The Future of Combined Modality Therapy





Department of Medicine

Everett E. Vokes, MD

Considerations for **Adjuvant** Therapy

PRO

- Adjuvant is evidence-based standard of care for resected stage IB and II disease
- Tumor biomarkers can guide therapeutic decisions
- No delay of surgery
- No hilar or mediastinal fibrosis
- No risk of disease progression resulting in missed opportunity for curative surgery



- Poor tolerance and compliance with adjuvant protocols
- Longer treatment (4 cycles or much longer if TKI/IO)
- No intermediate endpoints
- Long follow up required for DFS or OS



JBR.10 – Overall Survival





Updated OS and PFS in the intent-to-treat population





Department of Medicine Spigel DR et al, J Clin Oncol, 40(12); 1301-1311, 2022

PACIFIC-2: Study Design





- Age (≤65, >65)
- Stage (IIIA vs IIIB/C)

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- Key Secondary Endpoints: OS, OS24
- Treat to progression



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Central Challenge to Curative Therapies

- Does current staging provide accurate enough information
- How do we know when enough is enough
- Can we achieve cure with less toxicity (duration, intensity, cost of treatment)
- Can biomarkers provide information in real time
- Can we design De-escalation Trials



Curative Therapies need to be personalized

Surrogate Endpoints:

- DFS (CTs, PET)
- PRO's
- (mPR), pCR
- Systemic Markers of Minimal Residual Disease



Biomarkers in (Neo)adjuvant Setting

Specific Tumor Biomarker (mutation):

- Validates choice of drug
- Can be used to measure efficacy and relapse (Guardant)
- Refines optimal patient population
- Limits cost and toxicity



Role of Biomarkers

Related to Disease:

- Allow to measure treatment efficacy
- ctDNA
- Imaging technologies



ADAURA: Efficacy





Wu YL et al. N Engl J Med. 2020;383(18):1711-1723

DFS in the PD-L1 TC ≥1% Stage II-IIIA, All-Randomized Stage II-IIIA and ITT Populations (primary endpoint)



	Atezolizumab (n=248)	BSC (n=228)		Atezolizumab (n=442)	BSC (n=440)
Median DFS (95% CI), mo	NE (36.1, NE)	35.3 (29.0, NE)	Median DFS (95% CI), mo	42.3 (36.0, NE)	35.3 (30.4, 46.4)
Stratified HR (95% CI)	0.66 (0.5	50, 0.88)	Stratified HR (95% CI)	0.79 (0.64, 0.96)	
P value⁵	0.0	04°	P value ^b	0.02°	

	Atezolizumab (n=507)	BSC (n=498)	
Median DFS (95% CI), mo	NE (36.1, NE)	37.2 (31.6, NE)	
Stratified HR (95% CI)	0.81 (0.67, 0.99)		
<i>P</i> value ^b	0.04 ^d		

Clinical cutoff: 21 January 2021. ^a Per SP263 assay. ^b Stratified log-rank. ^c Crossed the significance boundary for DFS.

^d The statistical significance boundary for DFS was not crossed. 1. Wakelee H, et al. J Clin Oncol. 2021;39(suppl 15):8500.

Felip et al. IMpower010 Relapse Patterns. https://bit.ly/3mNMSAi

DFS by PD-L1 Status^a

All-randomized stage II-IIIA population (+/-EGFR/ALK+ disease)



hoc. h 21 patients had unknown PD-L1 status as assessed by SP263.

Felip et al. IMpower010 Relapse Patterns. https://bit.ly/3mNMSAi

PEARLS/KEYNOTE-091 Randomized, Triple-Blind, Phase 3 Trial



DFS, PD-L1 TPS ≥50% Population





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Paz-Ares, L, et al, ESMO Virtual Plenary, 2022

DFS in Key Subgroups, Overall Population

Subgroup	No. Events/ No. Participants	Hazard Ra	iio (95% CI)		Subgroup	No. Events/ No. Participants	H	azard Ratio (9	5% CI)
Overall	472/1177	-	0.76 (0.63-0.91)		Overall	472/1177			0.76 (0.63-0.91)
Age				(Pathologic stage				
<65 years	213/558		0.73 (0.56-0.96)		IB	46/169		-	0.76 (0.43-1.37)
≥65 years	259/619	-+	0.84 (0.66-1.07)		11	246/667	-		0.70 (0.55-0.91)
Sex				U	IIIA	178/339	-+		0.92 (0.69-1.24)
Female	158/373	-	0.73 (0.54-1.00)	7	Received adjuvant ch	emotherapy			
Male	314/804	-+	0.81 (0.65-1.01)		No	64/167		<u> </u>	1.25 (0.76-2.05)
Geographic region					Yes	408/1010			0.73 (0.60-0.89)
Asia	96/211	-+	0.74 (0.49-1.10)		Histology				
Eastern Europe	90/229		0.84 (0.56-1.27)		Nonsquamous	330/761			0.67 (0.54-0.83)
Western Europe	245/604	-	0.77 (0.60-1.00)		Squamous	142/416		-	1.04 (0.75-1.45)
Rest of world	41/133	•	0.74 (0.40-1.39)	(PD-L1 TPS		:		
ECOG performance stat	tus				<1%	195/465	-		0.78 (0.58-1.03)
0	288/723	-•	0.78 (0.62-0.99)		1-49%	160/379			0.67 (0.48-0.92)
1	184/454	-+-	0.79 (0.59-1.06)	U U	≥50%	117/333	-+-		0.82 (0.57-1.18)
Smoking status				· (EGFR mutation				
Current	53/165	•——	0.42 (0.23-0.77)		No	186/434	-		0.78 (0.59-1.05)
Former	340/859	-	0.84 (0.68-1.04)		Yes	40/73	•		0.44 (0.23-0.84)
Never	79/153	-+	0.72 (0.47-1.13)	U	Unknown	246/670	-+		0.82 (0.63-1.05)
	0.2	0.5 1 2	5			0.2	0.5 1	2	5
	Pembr	rolizumab Placel	bo r			Pemb	rolizumab Better	Placebo Better	-

ESMO VIRTUAL PLENARY

Response assessed per RECIST v1.1 by investigator review. Data cutoff date: September 20, 2021

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Paz-Ares, L, et al, ESMO Virtual Plenary, 2022

Zhou C et al, ESMO IO 2021

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Impower 010 – Exploratory results for ctDNA



Clinical cutoff: 21 January 2021. Unstratified HRs are shown.

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Reck M, ESMO Virtual Plenary, 2022

Immune Checkpoint Inhibitors for Resectable NSCLC

Neoadjuvant setting



Considerations for **Neoadjuvant** I/O or Targeted Therapy

PRO

- Early eradication of micrometastatic disease
- Improved tolerance of toxicities
- Improved compliance and higher drug exposure
- Pre- and post-treatment tissue to assess biomarkers or adjust treatment
- Guide for need of adjuvant therapy
- Early trial endpoints and shorter trial duration
- Presence of whole tumour allows activation of broader & more diverse immune response



- Delays of surgery (treatment-related toxicity)
- Increased surgical complications or fewer minimally invasive resection
- Risk of disease progression resulting in missed opportunity for curative surgery
- However, phase 2 neoadjuvant immunotherapy data show approximately 90% of patients underwent surgery, similar to studies with adjuvant chemotherapy



Major Pathological Response (<10% viable tumor cells) after Neoadjuvant Chemotherapy as Surrogate Endpoint





Hellmann MD et al. Lancet Oncol. 2014;15(1):e42-e50

Pathological Assessment of Response to Neoadjuvant Blockade of Programmed Death 1





Forde PM et al. N Engl J Med. 2018;378(21):1976-1986

Neoadjuvant Nivolumab or Nivolumab Plus Ipilimumab in Operable NSCLC: The Phase 2 Randomized NEOSTAR Trial Endpoints: MPR (vs historical control with chemotherapy) Goal of 28% (6/21) vs 15%



Cascone T et al. Nat Med. 2021;27(3):504-514

Pathologic Responses to Neoadjuvant Nivolumab and Nivolumab + Ipilimumab in Resected Patients





Cascone T et al. Nat Med. 2021;27(3):504-514

CheckMate 816: pCR with neoadjuvant NIVO + chemo in resectable NSCLC

CheckMate 816 Study Design^a



Database lock: September 16, 2020; minimum follow-up: 7.6 months for NIVO + chemo and chemo arms.

^aNCT02998528; ^bDetermined by the PD-L1 IHC 28-8 pharmDx assay (Dako); ^cIncluded patients with PD-L1 expression status not evaluable and indeterminate; ^dNSQ: pemetrexed + cisplatin or paclitaxel + carboplatin; SQ: gemcitabine + cisplatin or paclitaxel + carboplatin; ^eVinorelbine + cisplatin, docetaxel + cisplatin, gemcitabine + cisplatin (SQ only), pemetrexed + cisplatin (NSQ only), or paclitaxel + carboplatin; ^fRandomized exploratory arm (enrollment closed early); ^gPer healthcare professional choice; ^hPerformed using tumor-guided personalized ctDNA panel (ArcherDX Personalized Cancer Monitoring).

Forde PM et al. AACR 2021. Abstract CT003



• pCR rate in the exploratory NIVO + IPI arm (ITT) was 20.4% (95% CI, 13.4-29.0)

^aPer BIPR; pCR: 0% residual viable tumor cells in both primary tumor (lung) and sampled lymph nodes; ^bITT principle: patients who did not undergo surgery counted as non-responders for primary analysis; ^cCalculated by stratified Cochran-Mantel-Haenszel method; ^dpCR rates 95% CI: NIVO + chemo, 18.0-31.0; chemo, 0.6-5.6; ^ePatients who underwent definitive surgery with an evaluable pathology sample for BIPR.

Forde PM et al. AACR 2021. Abstract CT003

CheckMate 816: EFS with neoadjuvant NIVO + chemo in resectable NSCLC Primary endpoint: EFS^{a,b} with neoadjuvant NIVO + chemo vs chemo



Minimum follow-up: 21 months; median follow-up, 29.5 months.

^aPer BICR; ^bEFS defined as the time from randomization to any progression of disease precluding surgery, progression or recurrence of disease after surgery, progression for patients without surgery, or death due to any cause; patients with subsequent therapy were censored at the last evaluable tumor assessment on or prior to the date of subsequent therapy; 95% CI = 30.2-NR (NIVO + chemo) and 14.0-26.7 (chemo);

^d95% CI[']= 0.45-0.87; ^eThe significance boundary at this interim analysis was 0.0262.

EFS subgroup analysis

	Median E	FSª, mo		
	NIVO + chemo	Ċhemo	Unstratified HR (95% CI)	Unstratified HR
	(n = 179)	(n = 179)		
Overall (N = 358)	32	21	!	0.63
< 65 years (n = 176)	NR	21	i	0.57
≥ 65 years (n = 182)	30	18		0.70
Male (n = 255)	31	17	i	0.68
Female (n = 103)	NR	32		0.46
North America (n = 91)	NR	NR		0.78
Europe (n = 66)	32	21		0.80
Asia (n = 177)	NR	16	i	0.45
ECOG PS 0 (n = 241)	NR	23	<u> </u>	0.61
ECOG PS 1 (n = 117)	30	14		0.71
Stage IB-II (n = 127)	NR	NR		0.87
Stage IIIA (n = 228)	32	16	i	0.54
Squamous (n = 182)	31	23		0.77
Non-squamous (n = 176)	NR	20	_	0.50
Current/former smoker (n = 318)	32	22		0.68
Never smoker (n = 39)	NR	10	_	0.33
PD-L1 < 1% (n = 155)	25	18		0.85
PD-L1 ≥ 1% (n = 178)	NR	21		0.41
PD-L1 1-49% (n = 98)	NR	27		0.58
PD-L1 ≥ 50% (n = 80)	NR	20	·	0.24
TMB < 12.3 mut/Mb (n = 102)	30	27		0.86
TMB ≥ 12.3 mut/Mb (n = 76)	NR	22		0.69
Cisplatin (n = 258)	NR	21		0.71
Carboplatin (n = 72)	NR	11		0.31
			0.125 0.25 0.5 1 2	4
*Per BICR.			Favors NIVO + chemo + Favors chem	0

CheckMate 816: pCR with neoadjuvant NIVO + chemo in resectable NSCLC

ctDNA clearance and pCR rates

ctDNA Clearance and Association With Pathological Response



^aPerformed using tumor-guided personalized ctDNA panel (ArcherDX Personalized Cancer Monitoring); 90 patients were ctDNA evaluable and 87 had detectable ctDNA at C1D1; main reason for sample attrition were lack of tissue for WES and lack of quality control pass for tissue and plasma; ^bctDNA clearance 95% CI: NIVO + chemo, 40-71; chemo, 20-50; ^cpCR rates 95% CI for NIVO + chemo: with ctDNA clearance, 26-67; without ctDNA clearance, 0-18.

ctDNA clearance and mPR rates

Forde PM et al. AACR 2021. Abstract CT003

CheckMate 816: EFS with neoadjuvant NIVO + chemo in resectable NSCLC

Exploratory analysis: EFS by pCR status



- pCR rates were significantly improved with NIVO + chemo vs chemo (24.0% vs 2.2%)
- In patients without pCR, HR (95% CI) for NIVO + chemo vs chemo was 0.84 (0.61-1.17)

Minimum follow-up: 21 months; median follow-up, 29.5 months.

^{a95%} CI = 30.6-NR (NIVO + chemo, pCR), 16.6-NR (NIVO + chemo, no pCR) and NR-NR (chemo, pCR), 13.9-26.2 (chemo, no pCR); ^bIn the pooled patient population (NIVO + chemo and chemo arms combined), EFS HR (95% CI) was 0.11 (0.04-0.29) for patients with pCR vs those without pCR; ^cHR was not computed for the chemo arm due to only 4 patients having a pCR.

Overall Survival and Biomarker Analysis of Neoadjuvant Nivolumab Plus Chemotherapy in Operable Stage IIIA NSCLC (NADIM phase II trial)

Open-label, multicenter (18), single-arm phase 2



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Provencio M et al. *Lancet Oncol.* 2020;21(11):1413-1422

PFS and OS by ctDNA levels at baseline, using a cutoff of <1% MAF





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Provencio et al, JCO, epub ahead of print, 2022

PFS and OS according to ctDNA detection after neoadjuvant treatment





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Provencio et al, JCO, epub ahead of print, 2022

"Liquid Biopsy" — an Innovation in Diagnostic Testing





Pretreatment Mass



Treatment Response with Residual Fibrosis, Tumor DNA Detected in Plasma



Biopsy confirmed recurrent disease

Impact of Neoadjuvant IO in Early-Stage NSCLC

Ideal primary endpoint: a surrogate for EFS, PFS, or OS

- Potential surrogate endpoints and predictors of IO response
 - mPR (based on neoadjuvant chemotherapy)
 - pCR (supported by CM-816)
 - ctDNA (also to monitor recurrence)
 - TMB
 - Tumor microenvironment (ex. Immune cellular infiltrates, cytokines, PD-L1)
 - Microbiome



Biomarker-Based De-escalated curative-intent Therapy

Examples:

- Dynamic Trial in Colorectal Cancer
- Dostarlimab De-escalation Trial in Rectal Cancer as example for HPV-related Head and Neck Cancer



DYNAMIC Study Design

ACTRN12615000381583



Endpoints

Primary

RFS rate at 2 years

Key Secondary

Proportion receiving adjuvant chemo

Secondary

- RFS by ctDNA status for ctDNA-guided arm
- TTR
- OS

Stratification Factors

- T stage (T3 vs T4)
- Type of participating center (metropolitan vs regional)

2022 ASCO #ASC022 ANNUAL MEETING

PRESENTED BY Jeanne Tie

- CEA \rightarrow 3-monthly for 24M, then 6-monthly for 36M
- CT C/A/P \rightarrow 6-monthly for 24M, then at 36M

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Adjuvant Treatment Delivery

PRESENTED BY:

Jeanne Tie

Treatment Information	ctDNA-Guided N = 294	Standard Management N = 147	P-value
Adjuvant Chemotherapy received, n	45 (15%)	41 (28%)	0.0017
Chemotherapy regimen received, n Oxaliplatin-based doublet Single agent fluoropyrimidine	28/45 (62%) 17/45 (38%)	4/41 (10%) 37/41 (90%)	<.0001
Time from surgery to commencing chemotherapy, median (IQR), days	83 (76, 89)	53 (49, 61)	<.0001
Treatment duration, median (IQR), weeks	24 (19, 24)	24 (21, 24)	0.9318
Completed planned treatment, n	38 (85%)	32 (78%)	0.7036
Percentage of full dose delivered, median (IQR)	78 (56, 100)	84 (64, 100)	0.6194



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Recurrence-Free Survival



Summary

- For patients with stage II colon cancer, a ctDNA-guided approach (treating only patients with a positive ctDNA after surgery) compared to standard-of-care
 - Substantially reduced the proportion receiving adjuvant chemotherapy ($28\% \rightarrow 15\%$)
 - Did not compromise recurrence-free survival (2-year RFS: 93.5% vs 92.4%)
- Patients with a positive ctDNA after surgery may derive RFS benefit from adjuvant chemotherapy
 - Favorable 3-year RFS in patients treated with adjuvant chemotherapy (86.4%) versus low RFS in historical series (< 20%) if untreated
 - Ongoing trials (e.g., COBRA, CIRCULATE, CIRCULATE-PRODIGE) will provide further guidance regarding the optimal use of ctDNA-informed management
- ctDNA-negative patients have a low recurrence risk without adjuvant chemotherapy
 - 3-year RFS 92.5% (clinical low risk: 96.7%; T3: 94.2%)



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Dynamic Trial as Example for NSCLC

- Continue to use stage to determine need for neoadjuvant therapy at diagnosis but obtain ctDNA
- pCR as optimal goal and primary endpoint
- Use ctDNA to determine number of neoadjuvant chemo-IO cycles and
- To determine the need to give additional adjuvant therapy (NADIM II)



Mismatch repair deficient rectal cancer

Global annual incidence of rectal cancer 750,000

40,000-75,000 mismatch repair deficient rectal cancer global incidence

- Approximately 5-10% of rectal cancers are mismatch repair deficient
- Relatively resistant to chemotherapy
- Checkpoint blockade is highly effective in metastatic mismatch repair deficient cancers with a complete response rate ~10%

Cercek A, Clin Cancer Res 2020 Andre T, N Engl J Med 2020 Le DT, N Engl J Med 2015





In mismatch repair deficient rectal cancer, PD-1 blockade may be able to <u>either:</u>

a) replace chemotherapy

b) replace chemo and radiation therapy

c) replace chemo and radiation, and surgery



Patient population: Stage II and III mismatch repair deficient rectal cancer

Target Enrollment: 30 subjects

Study Design: Simon's two stage minimax design

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NCT04165772

Individual responses to PD-1 blockade with dostarlimab

Patients who completed 6-months of dostarlimab

ID	Age	Stage T	Stage N	FU (months)	Digital rectal exam response	Endoscopic best response	Rectal MRI best response	Overall response 100%
1	38	T4	N+	23.8	CR	CR	CR	cCR
2	30	Т3	N+	20.5	CR	CR	CR	cCR
3	61	T1/2	N+	20.6	CR	CR	CR	cCR
4	28	T4	N+	20.5	CR	CR	CR	cCR
5	53	T1/2	N+	9.1	CR	CR	CR	cCR
6	77	T1/2	N+	11.0	CR	CR	CR	cCR
7	77	T1/2	N+	8.7	CR	CR	CR	cCR
8	55	Т3	N+	5.0	CR	CR	CR	cCR
9	68	Т3	N+	4.9	CR	CR	CR	cCR
10	78	Т3	N-	1.7	CR	CR	CR	cCR
11	55	Т3	N+	4.7	CR	CR	CR	cCR
12	27	Т3	N+	4.4	CR	CR	CR	cCR
13	26	Т3	N+	0.8	CR	CR	CR	cCR
14	43	Т3	N+	0.7	CR	CR	CR	cCR

Potential Impact on Patients is Huge

- Unprecedented 100% clinical complete response rate
- Possibility of decreased morbidity from elimination of pelvic radiation and surgery
 - Bowel dysfunction
 - Urinary dysfunction
 - Sexual dysfunction
 - Infertility
 - Permanent ostomy

#ASC022

 Particularly relevant as incidence of rectal cancer is increasing steadily in young people

American Cancer Society. Cancer Facts & Figures 2022. Atlanta: American Cancer Society; 2022.



presented by: Kimmie Ng, MD. MPH





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Remaining Questions

- Can tumor regrowth and recurrence be successfully salvaged?
- Are there biomarkers (e.g., ctDNA, PET scans) that can better predict pCR?
- What is the optimal duration of neoadjuvant immunotherapy?
- Is there a role for combination with anti-CTLA4 antibodies, chemotherapy, and/or radiation?
- Can neoadjuvant checkpoint blockade alone prevent additional Lynch-related CRCs and other Lynch-associated cancers?

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#ASC022





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Ang KK NEJM , 2010

6

Longitudinal ctHPVDNA surveillance identifies patients at high







Department of Medicine Chera BS, et al, J Clin Oncol, 38(10): 1050-1058, 2020

Patients with two consecutively abnormal ctHPVDNA

surveillance tests have a higher risk for disease recurrence





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Chera BS, et al, J Clin Oncol, 38(10): 1050-1058, 2020

ctHPVDNA surveillance facilitates early detection of disease

recurrence





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Chera BS, et al, J Clin Oncol, 38(10): 1050-1058, 2020

Detection of Occult Recurrence



<u>i</u>l

@HeadNeckMD

55/59 (93%) later had proven recurrent, metastatic disease on imaging and/or biopsy

Of the remaining **4**/59 (7%), **2** have clinically suspicious lesions (tongue base, pulmonary nodule), and **2** are clinically NED

All 4 have TTMV-HPV DNA values ranging from **16-79 frg/mL**





PPV of TTMV-HPV DNA to Detect Recurrence



@HeadNeckMD

55/59 (93%) later had proven recurrent, metastatic disease on imaging and/or biopsy

	Disease	No disease	Total
Positive	76	4	80
Negative		996	996
Total	76	1000	1076

55 + 21 = 76/80 = **95% PPV**



JW Marriott Phoenix Desert Ridge Resort and Spa, Phoenix, Arizona • February 24-26, 2022

Optima 2 study design





Department of Medicine Rosenberg AJ et al, ASCO Poster, 2021

Response Following Induction

Radiographic Response

Presented By:

Ari J. Rosenberg



Pathologic Response

Pathologic complete response rate among TORS patients was 67% (6/9)



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TORS

Survival Outcomes

Progression-Free Survival



Overall Survival



Median f/u 23.1 months (IQR 13.7,31.2)





Clearance of cfDNA with induction may predict treatment failure





Sloan, Izumchenko...Rosenberg, Agrawal ASCO Poster, 2021

Preliminary data



- Longitudinal ctHPV-DNA analysis in patients receiving chemotherapy with immunotherapy. Trend lines are colored according to radiographic response to chemotherapy with immunotherapy. Dashed lines indicate patients with persistent ctHPV-DNA at follow-up; residual ctHPV-DNA data points are indicated with a .
- All 25 patients with baseline cfHPV-DNA showed a decrease in cfHPV-DNA level at follow-up, with complete clearance observed in 21/25 of patients, consistent with tumor response (shrinkage) to induction therapy.
- Of 4 patients with persistent cfHPV-DNA: 2 patients progressed on induction therapy, 1 patient demonstrated subsequent recurrence and death, 1 patient demonstrated concern for distant metastasis followed by death.



Figure 1. Serial quantitative cfHPV DNA among first 25 patients on study. Quantitative change in ctDNA during induction chemotherapy, response-adaptive locoregional therapy including deescalated RT +/- chemotherapy or transoral robotic surgery (TORS), and during follow-up.

Prospective study of dynamic changes of cell-free HPV DNA in HPV+ OPC treated with risk and response-adaptive treatment





Conclusion

- Early results of low-risk de-escalated arm of OPTIMA 2 suggest that induction chemoimmunotherapy with carboplatin/nabpaclitaxel/nivolumab followed by de-escalated locoregional therapy leads to excellent oncologic outcomes with low rates of acute toxicity.
- High pCR rate to induction chemoimmunotherapy in TORS arm suggests that RT or surgery may be selectively omitted.
- cfHPV-DNA may assist in more precise selection of patients for treatment de-intensification

