

# Stage III NSCLC: Surgical/Combined Modality

# Leah Backhus, MD MPH

Associate Professor Division of Thoracic Surgery Department of Cardiothoracic Surgery Stanford University Cancer Institute





# DISCLOSURES

### I do not have any relevant financial relationships to disclose



# Neoadjuvant Therapy



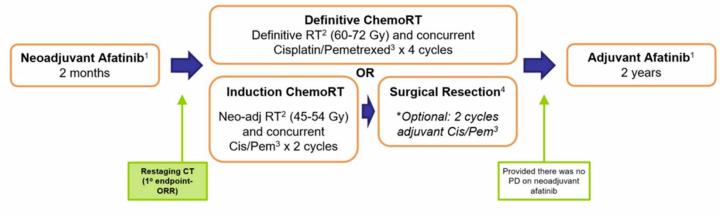


## FP01.05 - The ASCENT Trial: A Phase II Study of Neoadjuvant/Adjuvant Afatinib,

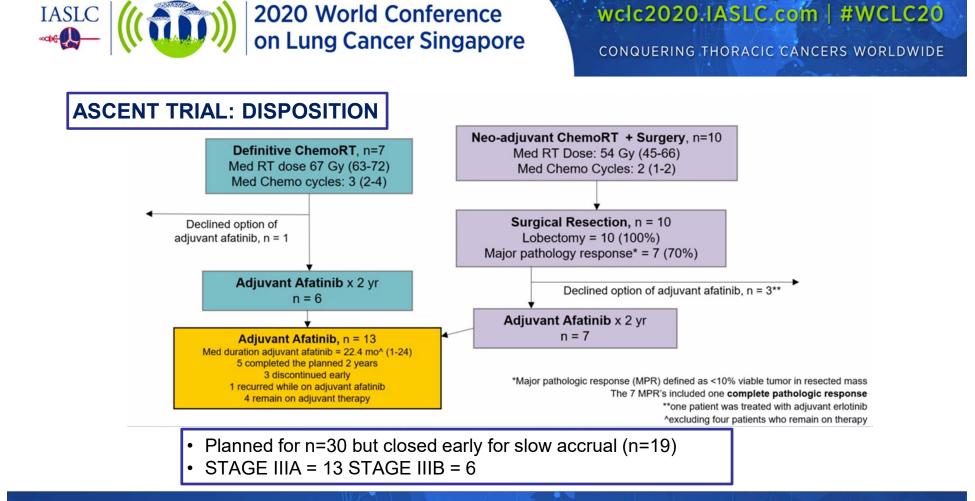
Chemoradiation +/- Surgery for Stage III EGFR-Mutant NSCLC

Presenting Author(s): Andrew Piper-Vallillo

#### **ASCENT: Study Schema**



- 1. Afatinib dose: 40mg QD for neoadjuvant. Adjuvant dose was equal to patients' final neoadjuvant dose
- 2. Radiation: Dose ranges provided to allow for provider optimization and personalization
- 3. Chemotherapy: Cisplastin 75 mg/m<sup>2</sup> + Pemetrexed 500 mg/m<sup>2</sup> every 21 days
- 4. Surgery: Lobectomy or Pneumonectomy allowed



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### Primary Outcome: ORR 11/19 (58%; 95% CI, 33-80%)

### Key secondary outcomes, ITT population n=19

Outcome Measure	Median (95% Cl	
Median PFS, months	34.6 (16.9 – 66.1)	
Median OS, months	69.1 (29.4 – NR)	
2-year OS	88% (59 – 97)	

Median follow-up 30.6 months (range 3.1 – 96.3 months); PFS, progression free survival; OS, overall survival

Outcome Measure	Number (%)
Recurrent tumor	9 (47)
CNS-only recurrence	5 of 9 (55)
Recurrence post-surgery	3 of 10 (30)
Recurrence post- definitive CRT	5 of 7 (71)

#### CONCLUSIONS:

- In stage III EGFRm NSCLC, 2 months of neoadjuvant afatinib is associated with an ORR comparable to that seen in advanced disease and does not impair receipt of chemoradiotherapy ± surgery.
- PFS and OS are favorable in this single-arm study. High rate of CNS-only recurrence highlights a potential for improved outcomes with more CNS-penetrant EGFR TKIs.
- Along with the interim results of ADAURA, these results support genotype-directed therapies in stage III EGFRm NSCLC, though the optimal sequence of TKI therapy will need to be defined.



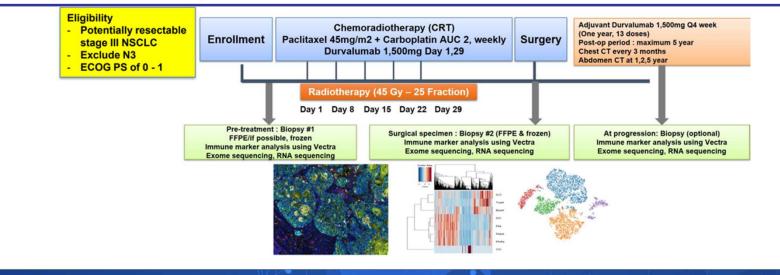
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#### FP03.02 - Interim Analysis of Neoadjuvant Chemoradiotherapy and Durvalumab for Potentially Resectable Stage III Non-Small Cell Lung Cancer (NSCLC)

Presenting Author(s): Min Hee Hong

<u>ACTS-30 Study Design</u>: Examine for synergistic effects of combined PD-1/PD-L1 blockade to CRT via two-stage phase lb clinical trial (ACTS-30) for safety and feasibility of the combination of N-CRT with durvalumab (PD-L1 inhibitor) in potentially resectable stage III NSCLC

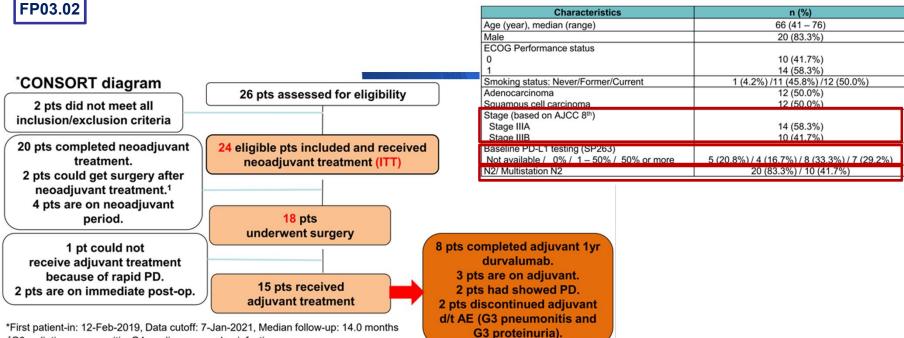




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\*First patient-in: 12-Feb-2019, Data cutoff: 7-Jan-2021, Median follow-up: 14.0 months <sup>1</sup>G3 radiation pneumonitis, G4 cardiac pacemaker infection.



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#### Surgery

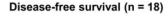
- Currently, 18 patients underwent surgery and all were R0 resection.
- 16 lobectomy, 1 bilobectomy, 1 pneumontectomy -
- No in-hospital, 90-day postoperative mortality, or major post-operative morbidity were reported.

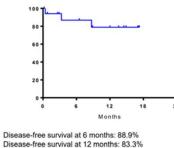
#### Pathologic responses, n (%) 'MPR: defined as viable tumor cell of 10% or less

Total patients who underwent surgery	n = 18
Major pathologic response (MPR)*	14 ( <b>77.8%</b> , 95% CI: 54.3% - 91.5%)
Pathological complete response (pCR)	7 (38.9%, 95% CI: 20.2% - 61.5%)
Patients without genetic alterations (2 EGFR mutations, 1 KRAS G12C)	n = 15
	n = 15 14 ( <b>93.3%</b> , 95% CI: 68.2% - 100%)

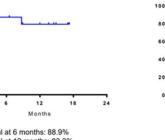
Considering the radiologic response rate of 40%,

the RECIST criteria dose not capture the effect of neoadjuvant immunotherapy and CRT.









Disease-free suvival: defined from surgery to progression or any cause of death Overall survival: defined from enrolment of the study to any cause of death

60 40 20 12 18 24 Months Overall survival at 6 months: 100%

Overall survival at 12 months: 95.8%



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#### FP03.02

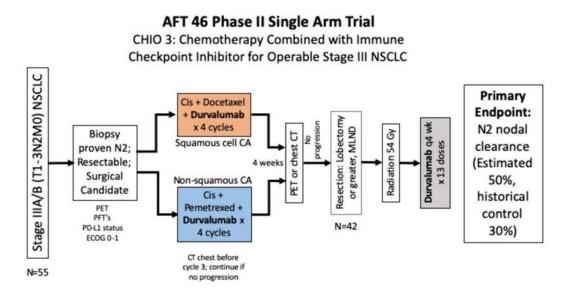
#### Conclusion

- ✓ In this interim analysis, neoadjuvant concurrent chemoradiotherapy (N-CRT) with durvalumab in stage III NSCLC resulted in no new or unexpected safety data and was not associated with increased peri-operative morbidity or mortality.
- ✓ Among 30 planned patients, 24 patients were enrolled and 18 patients underwent surgery at the data cutoff.
- ✓ N-CRT with durvalumab demonstrated very promising results.
- ✓ The MPR rate and pCR rate were 77.8% and 38.9%, respectively in total resected patients and the MPR rate and pCR rate were 93.3% and 46.6%, respectively in patients without actionable genetic alterations.
- ✓ The pathologic response to patients with actionable genetic alteration seems to be limited. The radiologic RECIST criteria does not capture the effect of N-CRT with immunotherapy.
- ✓ The trial is ongoing and the biomarker analyses will be conducted with PD-L1 assessment, WES, RNAseq, and so on.



### P79.06 - CHIO3: Chemotherapy Combined with Immune Checkpoint Inhibitor for Operable Stage IIIA/B Non-Small Cell Lung Cancer (AFT-46)

Presenting Author(s): Linda W Martin



#### Methods

- Resectable Stage IIIA/B (T1-3 N2) Target enrollment 55 patients (anticipate 42 will undergo resection)
- Primary Outcome: N2 nodal clearance (N2NC) (H0: >50% for neoadjuvant chemo + durvalumab c/w historical 30% for chemotherapy alone)
- Secondary Outcomes: pathologic response, safety and tolerability, and OS



### FP04.03 Dynamic Liquid Biopsy for Selecting Advanced NSCLC Patients for Primary Tumor

**Resection After Targeted Therapy** 

#### Study Design:

Retrospective cohort study

- Stage IIIB to IVB NSCLC
- At least 1 detectable gene mutation in blood by NGS
- Received targeted therapy prior to intended resection
- X-tile: To determine the optimal cutoff value to categorize patients into low-risk and high-risk groups
- Endpoint: PFS and OS

Presenting Author(s): Weitao Zhuang

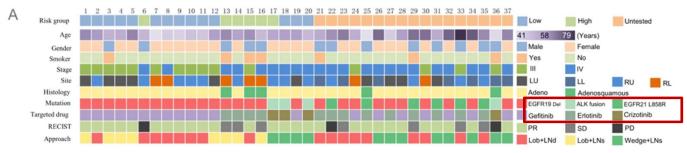


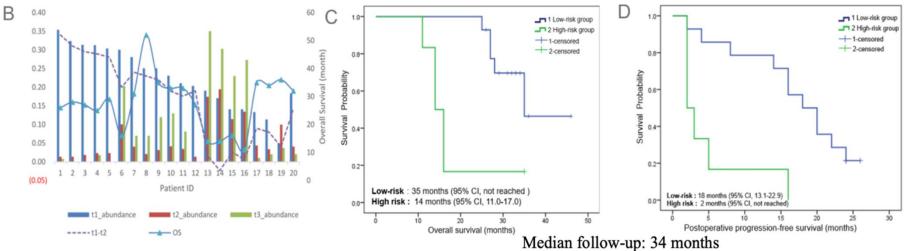
Figure (A) Clinicopathological features. LNd, systemic lymph node dissection; LNs, lymph node sampling.

- The number of patients in low-risk, high-risk and untested groups was 14 (37.8%), 6 (16.2%) and 17 (46%).
- · All patients had a PS score of 0-1 and oligo-metastasis less than 5 tumors



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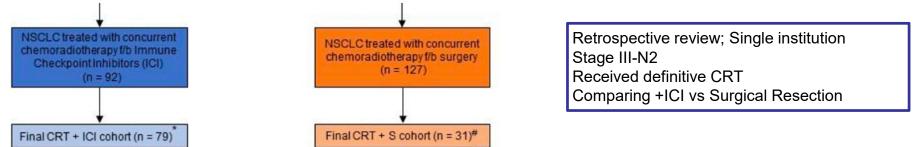
**Figure** (B) Abundance of mutated genes before targeted therapies, pre-operation or post-operation. (C) Survival curves of OS. (D) Survival curves of postoperative PFS.

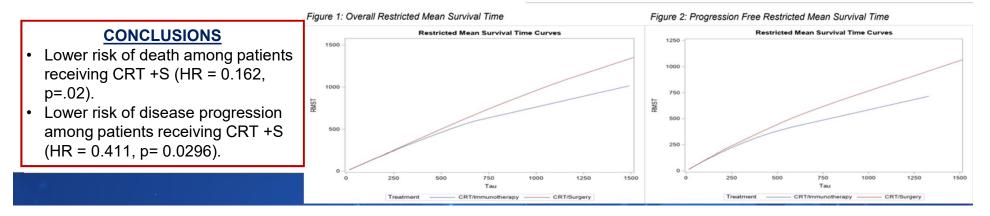
- Patients with unchanged or increased abundance of mutated genes after targeted therapy had a poorer prognosis.
- Patients in low-risk group had significantly longer post-mPFS (18 vs. 2 months, *p*=0.004) and mOS (35 vs. 14 months, *p*=0.001) than patients in high-risk group.
- Multivariate analysis: efficacy of targeted therapy and proportional change in genetic abundance were independent prognostic factors for overall survival.



#### P21.04 - Comparing Outcomes for Patients Receiving Chemoradiation Followed by Surgery vs. Immune Checkpoint Inhibitors in Non Small Cell Lung Cancer

Presenting Author(s): Turja Chakrabarti





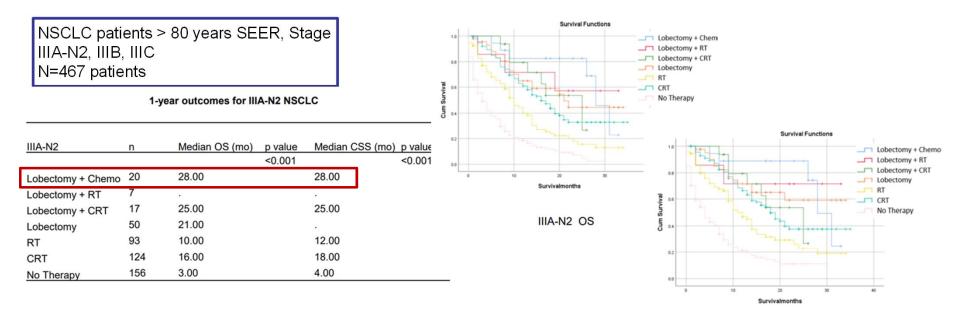




# **Health Services**



#### P02.10 - Patterns of Care for Elderly Patients over Age 80 with Stage IIIA-N2, IIIB, and IIIC NSCLC Presenting Author(s): Yajie Yin



IIIA-N2 CSS



Multivariate Model for CSS in IIIA-N2 and IIIB/C NSCLC									
IIIA N2	p value.	HR	95.0% C	I	IIIB/C	p value	HR	95.0% CI	
			Lower	Upper				Lower	Upper
Lobectomy+RT vs Lobectomy+Chemo	0.995	0.995	0.191	5.189	CRT vs RT	<0.001	0.314	0.184	0.536
Lobectomy+CRT vs Lobectomy+Chemo	0.182	2.199	0.692	6.992	Lobectomy+Chemo vs RT	0.264	0.318	0.043	2.369
Lobectomy vs Lobectomy+Chemo	0.41	1.537	0.553	4.276	No Therapy vs RT	0.004	1.773	1.205	2.61
RT vs Lobectomy+Chemo	0.015	3.161	1.246	8.021					
CRT vs Lobectomy+Chemo	0.157	1.95	0.774	4.918					
No Therapy vs Lobectomy+Chemo	<0.001	7.857	3.151	19.59					

#### **Conclusion:**

- Similar to results from INT0139, IIIA-N2 disease was associated with improved survival after lobectomy
- For Stage IIIB/IIIC disease the addition of chemotherapy to RT was associated with a survival benefit



#### P04.03 Patient characteristics and clinical outcomes of stage III NSCLC in a real-world setting: KINDLE Korean subset data Presenting Author(s): Byoung Chul Cho

#### KINDLE:

international, multicenter, real-world study, was conducted across 3 non-European and non-North American regions

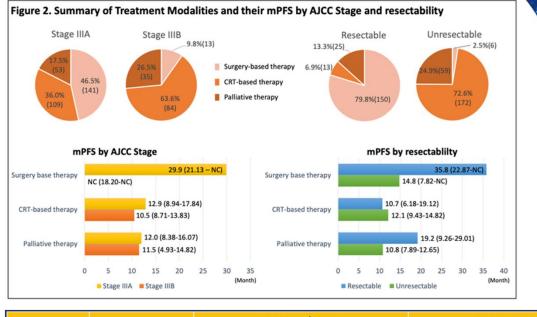
Aims to characterize:

•Treatment patterns

•Demographic and clinical characteristics

•Survival estimates – OS, PFS

Category	Treatment modality N(%)				
	CCRT	153 (34.5%)			
CRT-based therapy	SCRT	16 (3.6%)			
	CCRT+Chemotherapy	14 (3.2%)			
(44.6%)	Other CCRT*	14 (3.2%)			
	Other SCRT*	1 (0.2%)			
	Surgery+Chemotherapy	41 (9.2%)			
	Other Surgery*	37 (8.3%)			
	Surgery+SCRT	33 (7.4%)			
Surgery- based therapy	Surgery alone	12 (2.7%)			
(35.1%)	Surgery+CCRT	10 (2.3%)			
	CCRT+Surgery+Chemotherapy	10 (2.3%)			
	Radiotherapy+Surgery	8 (1.8%)			
	Surgery+Radiotherapy	5 (1.1%)			
	Chemotherapy	51 (11.5%)			
	Radiotherapy	19 (4.3%)			
Palliative therapy	Targeted Therapy	15 (3.4%)			
(20.3%)	Radiotherapy+Targeted Therapy	3 (0.7%)			
	Radiotherapy+Immunotherapy	1 (0.2%)			
	Chemotherapy+Immunotherapy	1 (0.2%)			
Total	Total 444				
Note: Different orders are considered as different treatment modalities.					



	Overall	By AJCC	7 <sup>th</sup> stage	By Resectability		
	[N=435]	Stage IIIAStage IIIB[N=303][N=132]		Resectable [N= 188]	Unresectable [N=237]	
mPFS (months) median (95% CI)	15.2 (13.14 to 17.97)	18.0 (14.72 to 21.72)	12.2 (9.66 to 14.98)	26.3 (20.17 to 39.95)	11.1 (9.43 to 13.14)	
Overall Survival	rate, % (95% CI)					
1Y	88 (87 to 89)	87 (86 to 89)	87 (86 to 90)	90 (89 to 92)	86 (85 to 88)	
3Y	68 (66 to 69)	69 (67 to 71)	64 (61 to 68)	76 (73 to 78)	60 (58 to 63)	
5Y	59 (57 to 61)	59 (57 to 62)	59 (55 to 63)	67 (64 to 70)	54 (51 to 57)	

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#### Results

- Surgery-based therapy most common (46.5%) in stage IIIA
- CRT-based therapy preferred in stage IIIB (63.6%)
- OS at 1-year and 5-year was 90% (89 to 92) and 67% (64 to 70) for resectable patients c/w 86% (85 to 88) and 54% (51 to 57) for unresectable patients
- In stage IIIA, the longest mPFS was observed for surgery-based therapy (29.9 months, 21.13 to NC), (CRT 12.9 months, 8.94 to 17.84)



# Surgical Outcomes/Local Consolidation Therapy



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#### P19.03 - Operative Outcomes of Local Consolidation with Cytoreductive Surgery for Oncogenic-Driven Advanced NSCLC

Presenting Author(s): Byung Jo Park

METHODS 44 patients 2018.3~2020.7 Stage IIIB/C or IV NSCLC after targeted therapy (ECOG 0~1) Remnant metastatic lesions are nonprogressing after surgery

Median (IQR) / Number (%)
59 (53.8-65.3)
15 (34.1%)
4 (9.1%)
1 (2.3%)
15 (34.1%)
24 (54.5%)
32 (72.7%)
11 (25.0%)
1 (2.3%)
10.9 (8.5-18.2)
9.8 (7.8-12.9)

93% R0 Resection

Variable	Median (IQR) / Number (%)		
Duration of surgery, min	108.5 (92.0-136.3)		
Estimated blood loss, ml	50 (50-102.5)		
ICU stay / duration	1 (2.3%) / 1 day		
Chest tube duration, day	4 (3.0-5.3)		
Postop hospital stays, day	5 (4.0-7.3)		
Complication	15 (34.1%)		
Prolonged air leak (>5days)	5 (11.4%)		
Chyle leakage	3 (6.8%)		
Vocal cord palsies	2 (4.5%)		
Broncho-pleural fistula	1 (2.3%)		
Acute kidney injury	1 (2.3%)		
Acute lung injury	1 (2.3%)		
Pneumonia	1 (2.3%)		
Pneumothorax	1 (2.3%)		
In-hospital mortality	0 (0.0%)		



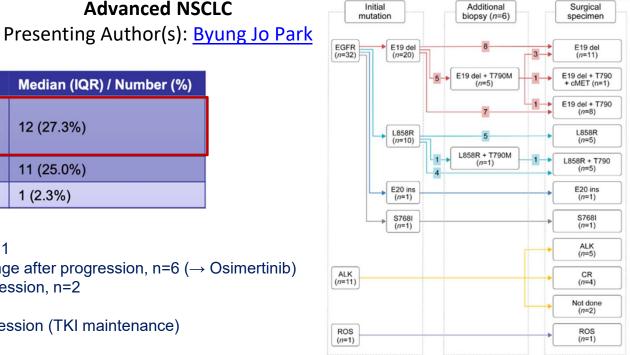
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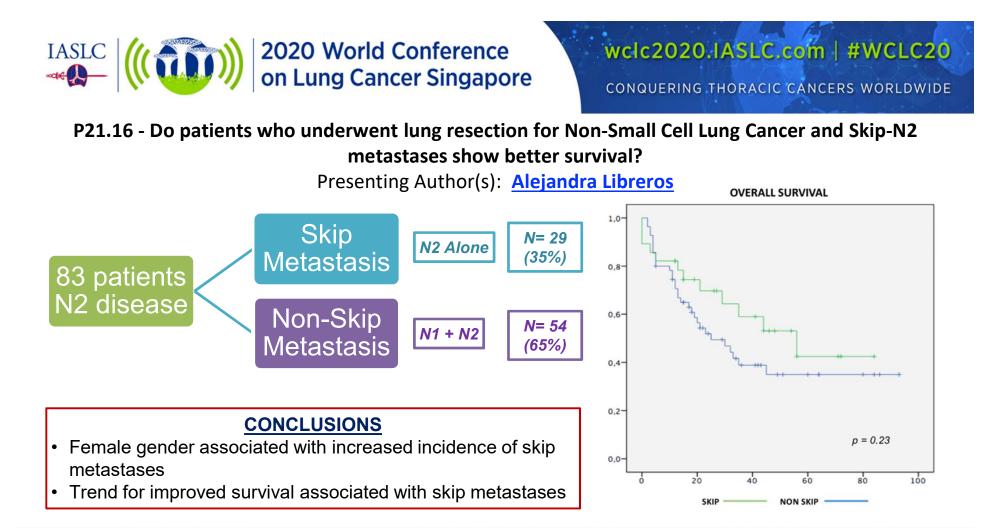
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#### P19.03 - Operative Outcomes of Local Consolidation with Cytoreductive Surgery for Oncogenic-Driven Advanced NSCLC

Variable	Median (IQR) / Number (%)
Additional mutations in surgically resected EGFRm	12 (27.3%)
Т790М	11 (25.0%)
MET+	1 (2.3%)

- EGFR: Additional T790M mutation, n=11
  - Post op drug change, n=3 / Drug change after progression, n=6 ( $\rightarrow$  Osimertinib)
  - Gefitinib maintenance due to no progression, n=2
- ALK
  - Complete response, n=4,  $\rightarrow$  No progression (TKI maintenance)
- ROS
  - Primary site: complete response / Metastatic LN: viable tumor  $\rightarrow$  No progression (TKI maintenance)









# Adjuvant Therapy

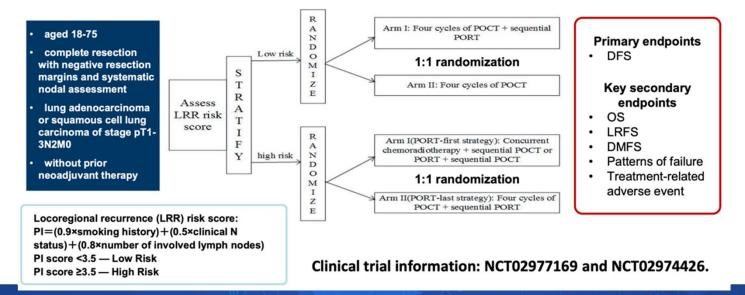




### P20.02 - To Evaluate the Efficacy and Optimal Timing of Postoperative Radiotherapy in Completely Resected stage IIIA(N2) Non-Small Cell Lung Cancer

Presenting Author(s): Wen Feng

## Study Design Phase II/III Randomized, Multicenter, Study (Recruiting)







## CONCLUSIONS

## **Neo-Adjuvant Systemic Therapy**

- Neoadjuvant afatinib and durvalumab show favorable ORR in advanced disease and do not impair receipt of chemoradiotherapy ± surgery.
- PFS and OS are favorable in this single-arm study. High rate of CNS-only recurrence

## **Local Consolidative Therapy**

 Surgical resection for advanced NSCLC after targeted therapy feasible and be used for further planning of targeted therapy.

## **Adjuvant Therapy**