



Best of IASLC WCLC 2022 Adjuvant/Neo-Adjuvant Systemic Therapy

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DISCLOSURES

Company	Relationship(s)
Mirati, Merck (uncompensated), Genentech/Roche (uncompensated)	Advisor
ACEA Biosciences, Arrys Therapeutics, AstraZeneca/Medimmune, BMS, Clovis Oncology, Genentech/Roche, Helsinn, Merck, Novartis, SeaGen, Xcovery	Research Funding (paid to Institution)
President – IASLC Executive Committee ECOG-ACRIN	Other Executive Role





Early Stage NSCLC – Highlighted in Presidential Symposium

Neo-Adjuvant – NADIMII

Adjuvant – Updated IMpower010

First Step: Neo-Adjuvant Nivolumab

Feasibility N=21: Nivo 3 mg/kg x 2 doses

Did not delay or interfere with surgery

PR SD	2 (10%)
PD	18 (85%)
Major Pathologic	1 (5%)
9/21 pts = 43%	Response (MPR)

Toxicity

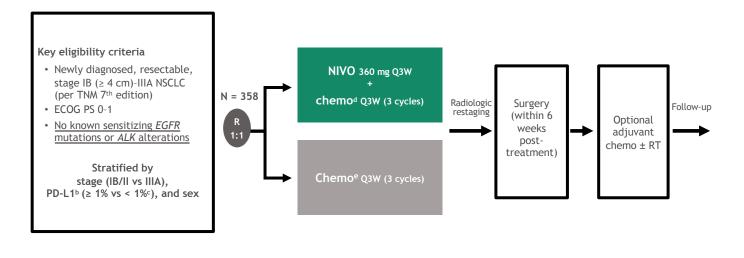
Drug-related Adverse Events N=22	Any Grade N(%)
Fever	1* (5)
Thyroid dysfunction	1 (5)
GI Anorexia/dysgeusia Vomiting/diarrhea LFT abnormality	2 (9) 1 (5) 1 (5)
Pneumonia	0
Infusion reaction	1 (5)
CNS (delirium)	1 (5)

Subsequent Single Agent IO Neoadjuvant trials MPR ~20%

Chaft & Forde, et al.; NEJM 2018

H. Wakelee, Stanford University, USA

CM816

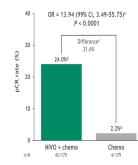


63% Stage IIIA
50% PD-L1 >1%
No EGFR/ALK
IO + Chemo

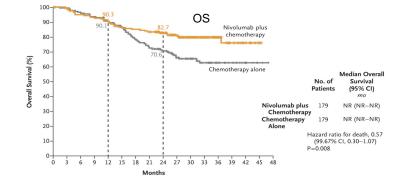
Spicer ASCO 2021 abstr: 8503, Forde NEJM

CM816 EFS + OS

Primary endpoint: ITT (ypT0N0)b



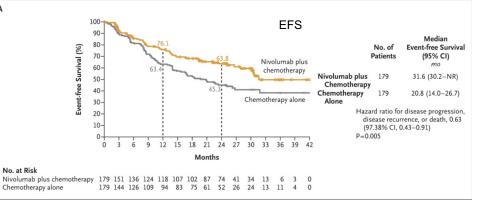
• pCR rate in the exploratory NIVO + IPI arm (ITT) was 20.4% (95% CI, 13.4-29.0)



No. at Risk

 Nivolumab plus chemotherapy
 179
 176
 166
 163
 156
 148
 143
 122
 101
 72
 48
 26
 16
 7
 3
 0

 Chemotherapy alone
 179
 172
 165
 161
 154
 148
 133
 122
 101
 72
 48
 26
 16
 7
 3
 0



EFS HR 0.63 97.38% CI (0.43-0.91), p.005

OS HR 0.57 (99.67% CI 0.30-1.07), p.008

Forde NEJM

Α





NIVOLUMAB + CHEMOTHERAPY vs CHEMOTHERAPY AS NEOADJUVANT TREATMENT FOR RESECTABLE IIIA-B NSCLC

Progression-free survival and overall survival results from the phase 2 NADIM II trial

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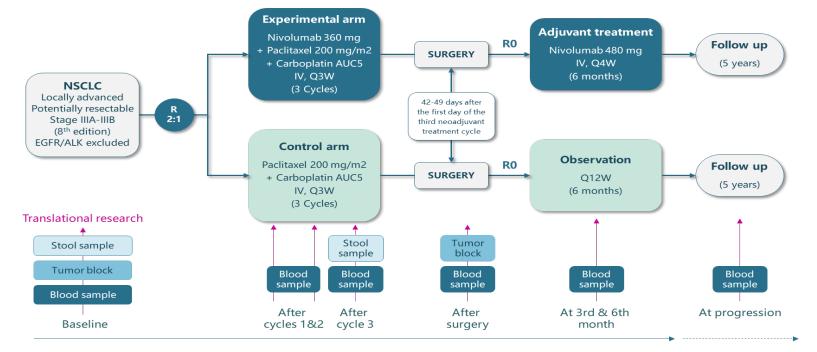
SPAIN

NADIM II (NCT03838159) is a randomized, phase 2, open-label, multicentre study evaluating nivolumab + chemotherapy vs chemotherapy as neoadjuvant treatment for potentially resectable NSCLC



STUDY DESIGN







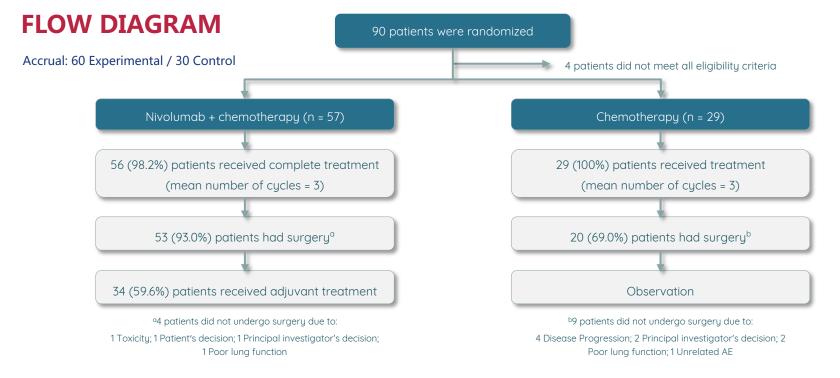


BASELINE CHARACTERISTICS (ITT)

Characteristic	NIVO + Chemo (n = 57)	Chemo (n = 29)	Characteristic	NIVO + Chemo (n = 57)	Chemo (n = 29)
Age – median (range), years	63 (58-70)	62 (57-66)	TNM classification (AJCC 8th Ed.)		
Female – No. (%)	21 (36.8)	13 (44.8)	T1N2M0	12 (21.1)	4 (13.8)
History of tobacco use – No. (%)					
Never smoker	5 (8.7)	0 (0.0)	T2N2M0	16 (28.1)	7 (24.1)
Former smoker	23 (40.4)	10 (34.5)	T3N1M0	2 (3.5)	1 (3.5)
Current smoker	29 (50.9)	19 (65.5)	T3N2M0	13(22.8)	5 (19.3)
ECOG PS – No. (%)			T4NOMO	6 (10.5)	9 (31.0)
0	31 (54.4)	16 (55.2)			
1	26 (45.6)	13 (44.8)	T4N1M0	8 (14.0)	3 (10.3)
Histology – No. (%)			Tumor size – Median (range), mm	43 (29-54)	52 (39-75)
Adenocarcinoma	25 (43.9)	11 (37.9)	Nodal stage – No. (%)		
Adenosquamous	1 (1.8)	0 (0.0)	NO	6 (10.5)	9 (31.0)
Squamous	21 (36.8)	14 (48.3)	N1	10 (17.5)	4 (13.8)
Large Cell Carcinoma	2 (3.5)	1 (3.5)			
NOS / Undifferentiated	7 (12.3)	2 (6.9)	N2	41 (71.9)	16 (55.2)
Other	1 (1.8)	1 (3.5)	N2 multiple station	21 (36.8)	10 (34.5)







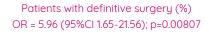


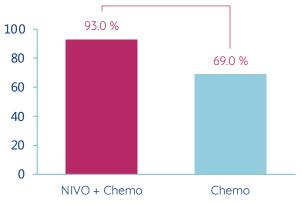


SURGERY SUMMARY

Type of surgery, No. (%)	NIVO + Chemo (n = 53)	Chemo (n = 20)	Total (n = 73)
Pneumonectomy	6 (11.3)	2 (10.0)	8 (11.0)
Lobectomy	40 (75.5)	17 (85.0)	57 (78.1)
Bilobectomy	4 (7.5)	1 (5.0)	5 (6.8)
Segmentectomy	2 (3.8)	0 (0.0)	2 (2.7)
Right Lower Lobectomy + Segmentectomy	1 (1.9)	0 (0.0)	1 (1.4)

Resection degree, No (%)	NIVO + Chemo (n = 57)	Chemo (n = 29)
RO	49 (92.5)	13 (65.0)
Odds Ratio: 6.60 (95% CI 1.6	7-26.02); p = 0.007	



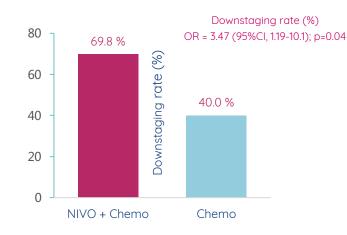


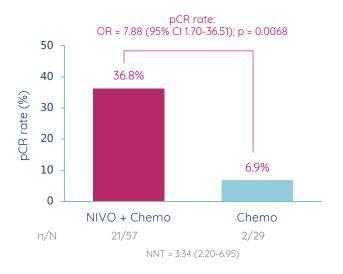




SECONDARY ENDPOINTS – Downstaging

Downstaging, No. (%)	Yes	No	Total
Nivolumab + chemotherapy	37 (69.8)	16 (30.2)	53
Chemotherapy	8 (40.0)	12 (60.0)	20
Total	45 (61.6)	28 (38.4)	73

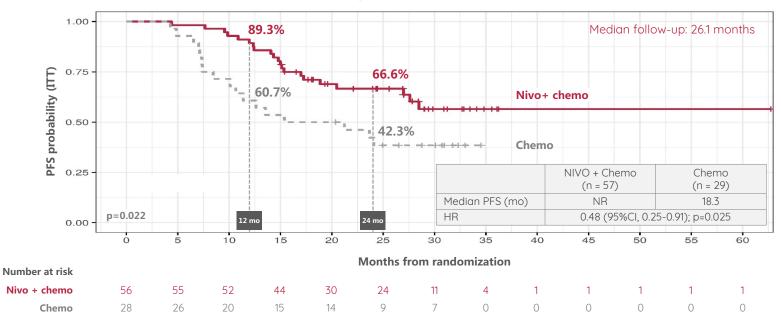








SECONDARY ENDPOINTS – Progression-free survival

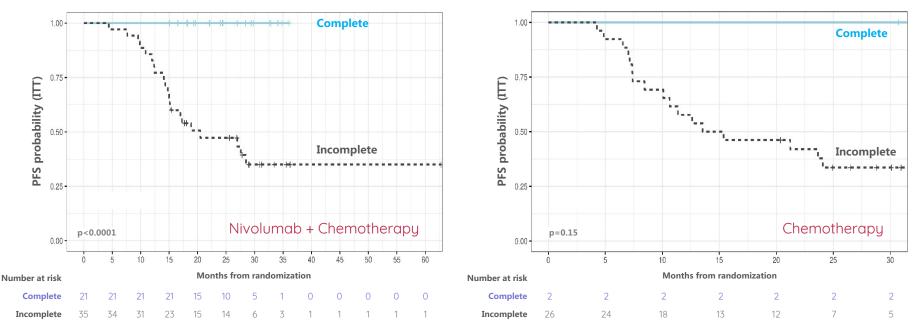


Progression-free survival was defined as the time from randomization to any of the following events: progression of disease, recurrence disease, or death due to any cause. Progression/recurrence will have determined by RECIST 1.1





SECONDARY ENDPOINTS – PFS by pCR status

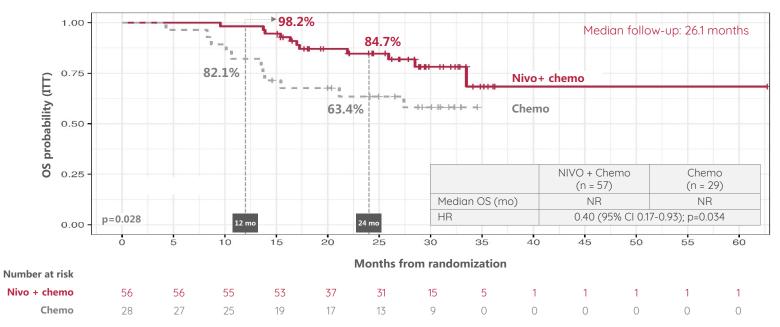


Progression-free survival was defined as the time from randomization to any of the following events: progression of disease, recurrence disease, or death due to any cause. Progression/recurrence will have determined by RECIST 1.1





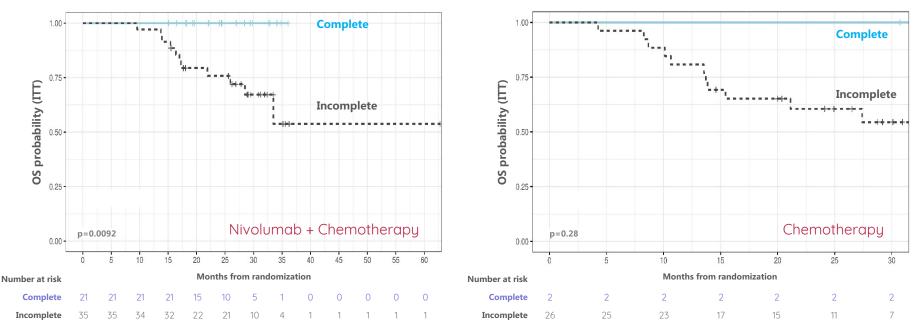
SECONDARY ENDPOINTS – Overall survival



Overall survival was defined as the time from randomization to death. OS was censored on the last date a participant was known to be alive



SECONDARY ENDPOINTS – OS by pCR status



Overall survival was defined as the time from randomization to death. OS was censored on the last date a participant was known to be alive





CONCLUSIONS

- NADIM II confirms superiority of neoadjuvant nivolumab plus chemotherapy combination in patients with resectable stage IIIA-B NSCLC
- The addition of neoadjuvant nivolumab to chemotherapy:
 - → Significantly improved pCR (OR = 7.88 [95% CI 1.70-36.51]) (Chi-squared test: p=0.0068)
 - \rightarrow Significantly improved PFS rate at 12 (89.3% vs 60.7%, p=0.001) and 24 months (66.6% vs 42.3%, p=0.012)
 - \rightarrow Significantly improved OS rate at 12 (98.2% vs 82.1%, p=0.007) and 24 months (84.7% vs 63.4%, p=0.014)
 - ightarrow Maintained a tolerable safety profile, with a moderate increase in grade 3-4 toxicity
 - \rightarrow Did not impede the feasibility of surgery
- NADIM II is the first clinical trial with a neoadjuvant immunotherapy-based combination (nivolumab + chemotherapy) for resectable stage IIIA-B NSCLC to show improved OS

Neo-Adjuvant Studies

Drug	Ν	Stages	Description	Primary Endpoint
Nivo + platinum chemo (ipi/nivo closed) CM816	350	Stage IB–IIIA, resectable NSCLC	Neo-adjuvant, no adjuvant	MPR / RFS
Atezo + platinum chemo Impower030	374	Stage II–IIIB (T3N2), resectable NSCLC	Neo-adjuvant chemo-ICI, <mark>then adjuvant</mark> IO	MPR / RFS
Pembro + platinum chemo KN671	786	Stage IIB–IIIA, resectable NSCLC	Neo-adjuvant chemo-ICI <mark>then adjuvant</mark> IO	RFS / OS
Durva + platinum chemo Aegean	300	Stage II–IIIA, resectable NSCLC	Neo-adjuvant chemo-ICI <mark>then adjuvant</mark> IO	MPR

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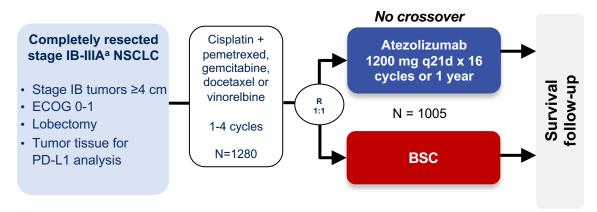


IMpower010: Overall survival interim analysis of a phase III study of atezolizumab vs best supportive care in resected NSCLC

Enriqueta Felip,¹ Nasser Altorki,² Eric Vallieres,³ Ihor O. Vynnychenko,⁴ Andrey Akopov,⁵ Alex Martinez-Marti,¹ Antonio Chella,⁶ Igor Bondarenko,⁷ Shunichi Sugawara,⁸ Yun Fan,⁹ Hirotsugu Kenmotsu,¹⁰ Yuh-Min Chen,¹¹ Yu Deng,¹² Meilin Huang,¹² Virginia McNally,¹³ Elizabeth Bennett,¹² Barbara J. Gitlitz,¹² Caicun Zhou,¹⁴ Heather A. Wakelee¹⁵

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IMpower010: Phase III randomised trial of atezolizumab vs BSC in early-stage NSCLC



Stratification factors

Sex | Stage | Histology | PD-L1 status

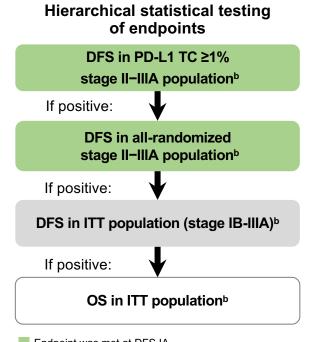
Key secondary endpoints

OS in ITT | Safety | Exploratory OS biomarker analyses

Key exploratory endpoints

OS biomarker analyses

Clinical cutoff: 18 April 2022. Both arms included observation and regular scans for disease recurrence on the same schedule. ECOG, Eastern Cooperative Oncology Group, q21d, every 21 days. ^a Per UICC/AJCC staging system, 7th edition. ^b Two-sided α =0.05.

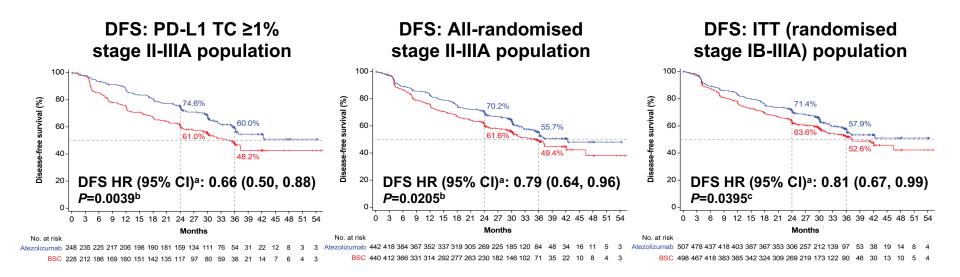


Endpoint was met at DFS IA

Endpoint was not met at DFS IA and follow up is ongoing

Endpoint was not formally tested

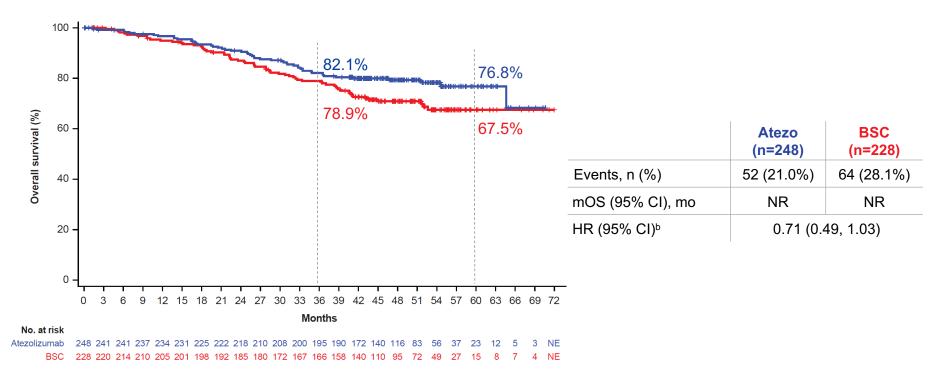
Recap of DFS and OS data from the DFS IA^{1,2} (data cutoff: 21 Jan '21, median follow-up: 32 months)



- OS data were not mature (event to patient ratio in ITT was 19% in atezolizumab arm, 18% in BSC arm)
 - PD-L1 TC ≥1% stage II-IIIA population: OS HR, 0.77 (95% CI: 0.51, 1.17)^a
 - All-randomised stage II-IIIA population: OS HR, 0.99 (95% CI: 0.73, 1.33)^a
 - ITT (randomised stage IB-IIIA) population: OS HR, 1.07 (95% CI: 0.80, 1.42)^a

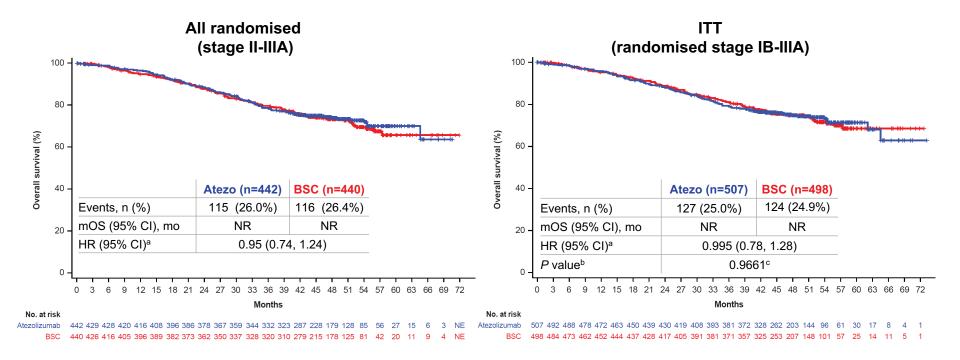
Clinical cutoff: 21 Jan 2021. ^a Stratified. ^b Statistical significance boundary for DFS crossed. ^c Statistical significance boundary for DFS not crossed. 1. Felip, E et al Lancet 2021; 938; 1344-1357; 2. Wakelee. HA et al ASCO 2021; abs #8500.

Results of OS IA: PD-L1 TC ≥1%^a (stage II-IIIA) (data cutoff: 18 Apr '22, median follow-up: 46 months)



mOS, median overall survival; NR, not reached. ^aBy SP263 assay. ^bStratified.

Results of OS IA: other primary populations (data cutoff: 18 Apr '22, median follow-up: 45 months)

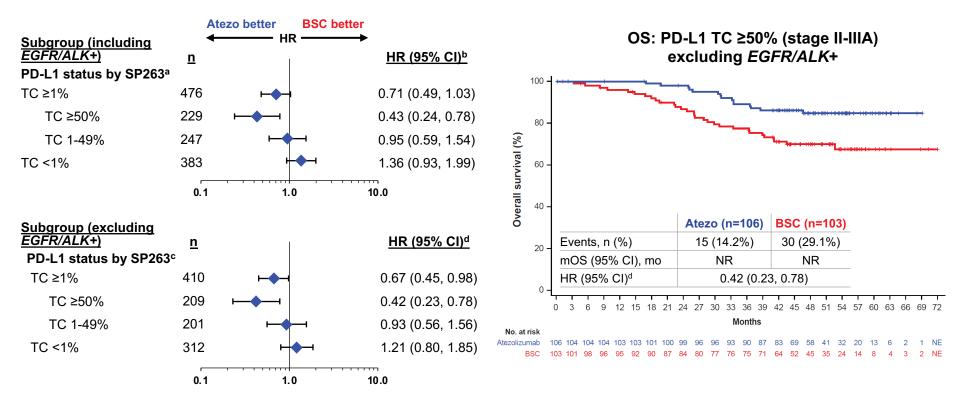


Clinical cutoff: 18 April 2022.^a Stratified.^b No formal testing until statistical significance observed for DFS in the ITT population due to the prespecified testing hierarchy. ^c Descriptive purposes only.

Subgroup analysis of OS in PD-L1 TC ≥1%^a (stage II-IIIA) (data cutoff: 18 Apr '22, median follow-up: 46 months)

	A	tezolizumab better BSC bet	iter	-	A	tezolizumab better	BSC better
<u>Subgroup</u>	<u>N</u>		HR (95% CI)	Subgroup	<u>N</u>		HR (95% CI)
All patients ^b	476	⊢ ♠–↓	0.71 (0.49, 1.03)	All patients ^b	476	⊢ ,	0.71 (0.49, 1.03)
Age		•		Regional lymph node stage (p	N)		
<65 years	287	⊢ _I	0.65 (0.40, 1.07)	NO	106	▶ • • • • • • • • • • • • • • • • • • •	- 0.70 (0.28, 1.72)
≥65 years	189		0.78 (0.45, 1.35)	N1	194	⊢_∳_ +	0.57 (0.30, 1.08)
Sex		•		N2	176	⊢	0.84 (0.50, 1.40)
Male	318		0.67 (0.43, 1.04)	Type of surgery			
Female	158		0.73 (0.38, 1.40)	Lobectomy	358	⊢_	0.63 (0.40, 0.99)
Race		•		Bilobectomy	24 🗲		– 0.29 (0.05, 1.74)
White	328	⊢ ⊸∔ı	0.72 (0.48, 1.09)	Pneumonectomy	85	⊢	– 1.02 (0.52, 1.97)
Asian	134		0.66 (0.27, 1.58)	Chemotherapy regimen			
ECOG PS		•		Cisplatin + docetaxel	71	⊢	0.47 (0.21, 1.04)
0	265		0.51 (0.30, 0.87)	Cisplatin + gemcitabine	75	·∳	1.08 (0.43, 2.70)
1	209		0.96 (0.58, 1.59)	Cisplatin + pemetrexed	169	⊢	- 0.88 (0.45, 1.72)
Tobacco use history		1		Cisplatin + vinorelbine	161	⊢_∳_ +	0.55 (0.28, 1.10)
Never	91		0.69 (0.29, 1.61)	EGFR mutation status			
Previous	310	⊢ ▲	0.64 (0.40, 1.02)	Yes	43	► ►	0.77 (0.22, 2.67)
Current	75		1.01 (0.45, 2.25)	No	248	⊢_∳ - 4	0.71 (0.42, 1.21)
Histology				Unknown	185	⊢_∳_ -	0.65 (0.37, 1.13)
Squamous	181		0.85 (0.48, 1.50)	ALK rearrangement status			
Non-squamous	295	⊢ ↓	0.61 (0.38, 0.99)	Yes	23	F	◆ 1.87 (0.17, 20.65)
Stage		•		No	254	⊢_∳_ -i	0.66 (0.40, 1.09)
IIA	161		0.75 (0.38, 1.51)	Unknown ^c	199	⊢ _	0.71 (0.41, 1.24)
IIB	83		0.64 (0.23, 1.77)		0.	1 1.0	10.0
IIIA	232	⊢ ∳-∔i	0.71 (0.44, 1.15)		0.	1 1.0	10.0
	0.1	I 1.0	10.0	Clinical cutoff: 18 April 202	2 (event to p	patient ratio, 25% [ITT]). ^a By SP263 assay. ^b Stratified.

OS by biomarker status (stage II-IIIA) (data cutoff: 18 Apr '22)



^a 23 patients had unknown PD-L1 status. ^b Stratified for PD-L1 TC ≥1%; unstratified for all other subgroups. ^c 21 patients had unknown PD-L1 status. ^d Unstratified.

Safety summary (data cutoff: 18 Apr '22)

• Overall safety profile was consistent with previous analysis; no new safety signals were seen

	IMpower010 DFS IA (21 Jan '21)	IMpower0 (18 Ap	010 OS IA pr '22)
	Atezo (n=495)	Atezo (n=495)	BSC (n=508)
All-grade AE	92.7%	92.5%	70.9%
Treatment-related AE	67.7%	67.9%	0%
Grade 3-4 AE	21.8%	22.0%	11.5%
Treatment-related Grade 3-4 AE	10.7%	10.7%	0%
Serious Adverse Event	17.6%	17.8%	8.5%
Treatment-related SAE	7.5%	7.5%	0%
Grade 5 AE	1.6%	1.8%ª	0.6%
Treatment-related Grade 5 AE	0.8%	0.8%	0%
AE leading to dose interruption of atezolizumab	28.7%	28.7%	0%
AE leading to any treatment withdrawal	18.2%	18.2%	0%
All-grade Atezo AESI ^b	51.7%	52.1%	9.5%
Grade 3-4 Atezo AESI	7.9%	7.9%	0.6%
All-grade atezo AESI requiring use of corticosteroids	12.1%	12.3%	0.8%

AESI, AE of special interest; SAE, serious AE. a No new deaths due to AEs occurred since the DFS IA clinical cutoff date; a previous 'other' death was updated to a Grade 5 AE. b No new AESI medical concepts noted at OS IA vs DFS IA.

Summary

- An OS trend in favor of atezolizumab was seen in the PD-L1 TC ≥1% stage II-IIIA population (OS HR, 0.71 [95% CI: 0.49, 1.03]) at the time of this first pre-specified IA OS analysis
 - OS HR in this population improved numerically with longer follow-up
 - In the PD-L1 TC ≥50% stage II-IIIA subpopulation, a clinically meaningful OS trend in favor of atezolizumab was observed (OS HR, 0.43 [95% CI: 0.24, 0.78])
- OS benefit favouring atezolizumab was not seen in the all-randomised stage II-IIIA or ITT populations
- After an additional 13 months of follow-up, the safety profile remains broadly unchanged, with no new or unexpected safety signals, and is consistent with the known safety profile of atezolizumab
- These data support the previously reported positive benefit-risk profile of adjuvant atezolizumab in PD-L1+ resected NSCLC and contribute to evidence supporting standard of care use
- IMpower010 will continue to the final DFS analysis, with further OS follow-up and analyses

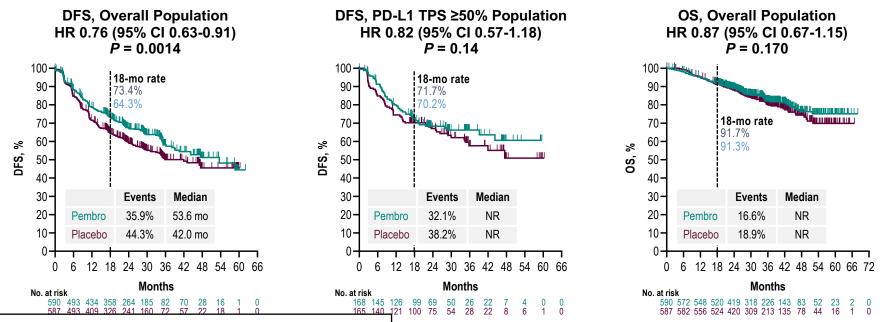
PEARLS/KEYNOTE-091 Study Design

 Contirmed stage IB (1 ≥4 cm), II, or IIIA NSCLC per AJCC v7 Complete surgical resection with negative margins (R0) Provision of tumor tissue for PD-L1 testing Provision of tumor tissue for PD-L1 testing No evidence of disease ECOG PS 0 or 1 Adjuvant chemotherapy Considered for stage IB (T ≥4 cm) disease Strongly recommended for 				R 1:1	Dizumab 200 mg Q3W administrations (~1 yr) Dual Primary End Points • DFS in the overall popu • DFS in the PD-L1 TPS : population	ation DFS in the ≥50% OS in the o PD-L1 TPS • Lung cance overall pop			
		 Limited to s 		TOPSIN	administrations (~1	Ove	rall	PD-L1 TPS ≥50%	
					Characteristic	Pembro (N = 590)	Placebo (N = 587)	Pembro (N = 168)	Placebo (N = 165)
	Overall		PD-L1 TPS ≥50%	Current/former smoker	85.3%	88.8%	91.7%	92.1%	
Characteristic	Pembro (N = 590)	Placebo (N = 587)	Pembro (N = 168)	Placebo (N = 165)	Nonsquamous histology	67.5%	61.8%	61.3%	63.6%
Age, median (range), y	65.0 (31-87)	65.0 (37-85)	64.5 (38-82)	65.0 (37-85)					
Male sex	68.0%	68.7%	72.0%	70.3%	Received adjuvant	85.8%	85.9%	85.1%	85.5%
Geographic region					chemotherapy				
Asia	18.0%	17.9%	17.3%	17.6%	Pathologic stage ^a				
Eastern Europe	19.7%	19.3%	18.5%	18.2%	IB	14.2%	14.5%	12.5%	13.3%
Western Europe	51.4%	51.3%	53.6%	53.9%	II	55.8%	57.6%	56.5%	56.4%
Rest of world	11.0%	11.6%	10.7%	10.3%	IIIA	30.0%	27.6%	31.0%	30.3%
ECOG PS 1	35.6%	41.6%	31.0%	38.8%	EGFR mutation ^b	6.6%	5.8%	3.6%	3.0%
					ALK translocation ^c	1.2%	1.2%	1.8%	0.0%

^bEGFR mutation status was unknown for 670 (63.5%) in the overall population and 198 (59.5%) in the TPS ≥50% population.

°ALK translocation status was unknown for 747 (63.5%) in the ITT and 217 (65.2%) in the TPS ≥50% population.

PEARLS/KN-091: Results Second Interim Analysis



Impower010 DFS HR: all comer 0.81, PD-L1 <u>></u>50% 0.43

DFS benefit generally consistent across most protocol-specified subgroups, including PD-L1 TPS <1% (HR 0.78, 95% CI 0.58-1.03) and 1-49% (HR 0.67, 95% CI 0.48-0.92)

Overall safety profile generally as expected for pembrolizumab monotherapy Paz-Ares L et al. Ann Oncol 2022; 2022-4;33:451-453 (Abstr VP3-2022).

Adjuvant PD-1/PD-L1 IO trials

Drug/Trial	Description	Stages entered	Description	Primary endpoint
Nivolumab ANVIL arm of ALCHEMIST	US, NCI (ECOG), Observational control	IB (4cm)-IIIA After Adj Chemo +/- radiation	Phase 3 Allows PD-L1 +/-	OS/DFS
Atezolizumab IMPOWER010	Global, Placebo controlled	IB (4cm)-IIIA After Adj Chemo	Phase 3 Allows PD-L1 +/-	DFS
Durvalumab	Global, Placebo controlled	IB (4cm)-IIIA After Adj Chemo	Phase 3 Allows PD-L1 +/-	DFS
Pembrolizumab PEARLS KN-091	ETOP/EORTC, Placebo Controlled	IB (4cm)-IIIA After Adj Chemo	Phase 3 Allows PD-L1 +/-	DFS

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Conclusions

NADIMII- further data supporting Neo-adjuvant chemo-IO as a standard in stage III NSCLC as a standard of care

IMpower010- survival trend promising and curves separating, best results with PD-L1>50%