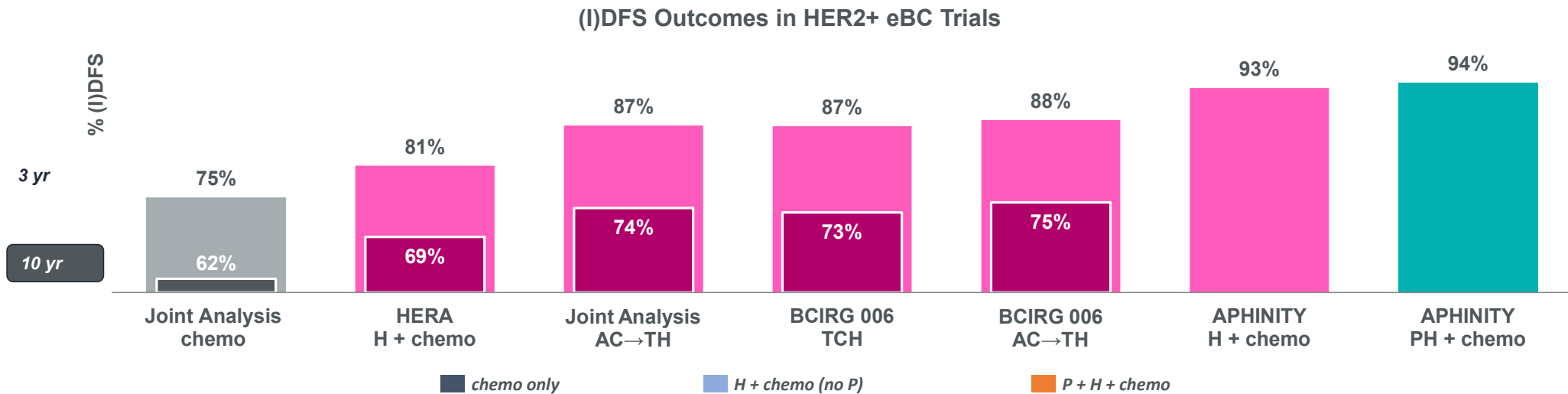


# APHINITY: Key Messages



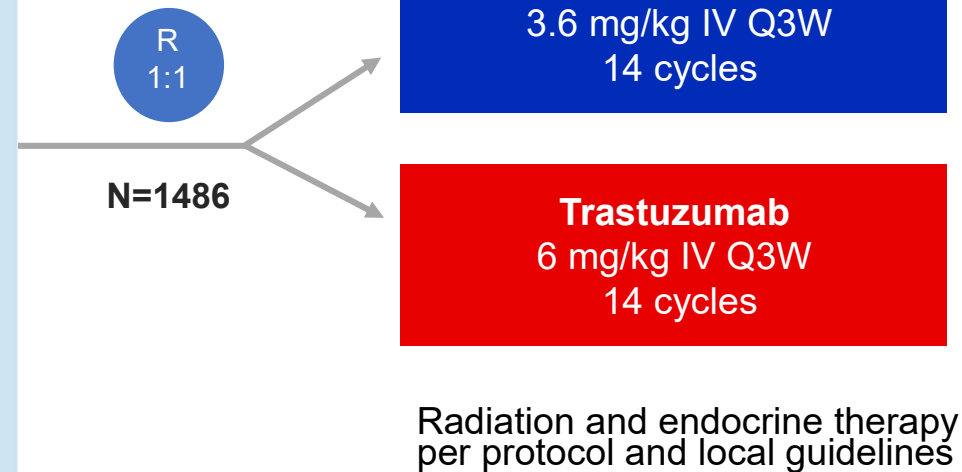
Follow-up of APHINITY is still early...

Pegram editorial comment:

“The main scientific contribution [of APHINITY] is the association between delta in pCR in NEOSPHERE translating into significant differences in long-term time-to-event analysis in the adjuvant setting”.

# KATHERINE Study Design

- **cT1-4/N0-3/M0 at presentation** (cT1a-b/N0 excluded)
- **Centrally confirmed HER2-positive breast cancer**
- Neoadjuvant therapy must have consisted of
  - **Minimum of 6 cycles of chemotherapy**
    - Minimum of 9 weeks of taxane
    - Anthracyclines and alkylating agents allowed
    - All chemotherapy prior to surgery
  - **Minimum of 9 weeks of trastuzumab**
    - Second HER2-targeted agent allowed
- **Residual invasive tumor in breast or axillary nodes**
- Randomization within 12 weeks of surgery

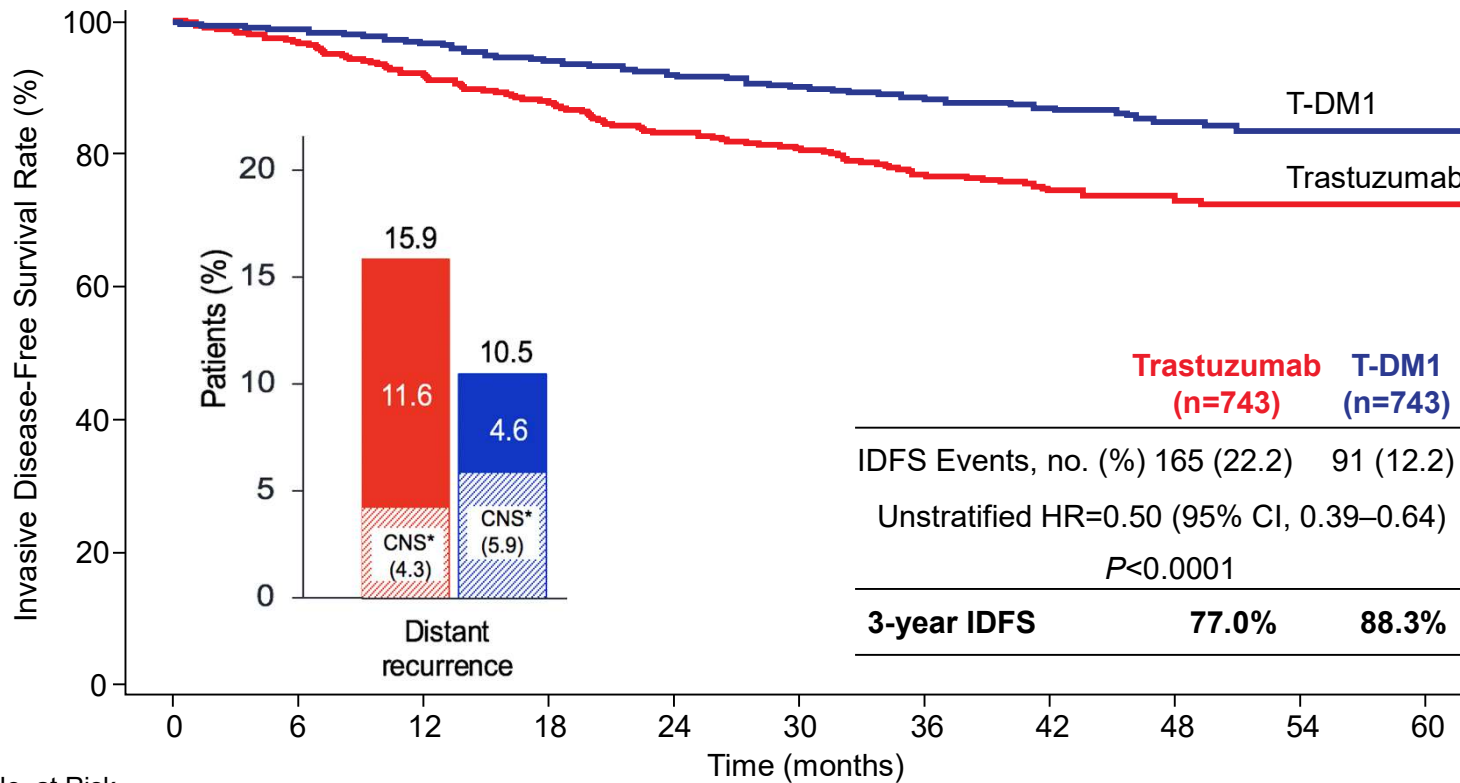


## Stratification factors:

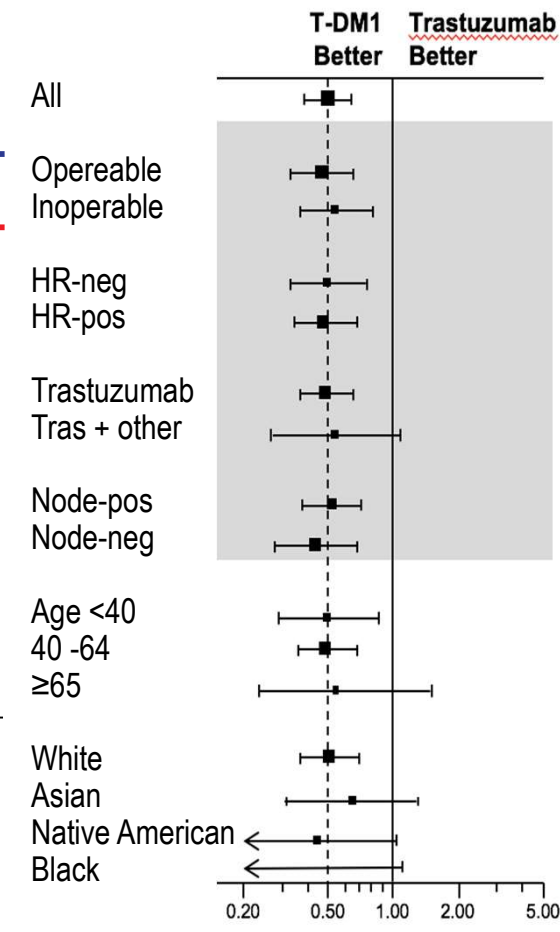
- Clinical presentation: **Inoperable (stage cT4 or cN2–3) vs operable** (stages cT1-3N0-1)
- **Hormone receptor**: ER or PR positive vs ER negative and PR negative/unknown
- Preoperative therapy: **Trastuzumab vs trastuzumab plus other HER2-targeted therapy**
- **Pathological nodal status** after neoadjuvant therapy: Positive vs negative/not done

von Minckwitz G, et al., N Engl J Med.  
2018 Dec 5. doi: 10.1056/NEJMoa1814017.  
[Epub ahead of print]

# Invasive Disease-Free Survival



| No. at Risk | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54 | 60 |
|-------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|----|----|
| Trastuzumab | 743 | 676 | 635 | 594 | 555 | 501 | 342 | 220 | 119 | 38 | 4  |
| T-DM1       | 743 | 707 | 681 | 658 | 633 | 561 | 409 | 255 | 142 | 44 | 4  |

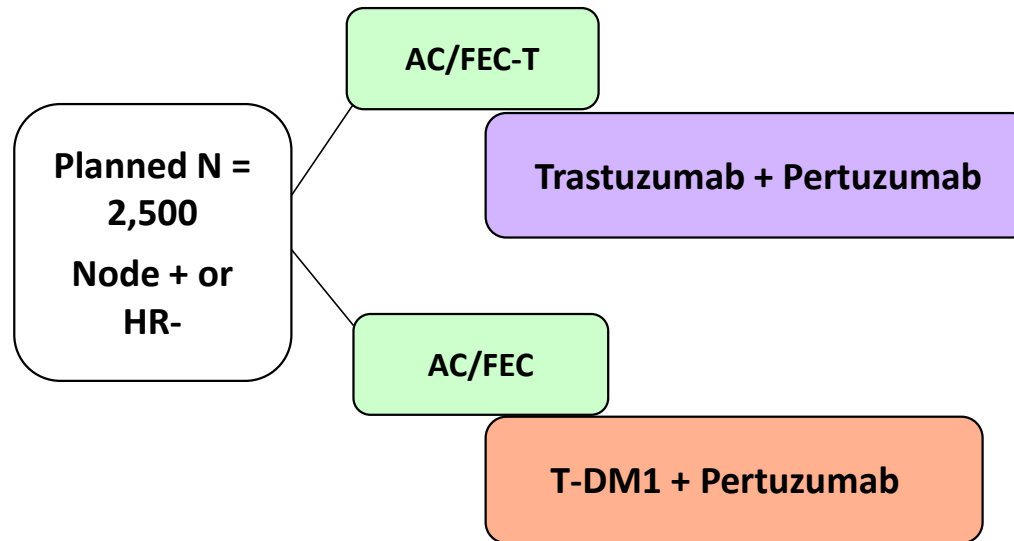


**By comparison, 3 year DFS, B31/N9831 Joint Analysis: 87% vs. 75%**

**Echoes of “The results are simply stunning”? -- Gabriel N. Hortobagyi, N Engl J Med 2005; 353:1734-1736**

von Minckwitz G, et al., N Engl J Med. 2018 Dec 5. doi: 10.1056/NEJMoa1814017. [Epub ahead of print]

# Adjuvant T-DM1 + Pertuzumab (KAITLIN) Protocol



Actual N = 1,846

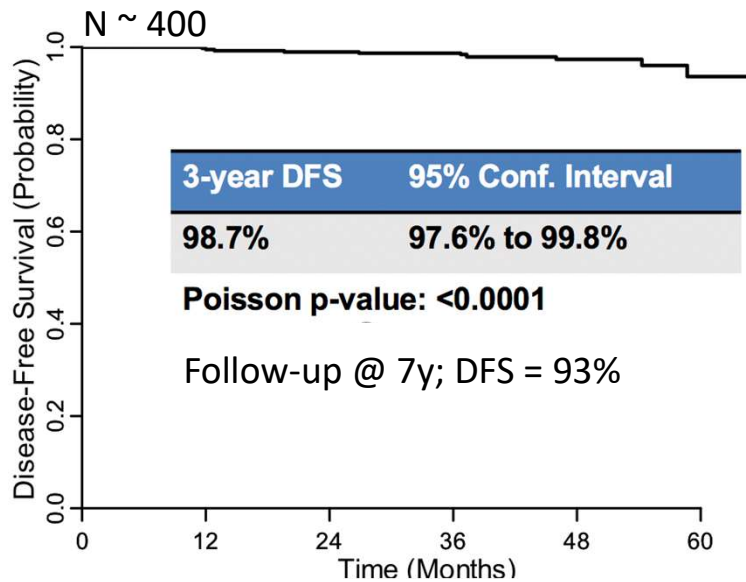
<https://clinicaltrials.gov/ct2/show/record/NCT01966471>

Primary Endpoint: DFS

Second Endpoints: OS, Safety

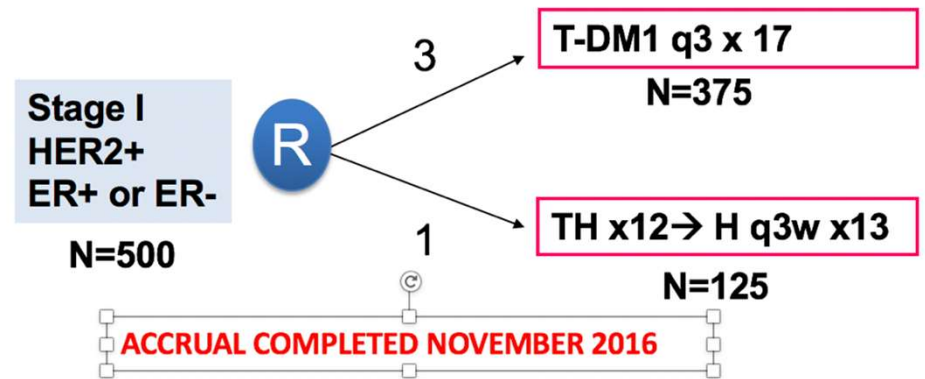
# Minimalist (or no) Chemotherapy:

APT Trial (TH)



AEMPT Trial

Stage I further de-escalation using T-DM1 and omitting free cytotoxic



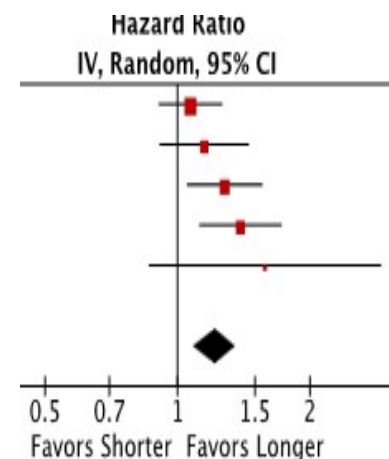
# Shorter Duration Trastuzumab: Meta-Analysis

| Trial        | N    | Trastuzumab duration | Noninferiority DFS HR | Observed DFS HR         |
|--------------|------|----------------------|-----------------------|-------------------------|
| Persephone*  | 4089 | 6m vs 12m            | < 1.29                | 1.05 (0.88-1.25)        |
| Short-HER**  | 1253 | 9w vs 12m            | <1.29                 | 1.13 (0.89-1.42)        |
| PHARE**      | 3384 | 6m vs 12m            | < 1.15                | 1.28 (1.05-1.56)        |
| SOLD         | 2176 | 9w vs 12m            | <1.3                  | 1.39 (1.12-1.72)        |
| HORG**       | 481  | 6m vs 12m            | < 1.53                | 1.57 (0.86-2.10)        |
| <b>Total</b> |      |                      |                       | <b>1.21 (1.09-1.36)</b> |

|              |                  |
|--------------|------------------|
| <i>Node-</i> | 1.20 (0.96-1.51) |
| <i>Node+</i> | 1.37 (1.17-1.60) |
| <i>ER+</i>   | 1.15 (0.98-1.34) |
| <i>ER-</i>   | 1.33 (1.15-1.54) |

\*Superiority of 12 months in pre-specified subgroups in Persephone: taxane-based chemo ( $p < 0.01$ ), concurrent vs. sequential chemo ( $p < 0.001$ ), and neoadj vs. adj ( $p < 0.07$ ).

\*\*Failed to meet non-inferiority endpoint

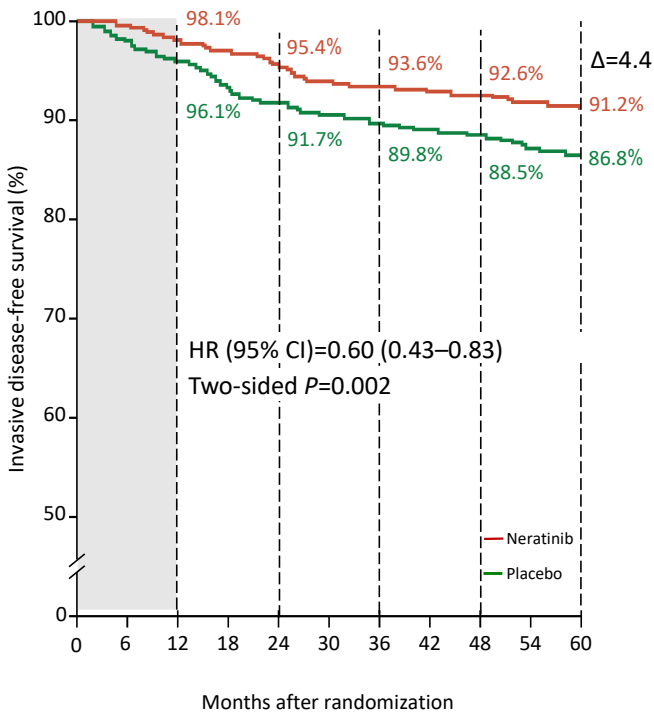


**Cardiac events  
longer duration  
OR = 2.48 (1.94-3.17)**

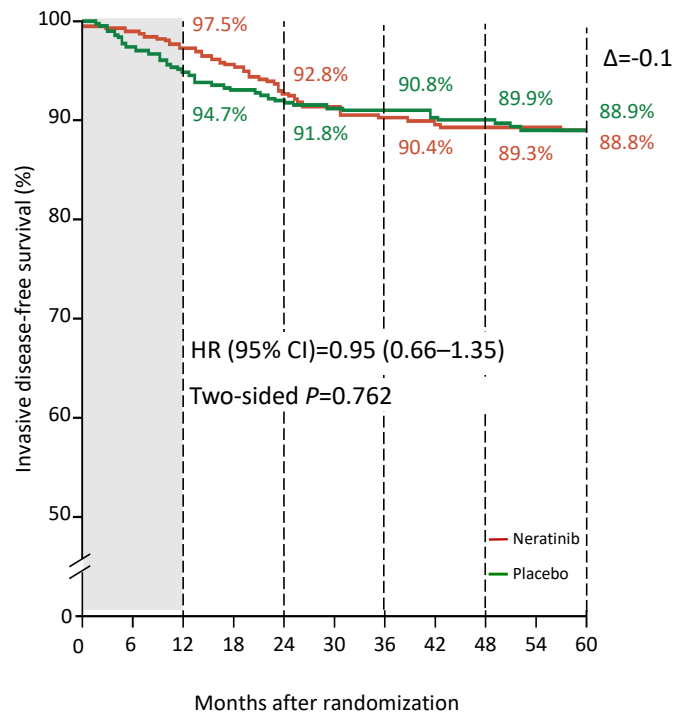
“If you are going to treat older ER+ patients with small lymph node negative tumors with inferior chemotherapy, and give it sequentially, then 6 months might be OK. Everyone else should receive one year”. – M Pegram

# ExteNET: 5 Year iDFS by hormone receptor status (exploratory analysis) -- Martin M. et al., Lancet 2017

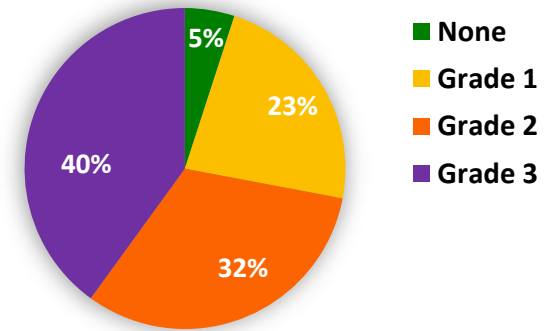
**HR-positive subgroup**



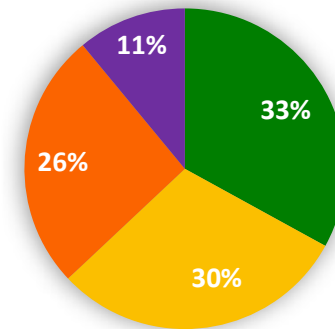
**HR-negative subgroup**



**ExteNET (n=1408)**



**Colestipol + loperamide\* (n=120)**



CONTROL Trial: Hurvitz S, et al. Presented at SABCS Annual Meeting, 2017. P3-14-01

**No. at risk**

|           | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54  | 60  |
|-----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Neratinib | 816 | 757 | 731 | 705 | 642 | 571 | 565 | 558 | 554 | 544 | 523 |
| Placebo   | 815 | 779 | 750 | 719 | 647 | 581 | 567 | 556 | 551 | 542 | 525 |

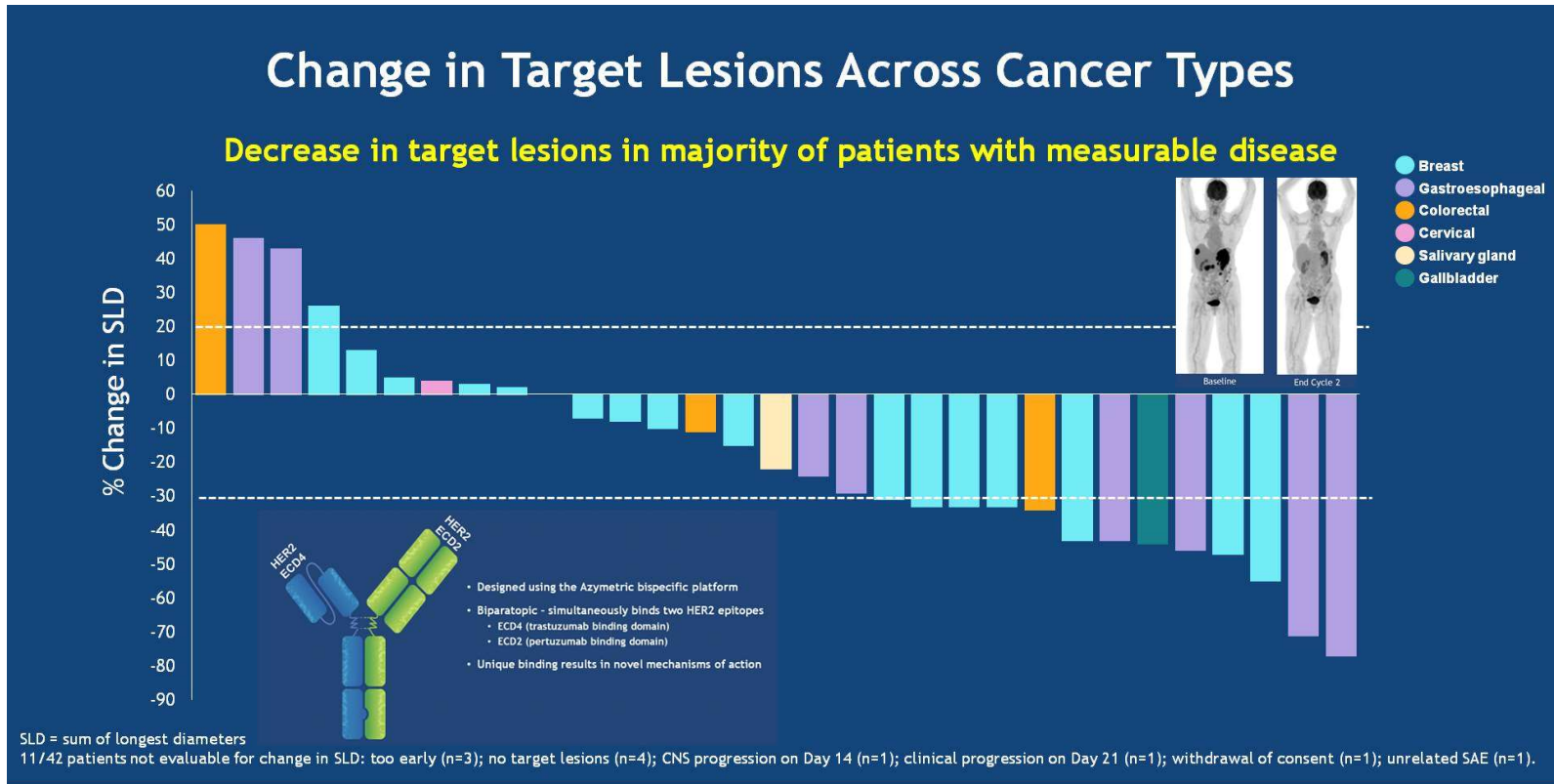
**No. at risk**

|           | 0   | 6   | 12  | 18  | 24  | 30  | 36  | 42  | 48  | 54  | 60  |
|-----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Neratinib | 604 | 559 | 541 | 520 | 464 | 407 | 400 | 391 | 384 | 376 | 362 |
| Placebo   | 605 | 575 | 548 | 529 | 495 | 448 | 444 | 435 | 427 | 416 | 402 |

\*Loperamide Prophylaxis Recommended: 12 mg on days 1-14 and 8 mg on days 15-56 (modified dosing). Loperamide Toxicities – arrhythmia/sudden death, adynamic ileus, constipation, nausea, dizziness, Stevens-Johnson syndrome, toxic epidermal necrolysis, urinary retention, sedation

Intention-to-treat population. Cut-off date: March 1, 2017

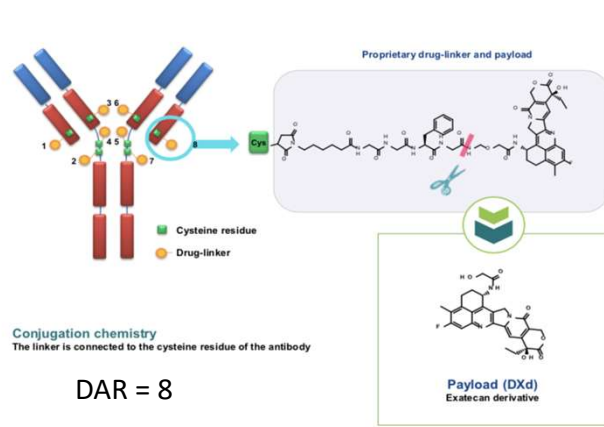
# Single Agent ZW25, a HER2 Bispecific, in Heavily Pretreated HER2+ Cancers





# Trastuzumab deruxtecan (DS-8201a):

Poster # P6-17-02 – San Antonio Breast Cancer Symposium® – December 4–8, 2018.



## HER2+ Data

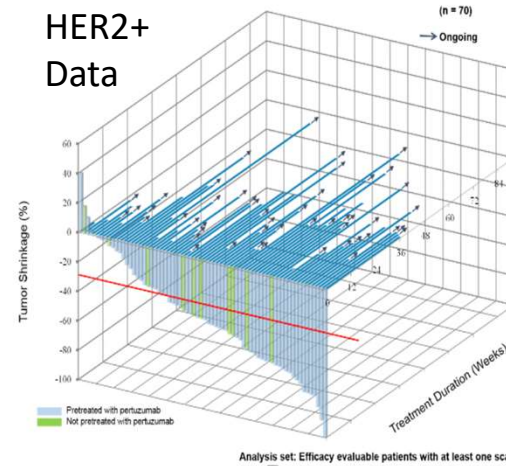


FIGURE 4. Best Percentage Change in Tumor Size from Baseline by IHC Status (October 12, 2018 Data Cutoff)

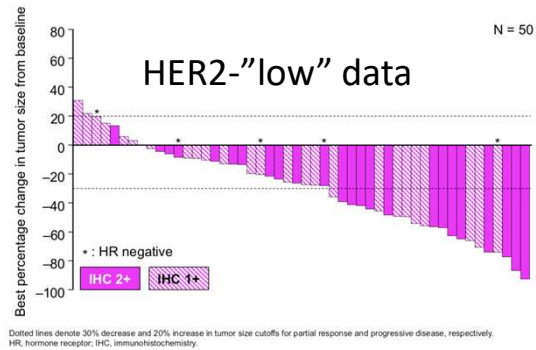
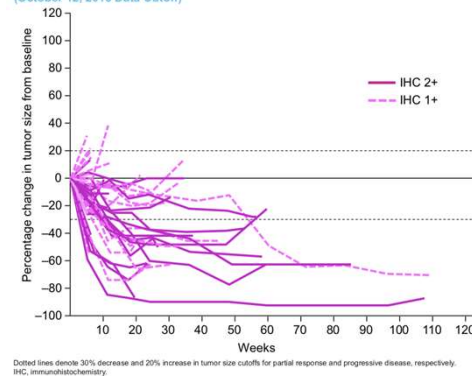
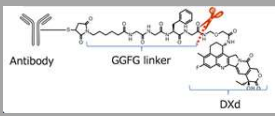
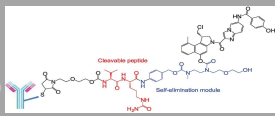


FIGURE 5. Percentage Change in Tumor Size from Baseline by IHC Status (October 12, 2018 Data Cutoff)

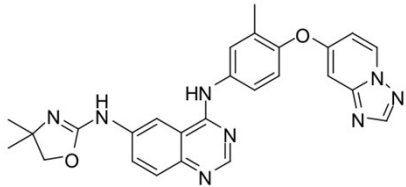


- **ILD risk significantly associated with dose,  $p < 0.001$**   
(Cox proportional hazards)
- **ILD monitoring and management plan implemented**

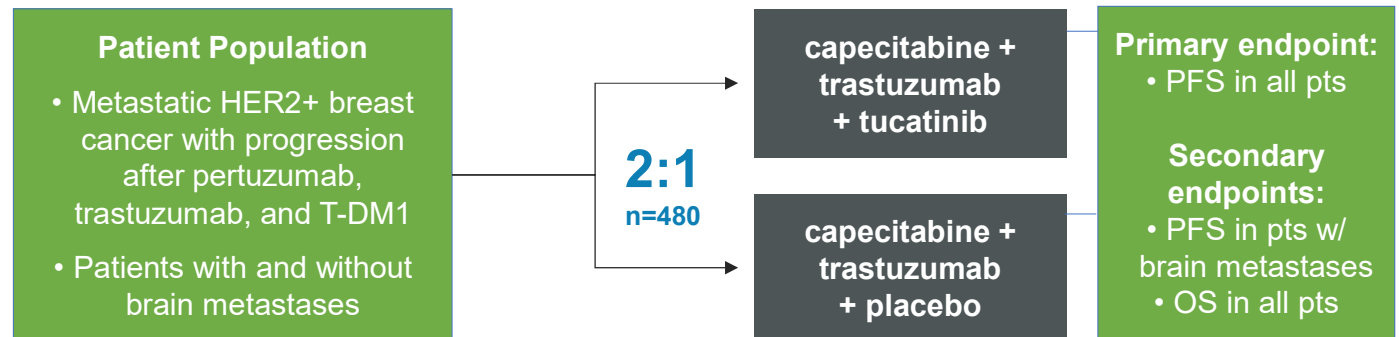
## Antibody-drug conjugates (ADCs) in HER2-low MBC patients

|                     | DS-8201a<br>(Abstract #:2501)          |  | SYD985<br>(Abstract #:1014)     |  |
|---------------------|--|--|---------------------------------|---|
| Trial phase         | Phase I                                |  | Phase I                         |   |
| Antibody            | Trastuzumab                            |  | Trastuzumab                     |   |
| Payload             | Deruxtecan (Topoisomerase I inhibitor) |  | Duocarmycin (alkylating agents) |   |
| Population          | Heavily pretreated MBC                 |  | Heavily pretreated MBC          |   |
| HER2 low definition | IHC 1+/2+/ISH-                         |  | IHC 1+/2+/ISH-                  |   |
| ORRs (95%CI)        | 10/26, 38.5% (20.2, 59.4)              |  | HR+ (N=32): 27%                 |   |
|                     |  |  | HR- (N=17): 40%                 |   |

# Current HER2CLIMB Pivotal Trial Design



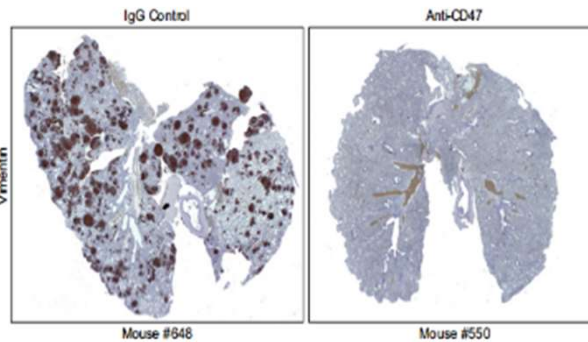
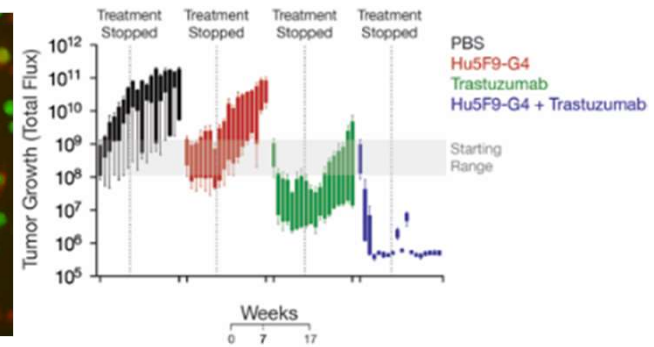
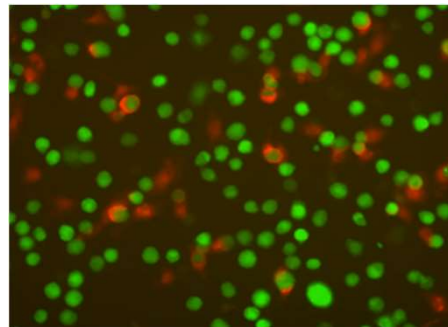
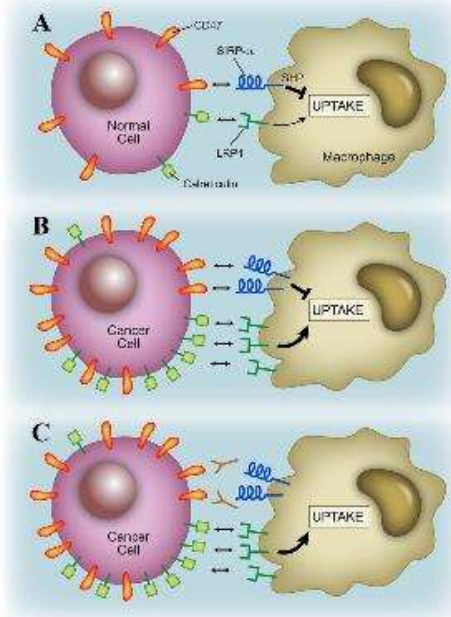
| Compound  | Cellular Selectivity Data  |                            |
|-----------|----------------------------|----------------------------|
|           | HER2 IC <sub>50</sub> (nM) | EGFR IC <sub>50</sub> (nM) |
| tucatinib | 8                          | >10,000                    |
| neratinib | 7                          | 8                          |
| lapatinib | 49                         | 31                         |



- Stratified for brain metastases, ECOG status and region of world
- Hierarchical testing of endpoints; each endpoint must be positive to enable testing of subsequent endpoint (PFS → PFS in subset of patients with brain metastases → OS)
- Goal of ≥50% improvement in PFS
  - Control arm PFS estimate = 4.5 months (historical PFS:TH3RESA control=3.3 months)
- Multi-center, multi-national

# Macrophages ignore CD47+ cells as a result of negative interactions in which the CD47–SIRP- $\alpha$ pair promote a “don’t eat me” signal


Hu5F9-G4 binds human CD47 with high affinity:  
 - 8-10 nM for monomeric CD47,  
 - 8 pM for bivalent CD47



Unanue E R PNAS 2013;110:10886-10887

Edris, B. et al.. PNAS 109, 6656–6661 (2012). **PNAS**

Irv Weissman and Ravi Majeti, personal communication (2017)

- 
- **Fc-engineered anti-HER2 MAb with enhanced immune effector function (ADCC)**
    - Margetuximab – Positive Phase III (margetux vs. tras with salvage chemo)
  - **HER2 MAb-based combinations with agonist CD137 MAb (to enhance ADCC)**
    - Utomilumab (PF-05082566) – Phase IB/II
  - **New HER2 ADCs with with unique linker/payloads – active even in HER2 “low”**
    - Phase II/III
  - **Small molecule, orally bioavailable HER2 TKIs**
    - Tucatinib (ONT-380) – Pivotal trial (cape/tras +/- tucatinib/placebo)
    - Extended adjuvant neratinib
  - **HER2 MAb combination with anti-CD47 MAb to enhance macrophage function**
    - Hu5F9-G4 – Phase II
  - **Anti-HER2 strategies combined with CDK 4/6 inhibition – Phase IB/II**
  - **HER2 bispecific MAbs – Phase II**

James H. Clark Center  
Stanford University

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Physics and Engineering