



July 14-16, 2023

The Roosevelt Hotel
New Orleans, Louisiana



Neoadjuvant and Adjuvant Treatment of Early Stage NSCLC

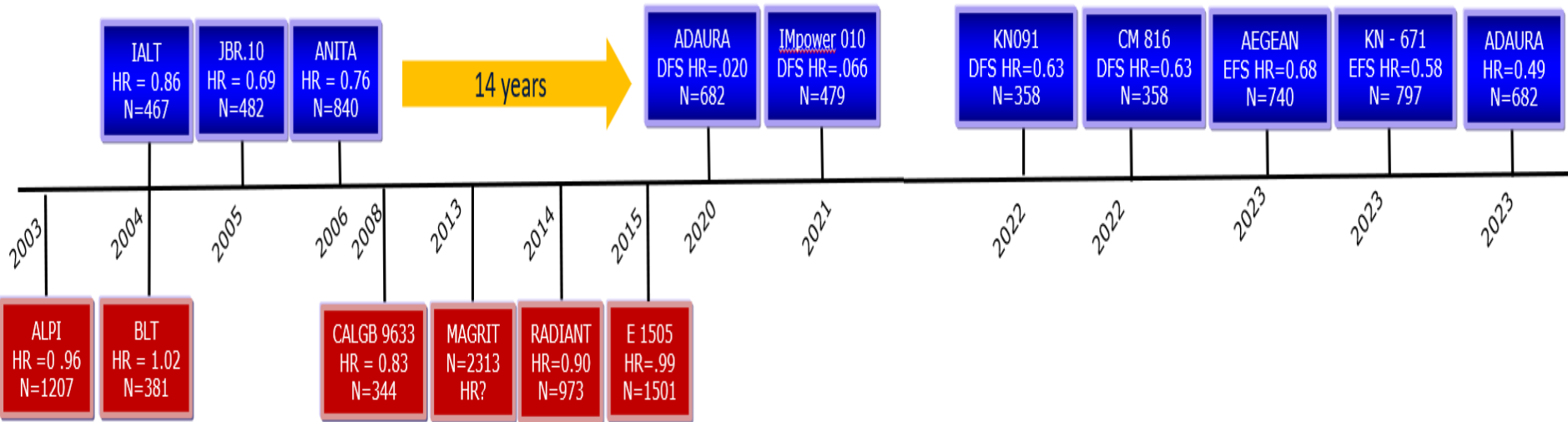
Karen Kelly, MD

CEO, IASLC

Professor Emeritus, U of California Davis

Major Systemic Treatment Advances in Early-Stage NSCLC

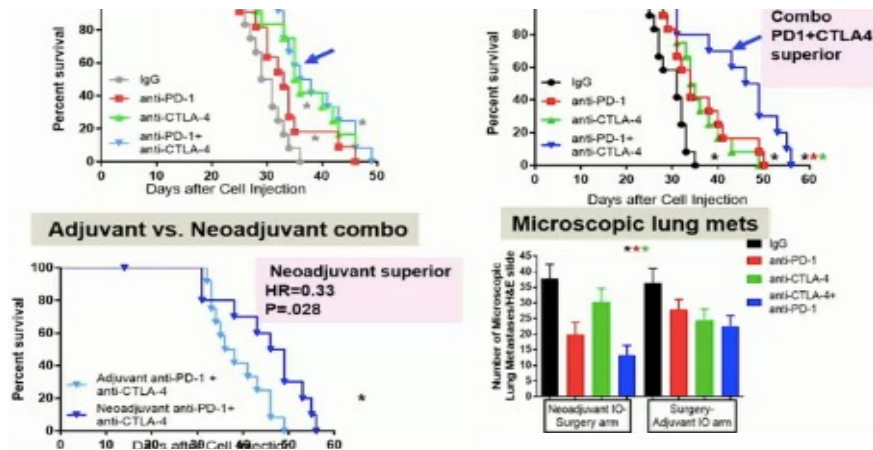
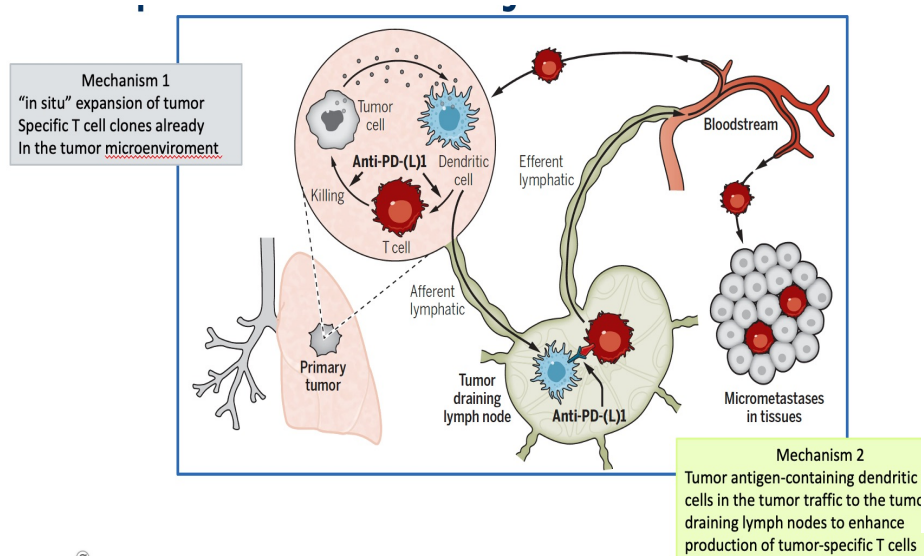
Phase III Trials



ALPI–Scagliotti GV et al. *J Natl Cancer Inst* 2003 ;BLT- Waller D et al. *Eur J Cardiothorac Surg* 2004; IALT–Arriagada R et al. *N Engl J Med* 2004; JBR.10–Winton T et al. *N Engl J Med* 2005; ANITA–Douillard JY et al. *Lancet Oncol* 2006; CALGB 9633–Strauss GM et al. *J Clin Oncol* 2008; RADIANT – Kelly K et al. *J Clin Oncol* 2014;MAGRIT–Vansteenkiste J et al. *Lancet Oncol* 2016; EGOG 1505 Wakelee HA et al. *Lancet Oncol* 2017; ADAURA–Herbst R et al. *N Engl J Med* 2021; IMpower 010 -Felip E et al. *Lancet Oncol* 2021; PEARLS- Paz-Ares L et al. *ESMO* 2022; CheckMate 816- Forde P et al. *N Engl J Med* 2022;

Mechanisms of enhancing a systemic immune response with neoadjuvant ICP inhibitors

Adjuvant vs neoadjuvant immunotherapy in murine models of lung adenocarcinoma



©

- Anti-PD-1/PD-L1 therapy requires the interaction between tumor cells, T cells and antigen presenting cells
- Higher probability that these interactions will occur in an established macroscopic tumor versus a microscopic tumor that requires time to recruit immune cells and establish a microenvironment.

Early Clinical Data in Support for Neoadjuvant Immune Checkpoint Inhibitors

Trial	Stage	N	Regimen	Squamous	Non-Squamous	Surgery	TRAE	ORR	MPR	pCR
Forde	IB-III A	21	Nivolumab	29%	62%	95%	4.5%	10%	45%	15%
MK3475-223	I-II	15	Pembrolizumab	46%	46%	87%	NR	NR	31%	15%
LCMC3	IB-IIIB	101	Atezolizumab	35%	65%	89%	6%	7%	19%	5%
NEOSTAR®	I-III A (N2 single)	23	Nivolumab	43%	57%	96%	13%	22%	17%	9%
		21	Nivolumab Ipilimumab	33%	62%	81%	23%	19%	33%	29%
Gao	IA-III A	40	Sintilimab	83%	15%	93%	10%	20%	41%	16%
NADIM	III A (N2 or T4)	46	Nivolumab Chemotherapy	35%	61%	89%	NR	74%	83%	59%
Shu	IB-III A	30	Atezolizumab Chemotherapy	40%	57%	87%	6%	63%	57%	33%
Zinner	IB-III A	13	Nivolumab Chemotherapy	69%	31%	100%	NR	46%	77%	31%
SAKK 16/14	III A (N2)	67	Chemotherapy followed by Durvalumab	33%	55%	85%	NR	58%	60%	18%

Neoadjuvant Phase III Trials- Trial Characteristics

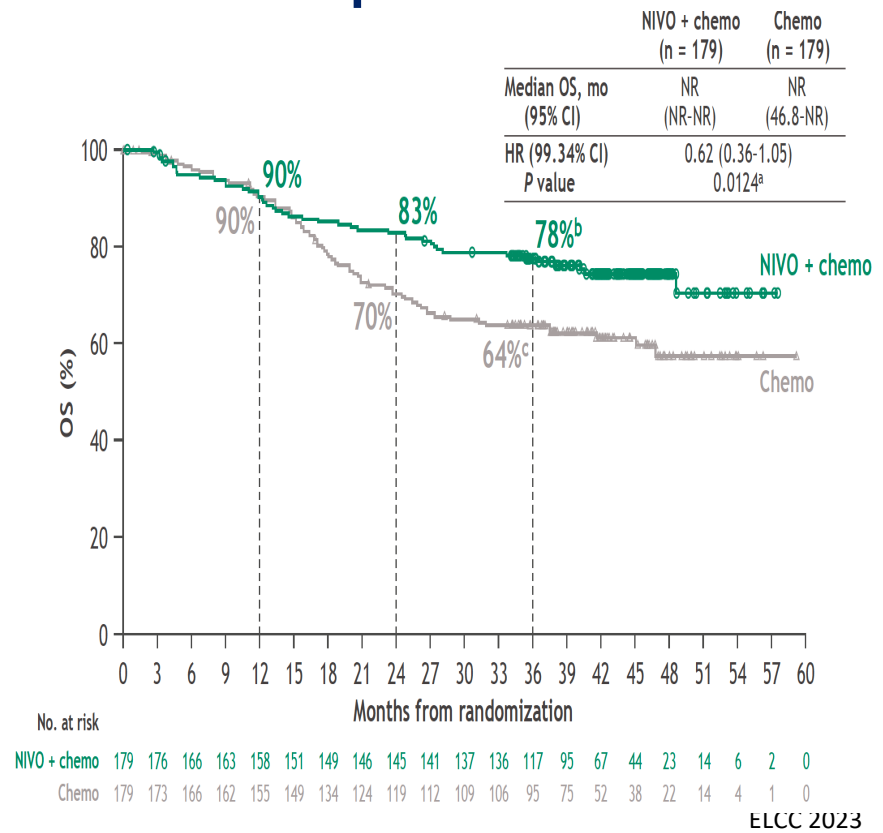
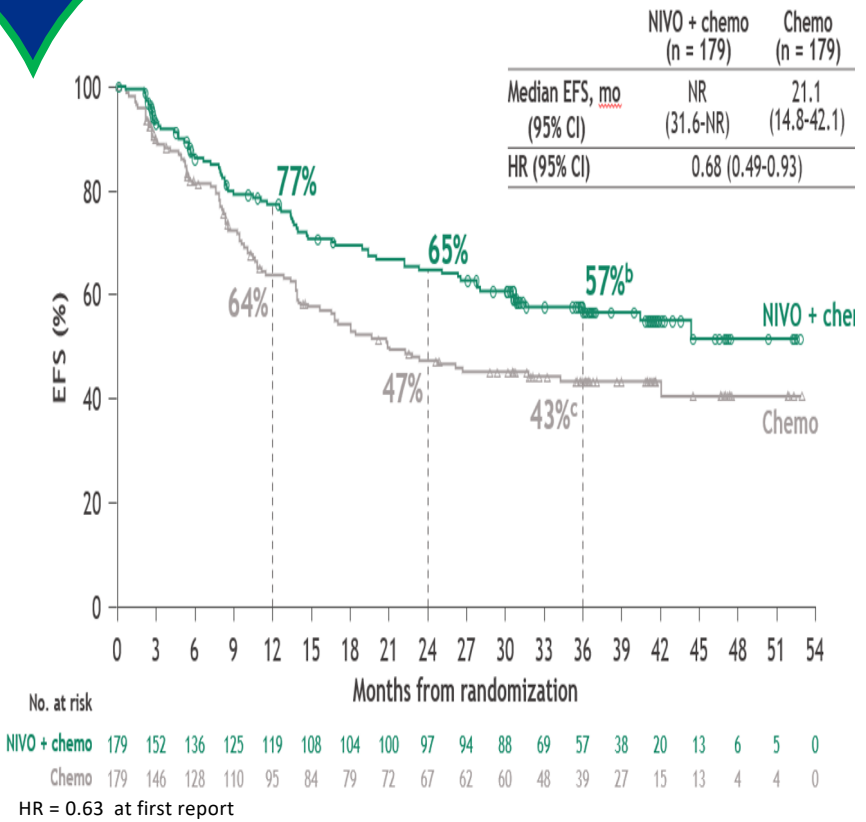
Study	N	Stage	PD-L Testing requirement Stratification	Primary Endpoint	Allowance of EGFR or ALK + tumors	Comments
CHECKMATE 816 (NCT02998528) [Forde NEJM 2022]	505	Resectable stage IB (≥ 4 cm) to IIIA (AJCC 7 th edition)	Required Stratification: $<1\%$ vs $\geq 1\%$	EFS pCR	Excluded	No adjuvant therapy
PERIOPERATIVE TREATMENT						
AEGEAN (NCT03800134)	825	Resectable stage II/III (AJCC 8 th edition)	Required Stratification: $<1\%$ vs $\geq 1\%$	EFS pCR	Originally included but later excluded per protocol revision	Adjuvant durvalumab q 4 wks x 12 cycles
KEYNOTE-671 (NCT03425643)	786	Resectable stage II, IIIA, and IIIB (T3-4N2) (AJCC 8 th edition)	Required Stratification: $<50\%$ vs $>50\%$	EFS OS	<u>Allowed</u>	Adj pembrolizumab q 3wks x 13 cycles
NEOTORCH (JS001-029-III-NSCLC) (NCT04158440)	500	Resectable stage II or stage III disease. (TNM edition ?)	Required Stratification: $<1\%$ vs $\geq 1\%$	<u>EFS (stage III)</u> EFS (stage II-III) MPR (stage III) MPR (stage II-III)	Excluded	<u>1 cycle chemo + toripalimab post surgery</u> then toripalimab X 13 cycles
BGB-A317-315 (NCT04379635)	380	Resectable stage II, IIIA (AJCC edition not reported)	Required Stratification: $<1\%$ vs $>1\%$	MPR EFS	Excluded	Pending results
IMpower 030 (NCT03456063)	453	Resectable stage II, IIIA, and select IIIB (AJCC 8 th edition)	Collected but not used	EFS	Excluded	Pending results Adj Atezolizumab x16 cycles
CA209-77T (NCT04025879)	452	Resectable stage II-IIIB (AJCC 8 th edition)	Not reported	EFS	Excluded	Pending results
Rationale 315	450	Resectable stage II-IIIa TNM edition ?	PD-L1 ($\geq 1\%$ vs $<1\%$)	EFS	Excluded	Pending results Tislelizumab 200 mg (Neoadj 3-4 cycles) Adj 400 mg q 6 weeks x 8

Primary endpoints: EFS and/or pathological complete response rate

Conquering Thoracic Cancers Worldwide

Median FU
41.4 M

Neoadjuvant Regimen Check-Mate 816 – 3 Year Update



ELCC 2023

Perioperative Regimen - EFS

AEGEAN

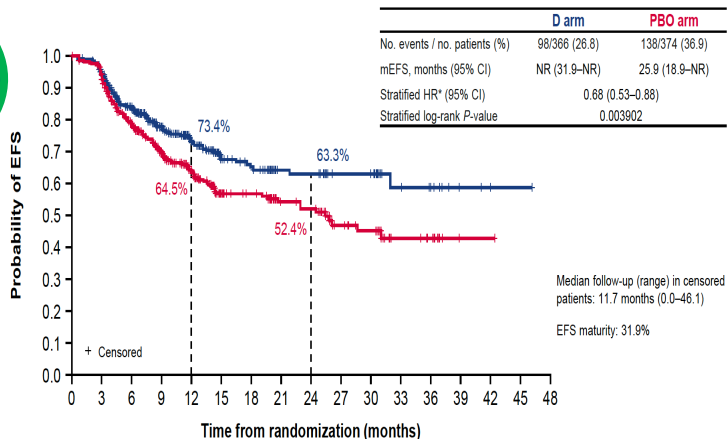
KEYNOTE 671



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EFS using RECIST v1.1 (BICR) (mITT)
First planned interim analysis of EFS

Median FU
11.7 M

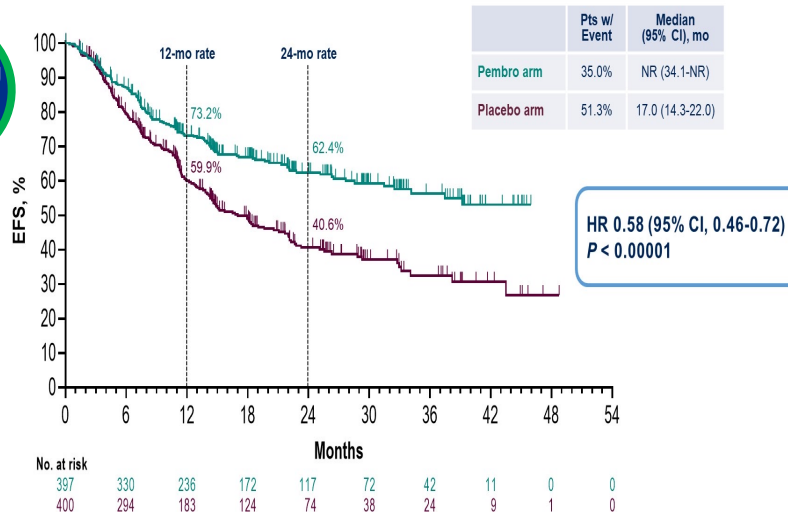


No. at risk:

	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48
D arm	366	336	271	194	140	90	78	50	49	31	30	14	11	3	1	1	0
PBO arm	374	339	257	184	136	82	74	53	50	30	25	16	13	1	1	0	0

Median FU
25.2 M

Event-Free Survival



EFS defined as time from randomization to first occurrence of local progression precluding planned surgery, unresectable tumor, progression or recurrence per RECIST v1.1 by investigator assessment, or death from any cause. Data cutoff date for IA1: July 29, 2022 (median follow-up, 25.2 mo [range, 7.5–50.6]).

000 – Nov 10, 2022. EFS is defined as time from randomization to the earliest of: (A) progressive disease (PD) that precludes surgery; (B) PD discovered and reported by the investigator upon attempting surgery that prevents completion of surgery; (C) local/distant recurrence using BICR (1) or (2) death from any cause. *HR < 1 favors the D arm versus the PBO arm. Median and landmark estimates calculated using the Kaplan-Meier method. HR calculated using a stratified Cox proportional hazards model, and P-value calculated using a stratified log-rank test. Factors: disease stage (I vs III) and PD-L1 expression status (<1% vs ≥1%). Significance boundary – 0.000899 (based on total 5% alpha), calculated using a Lan-DeMelle alpha spending function with O'Brien Fleming boundary. mEFS, median EFS; NR, not reached.

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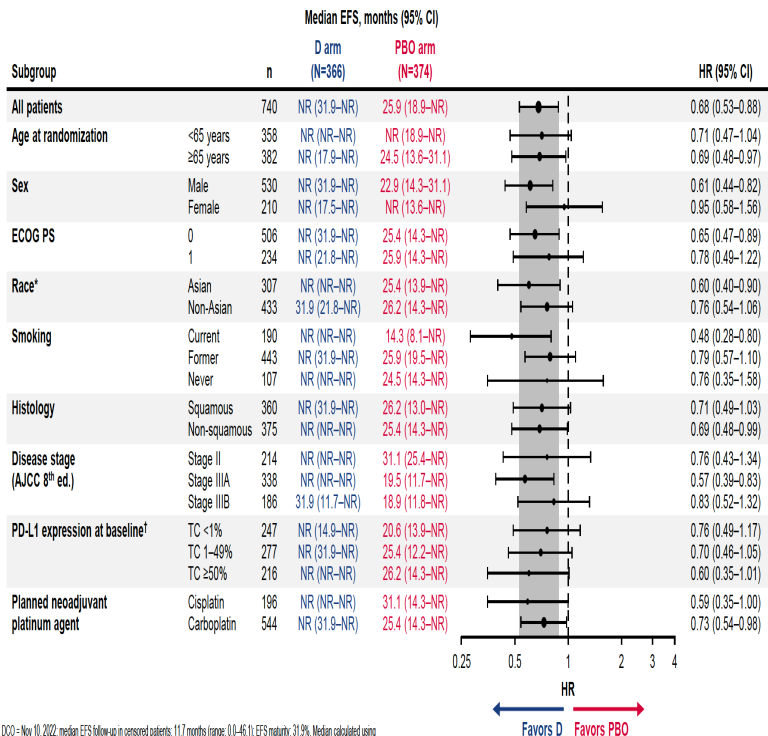
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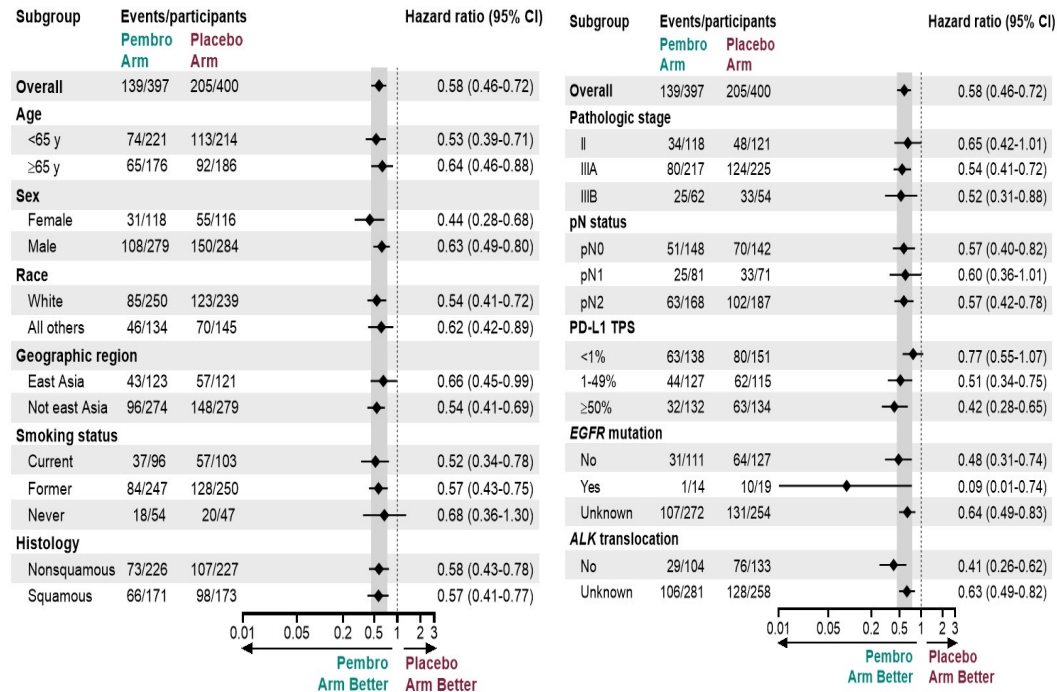
Perioperative Regimen – EFS By Subgroups

AEGEAN



000 = Nov 10, 2022; median EFS follow-up in censored patients: 11.7 months; range: 0.0–46.1; EFS maturity: 31.9%. Median calculated using

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Perioperative Regimen – Pathological Response

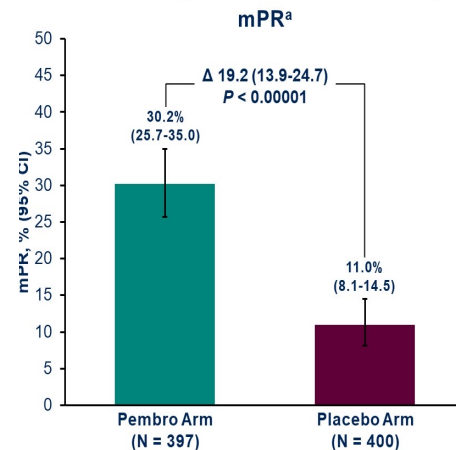
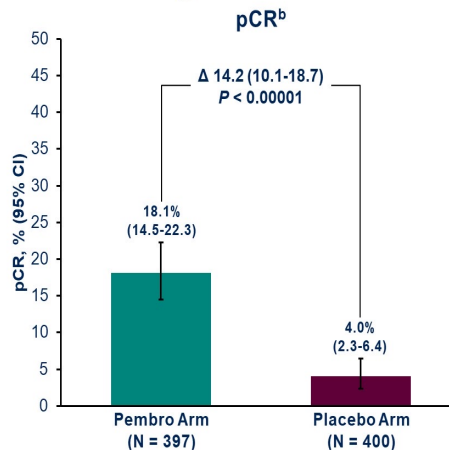
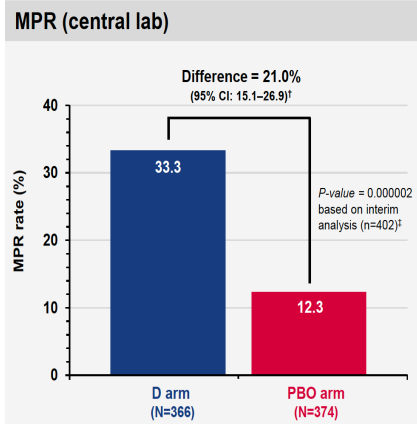
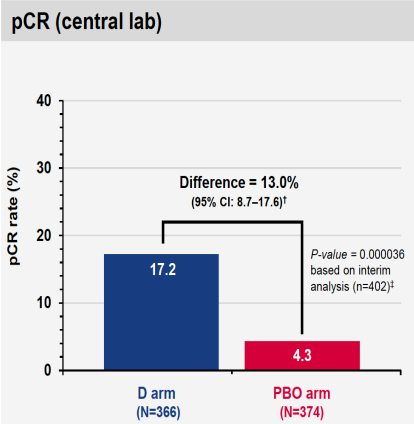
AEGEAN

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Pathologic response per IASLC 2020 methodology* (mITT)
Final analysis



Pathological Response Assessed per Blinded, Independent Pathologist Review



^a Per IASLC criteria, defined as ≤10% viable tumor cells in resected primary tumor and lymph nodes. ^b Per IASLC criteria, defined as absence of residual invasive cancer in resected primary tumor and lymph nodes (ypT0/Tis ypN0). Data cutoff date for IA1: July 29, 2022.

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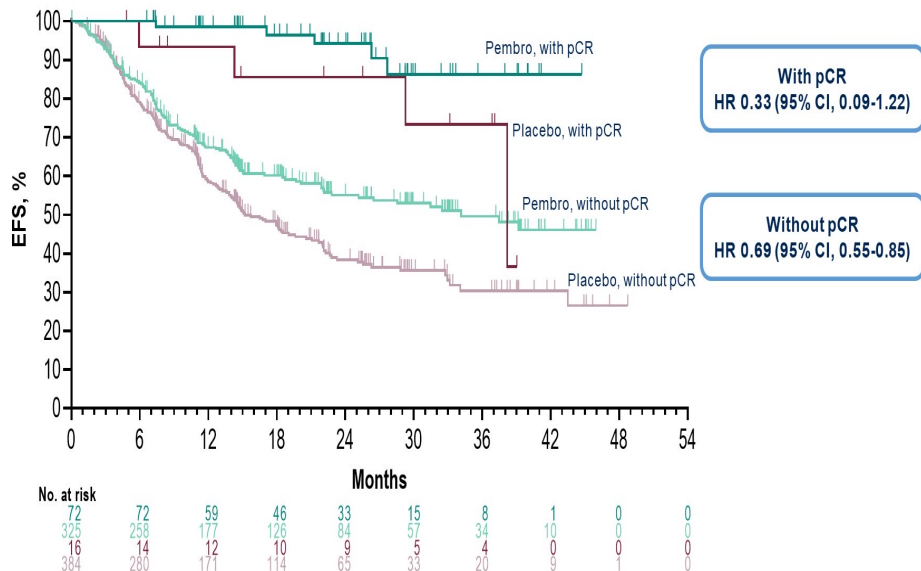
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Conquering Thoracic Cancers Worldwide

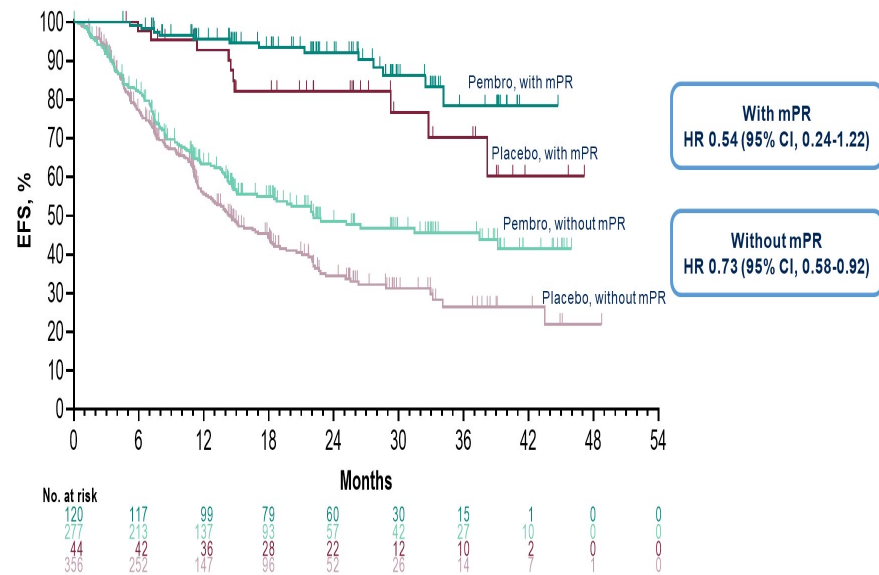
*Using IASLC recommendations for pathologic assessment of response to therapy, including gross assessment and processing of tumor bed (Travis WD, et al. J Thorac Oncol 2020; 15:709-40). pCR = a lack of any viable tumor cells after complete evaluation of the resected lung cancer specimen and all sampled regional lymph nodes. mPR = less than or equal to 10% viable tumor cells in lung primary tumor after complete evaluation of the resected lung cancer specimen. †See eligibility for pathologic assessment. ‡Patients needed to have received three cycles of neoadjuvant study 1a per protocol. Patients who were not evaluable were classified as non-responders. ††Is calculated by stratified Midtman and Nummen method. ‡‡No formal statistical testing was performed at the pCR final analysis (DCCO Nov 10, 2022, n=740 [data shown]). Statistical significance was achieved at the interim pCR analysis (DCCO Jan 14, 2022, n=402, P-value for pCR/mPR calculated using a stratified Cochran-Mantel-Haenszel test with a significance boundary = 0.000002 calculated using a Lan-DeMets alpha spending function with O'Brien Fleming boundary).

KEYNOTE 671 - Pathological Response

Exploratory Analysis of EFS by pCR Status



Exploratory Analysis of EFS by mPR Status



pCR defined as absence of residual invasive cancer in resected primary tumor and lymph nodes (ypT0/Tis ypN0). EFS defined as time from randomization to first occurrence of local progression precluding planned surgery, unresectable tumor, progression or recurrence per RECIST v1.1 by investigator assessment, or death from any cause. Data cutoff date for IA1: July 29, 2022.

defined as $\leq 10\%$ viable tumor cells in resected primary tumor and lymph nodes. EFS defined as time from randomization to first occurrence of local progression precluding planned surgery, evaluable tumor, progression or recurrence per RECIST v1.1 by investigator assessment, or death from any cause. Data cutoff date for IA1: July 29, 2022.

Perioperative Regimen – Adverse Events

AEGEAN

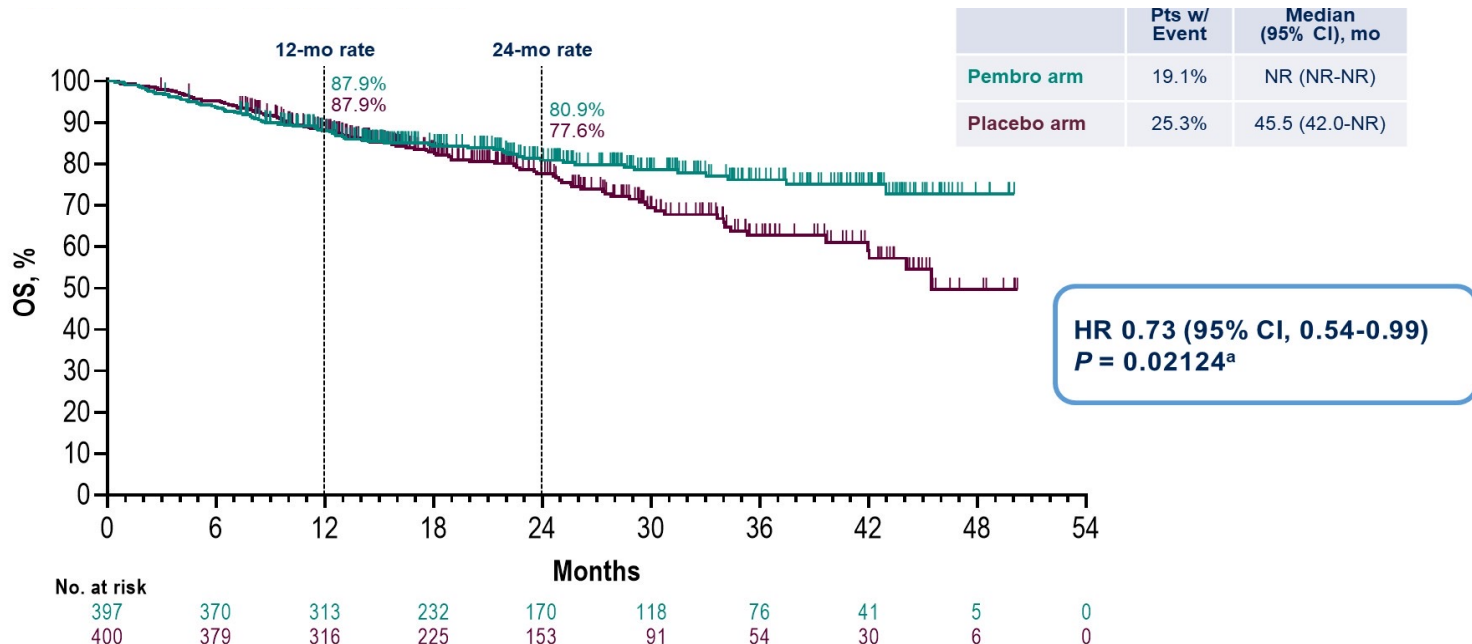
Overall study period (inclusive of the neoadjuvant, surgical, and adjuvant Tx phases) [†]	D arm (N=400)	PBO arm (N=399)
Any-grade all-causality AEs, n (%)	386 (96.5)	378 (94.7)
Max. grade 3 or 4	169 (42.3)	173 (43.4)
SAE	150 (37.5)	126 (31.6)
Outcome of death	23 (5.8)	15 (3.8)
Leading to discontinuation of D / PBO	48 (12.0)	24 (6.0)
Leading to cancellation of surgery	7 (1.8)	4 (1.0)
Any-grade AEs possibly related to D / PBO / CT, n (%)	346 (86.5)	322 (80.7)
Max. grade 3 or 4	129 (32.3)	132 (33.1)
Outcome of death [‡]	7 (1.8)	2 (0.5)
Any-grade immune-mediated AEs[§], n (%)	94 (23.5)	39 (9.8)
Grade 3 or 4	16 (4.0)	10 (2.5)
Pneumonitis (any grade) [¶]	15 (3.8)	7 (1.8)

KEYNOTE 671

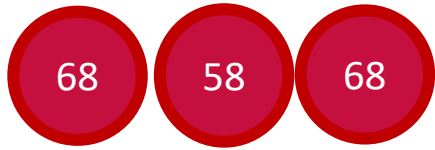
	Pembro Arm (n = 396)	Placebo Arm (n = 399)
Exposure		
Days on pembro or placebo, median (range)	332 days (1-567)	315 days (1-596)
No. pembro or placebo administrations, median (range)	12 (1-17)	10 (1-17)
Treatment-related AEs^a	383 (96.7%)	379 (95.0%)
Grade 3-5	178 (44.9%)	149 (37.3%)
Serious	70 (17.7%)	57 (14.3%)
Led to death	4 (1.0%) ^b	3 (0.8%) ^c
Led to discontinuation of all study treatment	50 (12.6%)	21 (5.3%)
Immune-mediated AEs and infusion reactions	100 (25.3%)	42 (10.5%)
Grade 3-5	23 (5.8%)	6 (1.5%)
Serious	21 (5.3%)	6 (1.5%)
Led to death	1 (0.3%) ^d	0
Led to discontinuation of all study treatment	20 (5.1%)	3 (0.8%)

Managable AE profiles

KEYNOTE 671 – Overall Survival



OS defined as time from randomization to death from any cause. ^a Significance boundary not met at IA1; OS will continue to be tested according to the analysis plan. Data cutoff date for IA1: July 29, 2022 (median follow-up, 25.2 mo [range, 7.5-50.6]).



By the Numbers



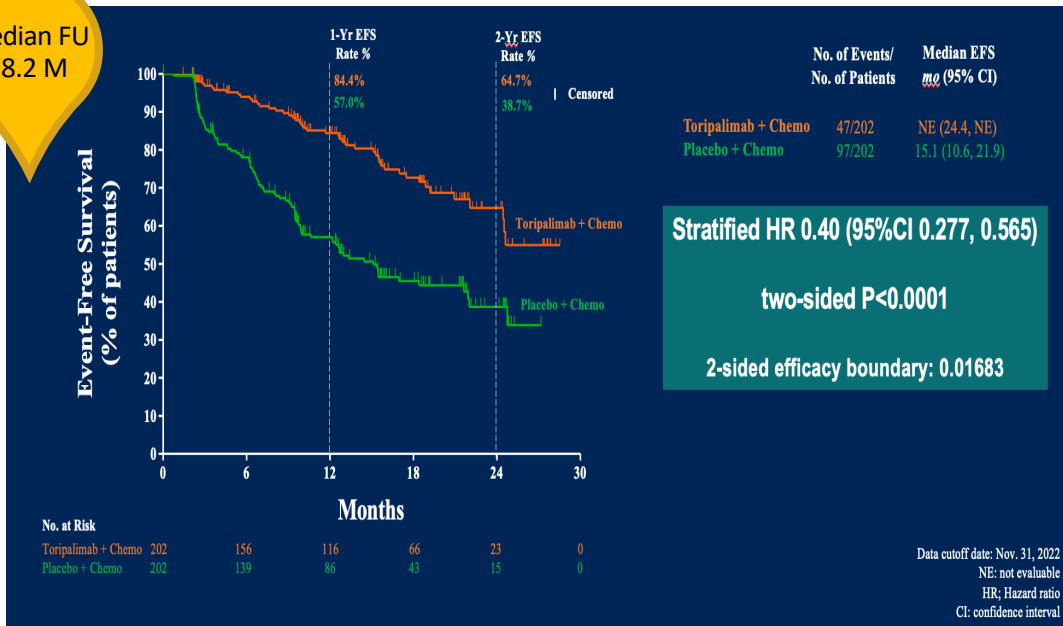
Trial	N	Follow Up	Stage III	PD-L1 ≥ 50% ≤ 1%	EFS HR (95% CI)	OS HR (95% CI)	Completed Neoadjuvant Tx	cPR	R0 Resection	Completed Adjuvant Tx
CM 816	358	41.4 months	66% (no IIIB) 7 th ed	22% 43%	HR 0.68 (0.49-0.93)	HR 0.62 (0.36-1.05)	93.8%	24%	83.2%	NA
KN 671	797	25.2 months	70%	33% 36%	HR 0.58 (0.46-0.72)	HR 0.71 (0.54-0.99)	74.5%	18.1%	92%	40.4%*
AEGEAN	740	11.7 months	71%	29% 33%	HR 0.68 (0.53-0.88)	NR	84.7%	17.2%	94.7%	24.0%**

* Patients on treatment (11%)

** Patients on treatment (23%)

NEOTORCH (STAGE III) - EFS

Median FU
18.2 M



Subgroups	Toripalimab + Chemo Events/Total	Placebo + Chemo Events/Total	Hazard Ratio (95% CI)
Disease Stage			
IIIA	34/136	65/136	0.44 (0.287, 0.661)
IIIB	13/65	31/64	0.30 (0.149, 0.559)
PD-L1 Expression			
TC≥1%	28/133	65/132	0.31 (0.197, 0.481)
TC<1% or Not Evaluable	19/69	32/70	0.59 (0.327, 1.034)
Pathological Type			
Non-squamous Cell Carcinoma	12/45	21/45	0.54 (0.257, 1.079)
Squamous Cell Carcinoma	35/157	76/157	0.35 (0.234, 0.523)
Age			
<65	33/140	66/138	0.41 (0.267, 0.618)
≥65	14/62	31/64	0.34 (0.177, 0.635)
Sex			
Male	42/181	91/189	0.38 (0.259, 0.541)
Female	5/21	6/13	0.54 (0.154, 1.796)
ECOG			
0	20/70	38/73	0.44 (0.249, 0.743)
1	27/132	59/129	0.36 (0.226, 0.566)
Smoking			
Yes (Including Smoker or Former)	39/174	88/181	0.37 (0.252, 0.539)
No	8/28	9/21	0.52 (0.193, 1.358)

0.000 0.500 1.000 1.500 2.000
Toripalimab Better ← → Placebo Better

ASCO Plenary Series

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PRESENTED BY: Shun Lu. Perioperative toripalimab + platinum-doublet chemotherapy vs chemotherapy in resectable stage III/II non-small-cell lung cancer: Interim event-free survival analysis of the phase III Neotorch study

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EFS for Stage III Patients Across Trials

Study	Stage IIIA	Stage IIIB
NEOTORCH	N=272 HR 0.44 (0.287-0.661)	N=129 HR 0.30 (0.149-0.559)
AEGEAN	N=338 HR 0.57 (0.39-0.82)	N=186 HR 0.83 (0.52-1.32)
KN 671	N=442 HR 0.54 (0.41-0.72)	N=126 HR 0.52 (0.31-0.88)
CM 816	N=228 HR 0.54 (~0.4-0.9)	NA
NADIM II Phase II	N=86 HR 0.47 (0.25-0.88)	No patients enrolled

Provencio M, et al. NEJM 2023

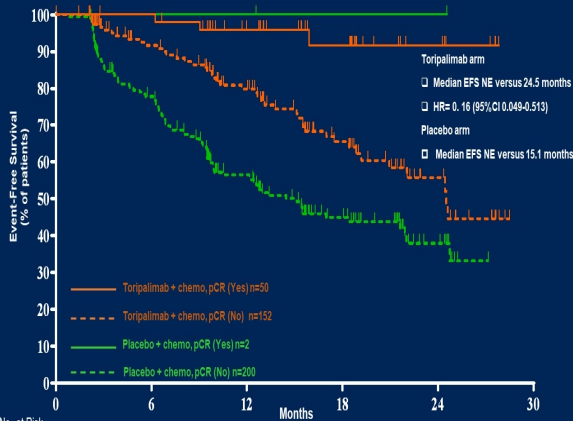
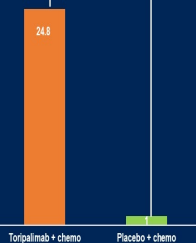
NEOTORCH (STAGE III)

EFS by pCR

pCR assessed by BIPR

Difference= 23.7%
(95% CI: 17.6-29.8)

P-value < 0.0001



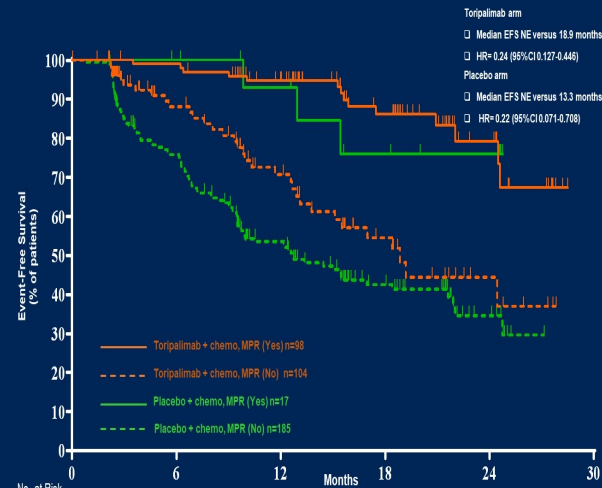
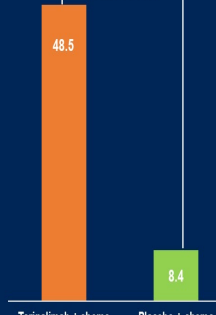
	0	6	12	18	24	30
Toripalimab + chemo, pCR Yes	50	49	36	21	7	0
Toripalimab + chemo, pCR No	152	107	80	45	16	0
Placebo + chemo, pCR Yes	2	2	2	1	1	0
Placebo + chemo, pCR No	200	137	84	42	14	0

EFS by MPR

MPR by BIPR

Difference=40.2%
(95% CI: 32.2-48.1)

P-value < 0.0001

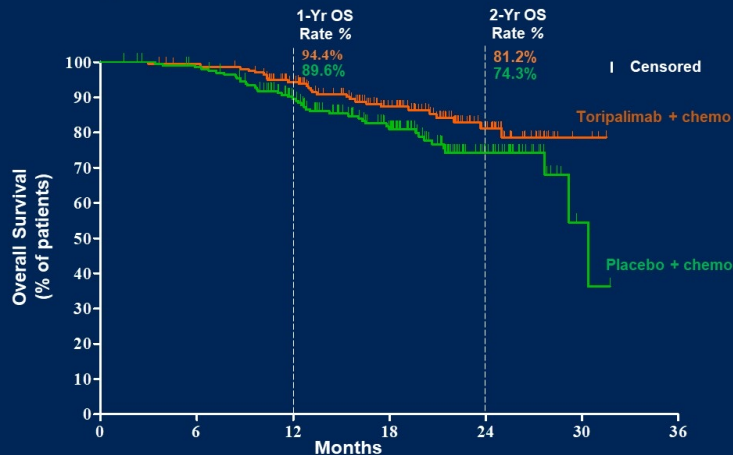


	0	6	12	18	24	30
Toripalimab + chemo, MPR Yes	98	95	77	46	17	0
Toripalimab + chemo, MPR No	104	61	39	20	6	0
Placebo + chemo, MPR Yes	17	16	13	7	5	0
Placebo + chemo, MPR No	185	123	73	36	10	0

NEOTORCH (STAGE III) - EFS

Overall Survival Analysis

Median follow-up: 18.25 months
Intent-to-treat Stage III patients



	No. of Events/ No. of Patients	Median OS mo (95% CI)
Toripalimab + chemo	28/202	NE (NE, NE)
Placebo + chemo	48/202	30.4 (29.2, NE)

HR=0.62 (95% CI 0.38-0.999)

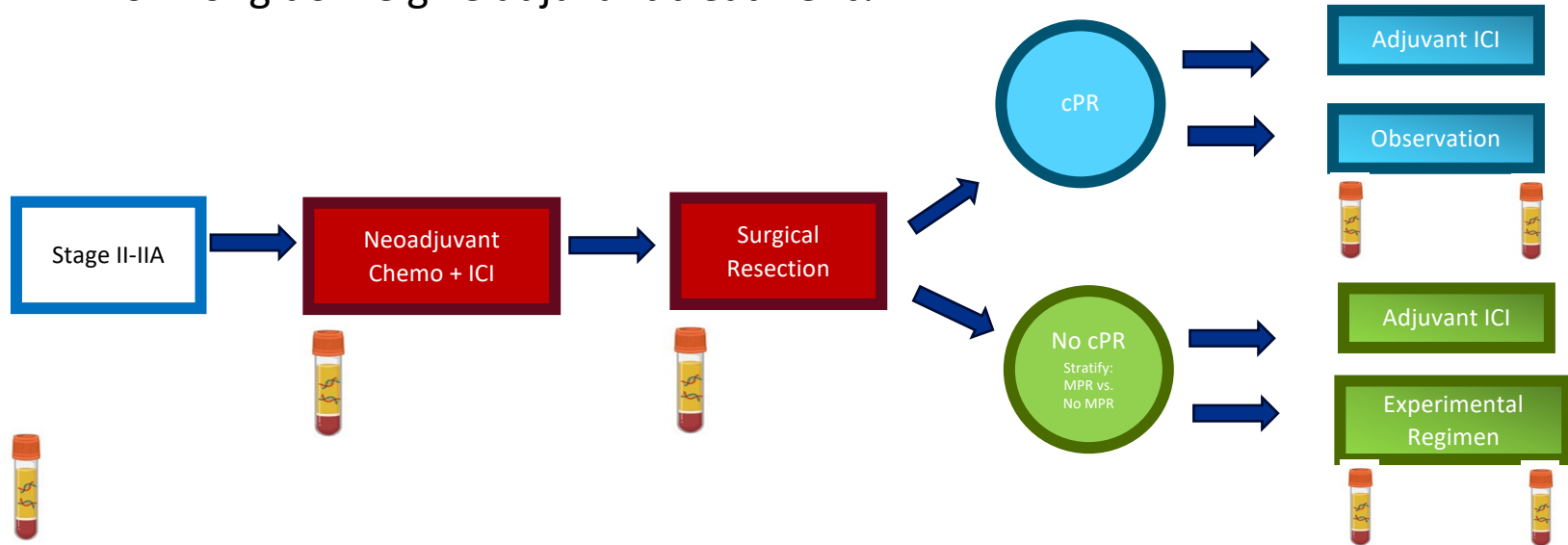
P=0.0502

	0	6	12	18	24	30	36
Toripalimab + chemo	202	198	169	107	45	3	0
Placebo + chemo	202	194	156	101	37	3	0

Data cutoff date: Nov. 30, 2022
NE: not evaluable
HR: Hazard ratio
CI: confidence interval

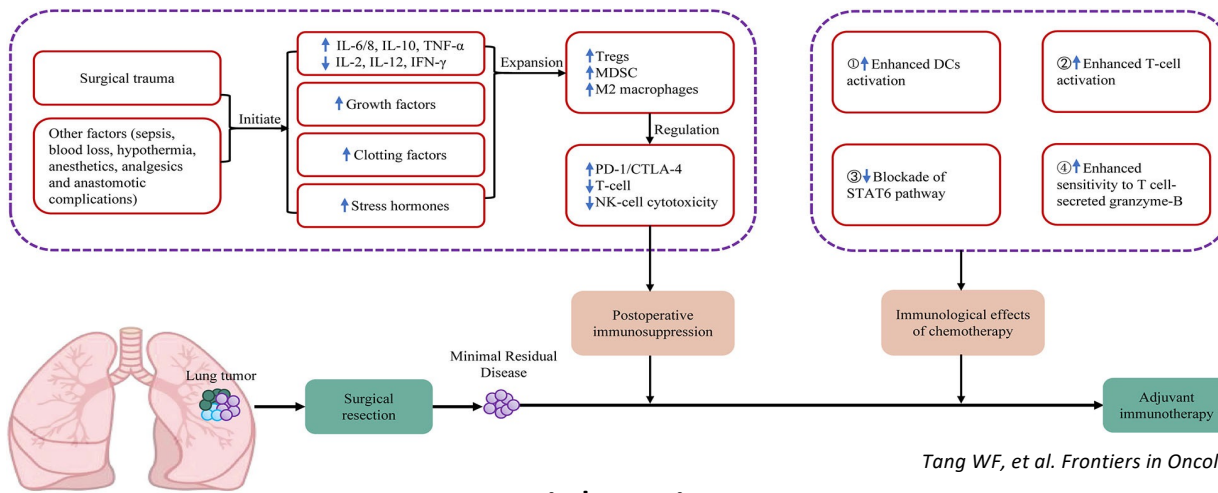
Key Questions

1. Do patients with a cPR need adjuvant treatment?
2. Are there subgroups of patients without a cPR who don't need adjuvant treatment?
3. Is it time to conduct a biomarker driven trial?
4. How long do we give adjuvant treatment?

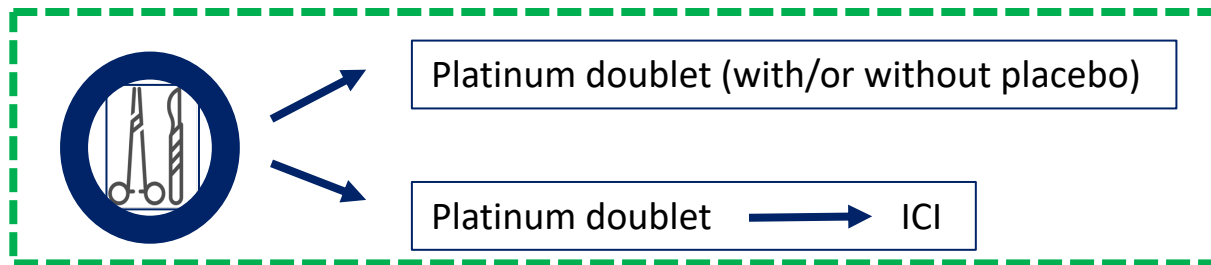


Adjuvant Phase III Trials – Trial Characteristics

Immunosuppressive Effects of Surgery and Chemotherapy



Trial Design



Adjuvant Phase III Trials – Trial Characteristics

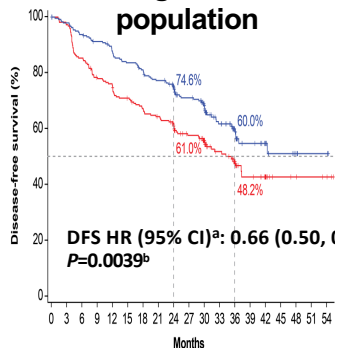
Study	N	Stage	PD-L Testing requirement and Stratification	Allowance of EGFR/ALK+ Tumors	Primary Endpoint	Comments
IMpower 010 (NCT02486718) Atezolizumab [Felix Lancet 2021; WCLC 2022]	1005	Resected stage IB (≥ 4 cm) to IIIA (AJCC 7 th edition)	Required Stratification: $<1\%$ vs $\geq 1\%$ 1-49% vs $\geq 50\%$	Allowed	DFS in a hierarchically design 1) Stage II-III pts with $\geq 1\%$ PD-L1; 2) all Stage II-III pts; 3) ITT population	
KEYNOTE-091/PEARLS (NCT02504372) Pembrolizumab [O'Brien Lancet Oncol 2022]	1177	Resected stage IB (≥ 4 cm) to IIIA (AJCC 7 th edition)	Required Stratification: $<1\%$ vs 1-49% vs $\geq 50\%$	Allowed	DFS overall population DFS pts with $\geq 50\%$ PD-L1 expression	
NADIM-ADJUVANT NCT04564157 Nivolumab	210	Resected stage IB (=4 cm) to IIIA (AJCC 8 th edition)	Not reported	<u>Excluded</u>	DFS	Accruing 6 cycles <u>Nivo</u>
ALCHEMIST/ANVIL [NCT02595944] Nivolumab	903	Resected stage IB (≥ 4 cm) to IIIA (AJCC 7 th edition)	Required Stratification: $<1\%$ vs $\geq 1\%$	<u>Excluded</u>	OS	Results pending
BR31/IFCT1401 NCT02273375 Durvalumab	1415	Resected stage IB (≥ 4 cm) to IIIA (AJCC 7 th edition)	Required Stratification: $<1\%$ vs 1-25% vs $\geq 25\%$	Allowed	DFS in patients with PD-L1 TC $\geq 25\%$ and $\geq 1\%$ DFS in all patients	Accruing

IMpower 010 and PEARLS/KEYNOTE 091 Primary DFS Endpoints

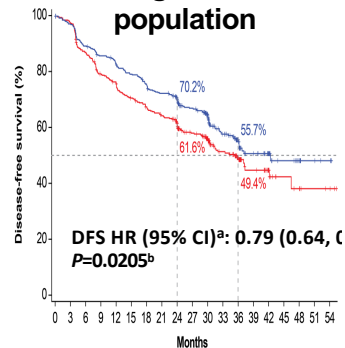
IMpower 010

KN091

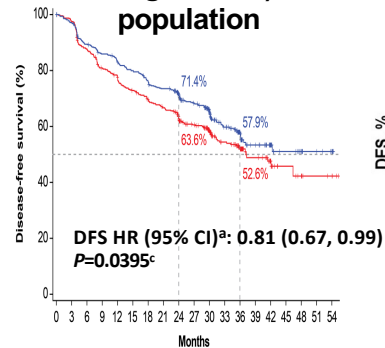
**DFS: PD-L1 TC
≥1%
stage II-IIIa
population**



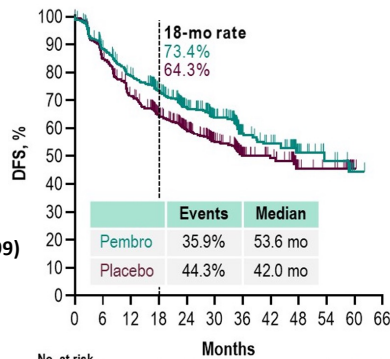
**DFS: All-
randomised
stage II-IIIa
population**



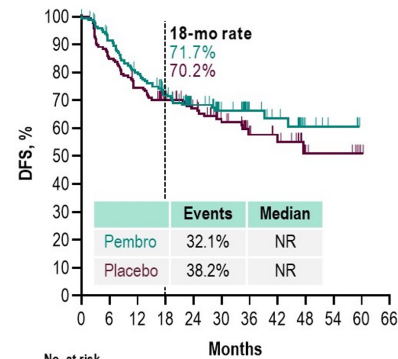
**DFS: ITT
(randomised
stage IB-IIIa)
population**



**DFS, Overall Population
HR 0.76 (95% CI 0.63-0.91)
P = 0.0014**



**DFS, PD-L1 TPS ≥50% Population
HR 0.82 (95% CI 0.57-1.18)
P = 0.14**

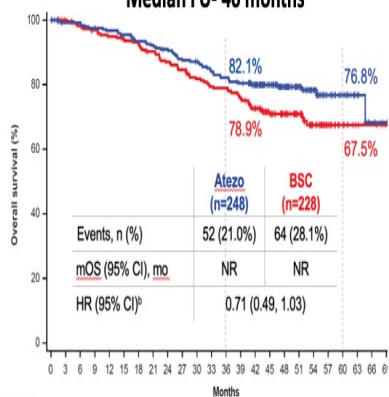


IMMATURE

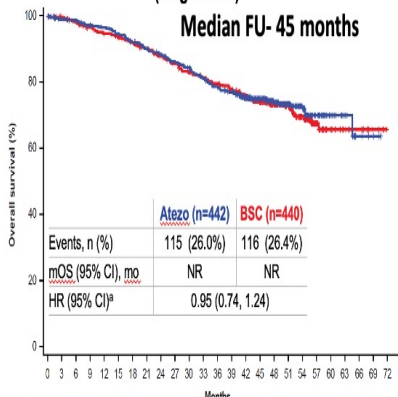
IMpower 010 and PEARLS/KEYNOTE 091 Overall Survival

IMpower 010

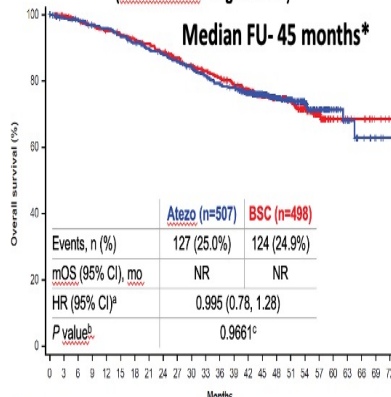
PD-L1 $\geq 1\%$; Stage II-IIIa
Median FU- 46 months



All randomised
(stage II-IIIa)
Median FU- 45 months



ITT
(randomised stage IB-IIIa)
Median FU- 45 months*

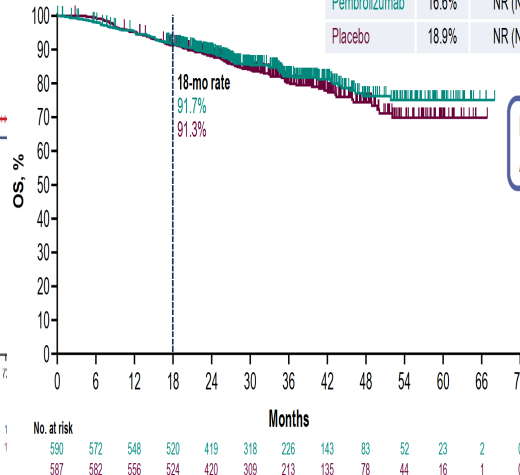


KN091

OS, Overall Population

Median FU – 35.6 months

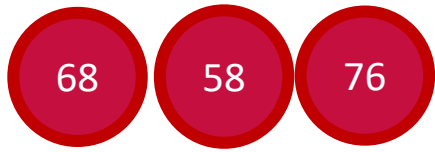
	Pts w/ Event	Median, mo (95% CI)
Pembrolizumab	16.6%	NR (NR-NR)
Placebo	18.9%	NR (NR-NR)



*not formally tested

Felip E, et al. WCLC 2022

Paz-Ares K, et al. Virtual ESMO Plenary 2022



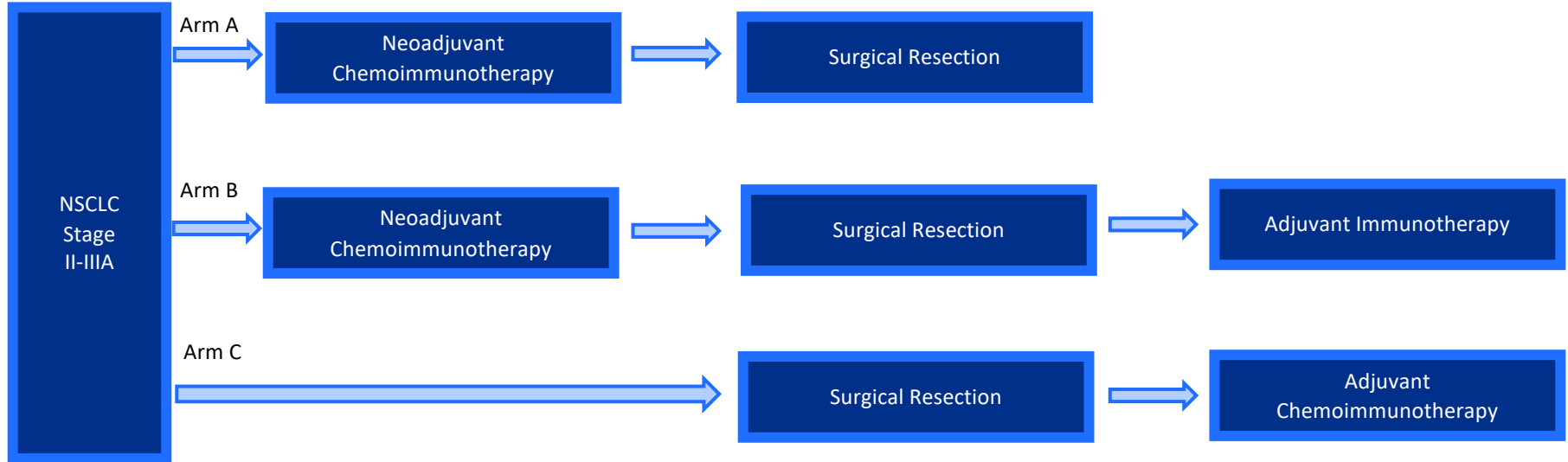
By the Numbers



Trial	N	Follow Up	Stage III	PD-L1 ≥ 50% ≤ 1%	EFS HR (95% CI)	OS HR (95% CI)	Completed Neoadjuvant Tx	cPR	R0 Resection	Completed Adjuvant Tx
CM 816	358	41.4 months	66% (no IIIB) 7 th ed	22% 43%	HR 0.68 (0.49-0.93)	HR 0.62 (0.36-1.05)	93.8%	24%	83.2%	NA
KN 671	797	25.2 months	70%	33% 36%	HR 0.58 (0.46-0.72)	HR 0.71 (0.54-0.99)	74.5%	18.1%	92%	40.4%*
AEGEAN	740	11.7 months	71%	29% 33%	HR 0.68 (0.53-0.88)	NR	84.7%	17.2%	94.7%	24.0%**
KN 091	1177	32.8 months	28.8%	28% 39%	HR 0.76 (0.63-0.91)	HR 0.87 (0.67-1.15)	-	-	-	51.7%

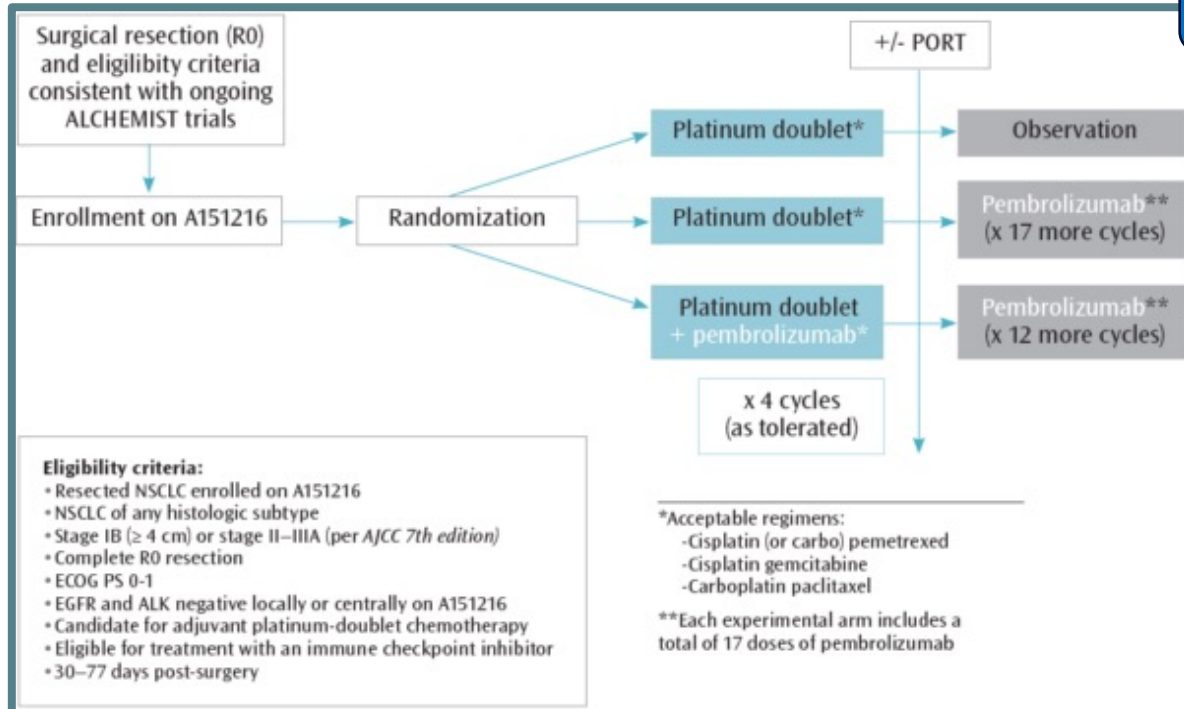
Different groups of patients went on neoadjuvant versus adjuvant trials.

Optimal Treatment Would Need a Clinical Trial



Next Steps: Concurrent Chemotherapy + ICI VS Sequential Chemotherapy followed by ICI

ALCHEMIST: A081801



Dropped with
IMpower 010 +

Next Steps: Minimal Residual Disease

MERMAID 1

POPULATION

Inclusion:

- Stage II–III (including N2) completely resected NSCLC
- Collection of surgical specimen and whole blood
- PORT therapy is allowed
- **WES and development of personalised MRD panel**

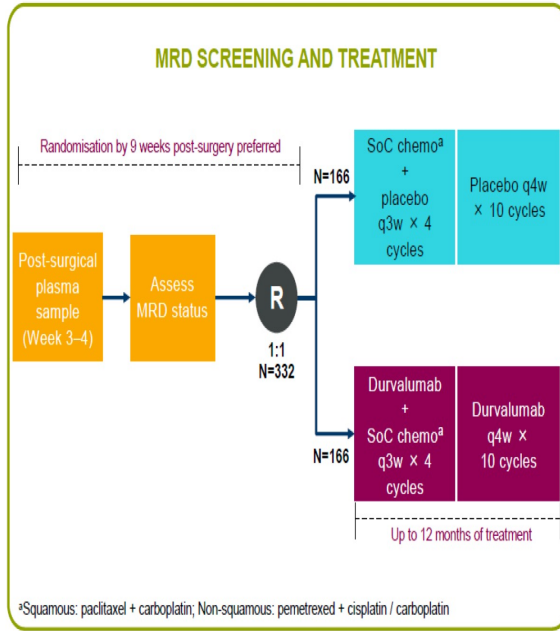
Exclusion:

- EGFR / ALK mutations
- Received any adjuvant therapy
- Measurable disease

Stratification factors:

- Stage II vs III
- MRD+ vs MRD-
- PD-L1 (TC <1% vs TC ≥1%)

Screening: N=2225
Prevalence of MRD+: ~12%



ENDPOINTS

Primary:

- Disease-free survival in MRD+ patients

Selected secondary:

- Disease-free survival in full analysis set
- Disease-free survival by blinded independent central review
- Overall survival in MRD+ and full analysis set
- Safety and tolerability
- Patient-reported outcomes

Selected exploratory

- Progression-free survival / time to first subsequent therapy / time to second subsequent therapy

MERMAID 2

POPULATION

Inclusion:

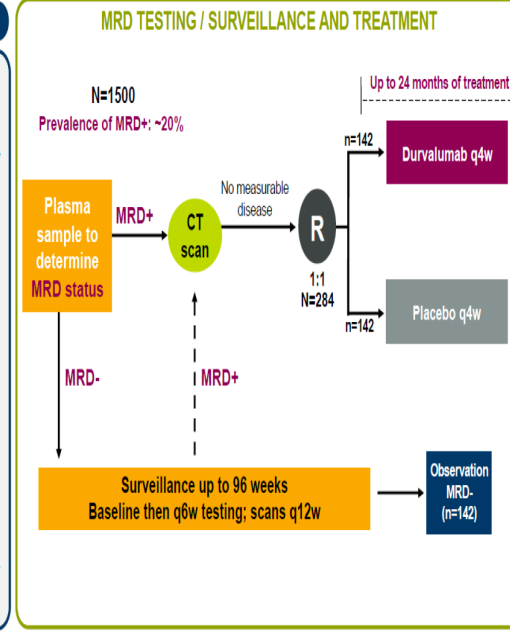
- Stage II–III NSCLC completely resected
- Completed curative-intent therapy
- PORT is allowed
- **WES and development of personalised MRD panel**

Exclusion:

- EGFR / ALK mutations
- Evidence of measurable disease
- Adjuvant IO treatment
- Prior durvalumab

Stratification factors:

- PD-L1 (TC <1% vs TC ≥1%)
- Time to MRD emergence (≤6 months vs >6 months)
- Prior neoadjuvant immunotherapy (Yes vs No).



ENDPOINTS

Primary:

- DFS in PD-L1 TC ≥1%

Selected secondary:

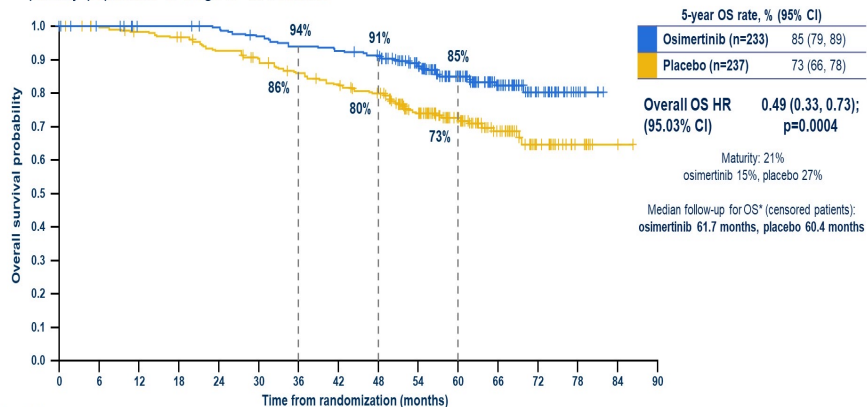
- DFS in full analysis set
- DFS by BICR in PD-L1 TC ≥1% and full analysis set
- PFS / time to first subsequent therapy / time to second subsequent therapy
- OS in PD-L1-TC ≥1% and full analysis set
- Safety and tolerability of durvalumab
- Patient-reported outcomes

Not actively accruing

ADAURA – Adjuvant Osimertinib

Overall survival: patients with stage II / IIIA disease

- Adjuvant osimertinib demonstrated a statistically and clinically significant improvement in OS vs placebo in the primary population of stage II–IIIA disease



No. at risk	0	6	12	18	24	30	36	42	48	54	60	66	72	78	84	90
Osimertinib	233	229	224	224	221	214	208	205	200	170	115	69	33	9	0	-
Placebo	237	232	226	221	210	202	190	182	171	138	94	53	25	8	2	0

Tick marks indicate censored data. Alpha allocation 0.01487. *Median follow-up for OS (all patients): osimertinib 59.8 months, placebo 59.2 months.

2023 ASCO ANNUAL MEETING

#ASCO23

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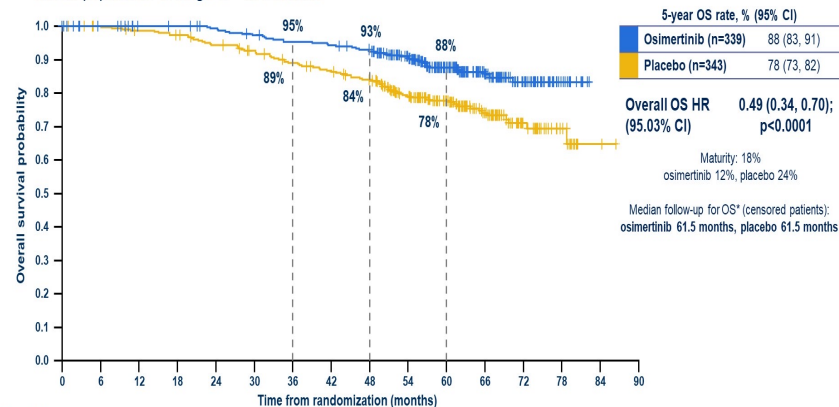
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CI, confidence interval; HR, hazard ratio; OS, overall survival

ASCO AMERICAN SOCIETY OF CLINICAL ONCOLOGY KNOWLEDGE CONQUERS CANCER

Overall survival: patients with stage IB / II / IIIA disease

- Adjuvant osimertinib demonstrated a statistically and clinically significant improvement in OS vs placebo in the overall population of stage IB–IIIA disease



No. at risk	0	6	12	18	24	30	36	42	48	54	60	66	72	78	84	90
Osimertinib	339	332	325	324	319	311	304	301	294	252	176	108	50	15	0	-
Placebo	343	338	332	326	314	304	290	281	267	223	164	97	44	17	3	0

Tick marks indicate censored data. Alpha allocation 0.0487. *Median follow-up for OS (all patients): osimertinib 61.4 months, placebo 61.4 months.

2023 ASCO ANNUAL MEETING

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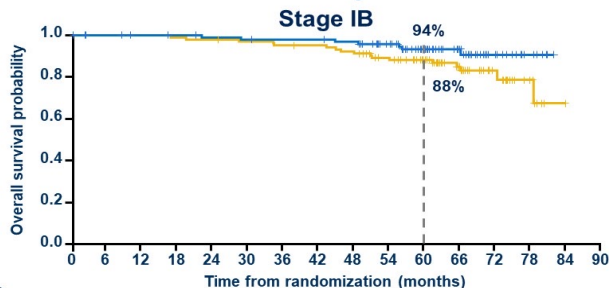
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CI, confidence interval; HR, hazard ratio; OS, overall survival

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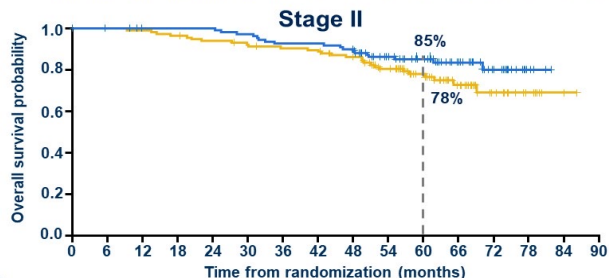
ADAURA – Adjuvant Osimertinib

Overall survival by disease stage



No. at risk
Osimertinib
Placebo

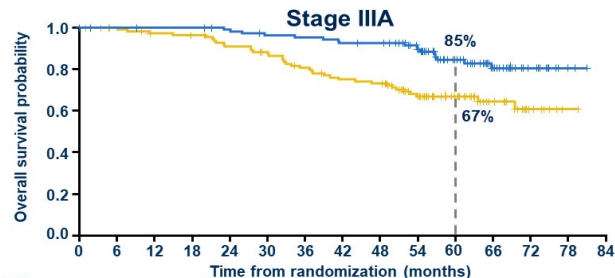
106 103 101 100 98 96 96 94 82 61 39 17 6 0 -
106 106 106 105 104 102 100 99 96 85 70 44 19 9 1 0



No. at risk
Osimertinib
Placebo

118 116 112 112 112 109 104 104 100 83 61 36 19 4 0 -
118 118 117 114 110 107 104 103 94 79 56 32 16 7 2 0

	Stage IB	Stage II	Stage IIIA
5 year OS rate, % (95% CI)			
Osimertinib	94 (86, 97)	85 (77, 91)	85 (76, 91)
Placebo	88 (80, 93)	78 (69, 85)	67 (57, 75)
Overall HR (95% CI)	0.44 (0.17, 1.02)	0.63 (0.34, 1.12)	0.37 (0.20, 0.64)



No. at risk
Osimertinib
Placebo

115 113 112 112 109 105 104 101 100 87 54 33 14 5 0
119 114 109 107 100 95 86 79 77 59 38 21 9 1 0

2023 ASCO ANNUAL MEETING

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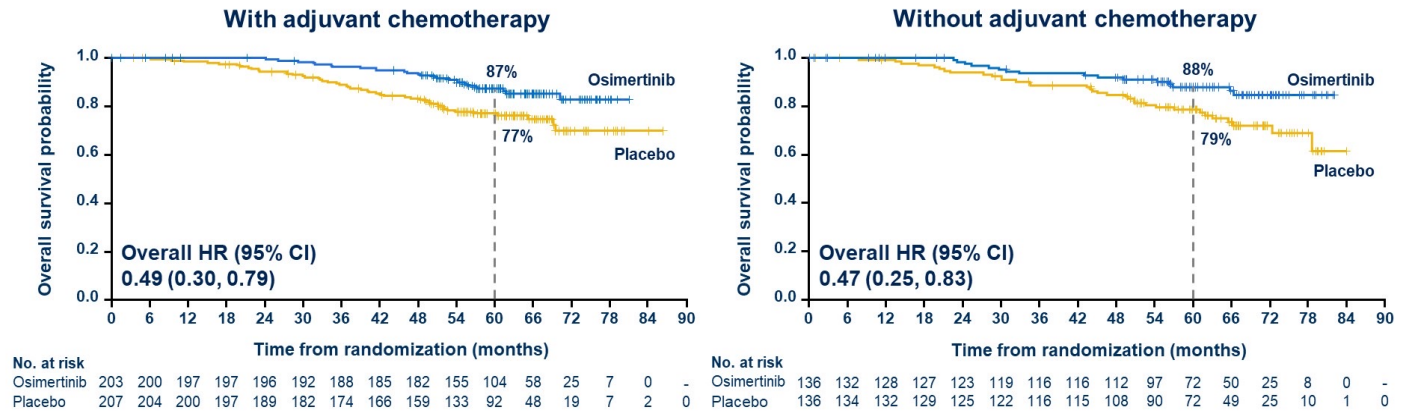
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Data cut-off: January 27, 2023. Tick marks indicate censored data. CI, confidence interval; HR, hazard ratio; OS, overall survival

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KNOWLEDGE CONQUERS CANCER

ADAURA – Adjuvant Osimertinib

OS in patients with and without adjuvant chemotherapy: patients with stage IB / II / IIIA disease



Data cut-off: January 27, 2023.
Overall population: stage IB / II / IIIA. Tick marks indicate censored data.
Use of adjuvant chemotherapy before randomization was allowed but not mandatory, decided by the physician and patient before enrollment.

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CI, confidence interval; HR, hazard ratio; OS, overall survival
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KNOWLEDGE CONQUERS CANCER

Key Questions

1. When did post Osimertinib progression occur?
2. What are the characteristics of the patients who progress?
3. What were the sites of recurrence?
4. What does the Freedom From Brain Metastases curve show?
5. Can these results apply to patients whose tumors have other oncogenic drivers (ALK, ROS-1, RET, MET, HER2, NTRK)?
6. What about a neoadjuvant approach?

Ongoing Adjuvant TKI Trials

EGFR M+	N	Design	Primary Endpoint
ALCHEMIST	410 pts Stage IB-III A	Erlotinib versus placebo x 2 yrs (after chemotherapy)	Overall survival
ADUARA 2	380 Stage IA2 and IA3	Phase III, randomized, controlled, multi-center, international, 2-arm trial of Osimertinib versus placebo	DFS
APEX	606 Stage II-III A	Phase III, randomized, open label multi-center, 3-arm trial of Almonertinib vs Almonertinib + Chemotherapy vs Chemotherapy	DFS
ALK +	N	Design	Primary Endpoint
ALCHEMIST	168 pts Stage IB-III A	Crizotinib versus observation x 2 yrs (after chemotherapy)	Overall Survival
ALINA	255 pts Stage IB-III A.	Alectinib versus chemotherapy	Disease free survival

Phase II Trial of Neoadjuvant Osimertinib for Surgically Resectable *EGFR*-Mutated Non-Small Cell Lung cancer

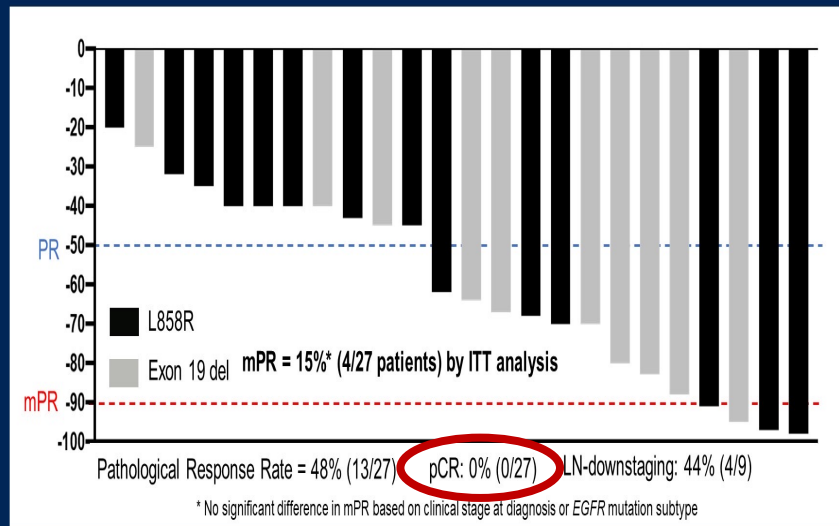
PI: Collin Blakely, MD, PhD, UCSF

Presented By: Jacqueline V. Aredo, MD, MS

University of California, San Francisco

USA

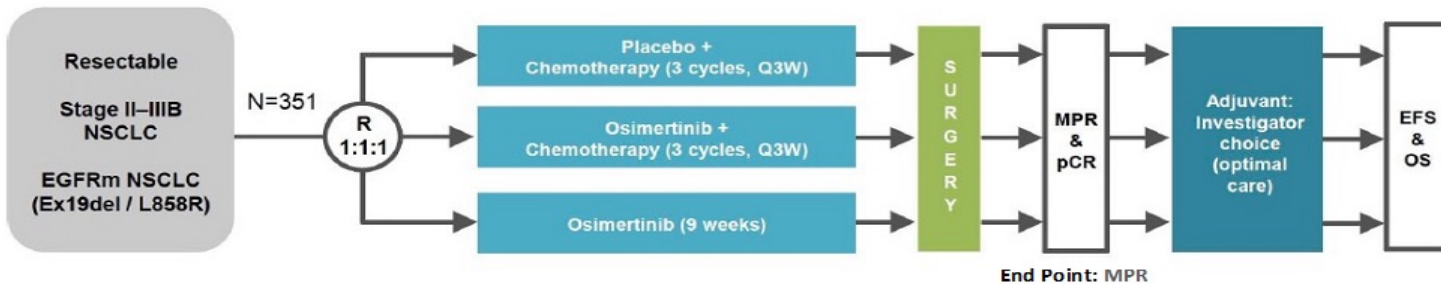
Primary Endpoint: Major Pathologic Response Rate = 15%



Median duration of neoadjuvant osimertinib: 56 days (IQR 41-62)

NEOADJUVANT APPROACH IN EGFR M+ EARLY NSCLC

NeoADAURA (NCT04351555): Phase III, Randomized, Controlled, Multicenter Study of Neoadjuvant Osimertinib in EGFRm Resectable NSCLC



End Point: MPR

Stratification:

- Stage II/III
- Non-Asian/Chinese/other Asian
- Ex19del/L858R

Double-blind treatment arms:

1. Placebo QD + investigator's choice of pemetrexed 500 mg/m² plus carboplatin AUC5 mg/ml.min or cisplatin 75 mg/m²
2. Osimertinib 80 mg QD + investigator's choice of pemetrexed 500 mg/m² plus carboplatin AUC5 mg/ml.min or cisplatin 75 mg/m²

Open-label (sponsor-blind) treatment arm:

3. Osimertinib 80 mg QD

Adjuvant therapy and follow-up:

- Patients will be followed up for OS until 5 years from surgery, with evaluation at 12 and 24 weeks post-surgery, then every 24 weeks, until disease recurrence or withdrawal of consent
- Osimertinib will be offered to all patients who complete surgery (+/- post-surgical chemotherapy) for up to 3 years or until disease recurrence

Overall Summary

- Patients with resectable Stage II and III NSCLC have 3 options:
 - a) Neoadjuvant chemo + ICI
 - b) Adjuvant chemo followed by ICI
 - c) Neoadjuvant chemo + ICI followed by post operative ICI (not yet FDA approved)
- All patients should be discussed in a multidisciplinary tumor board and a personalized treatment plan created.
- All patients should be tested for PD-L1, EGFR and ALK alterations (at a minimum).
- Patients with EGFR mutated tumors should receive adjuvant chemotherapy followed by Osimertinib.

Save The Date

WCLC 2023

Singapore

September 9-12, 2023

