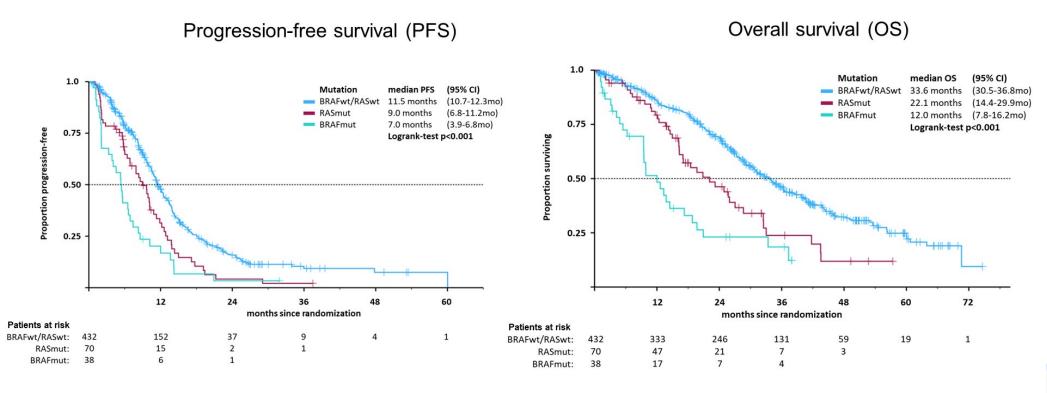


Sebastian Stintzing et al. Journal of Clinical Oncology 2023 41:16_suppl, 3507-3507

Colorectal Cancer - Metastatic



FIRE-4: Effect of baseline liquid biopsy result on survival



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Sebastian Stintzing et al. Journal of Clinical Oncology 2023 41:16_suppl, 3507-3507

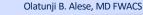
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CANCER INSTITUTE Using clearance of ctDNA as an endpoint for escalation/de-escalation of adjuvant chemotherapy for patients considered to have high-risk disease has become an important area of research.

• The possibility of using ctDNA as a surrogate for treatment response (OS, PFS, DFS); arguably reduce study duration and expedite the development of new therapies.





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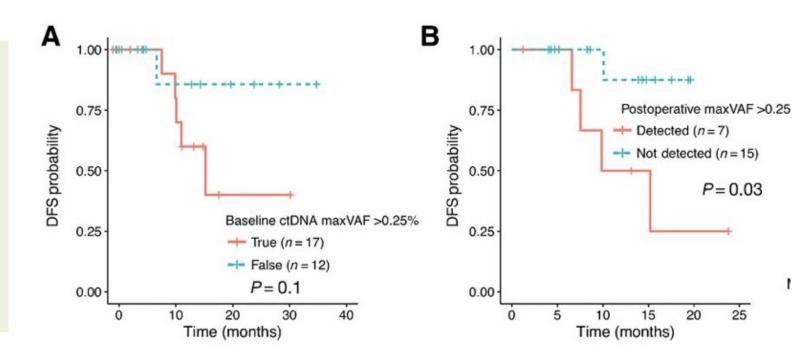
Esophagogastric Cancers

<u>Prognostic</u>: preop detectable ctDNA = shorter DFS (15.2 mos vs. NR; HR = 0.2; 95% CI: 0.03–2.1; p = 0.1)

- Cohort (n = 2,140 tests; 1,630 patients; 369 clinically annotated)
- Known MSI-high tumors were robustly detected with ctDNA-NGS
- Similar but not identical genomic landscape (temporospatial molecular heterogeneity)

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Maron SB et al. Clin Cancer Res. 2019;25(23):7098-7112.

Esophagogastric Cancers

<u>Predictive</u>

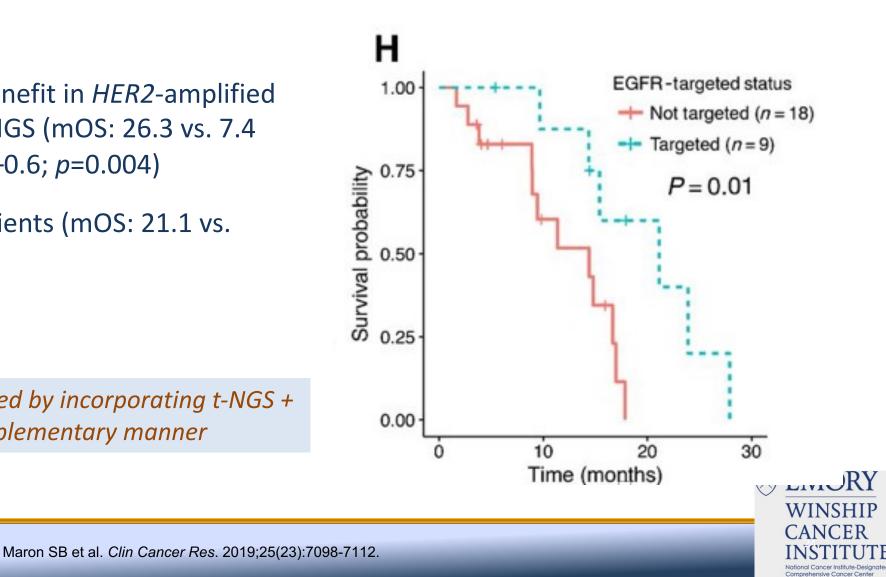
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- HER2i profound survival benefit in HER2-amplified patients by ctDNA-NGS ± t-NGS (mOS: 26.3 vs. 7.4 mos; HR = 0.2; 95% CI, 0.05–0.6; p=0.004)
- EGFRi in *EGFR*-amplified patients (mOS: 21.1 vs. 14.4 mos; *p*=0.01)

Predictive biomarker optimized by incorporating t-NGS + ctDNA-NGS in a complementary manner



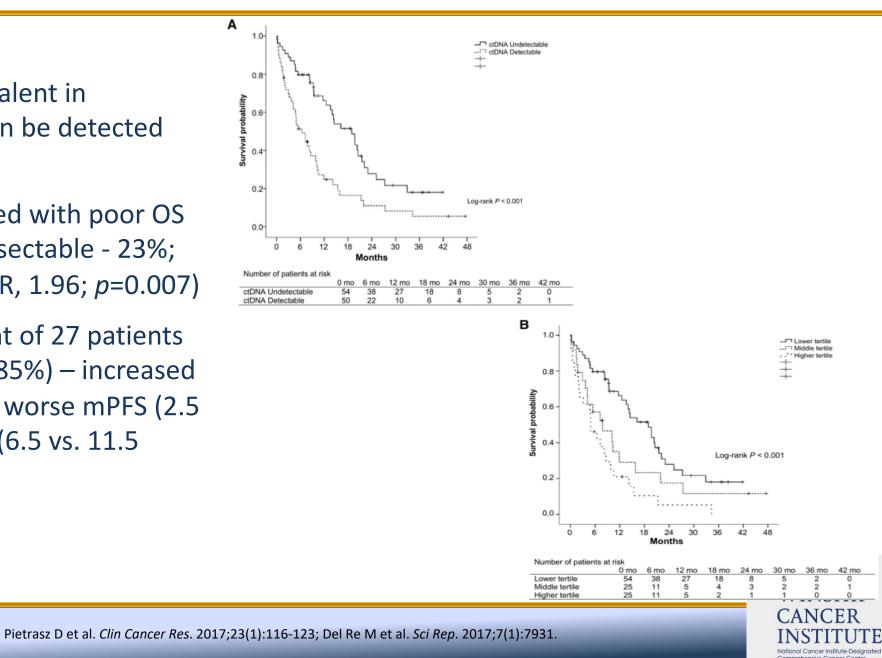
Pancreatic Cancer

- KRAS + other mutations prevalent in pancreatic neoplasms and can be detected via ctDNA
- <u>Prognostic</u>: strongly associated with poor OS in a study of 135 patients (resectable - 23%; LAPC - 27%; mPDAC - 50%; HR, 1.96; p=0.007)
- <u>Predictive</u>: Day 15 assessment of 27 patients with LAPC (15%) or mPDAC (85%) – increased ctDNA levels associated with worse mPFS (2.5 vs. 7.5 mos; p=0.03) or mOS (6.5 vs. 11.5 mos; p=0.009).
- ? biomarker for surveillance

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- 60% of patients experience post-resection tumor recurrence within 5 years
 - 81 patients with ctDNA (*TERT, CTNNB1* or *TP53* mutations) post curative intent hepatectomy = shorter DFS, OS; only independent predictor of recurrence

Utility of ctDNA methylation markers - levels = tumor burden and prognosis

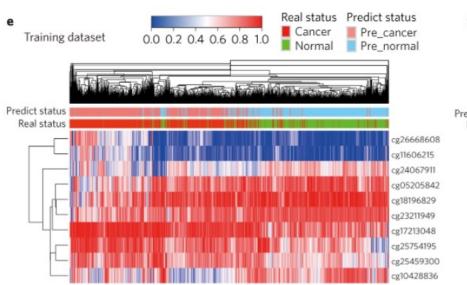
Genetic profiling when biopsy is not feasible or desirable (Rui-hua Xu et al).

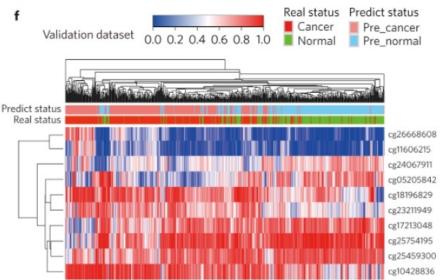
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Bruix J et al. *Hepatology*. 2011;53(3):1020-1022; Xu RH et al. *Nat Mater*. 2017;16(11):1155-1161; Wang J et al. *Ann Transl Med*. 2020;8(5):237.

Biliary Tract Cancers (BTC)

Genotyping of circulating tumor DNA in cholangiocarcinoma reveals diagnostic and prognostic information

T. J. Ettrich¹, D. Schwerdel¹, A. Dolnik², F. Beuter¹, T. J. Blätte³, S. A. Schmidt⁶, N. Stanescu-Siegmund⁴, J. Steinacker⁴, R. Marienfeld⁵, A. Kleger¹, L. Bullinger², T. Seufferlein¹ & A. W. Berger^{1,6}

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- 32 patients; ctDNA analyses at baseline, on treatment (1.7 ± 0.8 mos) and at radiologic progression
- ctDNA VAF baseline values in IHCC + EHCC correlated significantly (*p*=0.0433) with pretx tumor load (no correlation with number of detected mutations)
- Significant correlation between baseline ctDNA VAF and PFS in only the IHCC group (p=0.0288, r = -0.5878)



Biliary Tract Cancers (BTC)

<u>Utility for both monitoring and prognosis</u>

gene	Nakamura H et al. [9]	Zou S et al. [10]	Churi CR et al. [6]	Farshidfar F et al. [11]	Ross JS et al. [33]	total		t study TJ et al.
gene	tumor	tumor	tumor	tumor	tumor	tumor	tumor	ctDNA
	N=211	N=102	N=75	N=38	N=28	N=478	N=	=24
TP53	24%	38%	37%	8%	32%	28%	22%	30%
ARID1A	12%	7%	16%	16%	36%	17%	9%	4%
KRAS	20%	17%	28%	5%	11%	16%	22%	9%
IDH1	4%	5%	13%	13%	32%	13%	4%	4%
BAP1	9%	1%	9%	29%	11%	12%	9%	9%
PBRM1	5%	1%	9%	21%	NA	9%	9%	4%
SMAD4	9%	4%	9%	NA	NA	7%	9%	4%
PIK3CA	7%	3%	NA	5%	4%	5%	9%	9%
FBXW7	NA	0%	8%	NA	NA	4%	4%	4%
CDKN2A	5%	0%	NA	5%	7%	4%	0%	0%
ERBB2	NA	0%	8%	5%	NA	4%	0%	0%
NRAS	4%	1%	NA	NA	7%	4%	0%	0%
IDH2	NA	0%	NA	5%	4%	3%	0%	0%
BRAF	NA	1%	NA	3%	NA	2%	0%	0%
BCL2	NA	0%	NA	NA	NA	0%	0%	0%

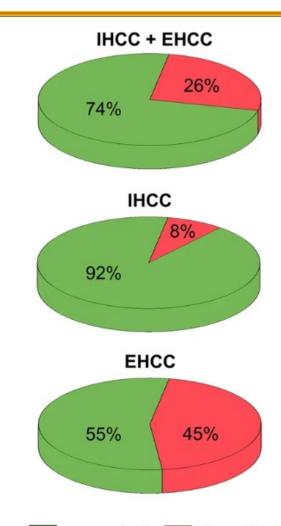
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concordant 🗾 discordant



Ettrich TJ et al. Sci Rep. 2019;9(1):13261.

HPV ctDNA in MRD and impact of definitive chemoradiotherapy (CRT) in anal squamous cell carcinomas (SCCa)

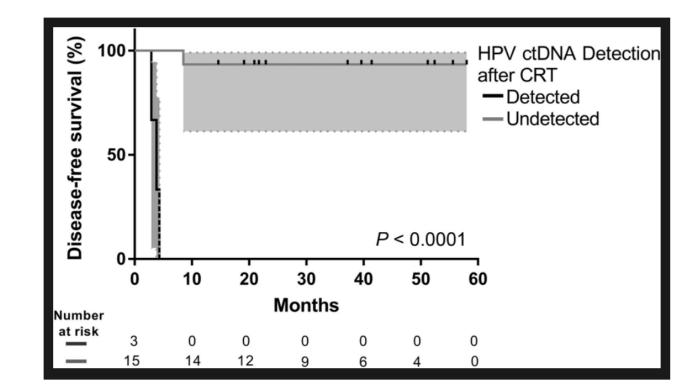
- Positive rate = tumor stage

 (II: 64% and III: 100%; p=0.008) and
 node positive disease
- Positive ctDNA post-CRT = shorter DFS (p< 0.0001)

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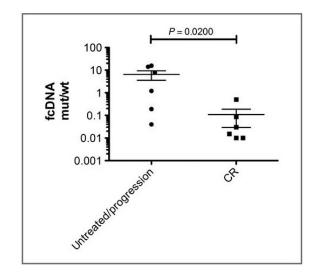
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- Active disease = higher titers of mutated ctDNA c.f. patients in complete remission (Maier J. et al)
- Detection of resistance mutations = earlier treatment changes and help avoid repeated tumor biopsies

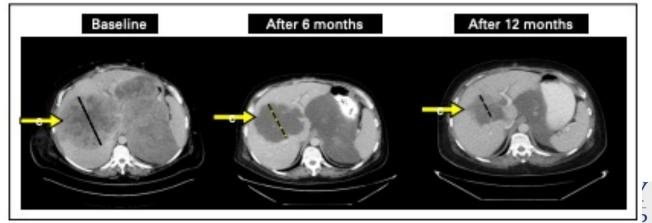


Capture the molecular heterogeneity - whole tumor; guide treatment decisions during progression (Arshad J. et al)

55yo M; gastric GIST liver metastases; progression on imatinib, nilotinib, sunitinib, and regorafenib. ctDNA - KIT exon 17 Y832D secondary mutation; durable response with ponatinib for nearly 2 years.

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Maier J et al. *Clin Cancer Res.* 2013;19(17):4854-4867; Kang G et al. *Mol Diagn Ther.* 20(4):347-351; Arshad J et al. *JCO Precis Oncol.* 2020;4:66-73; Namløs HM et al. *Mol Cancer Ther.* 2018;17(11):2473-2480.

Other GI Cancers: Appendiceal Cancer

Oncologist[®]

Blood-Based Next-Generation Sequencing Analysis of Appendiceal Cancers

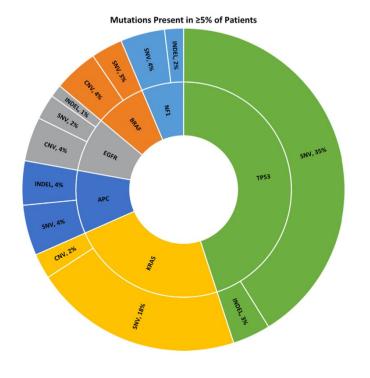
Gastrointestinal Cancer

- 303 patients; F = 56%
- Median age = 56.8yrs (25– 83)
- ctDNA NGS testing was performed on 372 plasma samples
- Genomic alterations were defined in 207 (55.6%) samples

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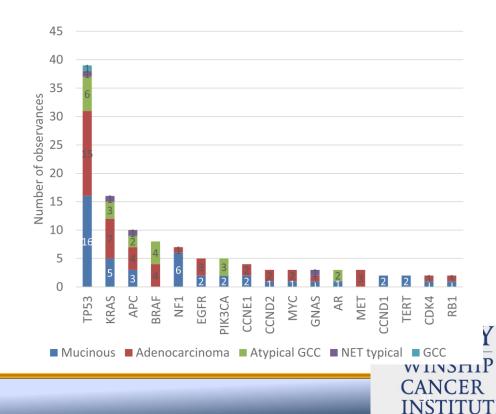
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Histology	Samples <i>, n</i> (%)
Mucinous	33 (52.4)
Adenocarcinoma	14 (22.2)
Atypical GCC	14 (22.2)
GCC	1 (1.6)
NET typical	1 (1.6)

Abbreviations: GCC, goblet cell carcinoma; NET, neuroendocrine tumors.



Shaib WL...Alese OB... Oncologist. 2020 May;25(5):414-421..

Neuroendocrine neoplasms



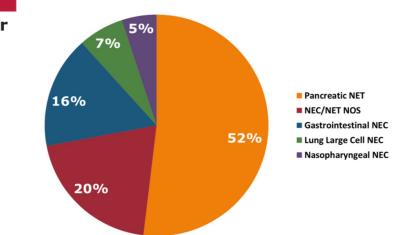
- Neuroendocrine neoplasms (NENs): heterogeneous group of neoplasms – WD NET <---->NEC
- 320 NEN patients

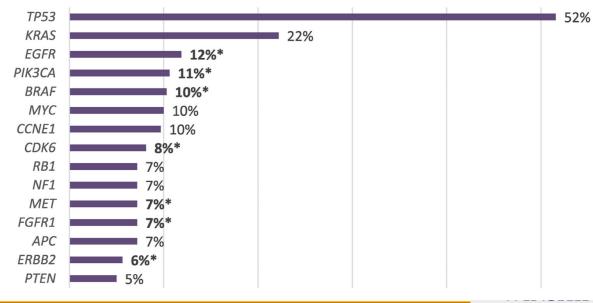
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- 338 plasma samples tested via clinical-grade ctDNA NGS
- Genomic alterations in 280 (87.5%) samples; 1,012 alterations after excluding VUS and synonymous mutations

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Zakka K...Alese OB...et. al...Oncotarget, 2020, Vol. 11, (No. 19), pp: 1749-1757

Neuroendocrine neoplasms

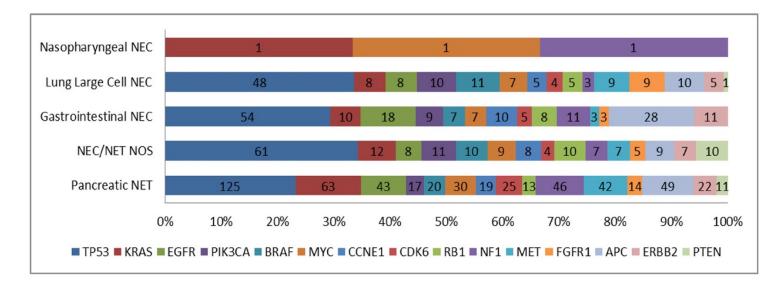


Figure 4: Genomic alterations stratified by tumor type.

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Table 1: Correlation between age and gender with respect to KRAS/BRAF/ATM/BRCA/MTOR/PIK3CA

Gene	Count of Gene	Male	Female	Mean Age (Years)
KRAS	94	62/94 (66%)	32/94 (34%)	59.3
BRAF	48	29/48 (60%)	19/48 (40%)	61.5
ATM	7	4/7 (57%)	3/7 (43%)	67.1
BRCA 1	45	37/45 (82%)	8/45 (18%)	54.7
BRCA 2	48	31/48 (65%)	17/48 (35%)	58.9
MTOR	27	12/27 (44%)	15/27 (56%)	63.4
PIK3CA	47	24/47 (51%)	23/47 (49%)	58.4

<u>Frequency of alterations with</u> <u>possible drug targets</u>

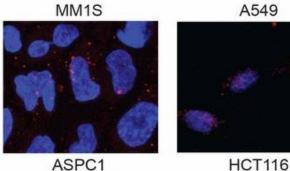
- EGFR (12%, erlotinib)
- PIK3CA (11%, Alpelisib)
- BRAF (10%, Encorafenib)
- CDK6 (8%, Palbociclib)
- MET (7%, Cabozantinib)
- FGFR1 (7%, Erdafitinib)
- ERBB2 (6%, Trast/Pertuzumab)
- BRCA1/2 (15%, Olaparib)

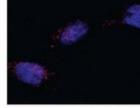


Zakka K...Alese OB...et. al...Oncotarget, 2020, Vol. 11, (No. 19), pp: 1749-1757

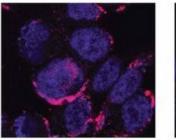
ctDNA and horizontal gene transfer

- ctDNA can promote cell-specific • horizontal gene transfer (HGT) between human cancer cells
- Retrotransposons of the ERVL, ٠ SINE, and LINE families are necessary for cell targeting and the integration of ctDNA into host DNA





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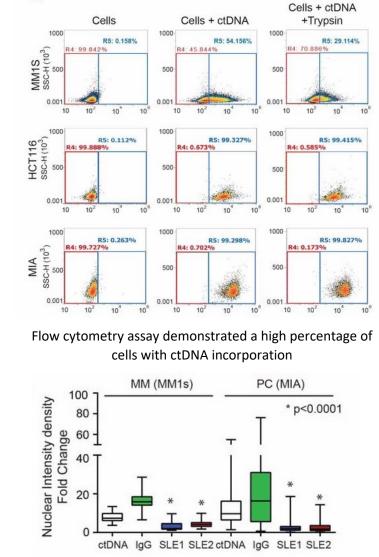


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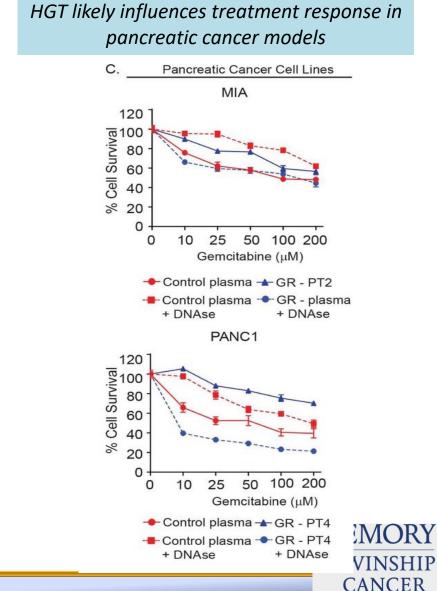
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nuclear localization of rhodamine-labeled ctDNA (red)



Anti-dsDNA Ab reduce ctDNA nuclear localization



National Cancer Institute-Designated

Cinar M...Alese OB...et. al...manuscript under review, available on bioRxiv

- Targeted therapies enhance personalization of GI cancer treatment, due to increasing molecular characterization
- ctDNA has a significant potential in the management of GI cancer patients
- Additional efforts regarding optimization of this tool, while exploring additional implications of ctDNA are ongoing

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