- Immunotherapy for Upper GI Cancers
 - Esophageal Adenocarcinoma
 - GE Junction Adeno
 - Gastric Carcinoma

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Esophageal Cancer : Statistics, Risk Factors

- The American Cancer Society estimates <u>17290</u> Esophageal cancer in the US for 2018
 - 13,480 in men and 3,810 in women
 - 15,850 deaths from esophageal cancer (12,850 in men and 3,000 in women)
- Esophageal cancer is more common among men than among women. The lifetime risk of esophageal cancer in the United States is about 1 in 132 in men and about 1 in 455 in women

- Age, Gender
- <u>GERD</u>
- Barrett's Esophagus
- <u>Tobacco</u> and ETOH
- <u>Obesity</u>
- Diet
- Achalasia
- Tylosis
- HPV (Asia, South America)

Gastric Cancer in the US: STATS, Risk

- The ACS estimates 26240 Gastric cancer in the United States for 2018
 - 16,520 in men and 9,720 in women
- About 10,800 people will die of Gastric cancer
- The risk that a man will develop Gastric cancer in their lifetime is about 1 in 95. For women the chance is about 1 in 154

- Age, Gender
- H. Pylori
- Tobacco Use
- Obesity
- Pernicious Anemia
- Inherited Syndromes
- Hereditary Diffuse Gastric Syndrome
 - HNPCC/ Lynch Synd.
 - FAP Syndrome
 - BRCA1/BRCA2
 - Li-Fraumeni syndrome
 - Peutz-Jeghers syndrome (PJS)

Gastro-Esophageal Oncogenesis and Biomarkers



Gastro-Esophageal carcinoma : Biomarkers

- Somatic Mutations are highly seen in GE presenting as truncated proteins
- Increased Lymphocyte infiltration in the tissue
- Checkpoint Ligand strongly expressed: PD1, PDL-1, LAG-3 and CTLA-4



Gastric carcinoma Pathogenesis : How do we get there?



Current Status of GE Cancers : AdenoCa. Recommendations NCCN Guidelines 2018



Approved Treatment Options for Advanced Esophageal / Gastric Cancers

| rational | |
|---------------|---|
| Comprehensive | NCCN Guidelines Version 2.2018 |
| Cancer | Esophageal and Esophagogastric Junction Cancers |

National

NCCN





NCCN

Cancer Network[®]

National

Comprehensive NCCN Guidelines Version 2.2018 Esophageal and Esophagogastric Junction Cancers

Discussion

Systemic Therapy for Unresectable Locally Advanced, Recurrent or Metastatic Disease (where local therapy is not indicated)

PRINCIPLES OF SYSTEMIC THERAPY

Second-Line or Subsequent Therapy Dependent on prior therapy and PS

Preferred Regimens · Ramucirumab and paclitaxel for adenocarcinoma

(category 1 for EGJ adenocarcinoma; category 2A for esophageal adenocarcinoma)42

Docetaxel (category 1)^{31,32}

Paclitaxel (category 1)^{33,34,43}

Irinotecan (category 1)⁴³⁻⁴⁶

Fluorouracil^{a,e} and irinotecan^{44,47,48}

Pembrolizumab

For second-line or subsequent therapy for MSI-H or dMMR tumors^{49,50}

Other Recomended Regimens

 Ramucirumab for adenocarcinoma (category 1 for EGJ adenocarcinoma; category 2A for esophageal adenocarcinoma)⁵¹ Irinotecan and cisplatin^{22,52}

Pembrolizumab

For third-line or subsequent therapy for PD-L1 positive esophageal and EGJ adenocarcinoma^{f,53} Docetaxel and irinotecan (category 2B)⁵⁴

Presented by:

FLOT4 Study Design

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Randomized, multicenter, investigator-initiated, phase II/III study

- Gastric cancer or adenocarcinoma of the gastro-esophageal junction type I-III
- Medically and technically operable
- cT2-4/cN-any/cM0 or cT-any/cN+/cM0

► **R** n=716

FLOT x4 - RESECTION - FLOT x4

FLOT: docetaxel 50mg/m2, d1; 5-FU 2600 mg/m², d1; leucovorin 200 mg/m², d1; oxaliplatin 85 mg/m², d1, every two weeks

ECF/ECX x3 - RESECTION - ECF/ECX x3

Stratification: ECOG (0 or 1 vs. 2), location of primary (GEJ type I vs. type II/III vs. stomach), age (< 60 vs. 60-69 vs. ≥70 years) and nodal status (cN+ vs. cN-).

ECF/ECX: Epirubicin 50 mg/m2, d1; cisplatin 60 mg/m², d1; 5-FU 200 mg/m² (or capecitabine 1250 mg/m² p.o. divided into two doses d1-d21), every three weeks

FLOT4: Overall Survival



Gastro-esophageal CA Current Status: Biomarkers/ Target Rx



Gastro-esophageal CA Current Status: Biomarkers/ Combination Target Rx



- ERB/Heur-2 inhibition → PDL-1 Inhibition → Optimize ImmunoRx
- VEGF→ may expert Immune supressive effect through tissue remodeling and fibrosis→ preventing immune infiltration Into tumors

 Epigenetic → DNA methylation and histone modification may lead regulation of immune checkpoints and tumor antigen expression

Immunotherapy in GE cancers : Challenges and Future

X

- Role of Biomarkers and What constitutes PD-L1 positive staining?
- Resistance : How does resistance develop, Can we overcome the process?
- Can Immunotherapy be combined with chemotherapy for GE cancers?
- Can immunotherapy be combined to improve the likelihood of response?

Immunological "Wheel" Depicting Three "Immune Contextures" in Tumors



Becht E et al. Curr Opin Immunol. 2016;39:7-13.

Immunological "Wheel" Depicting Three "Immune Contextures" in Tumors





TLS - tertiary lymphoid structures Becht E et al. Curr Opin Immunol. 2016;39:7-13.



Activation/priming of T cells

- mAb against PD-1, PD-L1, CTLA-4
- IL-2
- IL-12
- Agonists for CD137, OX40, CD27

Presentation of tumorassociated antigens by APC Vaccines IFN-α

Release of

tumor-associated antigens

- Chemotherapy
- Radiotherapy

GM-CSF

Targeted therapy





Migration of activated T cells to the tumor via blood vessels

Infiltration of T cells into the tumor

 mAb against VEGF/VEGFR

Recognition and killing of tumor cells

MAb against PD-1, PD-L1, IDO, LAG-3

Targeting Checkpoints as an Approach to Cancer Therapy



*

CTLA-4=cytotoxic T-lymphocyte antigen-4; GITR=glucocorticoid-induced TNFR family related gene; KIR=killer-cell immunoglobulin-like receptor; LAG-3=lymphocyte-activation gene-3; NK=natural killer; PD-1=programmed death-1; PD-L1=programmed death ligand-1. 1. Pardoll DM. *Nat Rev Cancer*. 2012;12(4):252-264. 2. Mellman Let al. *Nature*. 2011;480(7378):480-489. 3. Clinicaltrials.gov.

PD-L1 Expression IHC

PD-L1 expression in gastric cancer is determined by combined positive score (CPS) \bullet

> No. of PD-L1 staining cells (tumor cells, lymphocytes, macrophages) ______ $\mathbf{CPS} =$ Total No. viable tumor cells

A specimen is considered to have positive PD-L1 expression if CPS ≥ 1



PD-L1-

PD-L1positive



Biomarkers To Predict Response To Immunotherapy



Interferon-gamma expression signature? Tumor Infiltrating Lymphocytes? Qualification of Mutation/Neoantigen?

*Currently under FDA

examinations.

Phase II multicenter, open-label trial of pembrolizumab as monotherapy in three different treatment-refractory patient populations



- **Primary Outcome Measures:** irPFS*[†], irORR[†] (using irRC)
- Secondary Outcome Measures: OS, irPFS/PFS (using irRC and RECIST 1.1), ORR, IRAEs, MSI and treatment response, markers of MSI status
 - dMMR and pMMR CRC groups had received a median of 3 and 4 prior treatment regimens, respectively

1. Clinicaltrials.gov. NCT01876511. 2. Le DT et al. Oral presentation at ASCO 2016. TPS3631.

Phase I/II open-label study of nivolumab and nivolumab plus ipilimumab in recurrent and metastatic colon cancer : MSI-H Metastatic Colorectal Cancer



- **Primary Outcome Measures:** Investigator-assessed ORR by RECIST 1.1 in MSI-H patients
- Secondary Outcome Measure: Independent radiology review committee-assessed ORR
- 86% and 93% of patients in the Nivo mono and Nivo + Ipi groups had ≥2 prior therapy lines, respectively

*Confirmed by ≥30% of marker with instability by PCR, or by loss of ≥1 marker by immunohistochemistry. [†]Followed by nivo 3 mg/kg Q2W thereafter. 1. Clinicaltrials.gov. NCT02060188. 2. Overman M et al. Oral presentation at ASCO 2016. 3501.

MSI-high tumours are responsive to PD-1 inhibitors

Pembrolizumab (<u>KEYNOTE 016</u>, phase II)



*Lynch Syndrome (yes/no/unknown): MMRdeficient CRC = 54/7/39; MMR-proficient CRC = 0/100/0

1. Le et al. ASCO 2016;

MMR-proficient CRC

MMR-deficient CRC

MSI-high tumours are responsive to PD-1 inhibitors

Nivolumab ± ipilimumab CheckMate-142, Phase II



Immunotherapy in Gastric CA

| Immunotherapy | Target | Phase | Ν | Author | Efficacy |
|---------------------------|------------------|-------------------------|-----|-------------------|--|
| Nivolumab | PD-1 | 3 | 493 | Kang 2017 | mOS: 5.3 (nivo) vs 4.1 mo (placebo) HR, 0.63; <i>P</i> <0.0001 |
| Nivolumab + ipilimumab | PD-1 & CTLA-4 | 1/2 | 160 | Janjigian 2016 | mOS: 6.9 mo (nivo 1 mg/kg + ipi 3 mg/kg) 4.8 mo (nivo 3 mg/kg + ipi 1 mg/kg) 5.0 mo (nivo 3 mg/kg) |
| Tremelimumab | CTLA-4 | 2 | 18 | Ralph 2010 | 1 PR >30 mo |
| Atezolizumab | PD-L1 | Expansion | 1 | Tabernero 2013 | 1 pt had TTP of 9.8 mo |
| Durvalumab | PD-L1 | Dose- expansion | 28 | Segal 2014 | 2 PRs and 12-week DCR of 25% |
| Pembrolizumab | PD-1 | 1b (KEYNOTE- 012) | 36 | Muro 2016 | ORR=22% 53% of pts had reduction in size of target lesions Median duration of response=40 wks |

Immunotherapy in Esophagus CA

PD-L1 and PD-L2 staining is prognostic





<u>KEYNOTE-059</u> (NCT02335411): Phase 2 Multicohort Study of Pembrolizumab for G/GEJ Adenocarcinoma



<u>Response assessment by RECIST v1.1:</u> first scan at 9 weeks after cycle 1, every 6 weeks for 1st year, followed by every 9 weeks

<u>KEYNOTE-059 (</u>NCT02335411): Phase 2 Multicohort Study of Pembrolizumab for G/GEJ Adenocarcinoma



<u>Response assessment by RECIST v1.1:</u> first scan at 9 weeks after cycle 1, every 6 weeks for 1st year, followed by every 9 weeks

<u>KEYNOTE-059 (</u>NCT02335411): Phase 2 Multicohort Study of Pembrolizumab for G/GEJ Adenocarcinoma



CONCLUSION

- Pembrolizumab monotherapy showed encouraging efficacy and manageable safety after ≥2 prior lines of therapy
 - Overall objective response rate (ORR) was 11.2% and 15.5% in 143 PD-L1-positive patients
 - ORR was higher in patients with PD-L1-positive tumors, but responses were also observed in patients with PD-L1-negative tumors
- Pembrolizumab plus 5-FU & cisplatin showed manageable safety and encouraging antitumor activity as first-line therapy
- (ORR was 60% and 68.8% in PD-L1-positive patients)

Response in All Patients and by PD-L1 Expression

| | A | All Patients N = 25 | | PD-L1 Positive n = 16 | | PD-L1 Negative n = 8 | |
|------|----|--------------------------|----|--------------------------|---|--------------------------|--|
| | n | % (95% Cl ^b) | n | % (95% CI ^b) | n | % (95% Cl ^b) | |
| ORR | 15 | 60 (39-79) | 11 | 69 (41-89) | 3 | 38 (9-76) | |
| DCR° | 20 | 80 (59-93) | 13 | 81 (54-96) | 6 | 75 (35-9) | |
| CR | 1 | 4 (0-20) | 0 | 0 (0-21) | 1 | 13 (0-53) | |
| PR | 14 | 56 (35-76) | 11 | 69 (41-89) | 2 | 25 (3-65) | |

Maximum Percentage Change From Baseline in Target Lesion Size^a



Data cutoff: Jan 16, 2017 ^aOnly patients with measurable disease per RECIST v1.1 by central review at baseline and at least 1 postbaseline tumor assessment were included (n = 223)

Treatment Exposure^a and Duration of Response



baseline who had ≥ 1 postbaseline assessment (n = 30). Bar length indicates time to last imaging assessment. ^bno progressive disease at last disease assessment.

Response by Line of Therapy

| Response ^a | Third Lin | e (n = 134) | Fourth+ L | Fourth+ Line (n = 125) | | |
|-----------------------|-----------|-------------|-----------|------------------------|--|--|
| | % | 95% CI | % | 95% CI | | |
| ORR | 16.4 | 10.6-23.8 | 6.4 | 2.8-12.2 | | |
| DCR ^b | 31.3 | 23.6-39.9 | 22.4 | 15.4-30.7 | | |
| CR | 3.0 | 0.8-7.5 | 1.6 | 0.2-5.7 | | |
| PR | 13.4 | 8.2-20.4 | 4.8 | 1.8-10.2 | | |

<u>CHECKMATE 032</u>: Nivolumab +/- Ipilimumab in advanced refractory G-E cancers ASCO 2017

Nivolumab ± Ipilimumab in Patients With Advanced/Metastatic Chemotherapy-Refractory Gastric, Esophageal, or Gastroesophageal Junction Cancer: CheckMate 032 Study

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Checkmate 032 EG Cohort



<u>CHECKMATE 032</u>: Nivolumab +/- Ipilimumab in advanced refractory G-E cancers ASCO 2017

Best Reduction in Target Lesions



Responses were observed regardless of PD-L1 expression

Progression-Free Survival



<u>CHECKMATE 032</u>: Nivolumab +/- Ipilimumab in advanced refractory G-E cancers ASCO 2017



CONCLUSION

- Nivolumab tested in heavily pretreated patients with both PD-L1-positive and negative advanced gastric or GEJ cancer, having an ORR of 14% accompanied with an acceptable safety profile
- PD-L1 positivity (PD-L1 expression above 1%) was associated with improved responses

Major phase 3 trials involving targeted immunotherapeutic agents in the advanced/metastatic gastric cancer setting

| Trials | No. of | Treatment arms | HR for death (P | Primary endpoint comparison (in |
|--|----------|-------------------------------------|-----------------|---------------------------------------|
| | patients | | value) | months) |
| Advanced gastric cancer – first line | | | | |
| Bang et al. <u>26</u> (ToGA) ^a | 584 | CX/CF + Trastuzumab versus CX/CF | 0.74 (0.0046) | OS: 13.8 versus 11.1 |
| Advanced gastric cancer – Second line | | | | |
| Fuchs et al. 32 (REGARD) | 355 | Ramucirumab + BSC versus BSC | 0.776 (0.0473) | OS: 5.2 versus 3.8 |
| Wilke et al. 33 (RAINBOW) | 665 | Paclitaxel + Ramucirumab versus | 0.81 (0.017) | OS: 9.6 versus 7.4 |
| | | Paclitaxel | | |
| Advanced gastric cancer – third line | | | | |
| Li et al. 34 (Apatinib) | 271 | Apatinib + BSC versus BSC | 0.71 (0.0149) | OS: 6.5 versus 4.7PFS: 2.6 versus 1.8 |
| Kang et al. <u>46</u> (ONO-4538-12, ATTRACTION-2) | 493 | Nivolumab versus Placebo | 0.63 (<0.0001) | OS: 5.26 versus 4.14 |

Future Status of Immunotherapy

- Immunotherapy Beyond 3rd Line RC
- Combination with Cytotoxic Agents
- Combination with Targeted Therapy : Anti-VEGF, TKI
- Immunotherapy and Radiation
- Role of Immunotherapy in Adjuvant Setting ?
- Combo : Nivo + Ipilimubab

Efficacy of Nivolumab in $\geq 3^{rd}$ line AGC: Attraction-2



Presented by: Yoon Koo Kang, ASCO GI Jan 2017

Pembrolizumab vs paclitaxel for previously treated advanced gastric or gastroesophageal junction(G/GEJ) cancer: Phase IIIKEYNOTE-061 Trial.



Pembrolizumab vs paclitaxel for previously treated advanced gastric or gastroesophageal junction (G/GEJ)cancer: Phase IIIKEYNOTE-061 Trial.

- Open-label, phase 3 study : Eligible patients were randomized (1:1) to receive
 - Pembrolizumab 200 mg Q3 wks for up to 2 years or standard-dose paclitaxel.
 - Primary endpoints \rightarrow OS and PFS in patients with (PD-L1) combined positive score (CPS) of 1 or >. Safety was assessed in all patients, irrespective of CPS.
- 592 patients were enrolled. Of the 395 patients who had a PD-L1 CPS of 1 or higher
 - 196 patients were assigned tp Pembrolizumab Vs 199 patients were assigned to receive paclitaxel.
 - Median OS was 9.1 months (95% CI 6.2-10.7) with pembrolizumab Vs 8.3 months with paclitaxel
 - (hazard ratio 0.82, one-sided p=0.0421).
 - Median progression-free survival was 1.5 months (95% CI 1.4–2.0) with pembrolizumab and 4.1 months (3.1–4.2) with paclitaxel (HR 1.27, 95% CI 1.03–1.57).
- Conclusion :
 - Pembrolizumab did not significantly improve overall survival compared with paclitaxel as second-line therapy for advanced gastric or Gastro-Esophageal junction cancer with PD-L1 CPS of 1 or higher.
 - Pembrolizumab had a better safety profile than paclitaxel

ASCO 2018



Oberstein PE et al. J Clin Oncol 36, 2018 (suppl 4S; abstr TPS197)

Phase III Trial : CheckMate 649 Abstract 2018

- A Phase III Randomized Multicenter, open-Label in Pts with Advanced Gastric or GE Junction
- 870 pts aged ≥ 18 years with untreated advanced or metastatic G/GEJ cancer with or without PD-L1 expression will be randomized :
 - Nivo + Ipi (4 doses; followed by Nivo monotherapy) or
 - Investigator's choice of capecitabine/oxaliplatin (XELOX) or FU /leucovorin/oxaliplatin (FOLFOX).
- Tumor tissue for determination of PD-L1 status must be provided from ≤ 6 months before study treatment.
- Pts receiving chemotherapy or radiotherapy for G/GEJ cancer within the last 6 months or pts with suspected autoimmune disease, uncontrolled medical disorder, or active infection are excluded.
- Primary endpoint is OS in pts with PD-L1+ tumors.
- Secondary endpoints is OS in all pts and progression-free survival and time to symptom deterioration in all pts and pts with PD-L1+ tumors.
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Moehler M. H., Janjigian Y. Y., Adenis A., Aucoin J. S., Boku N., Chau I., et al. ASCO 2018

Radiation and Immunotherapy

- Radiation therapy interacts with the tumor and immune system through a variety of mechanisms.
 - It promotes the release of tumor neoantigens during cancer cell death,
 - Generates tumor-specific T cells with local as well as potentially distant, systemic effects.
 - key molecular signals generated by radiation-induced cell death that promote uptake of dying cancer cells by dendritic
 - Antigen cross-presentation and activation of the inflammasome collectively constitute immunogenic cell death.
 - Complex effects on the tumor microenvironment → enhanced infiltration of activated T cells
 - Trials in solid tumors are investigating the strategy of combining immunostimulatory signals with radiation,



Ishihara D et al. Cancer Immunol Immunother (2017) 66: 281.



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Radiation-immunotherapy combination can slow tumor growth for some patients with metastatic late-stage cancer

Phase II trial finds at least 30 percent of patients experienced favorable response after treatment

SAN DIEGO, September 24, 2017 – A new study involving patients with stage IV cancer finds that treatment with radiation therapy and immunotherapy can halt the growth of tumors by stimulating the body's immune system to attack the cancer. In the phase II trial, patients with end-stage cancer that had spread to the lungs or liver demonstrated a favorable response to the combined treatment. Between 30 and 60 percent of the patients, depending on the treatment arm, found that their cancer stopped spreading. Findings will be presented today at the <u>59th Annual Meeting</u> of the American Society for Radiation Oncology (ASTRO).

Esophageal Adeno/GE junction: Chemo-ImmunoRx with XRT



Gastro Esophageal CA and ImmunoRx Conclusions

- Management of AGC and GE cancers is an evolving process and shifting the Paradigm
- PD-1 and PD-L1 inhibition has modest activity in GI malignancies
- Hence, Combination Therapy is a reasonable future step
- Patients who respond seem to have durable responses (significantly longer than typically seen with chemotherapy in the advanced setting)
- Incorporating PD-1 Inhibition in early Stages (Peop & Post Op) of Gastric/ GE junction cancers may be a crucial step in enhancing Cure rate in addition to Surgery
- Ongoing Clinical Trials will be the answer to all Our questions

