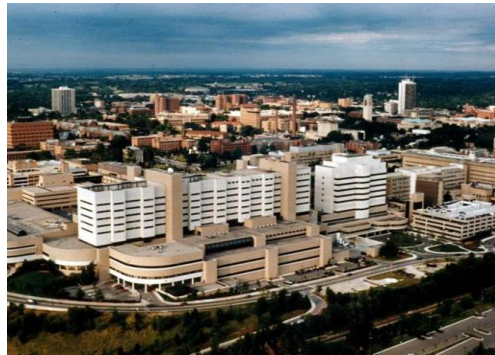


# Late Recurrence and Death in ER Positive Early Stage Breast Cancer

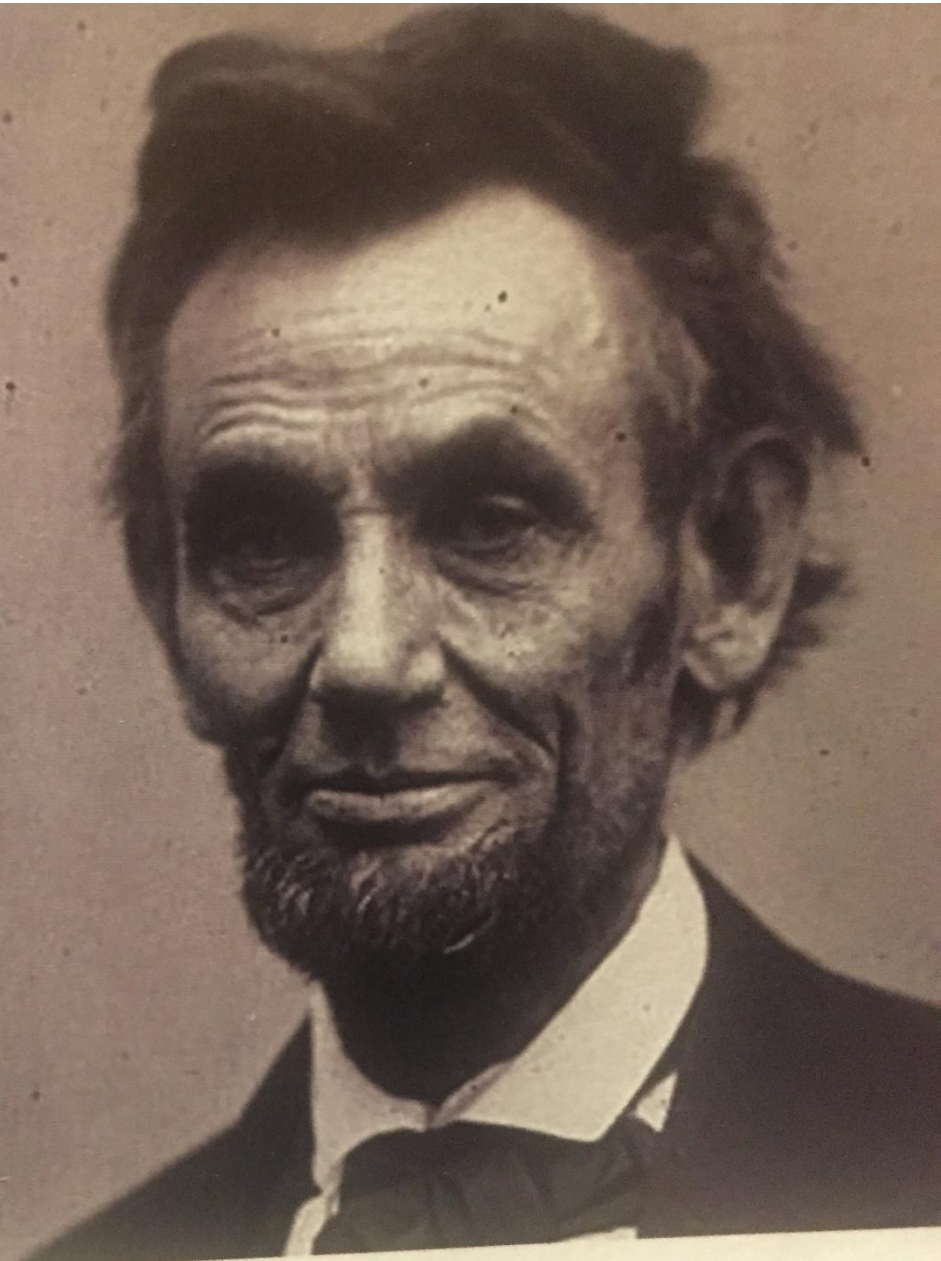
## *The Next Frontier*

**Daniel F. Hayes, MD, FASCO, FACP**  
**Breast Oncology Program**



**The problem  
with quotes on  
the internet is  
that they are  
often not true.**

**—Abraham Lincoln**



# ***DISCLOSURES***

---

- **Circulating Tumor Cells**

- **CellSearch**

- **Laboratory and Clinical research funding from Veridex/Janssen Diagnostics/Menarini Silicon BioSystems (MSB)**
- **Patent regarding circulating tumor cells licensed to MSB**

- **Other**

- **Stock Options: InBiomotion**

- **Consultant: Agendia, Cellworks, Cepheid, CVS Caremark, EPIC Sciences, Freenome, Lexent, Salutogenic Innovations, L-Nutra**

- **Sponsored Clin Research: Merrimack Pharmaceuticals, Eli Lilly, Menarini/Silicon BioSystems, Puma Biotechnology, Pfizer, Astra Zeneca**

- **Collaborated with GHI, manufacturer of 21-gene RS**

**(no financial support or conflict)**

# *Early Stage Breast Cancer*

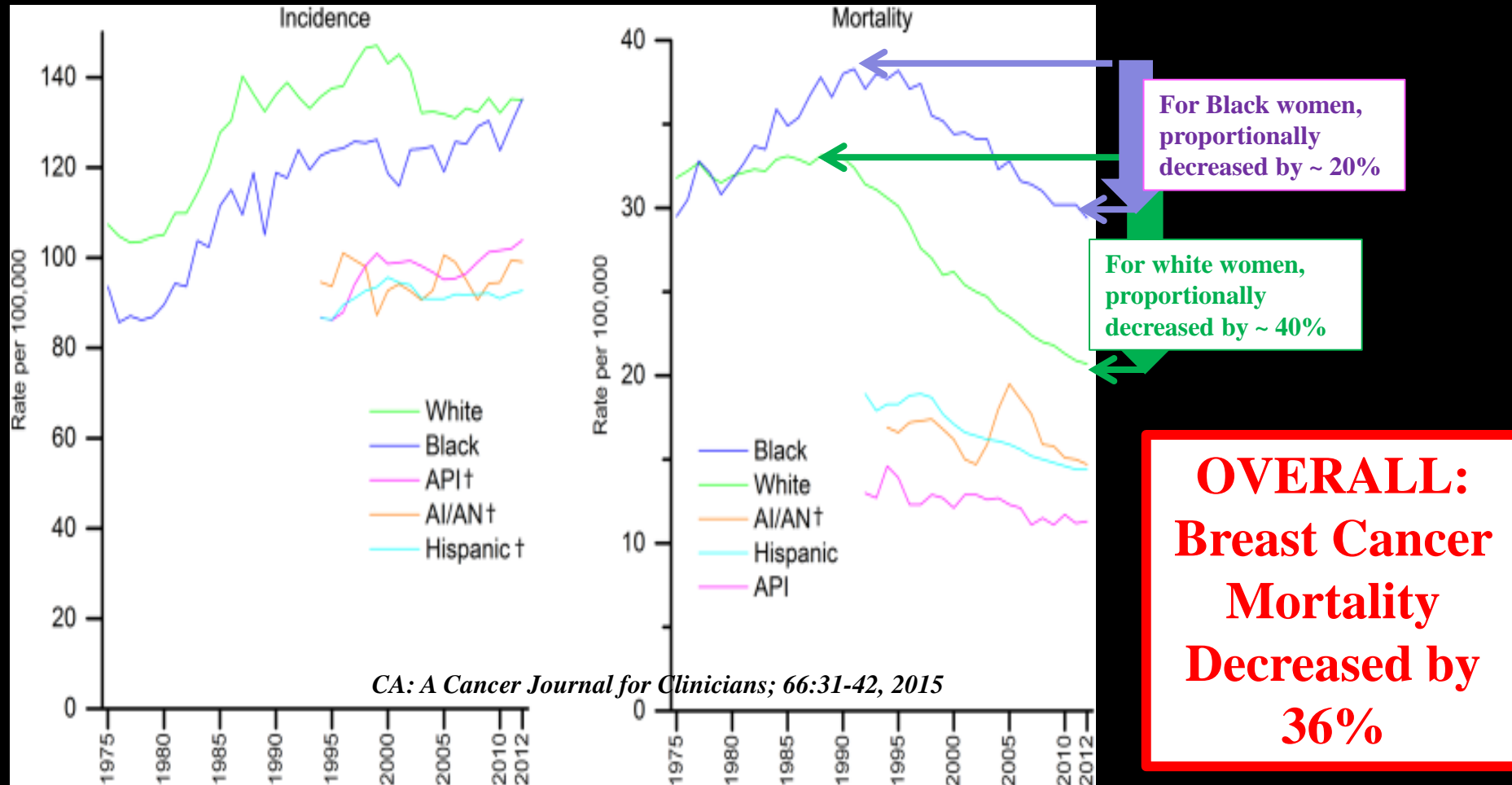
---

**First: the Good News-**

**Breast Cancer Mortality in the U.S. Has **Decreased**  
Substantially Over the Last 30 Years**

# Increase in Breast Cancer Incidence but Decrease in Mortality

## 1975-2012



# *Early Stage Breast Cancer*

---

## First: the Good News-

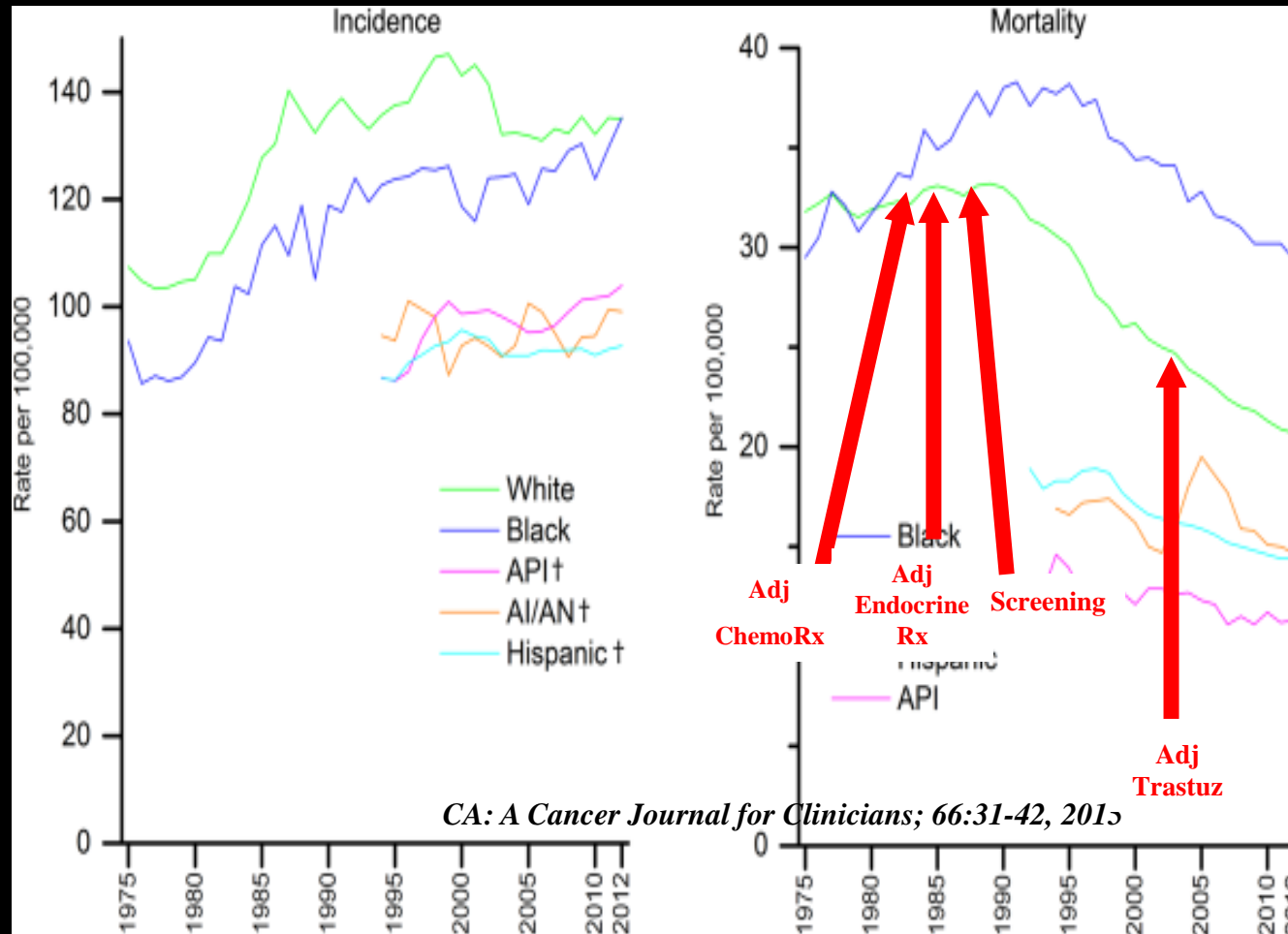
**Breast Cancer Mortality in the U.S. Has  
Decreased Substantially Over the Last 30 Years**

## Why?

- **Screening**
- **Better and More Well Tolerated Therapies**

# Increase in Breast Cancer Incidence but Decrease in Mortality

1975-2012



**OVERALL:  
Breast Cancer  
Mortality  
Decreased by  
36%**

## *Early Stage Breast Cancer*

---

### **Second: Mixed News-**

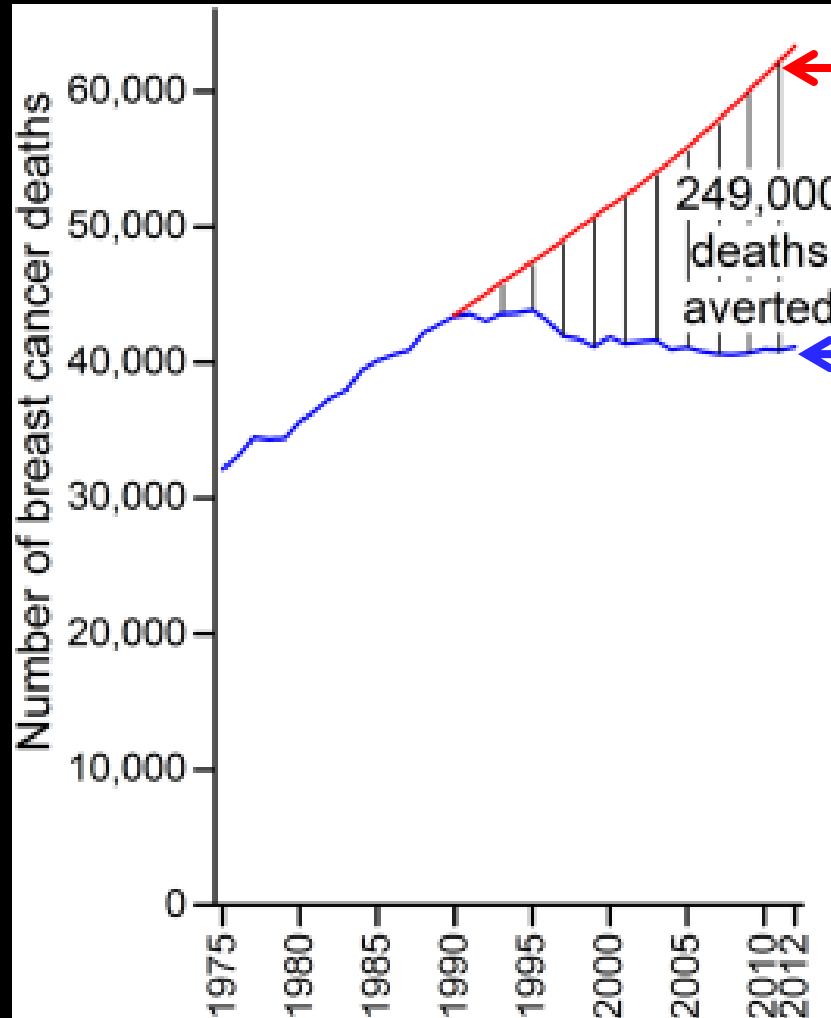
**Although We Would Have Lost Many More Patients to Breast Cancer Without the Advances,**

**~40,000 U.S. Women Will Die of Br Ca in 2019**



# *Deaths Averted by Advances in Breast Cancer Screening and Therapy*

1990-2012



**Anticipated Breast  
Cancer Deaths in US  
at unabated 1989 rate**

**Actual Number of  
Breast Cancer Deaths  
recorded in each year**

# *Early Stage Breast Cancer*

---

## **Second: Mixed News-**

**Although We Would Have Lost Many More Patients to Breast Cancer Without the Advances,**

**~40,000 U.S. Women Will Die of Br Ca in 2019**

## **Who Are These Patients?**

- **All Types of Breast Cancers**
- **Disproportionally those with ER Positive Disease Who Will Experience Late Recurrences**

# *ER Positive Breast Cancer: Adjuvant Endocrine Therapy*

---

## **Principle #1**

*5 years of adjuvant ET decreases risk of recurrence  
and mortality in ER POS BrCa by 40-50%*

- **Tam vs. Nil**
- **AI vs. Tam**

*Early Breast Cancer Trialists' Collaborative Group.  
Lancet. 365:1687-717, 2005*

*Dowsett, et al. Aromatase Inhibitor Overview  
Group, J Clin Oncol 28:509-18, 2010*

# ER Positive Breast Cancer: Adjuvant Endocrine Therapy

~5 years Tamoxifen vs NOT

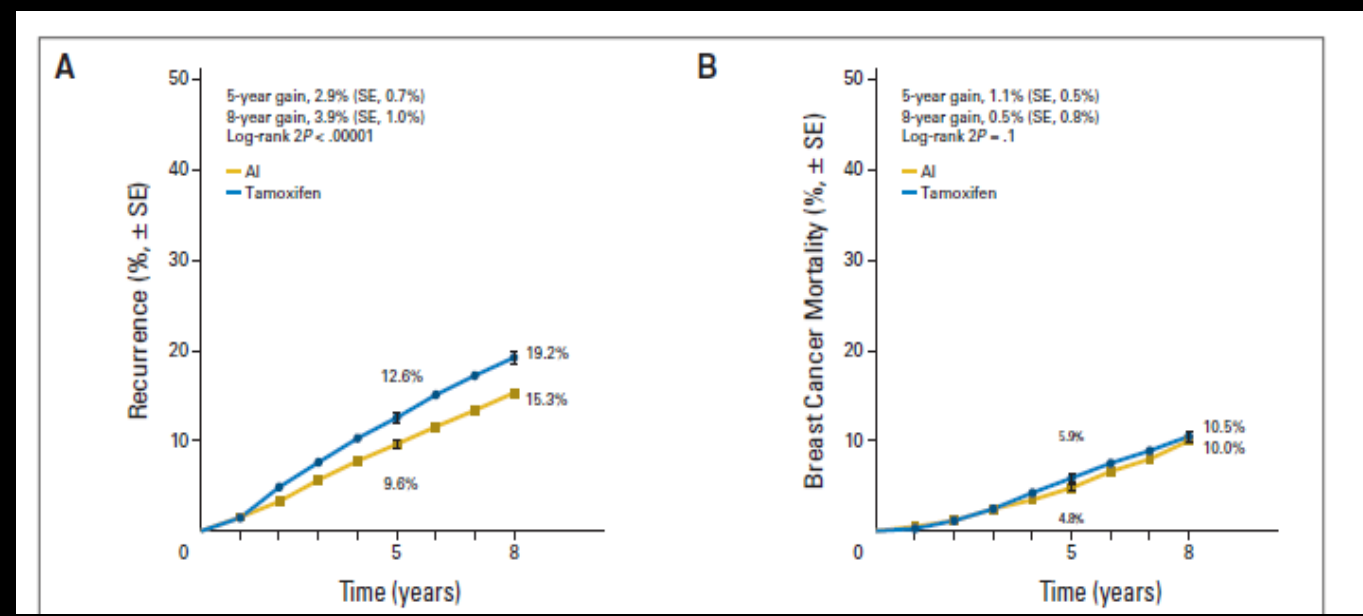
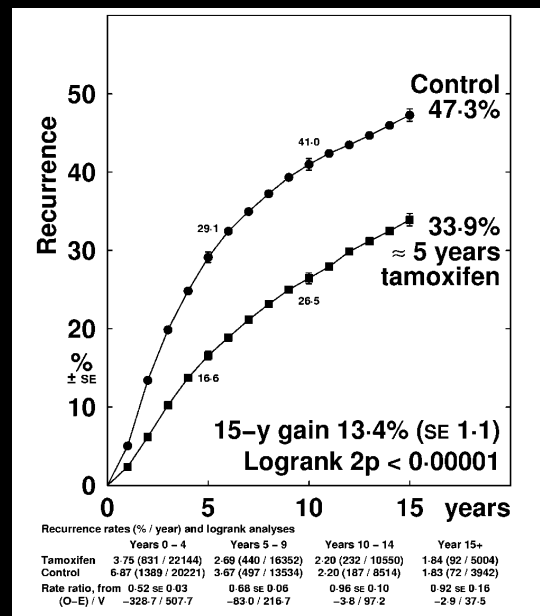
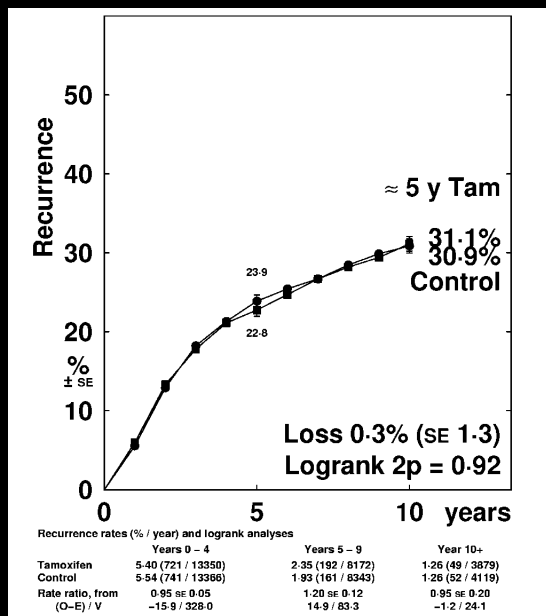
AI vs. Tamoxifen

ER Poor

ER Pos

Recurrence

Br Ca Mortality



Early Breast Cancer Trialists' Collaborative Group. *Lancet*. 365:1687-717, 2005

Dowsett, et al. Aromatase Inhibitor Overview Group, *J Clin Oncol* 28:509-18, 2010

# *ER Positive Breast Cancer: Adjuvant Endocrine Therapy*

---

## **Principle #2**

*The risk for recurrence of ER POS BrCa*

- **Is highest in first 4-6 years**
- **Never declines to zero, even as long as 20-30 years after diagnosis**

ORIGINAL ARTICLE

# 20-Year Risks of Breast-Cancer Recurrence after Stopping Endocrine Therapy at 5 Years

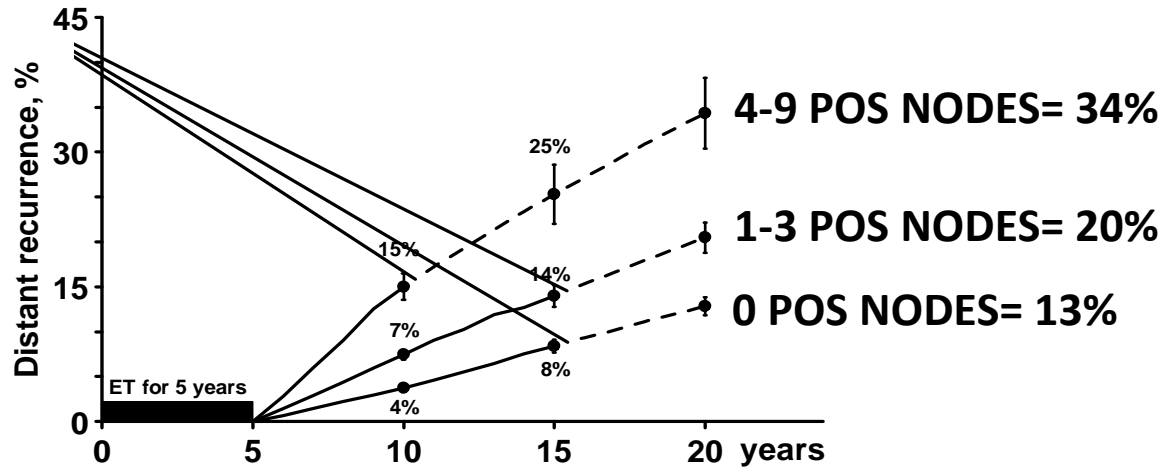
Hongchao Pan, Ph.D., Richard Gray, M.Sc., Jeremy Braybrooke, B.M., Ph.D., Christina Davies, B.M., B.Ch., Carolyn Taylor, B.M., B.Ch., Ph.D., Paul McGale, Ph.D., Richard Peto, F.R.S., Kathleen I. Pritchard, M.D., Jonas Bergh, M.D., Ph.D., Mitch Dowsett, Ph.D., and Daniel F. Hayes, M.D., for the EBCTCG\*

*N Engl J Med 377:1836-1846, 2017*

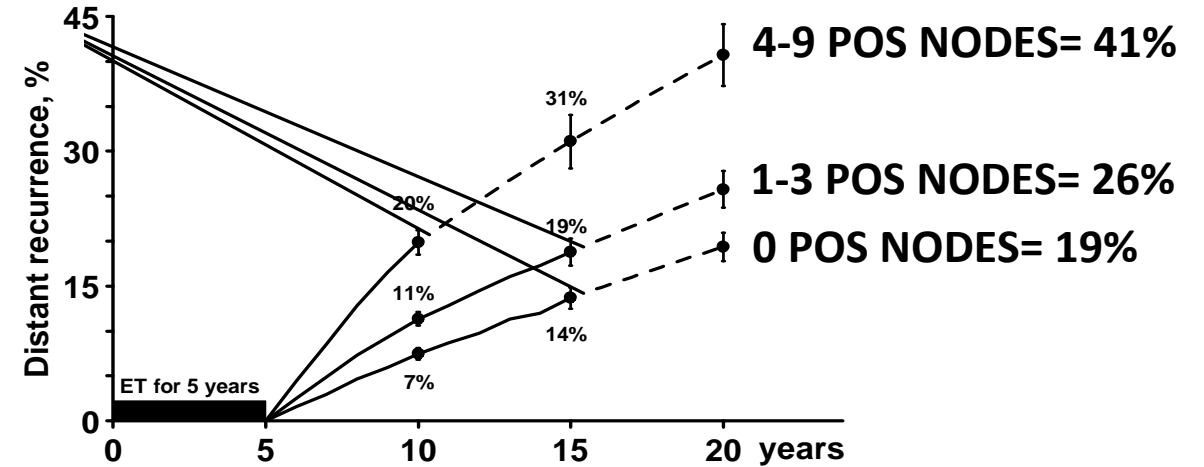
# Distant Recurrence by Nodal Status & T Size

## Patients Without Recurrence @ 5 Yrs; Years 5-20

### T1 only



### T2 only



No. at risk (and, in each 5-year period, no. of events and annual rate)

T1N4-9	3832 (391, 3.2%)	1193 (68, 2.6%)	214 (11, 2.2%)	32
T1N1-3	14342 (734, 1.5%)	5138 (162, 1.5%)	817 (35, 1.7%)	154
T1N0	19402 (509, 0.8%)	8020 (218, 1.0%)	2345 (58, 1.0%)	440

No. at risk (and, in each 5-year period, no. of events and annual rate)

T2N4-9	4952 (688, 4.5%)	1517 (106, 3.3%)	285 (12, 1.7%)	51
T2N1-3	10950 (842, 2.4%)	3551 (134, 1.8%)	614 (28, 1.9%)	114
T2N0	9445 (512, 1.6%)	3901 (152, 1.4%)	1129 (37, 1.3%)	218

*Pan et al NEJM 2017*

# Association of TN Status and grade with Distant Recurrence

		Number of women event-free at year 5		Annual rate (%) of distant recurrence		Cumulative risk, year 5-20 (%)
		Total	Chemotherapy scheduled	Years 5-10	Years 10-20	
<b>Nodal involvement</b>	<b>N0</b>	28,847	9,136 (32%)	1.0	1.1	15
	<b>N1-3</b>	25,292	17,280 (68%)	1.9	1.7	23
	<b>N4-9</b>	8,784	6,664 (76%)	3.9	2.8	38
<b>Diameter in mm, N0 only</b>	<b>≤10 (T1a/b)</b>	5,527	910 (16%)	0.5	0.8	10
	<b>11-20 (T1c)</b>	13,875	4,034 (29%)	0.8	1.1	14
	<b>21-30 (T2)</b>	6,700	2,859 (43%)	1.5	1.4	19
	<b>31-50 (T2)</b>	2,745	1,333 (49%)	1.7	1.4	20
<b>Tumor grade, T1N0 only</b>	<b>Low</b>	3,524	401 (11%)	0.4	0.8	10
	<b>Moderate</b>	7,363	1,861 (25%)	0.7	1.0	13
	<b>High (poorly differentiated)</b>	3,054	1,414 (46%)	0.9	1.5	17



## ***Conclusions: Late Recurrence in ER Pos Br Ca***

---

- **The risk of late distant recurrence and Br Ca mortality is relentless in women with ER POS Disease **who stop ET at 5 years****
  - **Annual rate is constant for at least 15 years**
- **After 5 years, the annual event rate is a function of original**
  - **Nodal status**
  - **Size**
  - **Grade**
  - **NOT: PgR, HER2, other factors**
- **Modern estimates may be less, tempered by:**
  - **Observed better prognosis over last decade (TailoRx, RxPonder taking longer to report)**
    - **Earlier diagnosis**
    - **Better staging**
    - **More effective ET, chemotherapy and anti-HER2 therapies**
    - **Other?**

# *ER Positive Breast Cancer: Adjuvant Endocrine Therapy*

---

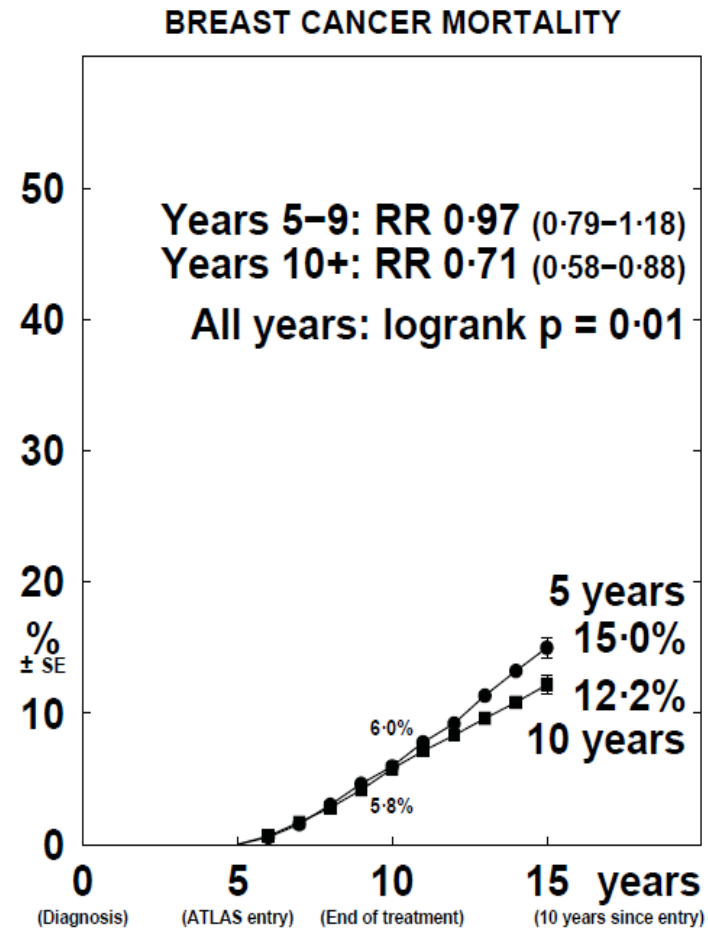
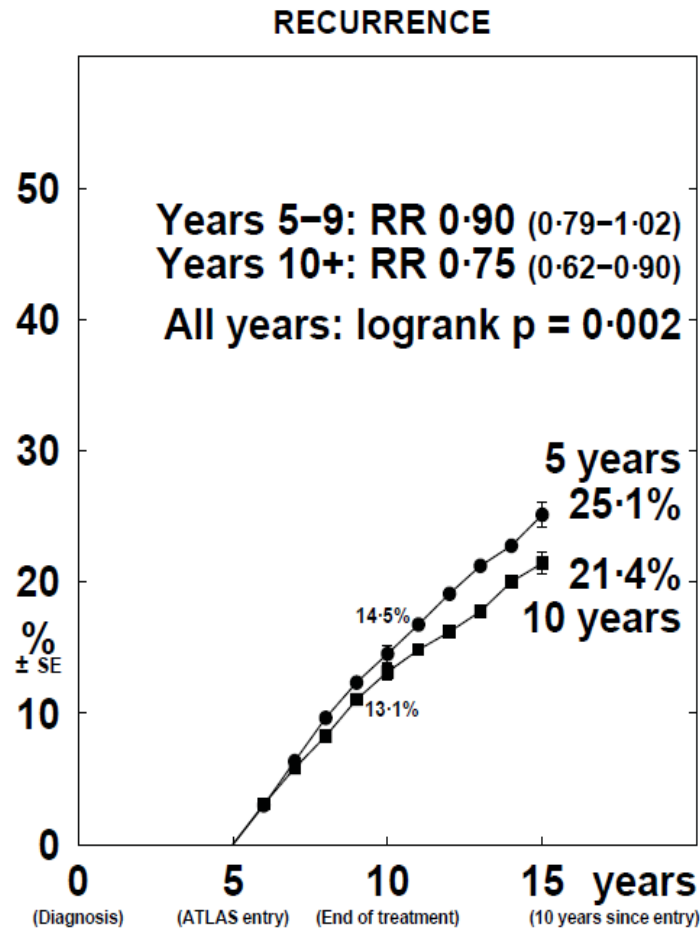
## **Principle #3**

**Extended ET beyond 5 years further reduces the  
risk of recurrence**

# *Extended **TAMOXIFEN** After 5 Years of Tamoxifen*

## Reduced Recurrence and Death in ER POS Breast Cancer

ATLAS TRIAL: Tamoxifen after ~ 5 Yrs of TAM



# ***Extended AI Treatment After 5+ Years of Prior Endocrine Therapy (Mostly Tamoxifen): Methods***

---

## **Oxford Overview:**

Meta-analysis of individual patient data on postmenopausal women with ER-positive (99%) or ER-unknown (1%) tumours in trials of:

**Any third-generation AI** (exemestane, anastrozole, letrozole) vs no further adjuvant therapy **following:**

- a)  $\approx$  5 years of tamoxifen alone (n=7,500)**
- b)  $\approx$  5-10 years of tamoxifen then AI (n=12,600)**
- c)  $\approx$  5 years of AI alone (n=4,800)**

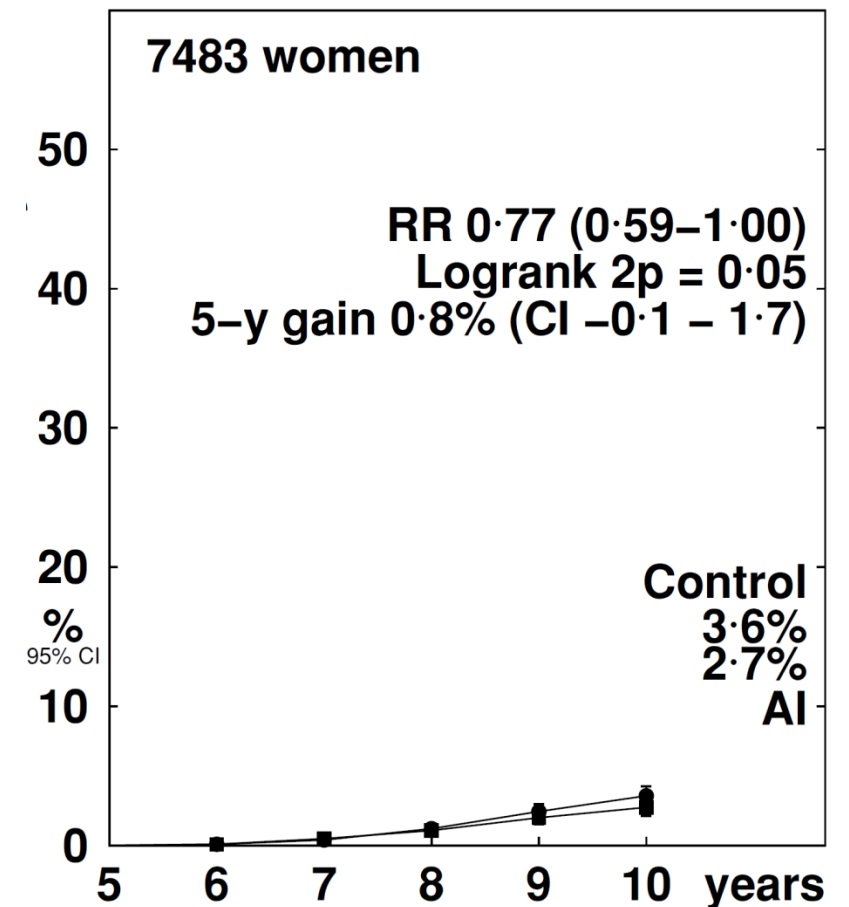
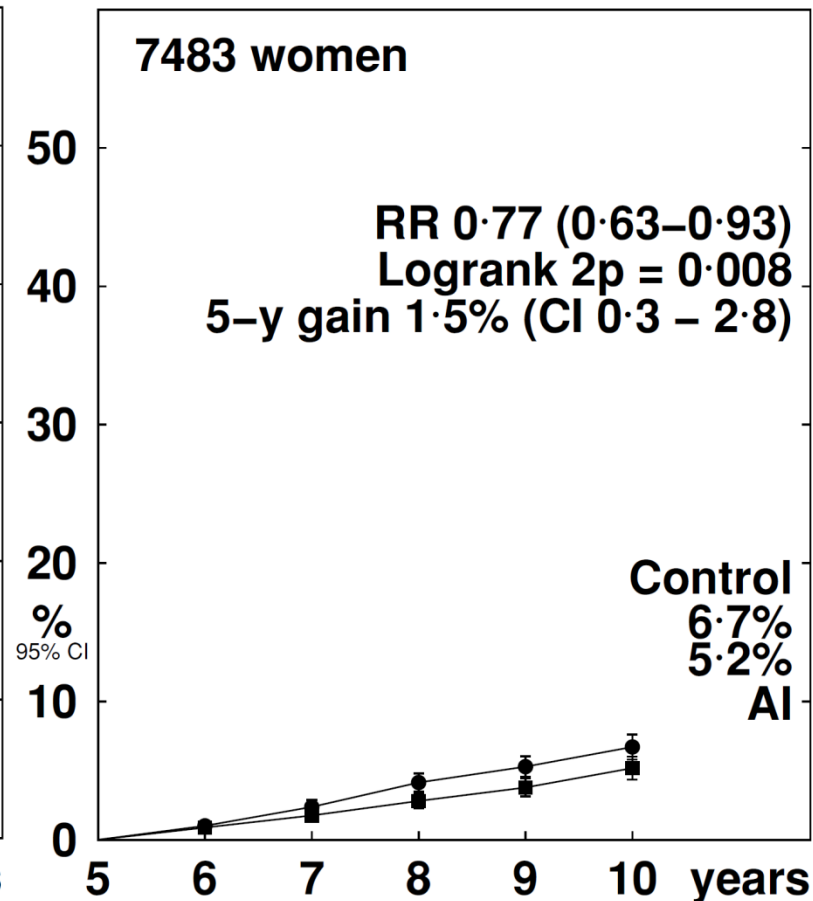
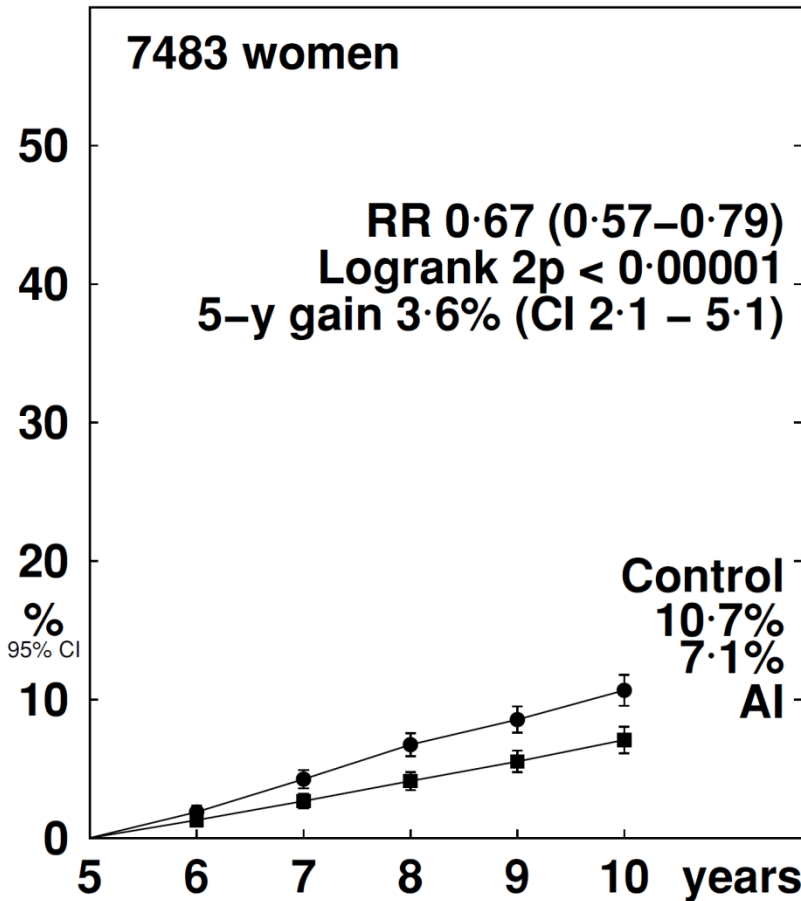
# Extended **Aromatase Inhibitor** After $\approx 5$ Years of Tamoxifen

## Reduced Recurrence and Death in ER POS Breast Cancer

Any recurrence (distant, local or new primary)

Distant Recurrence

Breast cancer mortality

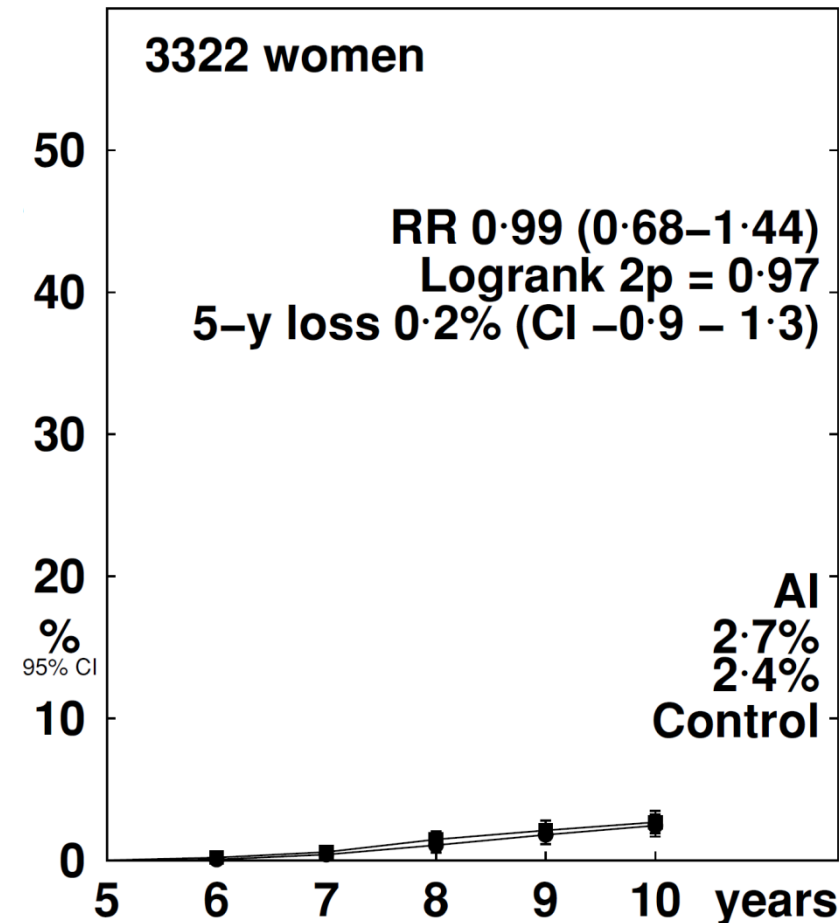
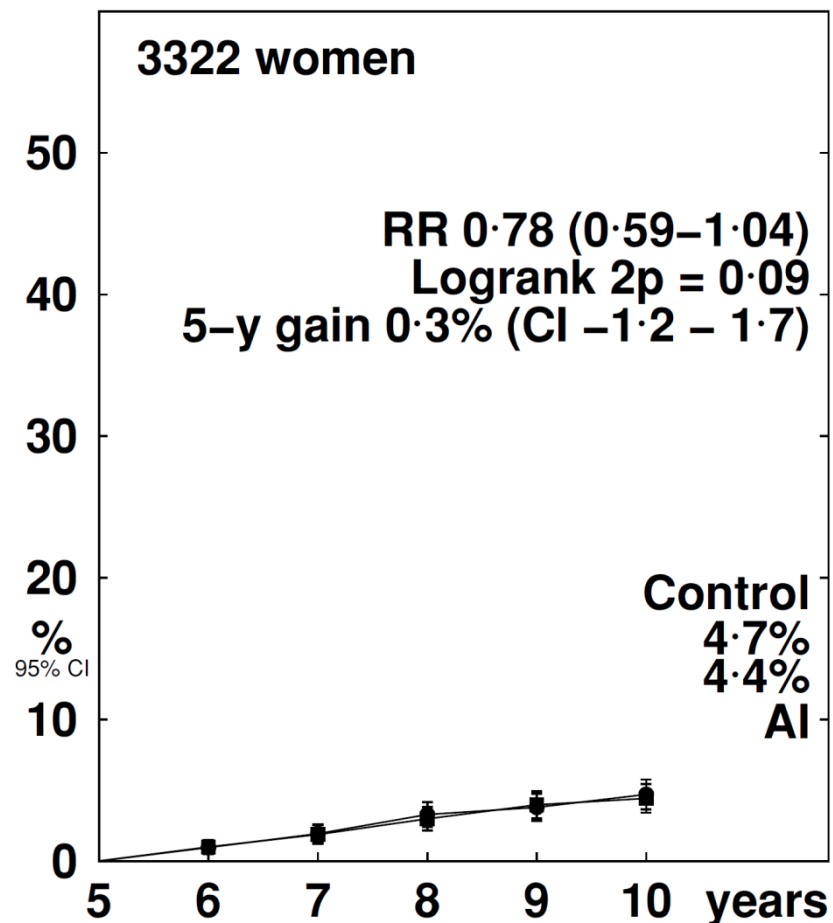
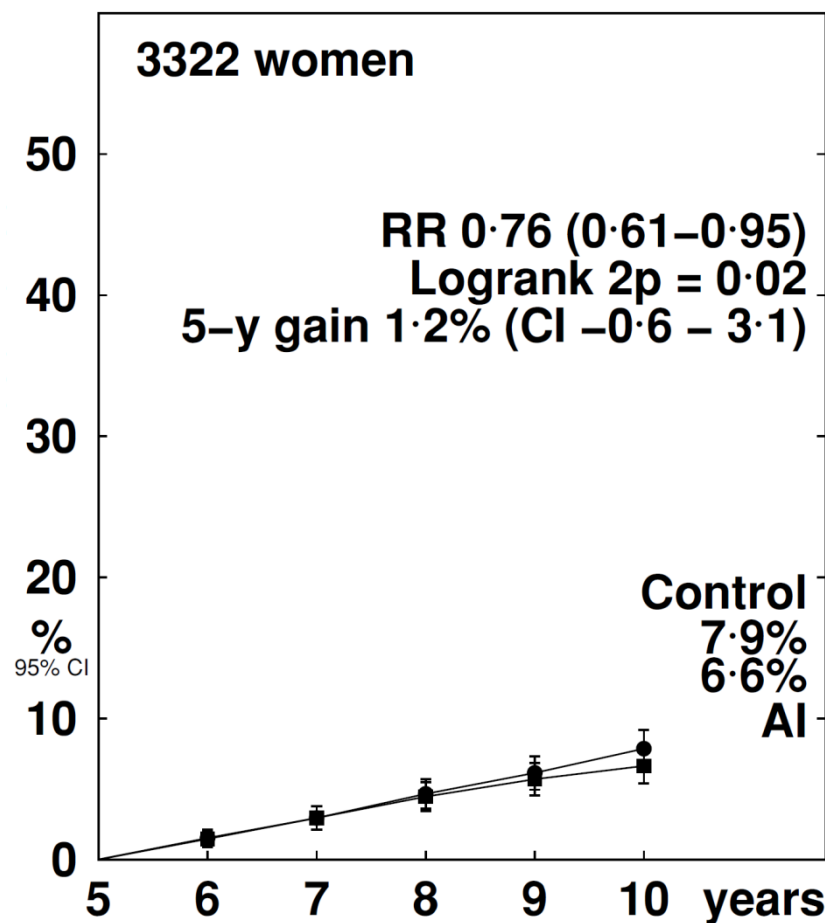


# Extended **AI** Following 5 Years of AI Alone Unclear if Reduced Recurrence and Death **Overall?**

## Any recurrence

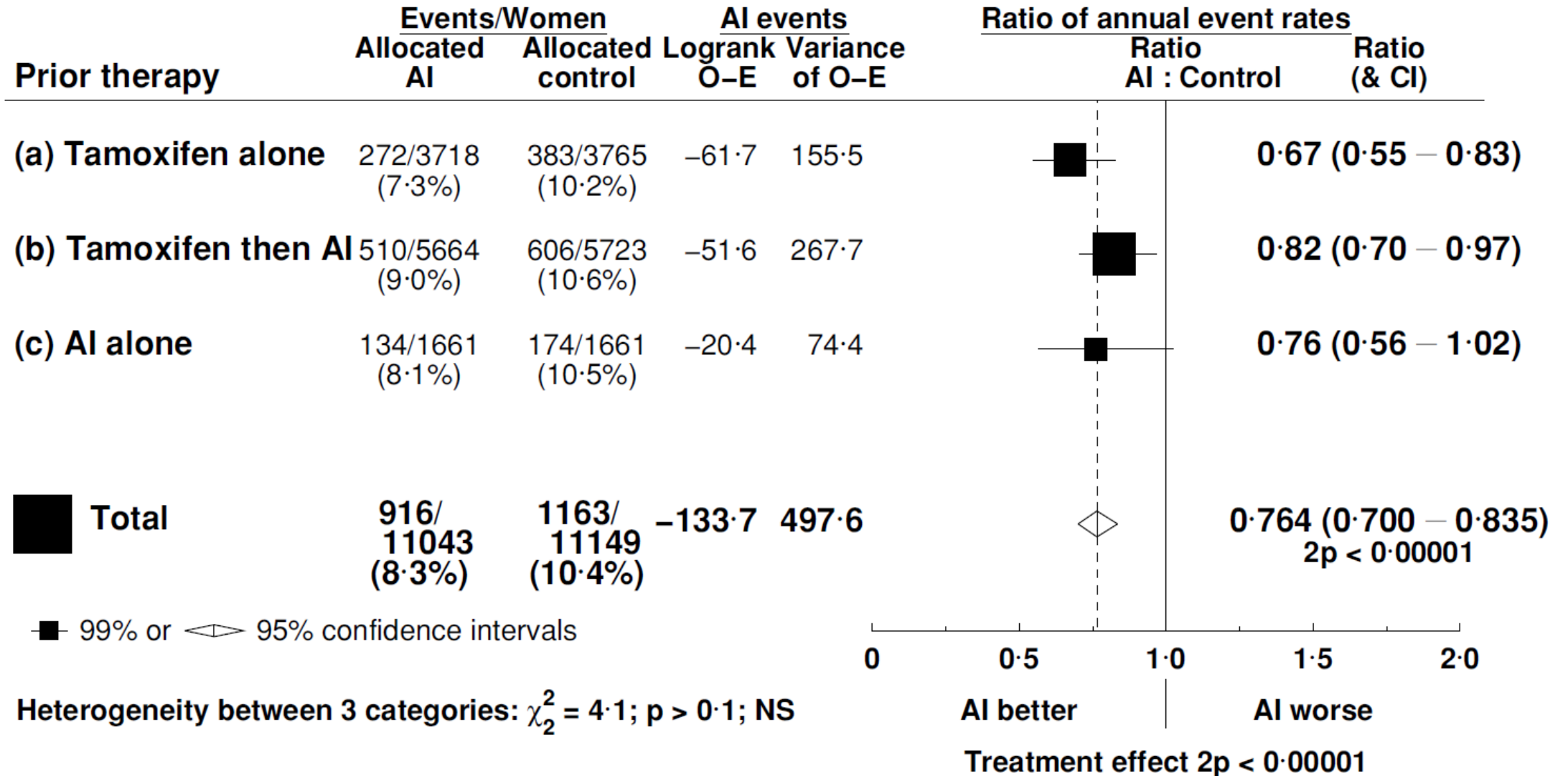
## Distant Recurrence

## Breast cancer mortality



# Effect of Extended AI on Recurrence

## By Prior Endocrine Therapy

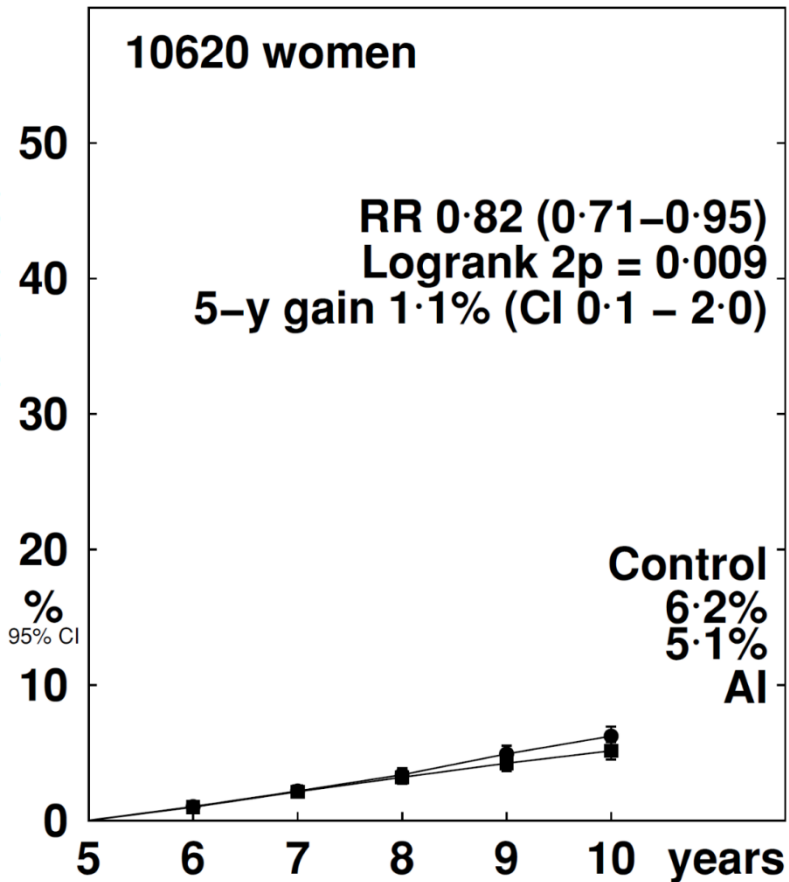


***BUT...***

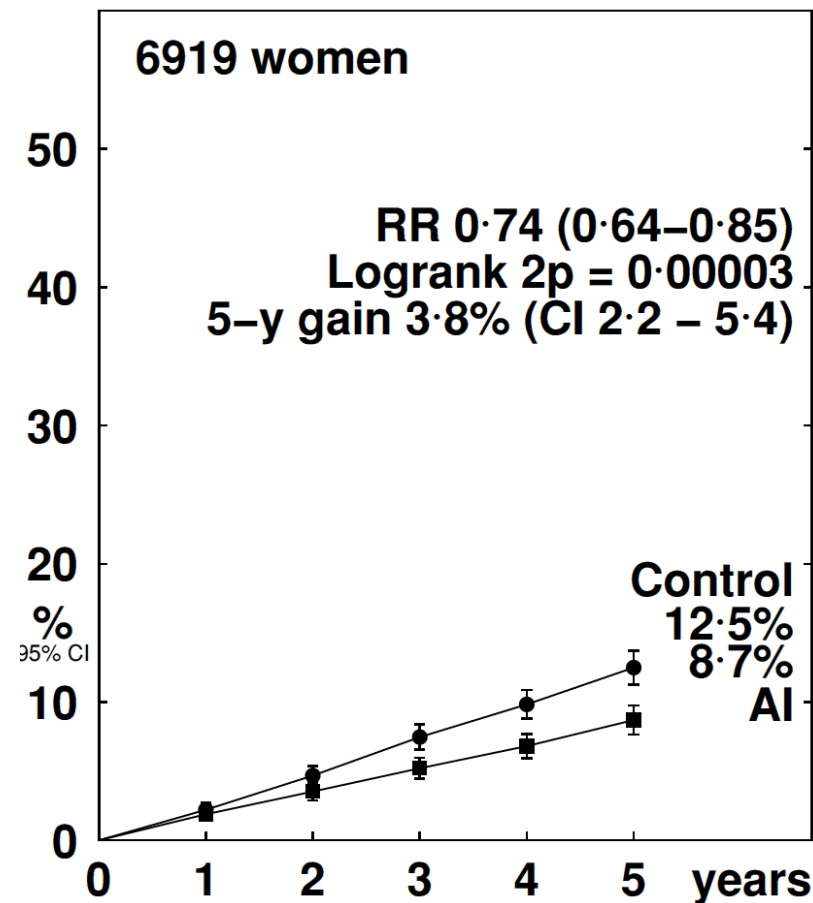


# Extended **AI** Following 5 Years of AI Alone by Nodal Status

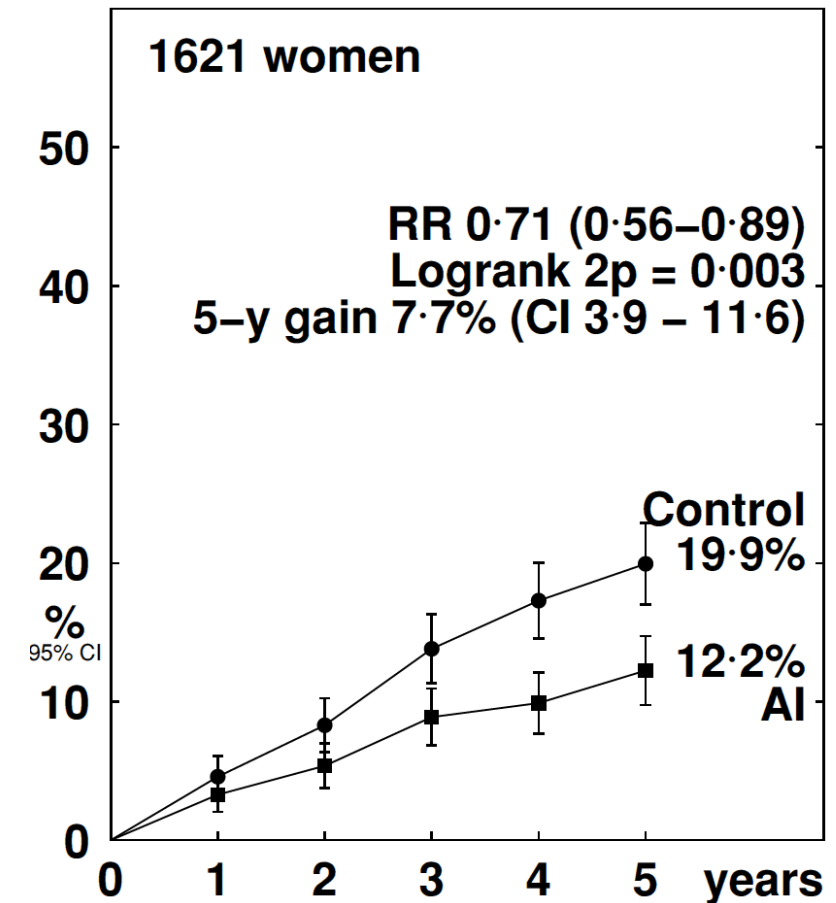
## Node-negative



## N 1-3



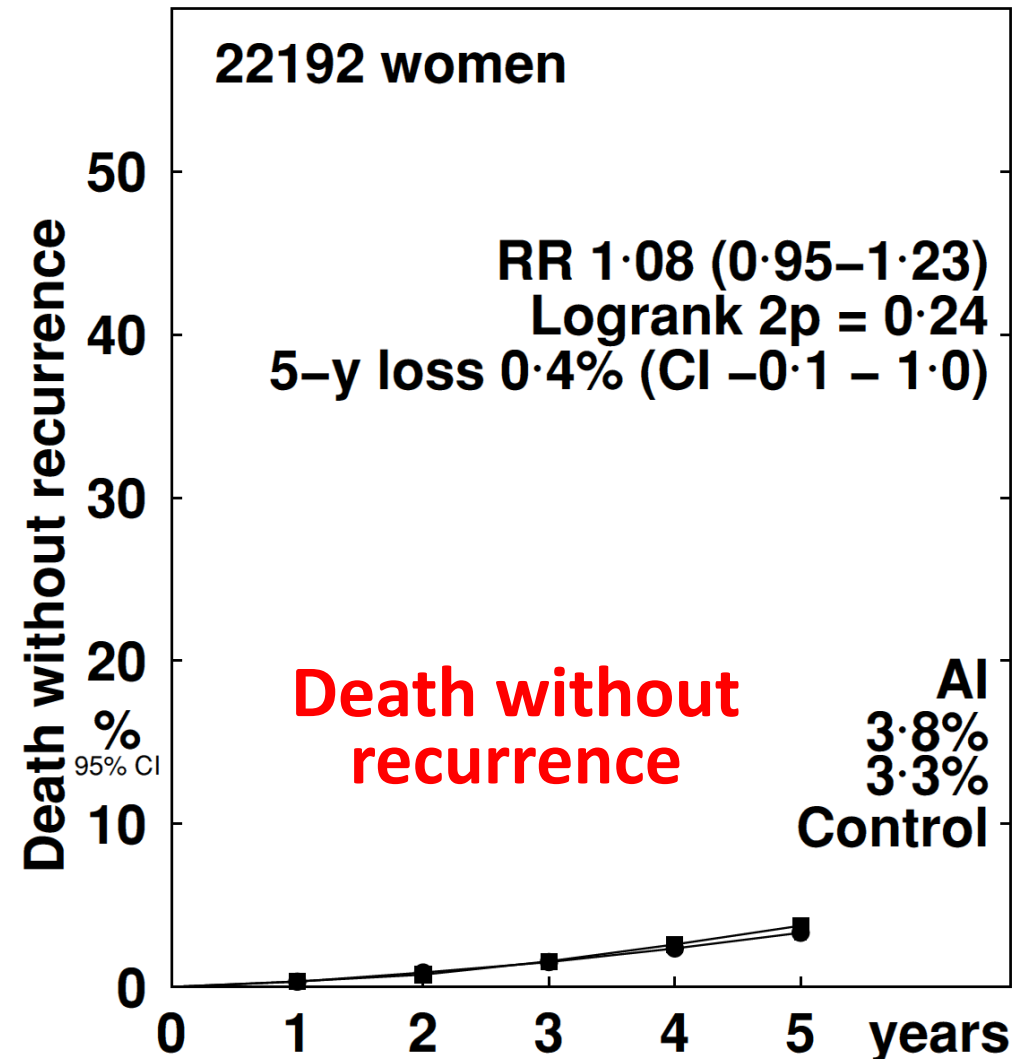
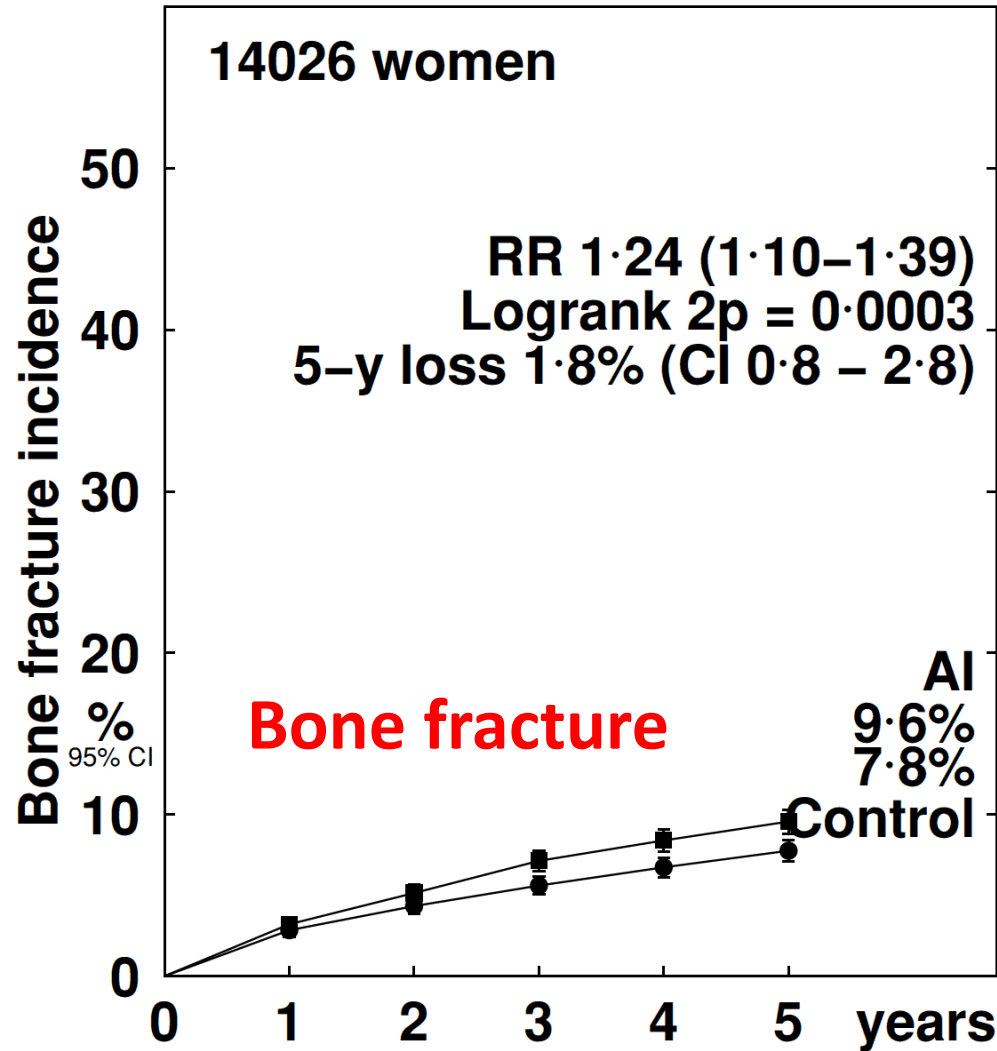
## N 4+



***BUT...***

# Extended AI Therapy

## Bone Fracture and Death Without Recurrence



***Conclusions: Benefits and Risks of Extended AI Rx  
ER Positive Br Ca Patient Free of Disease After 5 Yrs of Tamoxifen***

---

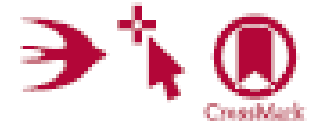
- **≈1/3 reduction in recurrence if prior ≈5 years of tamoxifen**
- **≈ 1/5 reduction in risk of recurrence if prior AI**
  - Preceded or not by prior tamoxifen
- **Absolute benefits higher if worse prognosis (many + nodes)**
- **Risk of bone fracture increased by ≈1/4**
  - Can be tempered with bisphosphonate therapy

# *Alternating Extended Adjuvant AI Every 3 Months*

## *The **SOLE** Trial*

---

Extended adjuvant intermittent letrozole versus continuous letrozole in postmenopausal women with breast cancer (SOLE): a multicentre, open-label, randomised, phase 3 trial



*Marco Colleoni, Weixiu Luo, Per Karlsson, Jackie Chirgwin, Stefan Aebi, Guy Jerusalem, Patrick Neven, Erika Hittre, Marie-Pascale Graas, Edda Simoncini, Claus Kamby, Alastair Thompson, Sibylle Loibl, Joaquín Gavilá, Katsumasa Kuroi, Christian Marth, Bettina Müller, Seamus O'Reilly, Vincenzo Di Lauro, Andrea Gombos, Thomas Ruhstaller, Harold Burstein, Karin Ribí, Jürg Bernhard, Giuseppe Viale, Rudolf Maibach, Manuela Rabaglio-Paretti, Richard D Gelber, Alan S Coates, Angelo Di Leo, Meredith M Regan\*, Aron Goldhirsch\*, on behalf of the SOLE Investigators†*

### **Summary**

**Background** In animal models of breast cancer, resistance to continuous use of letrozole can be reversed by withdrawal and reintroduction of letrozole. We therefore hypothesised that extended intermittent use of adjuvant letrozole would improve breast cancer outcome compared with continuous use of letrozole in postmenopausal women.

*Lancet Oncol* 2017

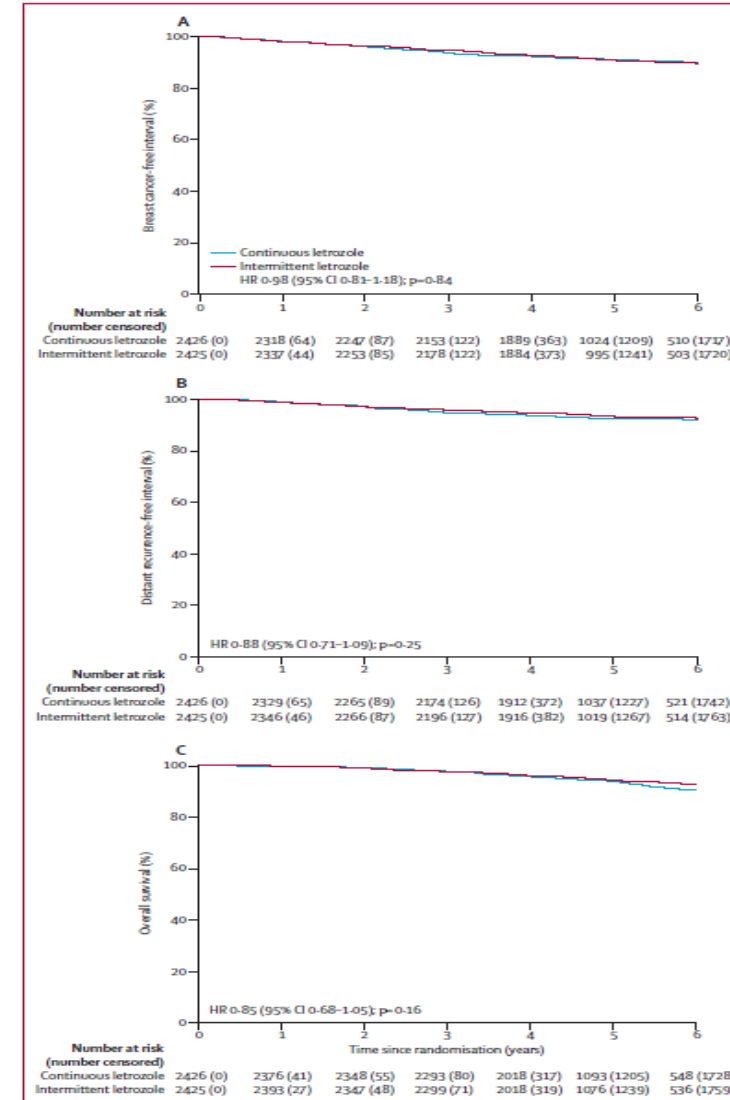
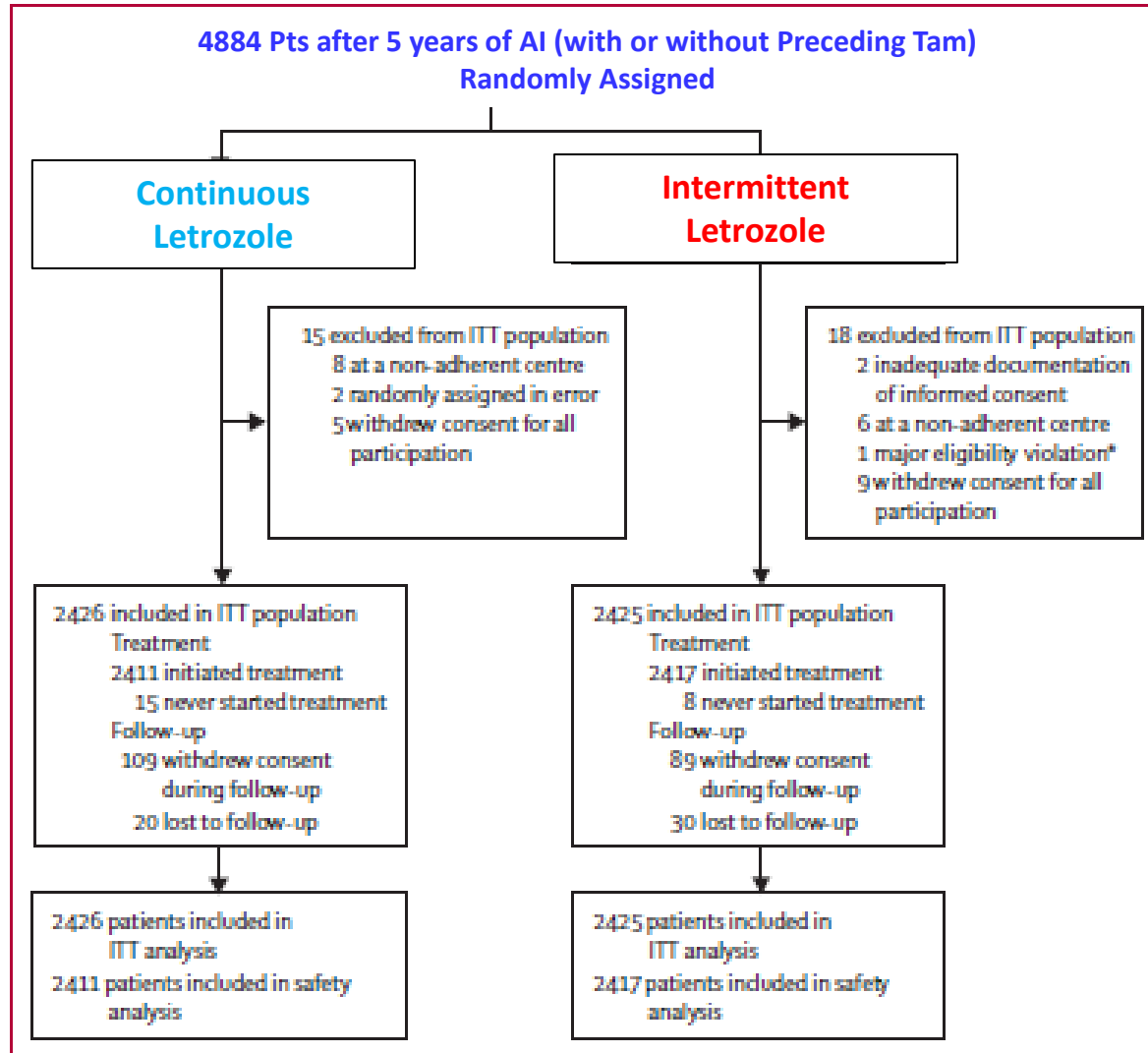
Published Online

November 17, 2017

<http://dx.doi.org/10.1016/>

# Alternating Extended Adjuvant AI Every 3 Months

## The **SOLE** Trial



— Continuous  
— Intermittent

RS

DRFS

OS

# ER Positive Breast Cancer: Extended Adjuvant Endocrine Therapy

---

## Principle #4

**Most (80-90%) patients who have received 5 years of endocrine therapy without a recurrence are unlikely to die of metastatic BrCa**

*And...*

## *...Adjuvant ET has significant side effects*

---

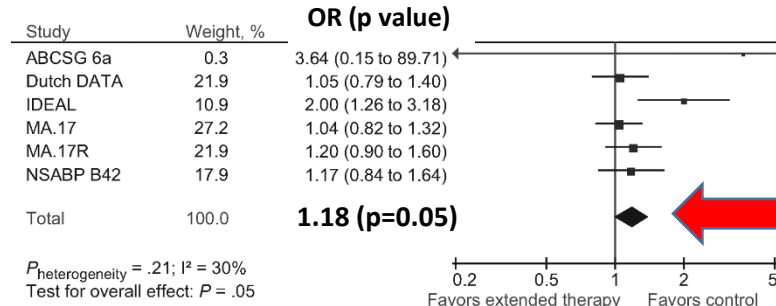
- **Life changing/Quality of Life**
  - *Tamoxifen and Aromatase Inhibitors*
    - Hot flushes, sexual dysfunction, myalgias/arthralgias
    - ? Cognitive dysfunction)
- **Life threatening (persist as long as Rx Continues)**
  - *Tamoxifen*
    - Thrombosis
    - Endometrial Cancer
  - *Aromatase Inhibitors*
    - Osteoporosis/Fracture
    - ? Cardiovascular



# Life Threatening Toxicities of Extended AI Therapy After 5 years ET

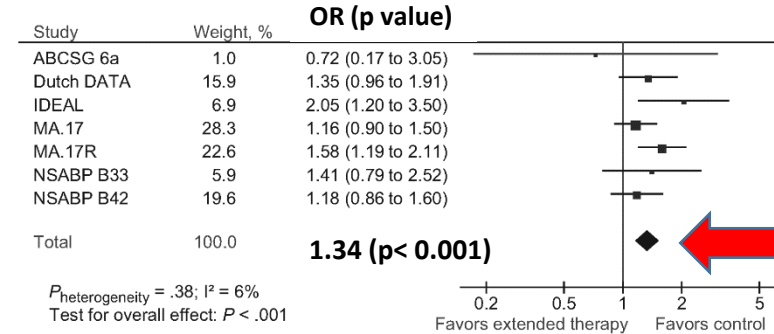
## Published Trial Overview

### A Cardiovasc Events



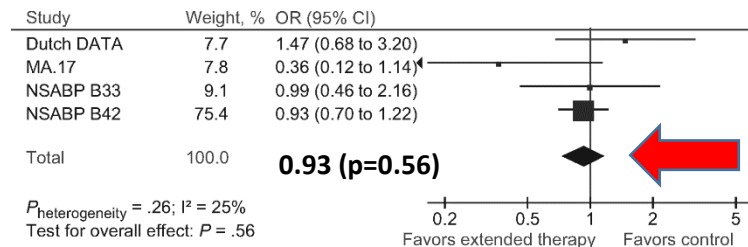
**Absolute Diff  
(Longer vs. Not):  
7% vs. 6%**

### B Bone Fractures



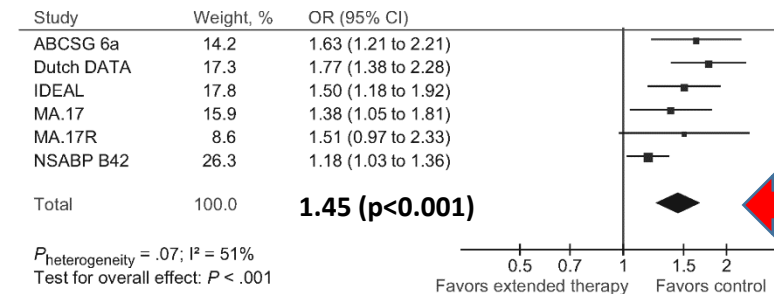
**Absolute Diff  
(Longer vs. not):  
6.3% vs. 4.8%**

### C Non-Br 2<sup>nd</sup> Cancers



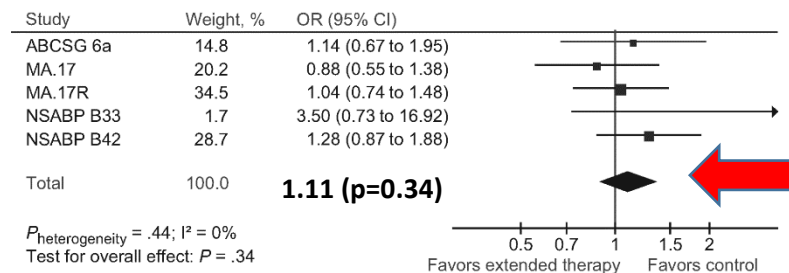
**2.2% vs. 2.4%**

### D Discontinuation



**17% vs. 13.4%**

### E Death without Br Ca Recurrence



**3.3% vs. 2.9%**

# ***Taken Together, These Data Support-***

---

- **Consideration of extended adjuvant endocrine therapy**
  - **Reduces risk by 1/3-1/2 for most patients**
- **There might be a group who could consider stopping**
  - **Not clear who that is**
- **Further studies of determination of late risk**
  - **Biology of primary tumor (multi-gene expression assays, etc)**
  - **Real time identification of risk**

# ***Does Everyone Need to Take Extended ET?***

---

- **Potential determinants of late risk other than nodal status, tumor size and grade**
  - **Biology of primary tumor** (multi-gene expression assays, etc)
  - Identification of dormant disease with recurrence potential:
    - Disseminated or circulating tumor cells (DTC, CTC)
    - Circulating cell free tumor DNA (cftDNA)
    - Circulating protein or metabolites?

# ***Does Everyone Need to Take Extended ET?***

---

- Are there better prognostic factors in the **Primary Cancer** than just lymph node, tumor size, and grade for recurrence after 5 years of adjuvant ET?
  - *Multi-parameter molecular biomarker tests*

**In 1 or 2 Retrospective Data Sets, Each of These Assays Has Been Reported to Separate Patients with Low from High Risk for Late Recurrence**

***HOWEVER:***

*ASCO Tumor Marker Guidelines Panel did not feel that the evidence was of sufficiently high level to recommend that any one of these assays should be used to decide whether to continue or stop extended ET after 5 years*

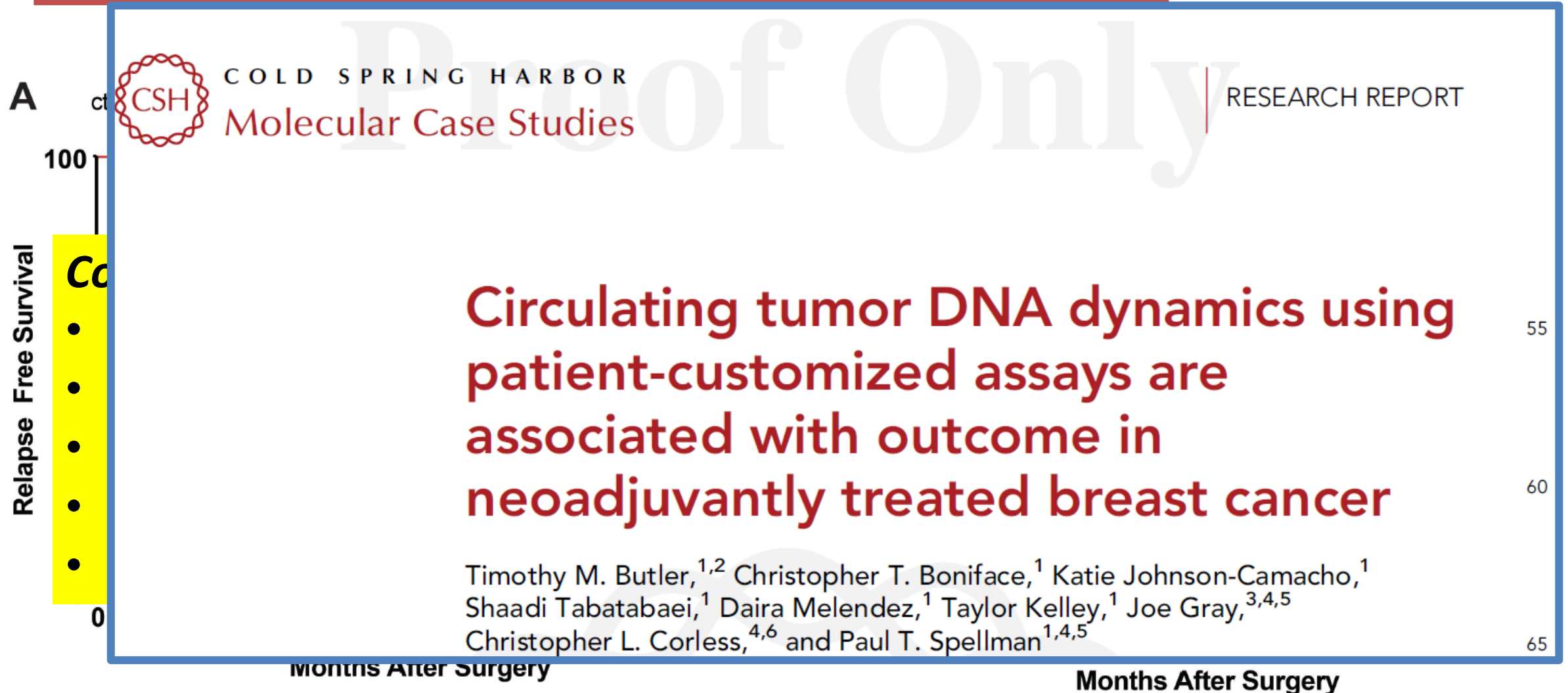
*Harris, Ismaila, McShane, Andre, Collyar, Gonzalez-Angulo, Hammond, Kuderer, Liu, Mennel, Van Poznak, Bast and Hayes, J Clin Oncol 34:1134-50, 2016*

# ***Does Everyone Need to Take Extended ET?***

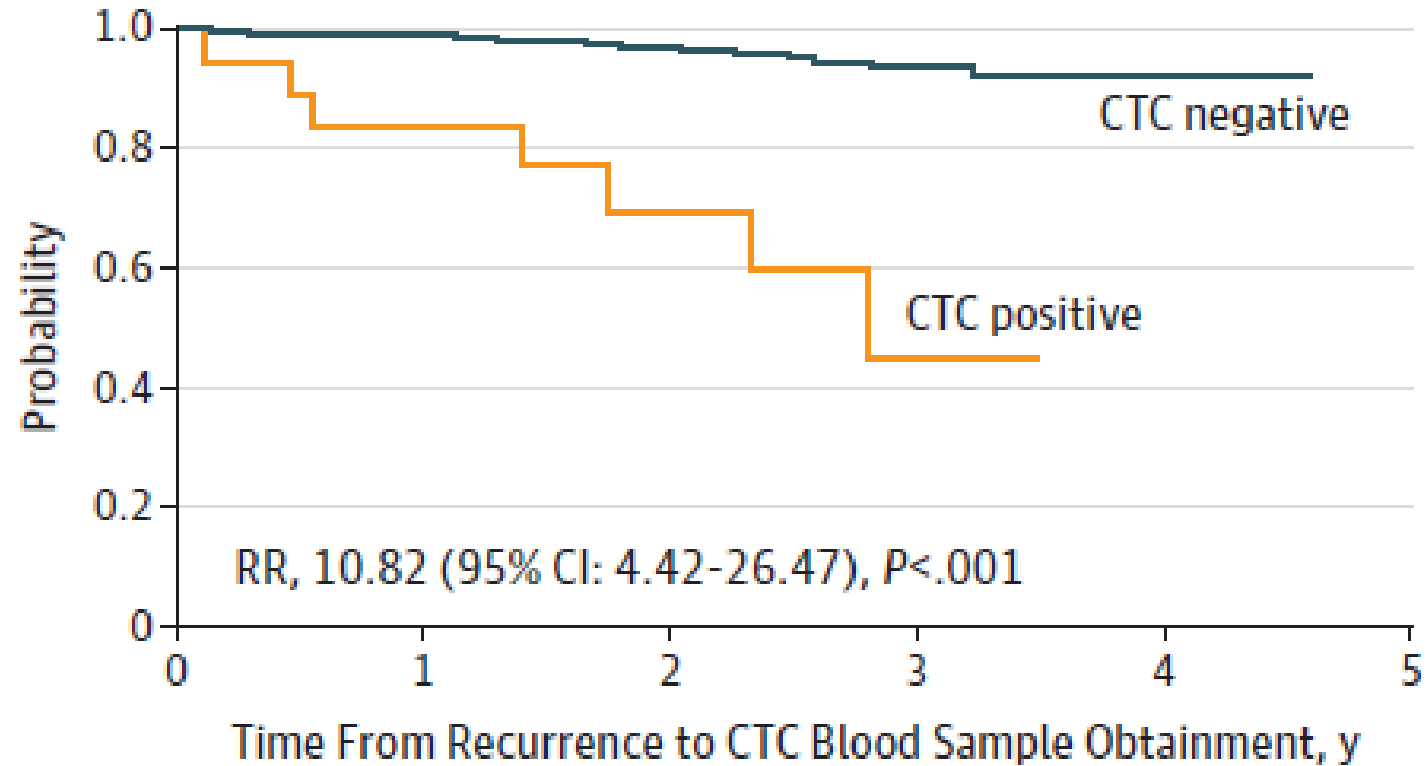
---

- **Potential determinants of late risk other than nodal status, tumor size and grade**
  - Biology of primary tumor (multi-gene expression assays, etc)
  - **Identification of dormant disease with recurrence potential:**
    - **Disseminated or circulating tumor cells (DTC, CTC)**
    - **Circulating cell free tumor DNA (cftDNA)**
    - **Circulating protein or metabolites?**

# Personalized ctDNA to Detect Occult Recurrences



# *Risk of Recurrence According to CTC at ~ 5 Years After Diagnosis*



No. at risk

CTC negative	335	306	211	102	16	0
CTC positive	18	13	7	3	0	0

## *Risk of Late Recurrence*

---

- **Prognostic factors present at time of decision**

- ***NONE OF THESE HAS BEEN CLINICALLY***
- ***VALIDATED AND SHOULD NOT BE***
- ***MONITORED OR USED TO MAKE THE***
- ***DECISION REGARDING EXTENDED ET!!***

- *Circulating tumor cells*



# Late Recurrence: Conclusions

- Persistent in ER positive early stage disease X 15-20 yrs
- Rate reduced by extended ET, but at a cost
- Concerns:
  - Treating patients with very good prognosis
  - Over-Dx (i.e. assuming that if we find something, it must be true)
  - If Rx does not decrease breast cancer recurrence, it could lead to increased mortality
    - Tamoxifen: Thrombosis, endometrial CA
    - AIs: Fractures, ? CVD
- Research Question: Can we identify-
  - Very favorable prognosis who can stop therapy
  - Poor prognosis who need to
    - Continue
    - Change
    - Add

# Future Research

---

- **Study women who have reached 5 years on ET**
  - Liquid biopsies (CTC, cfDNA)
  - Bone marrow biopsies
  - Quality of life/patient perspectives
  - Objectives
    - Can we find “positives”
    - Do the positives predict recurrence, and when?
- **Re-conduct new randomized trials of intervention**
  - Use new diagnostics that are *validated*
  - Rx with new *targeted* therapeutics (“Precision Medicine”) to determine if this strategy improves survival

# *Acknowledgements*

- **Colleagues in EBCTCG**
- **Lynn Henry, MD, PhD**
- **Kevin Kalinsky, MD**
- **Mitch Dowsett, PhD**
- **Joe Sparano, MD**
- **Klaus Pantel, MD**
- **Max Wicha, MD**
- **UM and other lab and clinical colleagues**
- **Of course, the many thousands of patients who have entered clinical trials to improve the care of those who came after them.**

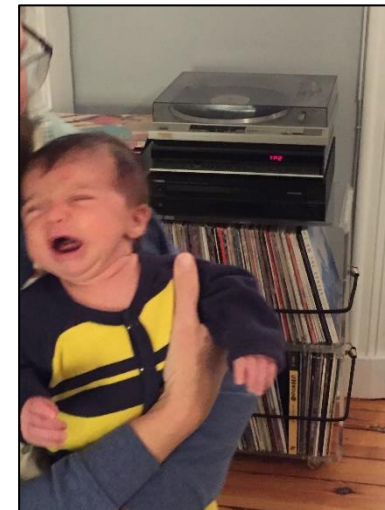
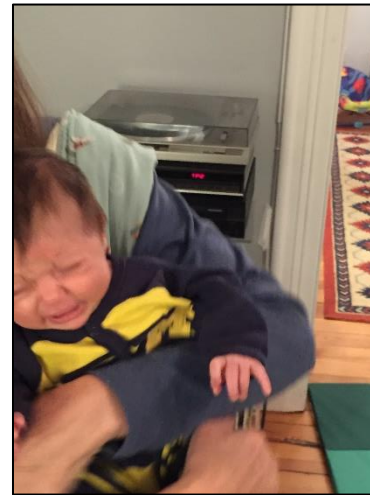


**Why Are We Doing This?**

**For Our Mothers, Wives, Sisters, Selves, and **Granddaughters!****



**Willa Rao Hayes and her  
Sister, Parker Mary Hayes,  
born October 16, 2018**



**Parker after  
the UM/OSU  
game!!**

## Methods: Study Design

- **Population:** Stage II-III HER2-negative enrolled in E5103 (NCT00433511)
- **Treatment:** AC-weekly paclitaxel  $\pm$  bevacizumab + endocrine therapy if ER+
- **Selection:** Recurrence-free 4.5-7.5 years after diagnosis & informed consent
- **CTC Assay:** Whole blood (7.5 ml) drawn into fixative-containing tube for CTC identification and enumeration using the CellSearch® system at entry
- **Assay results:** not reported to clinicians or patients due to uncertainty regarding prognostic information

*Sparano et al SABCS 2017*