# Adjuvant Therapy in Breast Cancer: State of the Art

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> UCDAVIS COMPREHENSIVE CANCER CENTER

## **Objectives**

- Hormone receptor positive
- Triple negative
- HER2 positive



### Cyclin 4/6 kinase inhibitors in MBC

Study	PALOMA 2 (palbociclib)	MONALEESA 2 (ribociclib)	MONALEESA 7 (ribociclib)	MONARCH 3 (abemaciclib)
Population	postmenopausal	postmenopausal	Pre/perimenopausal	postmenopausal
Ν	666	668	672	493
Prior chemotherapy for ABC?	No	No	<u>≤</u> 1	No
Median PFS (mos)	24.8 v 14.5	25.3 vs 16	23.8 vs 13	28.2 v 14.7
OS	Not reported	Not reported	Not reached v 40.9 mos	Not reported
References	Finn, et al, NEJM 2016	Hortabagyi, et al, NEJM 2016; Ann Onc 2018	Tripathy, et al, Lancet Onc 2018	Sledge, et al JCO 2017; Johnson et al, npjBreast Can 2019

### monarchE

- HR+, HER2-
- > 4 positive LN or
- 1-3 positive LN and
  - T <u>></u>5 cm
  - Grade 3
  - Central Ki-67 >20%



Johnson, et al, JCO 2020





FIG 2. Invasive disease-free survival (IDFS). (A) Kaplan-Meier curves of IDFS and IDFS zoomed in to better visualize separation of the curves in the intent-to-treat population. (B) IDFS of patient subgroups. Hazard ratios (HRs) are stratified in overall population and unstratified in subgroups for abemaciclib plus endocrine therapy (ET) versus ET alone. HR estimates for IDFS are indicated by diamonds, and 95% CIs are indicated by the crossing horizontal lines. (a) Curves should not be interpreted beyond 24 months because of the limited follow-up. (b) If a subgroup consists of < 5% of randomly assigned patients, analysis within that subgroup asomitted. (c) The width of CIs in subgroups has not been adjusted for multiplicity; thus, the subgroup results are exploratory in nature. ECOG PS, Eastern Cooperative Oncology Group performance status.

Published in: Stephen R. D. Johnston; Nadia Harbeck; Roberto Hegg; Masakazu Toi; Miguel Martin; Zhi Min Shao; Qing Yuan Zhang; Jorge Luis Martinez Rodriguez; Mario Campone; Erika Hamilton; Joohyuk Sohn; Valentina Guarneri; Morihito Okada; Frances Boyle; Patrick Neven; Javier Cortés; Jens Huober; Andrew Wardley; Sara M. Tolaney; Irfan Cicin; Ian C. Smith; Martin Frenzel; Desirée Headley; Ran Wei; Belen San Antonio; Maarten Hulstijn; Joanne Cox; Joyce O'Shaughnessy; Priya Rastogi; *Journal of Clinical Oncology* Ahead of Print DOI: 10.1200/JCO.20.02514



FIG 3. Distant relapse–free survival (DRFS). (A) Kaplan-Meier curves of DRFS and DRFS zoomed in to better visualize separation of the curves in the intent-to-treat population. (B) DRFS of patient subgroups. Hazard ratios (HRs) are stratified in overall population and unstratified in subgroups for abemaciclib plus endocrine therapy (ET) versus ET alone. HR estimates for DRFS are indicated by diamonds, and 95% CIs are indicated by the crossing horizontal lines. (a) Curves should not be interpreted beyond 24 months because of the limited follow-up. (b) If a subgroup consists of < 5% of randomly assigned patients, analysis within that subgroup was omitted. (c) The width of CIs in subgroups has not been adjusted for multiplicity; thus, the subgroup results are exploratory in nature. ECOG PS, Eastern Cooperative Oncology Group performance status.

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#### TABLE 2. Recurrence Events

Recurrence Event	Abemaciclib + ET $(n = 2,808)$	ET Alone $(n = 2,829)$
IDFS events		
Total IDFS events	136	187
Patients with invasive disease, first occurrence	123	181
Local/regional recurrence	17	26
Distant recurrence	87	138
Contralateral recurrence	4	9
Second primary neoplasm	16	12
Death from any cause without invasive disease	13	6

TABLE 2. Recurrence Events

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TABLE 3. Safety Table

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### How does this change clinical practice?

- Very early follow up (med 15.5 months)
- Abemaciclib is not FDA approved in the adjuvant setting
- PALLAS trial found no difference in iDFS
- Neoadjuvant PALLET trial found no difference in response rate after 14 weeks of therapy



## KEYNOTE-522





# **KEYNOTE-522**

- HR-, HER2-
- T1c and N1-2 or
- T2-4 and N0-2

1174 subjects R<sup>2:1</sup> Weekly paclitaxel x 12 Carboplatin (weekly or q 3 weeks) Pembrolizumab q 3 weeks x 4

Doxorubicin/cyclophosphamide q 3 weeks x 4 Pembrolizumab q 3 weeks x 4

Weekly paclitaxel x 12 Carboplatin (weekly or q 3 weeks) Placebo q 3 weeks x 4

Doxorubicin/cyclophosphamide q 3 weeks x 4 Placebo q 3 weeks x 4

#### 1º endpoints: pCR, EFS

Schmid, et al, NEJM, 2020



Characteristics of the Patients at Baseline.





Pathological Complete Response, According to Pathological Stage.

Table 2. Pathological Complete Response, According to Pathological Stage.*						
Variable	Pembrolizumab– Chemotherapy (N=401)	Placebo– Chemotherapy (N=201)	Estimated Treatment Difference†	P Value		
			percentage points (95% CI)			
Pathological stage ypT0/Tis ypN0						
No. of patients	260	103				
Percentage of patients with response (95% CI)	64.8 (59.9–69.5)	51.2 (44.1–58.3)	13.6 (5.4–21.8)	P<0.001		
Pathological stage ypT0 ypN0						
No. of patients	240	91				
Percentage of patients with response (95% CI)	59.9 (54.9–64.7)	45.3 (38.3–52.4)	14.5 (6.2–22.7)			
Pathological stage ypT0/Tis						
No. of patients	275	108				
Percentage of patients with response (95% CI)	68.6 (63.8–73.1)	53.7 (46.6–60.8)	14.8 (6.8–23.0)			

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Subgroup Analysis of Difference in Percentages of Patients with a Pathological Complete
Response (Stage ypT0/Tis ypN0).

Subgroup	Pembrolizumab– Chemotherapy	Placebo– Chemotherapy	Differ Comple	ence in Pathological ete Response (95% C	:1)
	no. of patients with respo	nse/no. of patients (%)	I	percentage points	
Overall	260/401 (64.8)	103/201 (51.2)	;	<b>←</b>	13.6 (5.4 to 21.8)
Nodal status			1		
Positive	136/210 (64.8)	45/102 (44.1)	. –	<b></b>	20.6 (8.9 to 31.9)
Negative	124/191 (64.9)	58/99 (58.6)			6.3 (-5.3 to 18.2)
Tumor size					
T1 to T2	207/295 (70.2)	84/149 (56.4)		<b>♦</b>	13.8 (4.3 to 23.3)
T3 to T4	53/106 (50.0)	19/52 (36.5)		•	13.5 (-3.1 to 28.8)
Carboplatin schedul	e				
Every 3 wk	105/165 (63.6)	47/84 (56.0)			7.7 (-5.0 to 20.6)
Weekly	154/231 (66.7)	56/116 (48.3)	; <del></del>	<b>—</b>	18.4 (7.4 to 29.1)
PD-L1 status					
Positive	230/334 (68.9)	90/164 (54.9)	: <u> </u>	<b>♦</b>	14.2 (5.3 to 23.1)
Negative	29/64 (45.3)	10/33 (30.3)		<b>•</b>	18.3 (-3.3 to 36.8)
Age			i		
<65 yr	235/355 (66.2)	95/176 (54.0)		<b>—</b>	12.2 (3.4 to 21.0)
≥65 yr	25/46 (54.3)	8/25 (32.0)	<u> </u>	•	22.3 (-2.1 to 43.5)
ECOG performance- score	status				
0	215/328 (65.5)	85/173 (49.1)	į —	<b>—</b>	16.4 (7.3 to 25.4)
1	45/73 (61.6)	18/28 (64.3) -	• !		-2.6 (-22.1 to 18.9)
		-30 -2	20 -10 0 10	20 30 40	50
		P Che	lacebo– P motherapy Better	embrolizumab– Chemotherapy Better	

#### Kaplan–Meier Estimates of Event-free Survival, According to Trial Group in the Intention-to-Treat Population.



Table 3. Adverse Events during the Neoadjuvant Phase at the Second Interim Analysis.*						
Event	Pembrolizumab–Chemotherapy (N = 781)		Placebo–Chemotherapy (N = 389)			
	Any Grade	Grade ≥3	Any Grade	Grade ≥3		
		number of pat	tients (percent)			
Any adverse event	777 (99.5)	633 (81.0)	389 (100.0)	295 (75.8)		
Treatment-related adverse event†	773 (99.0)	600 (76.8)	388 (99.7)	281 (72.2)		
Nausea	490 (62.7)	26 (3.3)	246 (63.2)	5 (1.3)		
Alopecia	471 (60.3)	14 (1.8)	220 (56.6)	8 (2.1)		
Anemia	430 (55.1)	142 (18.2)	215 (55.3)	58 (14.9)		
Neutropenia	365 (46.7)	270 (34.6)	183 (47.0)	129 (33.2)		
Fatigue	321 (41.1)	27 (3.5)	147 (37.8)	6 (1.5)		
Diarrhea	230 (29.4)	17 (2.2)	92 (23.7)	5 (1.3)		
Elevated alanine aminotransferase level	199 (25.5)	41 (5.2)	96 (24.7)	9 (2.3)		
Vomiting	199 (25.5)	18 (2.3)	85 (21.9)	6 (1.5)		
Asthenia	191 (24.5)	25 (3.2)	99 (25.4)	9 (2.3)		
Constipation	185 (23.7)	0	82 (21.1)	0		
Decreased neutrophil count	185 (23.7)	146 (18.7)	112 (28.8)	90 (23.1)		
Rash	170 (21.8)	7 (0.9)	59 (15.2)	1 (0.3)		
Peripheral neuropathy	154 (19.7)	15 (1.9)	82 (21.1)	4 (1.0)		
Adverse event of interest;	304 (38.9)	101 (12.9)	71 (18.3)	7 (1.8)		
Infusion reaction	132 (16.9)	20 (2.6)	43 (11.1)	4 (1.0)		
Hypothyroidism	107 (13.7)	3 (0.4)	13 (3.3)	0		
Hyperthyroidism	36 (4.6)	2 (0.3)	4 (1.0)	0		
Severe skin reaction	34 (4.4)	30 (3.8)	4 (1.0)	1 (0.3)		
Adrenal insufficiency	18 (2.3)	10 (1.3)	0	0		

#### Adverse Events during the Neoadjuvant Phase at the Second Interim Analysis.

### How does this change clinical practice?

- While pCR improved, too early for EFS
- Muddies the picture regarding carboplatin and DFS
- Pembrolizumab is under review, but not yet FDAapproved in this setting



# KATHERINE

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#### Trastuzumab Emtansine for Residual Invasive HER2-Positive Breast Cancer

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#### Demographic and Clinical Characteristics of the Patients at Baseline.

Table 1. Demographic and Clinical Characteristics of the Patients at Baseline.*						
Characteristic	Trastuzumab Group (N=743)	T-DM1 Group (N=743)				
Median age (range) — yr	49 (23–80)	49 (24–79)				
Race or ethnic group — no. of patients (%)†						
White	531 (71.5)	551 (74.2)				
Asian	64 (8.6)	65 (8.7)				
Black	19 (2.6)	21 (2.8)				
American Indian or Alaska Native‡	50 (6.7)	36 (4.8)				
Multiple or unknown	79 (10.6)	70 (9.4)				
Clinical stage at presentation — no. of patients (%)						
Inoperable breast cancer§	190 (25.6)	185 (24.9)				
Operable breast cancer¶	553 (74.4)	558 (75.1)				
Hormone-receptor status — no. of patients (%)						
Estrogen-receptor-negative and progesterone-receptor- negative or status unknown	203 (27.3)	209 (28.1)				
Estrogen-receptor-positive, progesterone-receptor-posi- tive, or both	540 (72.7)	534 (71.9)				
Previous use of anthracycline — no. of patients (%)	564 (75.9)	579 (77.9)				
Neoadjuvant HER2-targeted therapy — no. of patients (%)						
Trastuzumab alone	596 (80.2)	600 (80.8)				
Trastuzumab plus pertuzumab         139 (18.7)         133 (17.9)						
Trastuzumab plus other HER2-targeted therapy	8 (1.1)	10 (1.3)				

von Minckwitz G et al. N Engl J Med 2019;380:617-628

#### Kaplan–Meier Estimates of Survival in the Interim Analysis.



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von Minckwitz G et al. N Engl J Med 2019;380:617-628

#### Subgroup Analysis of Invasive Disease–free Survival.

Subgroup	T-DM1	Trastuzumab	Hazard Ratio for Inva	sive-Disease Event (95% CI)	3-Yr Invas Sur	ive Disease–free vival Rate
no. oj	patients w	ith an invasive-di	sease		T-DM1	Trastuzumab
	event	t/total no.				%
All patients	91/743	165/743		0.50 (0.39–0.64)	88.3	77.0
Age group						
<40 yr	20/143	37/153	· · · • • · · · ·	0.50 (0.29–0.86)	86.5	74.9
40–64 yr	64/542	113/522		0.49 (0.36–0.67)	88.8	77.1
≥65 yr	7/58	15/68			87.4	81.1
Clinical stage at presentation			1			
Inoperable breast cancer	42/185	70/190	<b>∎</b>	0.54 (0.37–0.80)	76.0	60.2
Operable breast cancer	49/558	95/553	<b>₩</b>	0.47 (0.33-0.66)	92.3	82.8
Hormone-receptor status						
Negative (ER-negative and progesterone-receptor-negative or unknowr	ı) 38/209	61/203	i → +	0.50 (0.33-0.74)	82.1	66.6
Positive (ER-positive, progesterone-receptor-positive, or both)	53/534	104/540		0.48 (0.35-0.67)	90.7	80.7
Preoperative HER2-directed therapy						
Trastuzumab alone	78/600	141/596		0.49 (0.37–0.65)	87.7	75.9
Trastuzumab plus additional HER2-directed agent or agents	13/143	24/147		0.54 (0.27–1.06)	90.9	81.8
Pathological nodal status after preoperative therapy				, , , , , , , , , , , , , , , , , , ,		
Node-positive	62/343	103/346		0.52 (0.38-0.71)	83.0	67.7
Node-negative or NE	29/400	62/397		0.44 (0.28–0.68)	92.8	84.6
Primary tumor stage at definitive surgery	,	,				
vpT0, vpT1a, vpT1b, vpT1mic, vpTis	40/331	52/306		0.66 (0.44-1.00)	88.3	83.6
vpTl, vpTlc	14/175	42/184		0.34 (0.19–0.62)	91.9	75.9
vpT2	25/174	44/185	· · · · · · · · · · · · · · · · · · ·	0.50 (0.31-0.82)	88.3	74.3
vpT3	9/51	21/57		0 40 (0 18–0 88)	79.8	61.1
vpT4	3/12	6/11	•	-1 0.29 (0.07-1.17)	70.0	30.0
Regional lymph-node stage at definitive surgery	•/==	0/11			, 010	50.0
vpN0	28/344	56/335		0 46 (0 30–0 73)	91.9	83.9
vpN1	29/220	50/213		0.49 (0.31-0.78)	88.9	75.8
vpN2	16/86	38/103		0.43 (0.24_0.77)	81.1	58.2
vnN3	17/37	15/30			52.0	40.6
voNX	1/56	6/62			98.1	88.7
урих	1/50	0/02		0.17 (0.02-1.38)	90.1	00.7
		0.	15 0.20 0.50 1.00	D 2.00 5.00		
			T-DM1 Better	Trastuzumab Better		

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#### Summary of Adverse Events in the Safety Population.

Table 2. Summary of Adverse Events in the Safety Population.*						
Event	Trastuzumab Group (N=720)	T-DM1 Group (N = 740)				
	no. of patie	nts (%)				
Any adverse event	672 (93.3)	731 (98.8)				
Grade ≥3 adverse event	111 (15.4)	190 (25.7)				
Adverse event leading to death†	0	1 (0.1)				
Serious adverse event	58 (8.1)	94 (12.7)				
Adverse event leading to discontinuation of trial drug‡	15 (2.1)	133 (18.0)				
Grade ≥3 adverse event that occurred in ≥1% of patients in either group						
Decreased platelet count	2 (0.3)	42 (5.7)				
Hypertension	9 (1.2)	15 (2.0)				
Radiation-related skin injury	7 (1.0)	10 (1.4)				
Peripheral sensory neuropathy	0	10 (1.4)				
Decreased neutrophil count	5 (0.7)	9 (1.2)				
Hypokalemia	1 (0.1)	9 (1.2)				
Fatigue	1 (0.1)	8 (1.1)				
Anemia	1 (0.1)	8 (1.1)				

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### How does this change clinical practice?

- Post-operative trastuzumab emtansine should be offered for residual disease
- Unclear role for adjuvant neratinib



#### Questions?