

# Pancreatic Cancer: Emerging Strategies

Edward J. Kim, M.D., Ph.D.



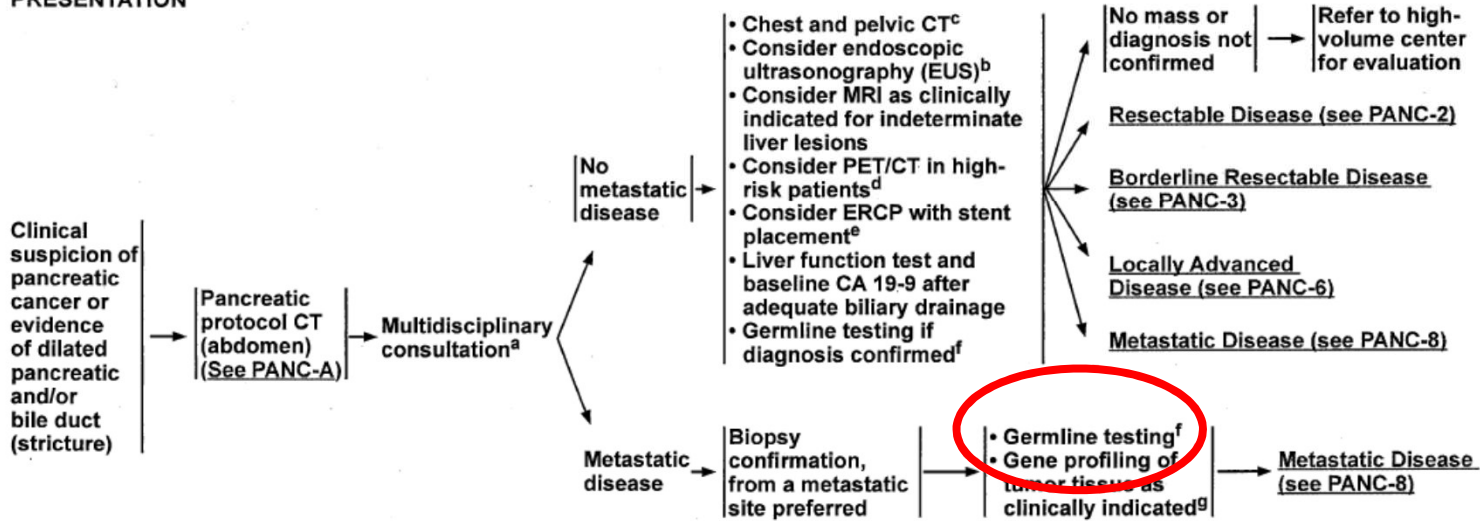
# Outline

- Pancreatic Cancer
  - Germline testing
  - PARPi maintenance
  - Adjuvant therapy
  - Immunotherapy



**CLINICAL  
PRESENTATION**

**WORKUP**



<sup>a</sup> Multidisciplinary review should ideally involve expertise from diagnostic imaging, interventional endoscopy, medical oncology, radiation oncology, surgery, pathology, geriatric medicine, and palliative care. Consider consultation with a registered dietitian. See [NCCN Guidelines for Older Adult Oncology](#) and [NCCN Guidelines for Palliative Care](#).

<sup>b</sup> EUS to confirm primary site of involvement; EUS-guided biopsy if clinically indicated.

<sup>c</sup> Imaging with contrast unless contraindicated.

<sup>d</sup> PET/CT scan may be considered after formal pancreatic CT protocol in high-risk patients to detect extra pancreatic metastases. It is not a substitute for high-quality, contrast-enhanced CT. See [Principles of Diagnosis, Imaging, and Staging \(PANC-A\)](#).

<sup>e</sup> See [Principles of Stent Management \(PANC-B\)](#).

<sup>f</sup> Germline testing is recommended for any patient with confirmed pancreatic cancer, using comprehensive gene panels for hereditary cancer syndromes. Genetic counseling is recommended for patients who test positive for a pathogenic mutation or for patients with a positive family history of cancer, especially pancreatic cancer, regardless of mutation status. Okur V, Chung WK. The impact of hereditary cancer gene panels on clinical care and lessons learned. *Cold Spring Harb Mol Case Stud.* 2017;3(6):a002154. See [Discussion](#) and see [NCCN Guidelines for Genetic/Familial High Risk Assessment: Breast and Ovarian](#).

<sup>g</sup> Tumor/somatic gene profiling is recommended for patients with locally advanced/metastatic disease who are candidates for anti-cancer therapy to identify uncommon but actionable mutations. Testing on tumor tissue is preferred; however, cell-free DNA testing can be considered if tumor tissue testing is not feasible. See [Discussion](#).

Note: For more information regarding the categories and definitions used for the NCCN Evidence Blocks™, see page [EB-1](#).  
All recommendations are category 2A unless otherwise indicated.

Clinical Trials: NCCN believes that the best management of any patient with cancer is in a clinical trial. Participation in clinical trials is especially encouraged.

# Why test everyone?

- Prior recommendation – based on family history
- Multiple studies show that the old strategy based on family history misses half of cases
  - <sup>1</sup>Mayo Clinic case control – freq. of germline mutations
    - 7.9% (27 of 343) with family history
    - 5.2% (140 of 2676) withOUT family history
  - <sup>2</sup>Vancouver CA Hereditary Cancer Program
    - 18.4% (12/65) with family history
    - 17.1% (13/76) withOUT family history

1. Hu et al. JAMA (2018) 319: 2401-9.

2. Cremin et al. ASCO annual mtg 2019. Abstract #1582

# Homologous Recombination Deficiency

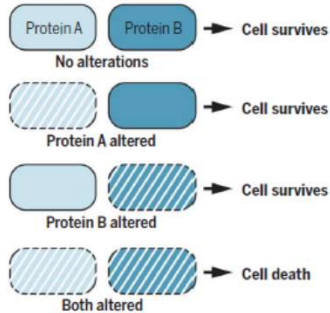
- 5-8% of pancreatic cancer patients have a pathogenic mutation in one of the HR genes: *BRCA1*, *BRCA2* or *PALB2*<sup>1-5</sup>, leading to an HRD
- Patients with HRD have an increased sensitivity to platinum therapy and possibly PARP inhibitors due to synthetic lethality<sup>6-10</sup>

<sup>1</sup>Goggins et al, Cancer Res, 1996; <sup>2</sup>Hofstatter et al, Fam Cancer, 2011; <sup>3</sup>Salo-Mullen et al, Cancer, 2015; <sup>4</sup>Pishvaian et al, CCR, 2018; <sup>5</sup>Singhi et al, Gastroenterology, 2019; <sup>6</sup>Golan et al, Br J Cancer, 2014; <sup>7</sup>Kaufman et al, JCO, 2015; <sup>8</sup>Reiss et al, JCO PO, 2018; <sup>9</sup>Shroff et al, JCO PO, 2018; <sup>10</sup>Yu et al, JCO PO, 2019

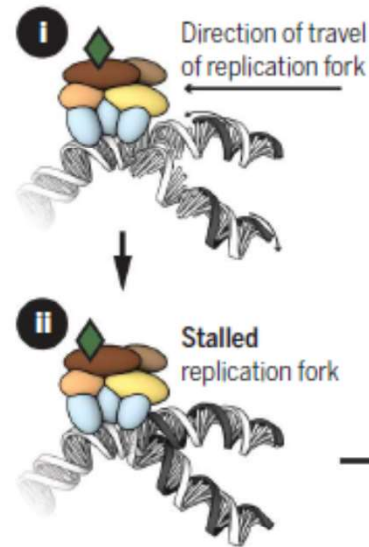
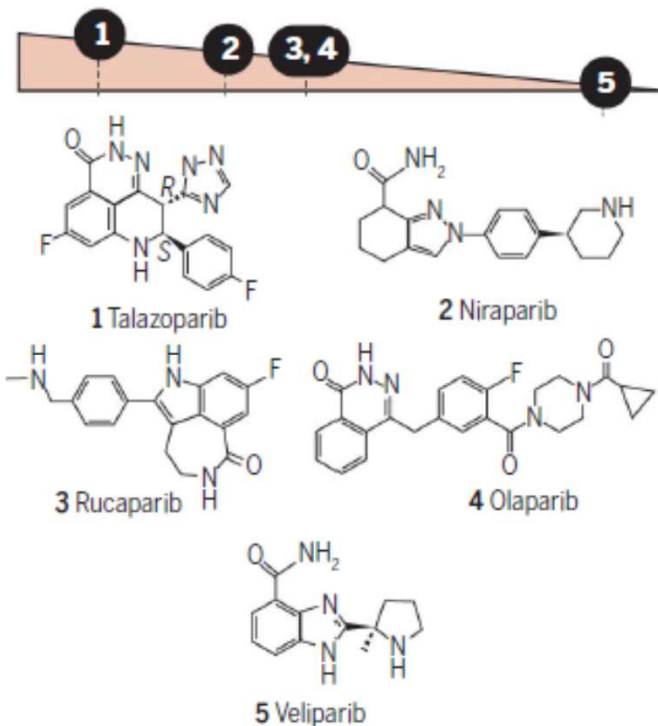
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# PARP inhibitors



PARP trapping potency (high to low)



- iii DNA repair by HRR, Tumor cell survival
- iv Defects in HRR = synthetic lethality and tumor cell death

v PARP inhibitor resistance and tumor cell survival caused by multiple distinct mechanisms

- Secondary "reversion" mutations in BRCA1, BRCA2, RAD51C/D
- Restoration of HRR in BRCA1 mutant tumor cells via loss of 53BP1, REV7
- Loss of PARP1 expression
- Pharmacological resistance e.g. upregulation of P-glycoprotein pumps

# Olaparib as maintenance treatment following first-line platinum-based chemotherapy in patients with a germline BRCA mutation and metastatic pancreatic cancer: Phase III POLO trial

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Michael J Hall,<sup>6</sup> Joon Oh Park,<sup>7</sup> Daniel Hochhauser,<sup>8</sup> Dirk Arnold,<sup>9</sup> Do-Youn Oh,<sup>10</sup>  
Anke Reinacher-Schick,<sup>11</sup> Giampaolo Tortora,<sup>12</sup> Hana Algül,<sup>13</sup> Eileen M O'Reilly,<sup>14</sup>  
David McGuinness,<sup>15</sup> Karen Y Cui,<sup>16</sup> Katia Schlienger,<sup>17</sup> Gershon Y Locker,<sup>16</sup> Talia Golan<sup>18</sup>

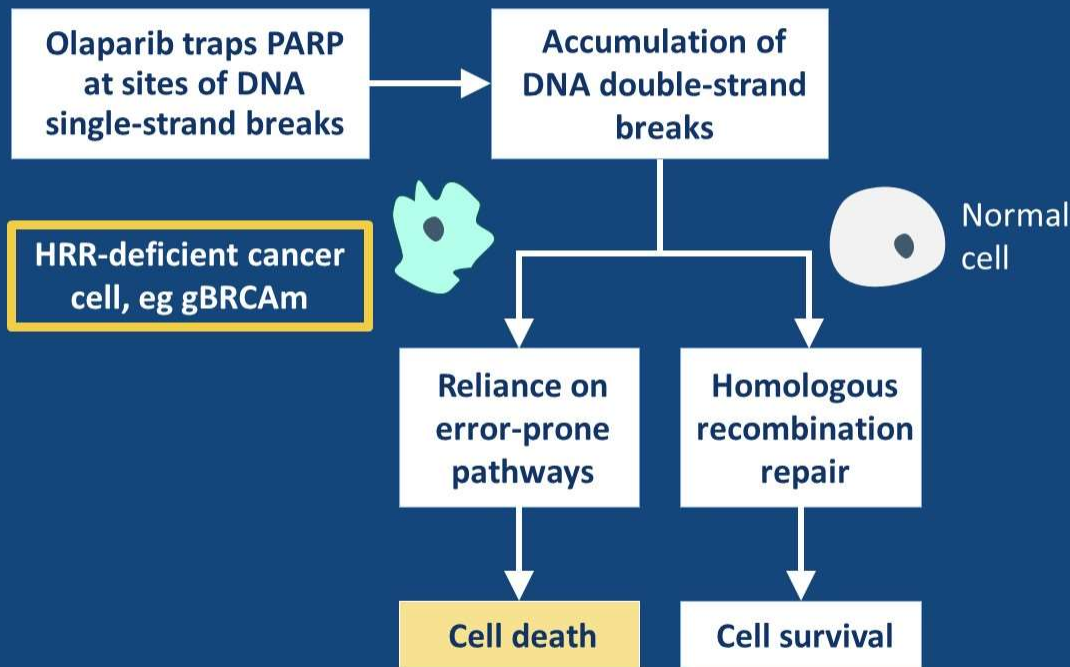
<sup>1</sup>The University of Chicago, Chicago, IL, USA; <sup>2</sup>Hôpital Beaujon (AP-HP), Clichy and University Paris VII, Paris, France; <sup>3</sup>IRCCS Ospedale, San Raffaele Scientific Institute, Milan, Italy; <sup>4</sup>University Hospitals Gasthuisberg and KU Leuven, Leuven, Belgium; <sup>5</sup>Vall d'Hebron University Hospital and Vall d'Hebron Institute of Oncology, Barcelona, Spain; <sup>6</sup>Fox Chase Cancer Center, Philadelphia, PA, USA; <sup>7</sup>Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, South Korea; <sup>8</sup>University College London Cancer Institute, London, UK; <sup>9</sup>Asklepios Tumorzentrum Hamburg AK Altona, Hamburg, Germany; <sup>10</sup>Seoul National University Hospital, Seoul, South Korea; <sup>11</sup>St Josef-Hospital, Ruhr University Bochum, Bochum, Germany; <sup>12</sup>Azienda Ospedaliera Universitaria Integrata Verona, Verona and Fondazione Policlinico Universitario Gemelli IRCCS, Rome, Italy; <sup>13</sup>Klinikum Rechts der Isar, Department of Internal Medicine II, Technische Universität München, Munich, Germany; <sup>14</sup>Memorial Sloan Kettering Cancer Center, New York, NY, USA; <sup>15</sup>AstraZeneca, Cambridge, UK; <sup>16</sup>AstraZeneca, Gaithersburg, MD, USA; <sup>17</sup>Merck & Co, Inc, Kenilworth, NJ, USA; <sup>18</sup>The Oncology Institute, Sheba Medical Center at Tel-Hashomer, Tel Aviv University, Tel Aviv, Israel

ClinicalTrials.gov identifier: NCT02184195. This study was sponsored by AstraZeneca and is part of an alliance between AstraZeneca and Merck Sharp & Dohme Corp, a subsidiary of Merck & Co, Inc, Kenilworth, NJ, USA (MSD)



# Rationale for PARP inhibition in BRCA-deficient tumors

## Mode of action<sup>1</sup>

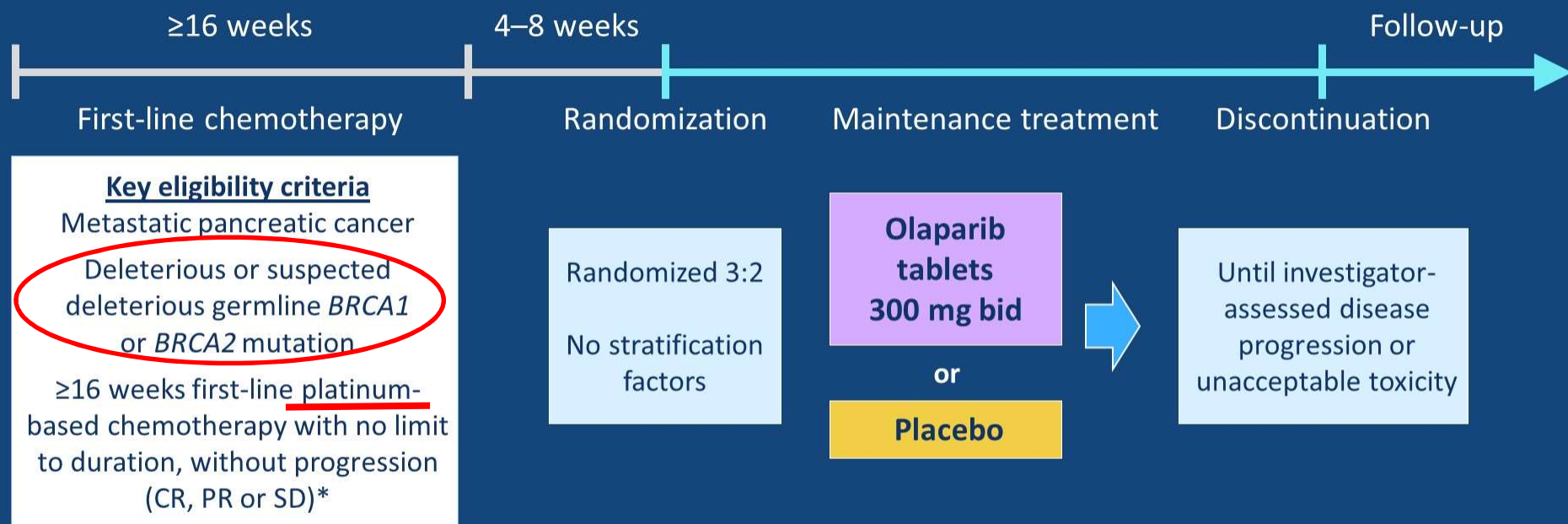


## Clinical evidence

	<b>Phase II olaparib trial<sup>2</sup></b> (N=298; pancreatic cancer, n=23)
Patient population	gBRCAm Prior gemcitabine for advanced pancreatic cancer 1–8 prior lines of therapy
Median PFS	4.6 months
ORR	21.7%
<b>Demonstrated clinical efficacy in gBRCAm ovarian and breast cancers<sup>3,4</sup></b>	

BRCA, *BRCA1* and/or *BRCA2*; HRR, homologous recombination repair; ORR, objective response rate; PARP, poly(ADP-ribose) polymerase  
 1. O'Connor M *et al. Mol Cell* 2015;60:547–560; 2. Kaufman B *et al. J Clin Oncol* 2015;33:244–250; 3. Moore K *et al. New Engl J Med* 2018;379:2495–2505; 4. Robson M *et al. New Engl J Med* 2017;377:523–533

# Study design



38% of gBRCAm patients had disease progression, were ineligible, or declined randomization

\*There was no maximum limit to the duration of first-line chemotherapy. bid, twice daily; CR, complete response; PR, partial response; SD, stable disease

# Study endpoints



## Primary endpoint:

PFS using modified RECIST v1.1 by blinded independent central review

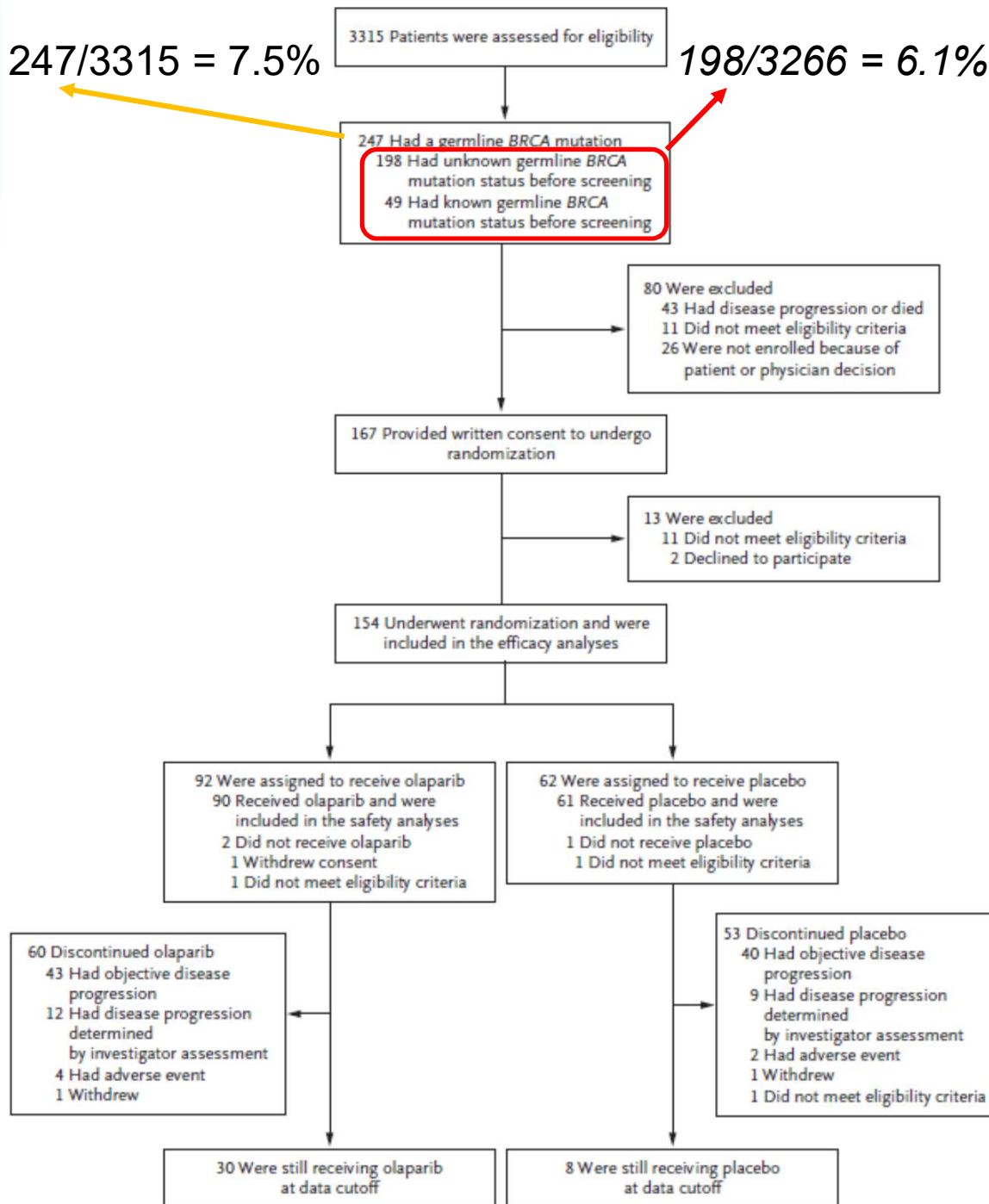
## Key secondary endpoints:

Time to second progression (PFS2)  
Objective response rate  
HRQoL  
Safety and tolerability  
Overall survival



$247/3315 = 7.5\%$

$198/3266 = 6.1\%$



## Patient characteristics

		Olaparib (N=92)	Placebo (N=62)
Age	Median, years (range)	57.0 (37–84)	57.0 (36–75)
	≥65 years, n (%)	28 (30.4)	13 (21.0)
Sex, n (%)	Male	53 (57.6)	31 (50.0)
Race, n (%)	Caucasian	82 (89.1)	59 (95.2)
ECOG performance status, n (%)	0	65 (70.7)	38 (61.3)
	1	25 (27.2)	23 (37.1)
BRCA mutation status, n (%)	<i>BRCA1</i>	29 (31.5)	16 (25.8)
	<i>BRCA2</i>	62 (67.4)	46 (74.2)
	Both	1 (1.1)	0
Location of primary tumor in pancreas, n (%)*	Head	46 (50.0)	34 (54.8)
	Body	41 (44.6)	17 (27.4)
	Tail	29 (31.5)	22 (35.5)
Biliary stent, n (%)	Present	1 (1.1)	4 (6.5)
Albumin concentration	Median, g/dL (range)	4.1 (3.2–4.8)	4.0 (3.4–5.0)

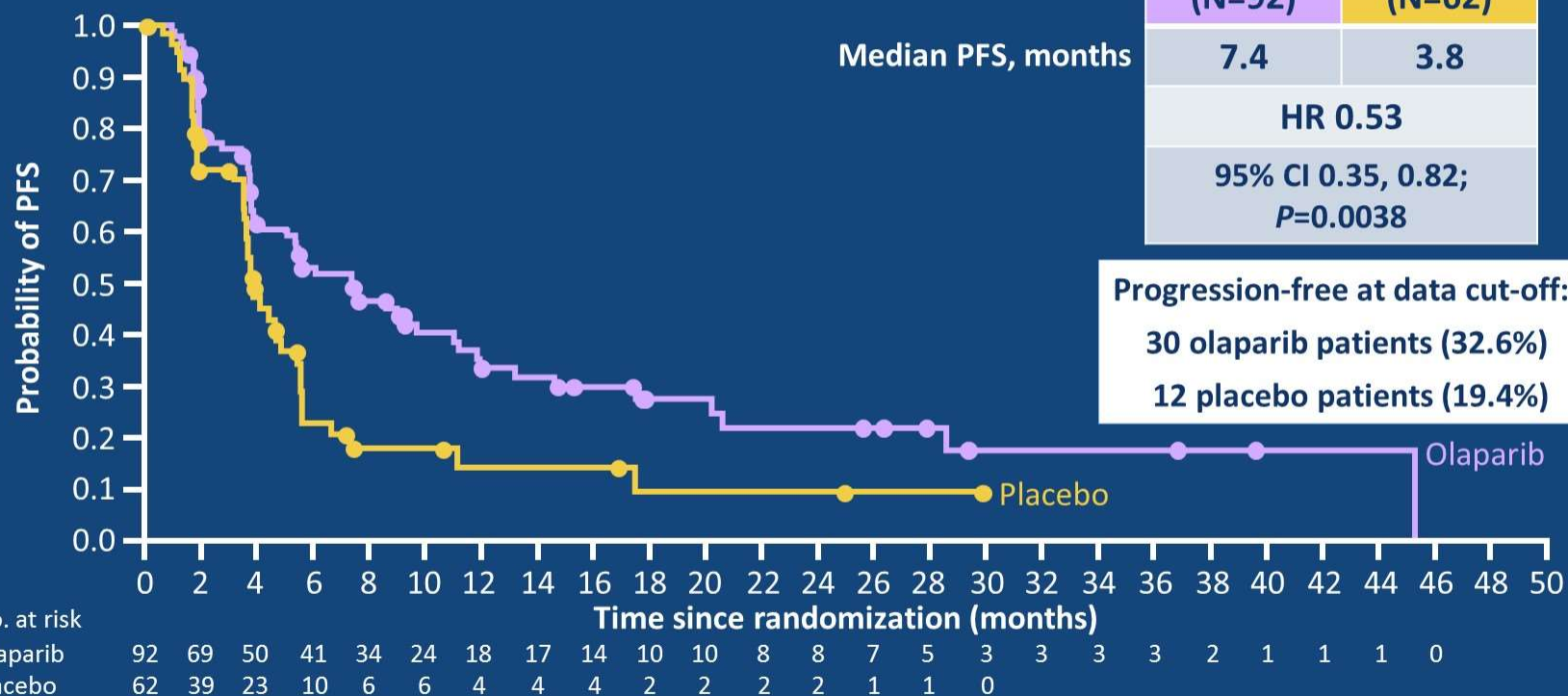
\*Patients may be counted in more than one category. ECOG, Eastern Cooperative Oncology Group

# Patient characteristics

		Olaparib (N=92)	Placebo (N=62)
Time from diagnosis to randomization	Median, months (range)	6.9 (3.6–38.4)	7.0 (4.1–30.2)
Duration of first-line chemotherapy	Median, months (range)	5.0 (2.5–35.2)	5.1 (3.4–20.4)
	16 weeks to 6 months, n (%)	61 (66.3)	40 (64.5)
	>6 months, n (%)	30 (32.6)	21 (33.9)
First-line platinum-based chemotherapy, n (%)	FOLFIRINOX variants	79 (85.9)	50 (80.6)
	Gemcitabine/cisplatin	2 (2.2)	3 (4.8)
	Other	10 (10.9)	8 (12.9)
Best response on first-line chemotherapy, n (%)	Complete or partial response	46 (50.0)	30 (48.4)
	Stable disease	45 (48.9)	31 (50.0)
Disease status following platinum-based chemotherapy, n (%)	Measurable	78 (84.8)	52 (83.9)
	Non-measurable or no evidence of disease	13 (14.1)	6 (9.7)
Site of metastases prior to chemotherapy, n (%)*	Liver	61 (66.3)	48 (77.4)
	Lung	10 (10.9)	5 (8.1)
	Peritoneum	10 (10.9)	5 (8.1)
	Other	14 (15.2)	8 (12.9)

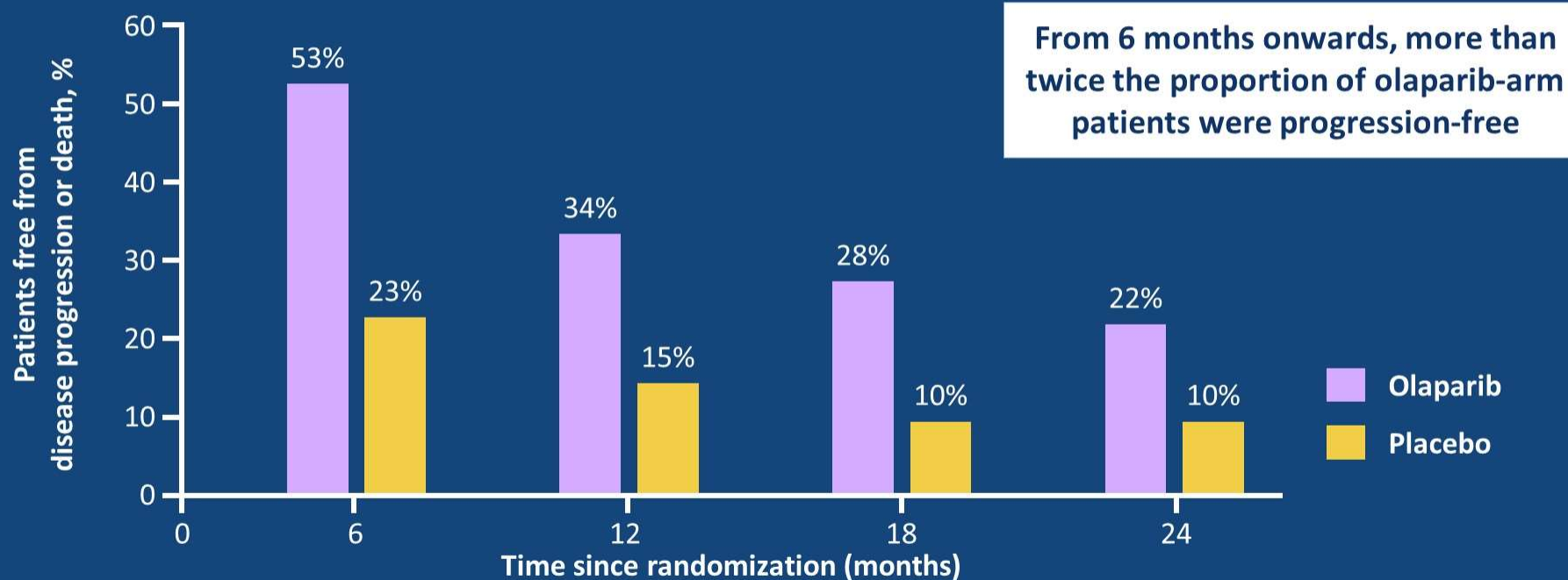
\*Patients may be counted in more than one category

# Primary endpoint: PFS by blinded independent central review\*



\*Dots indicate censorship. †January 15, 2019. CI, confidence interval

# PFS at prespecified timepoints by blinded independent central review\*



\*Kaplan-Meier method

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PRESENTED BY: Hedy L Kindler

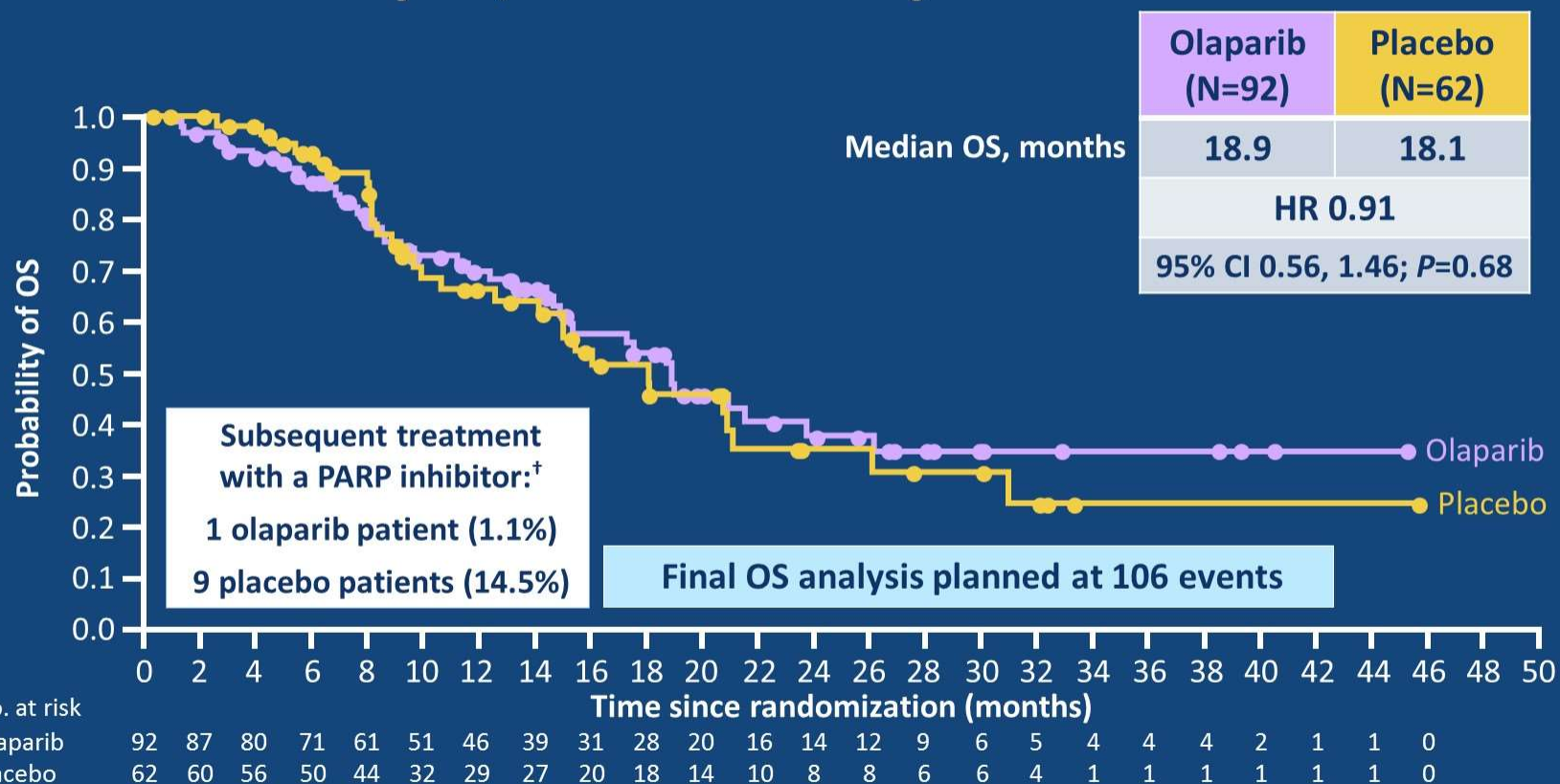
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Presented By Hedy Kindler at 2019 ASCO Annual Meeting

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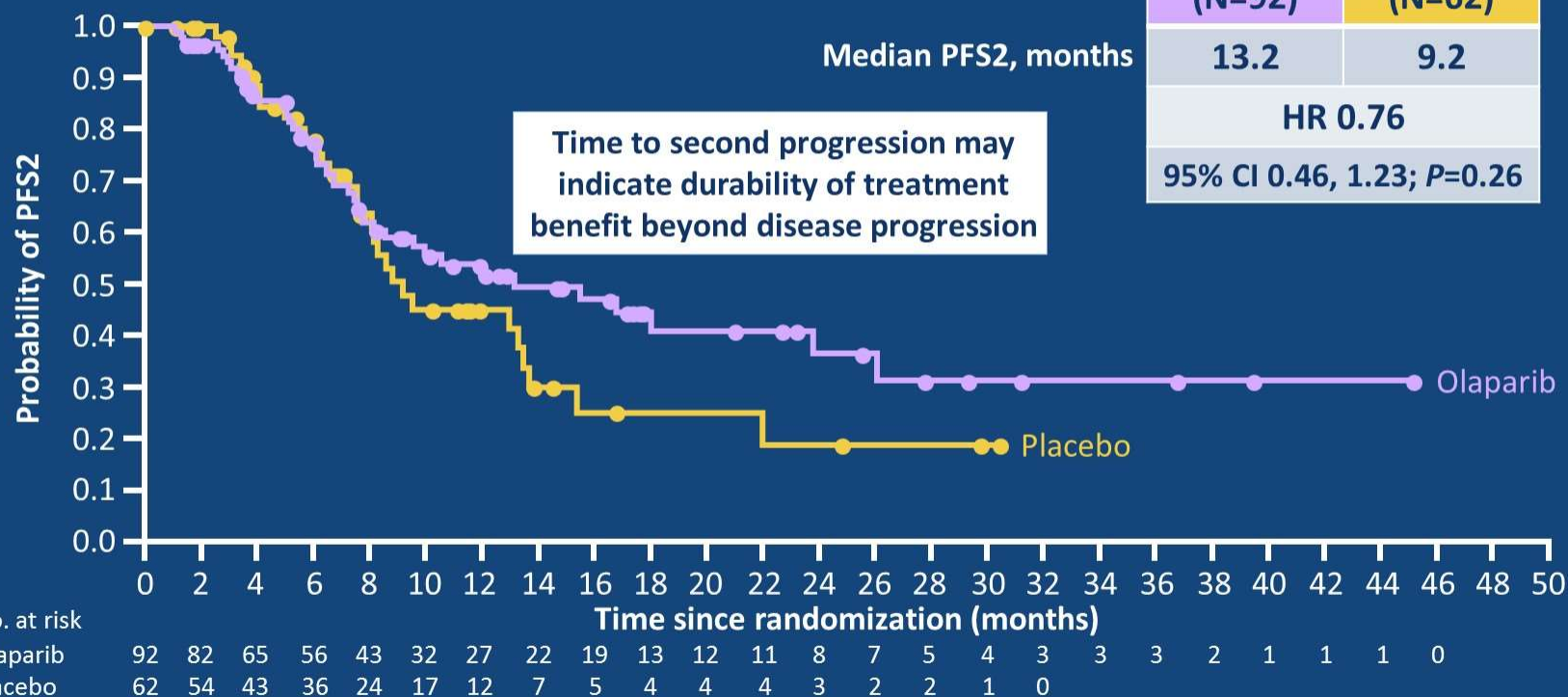


# OS: interim analysis, 46% maturity\*



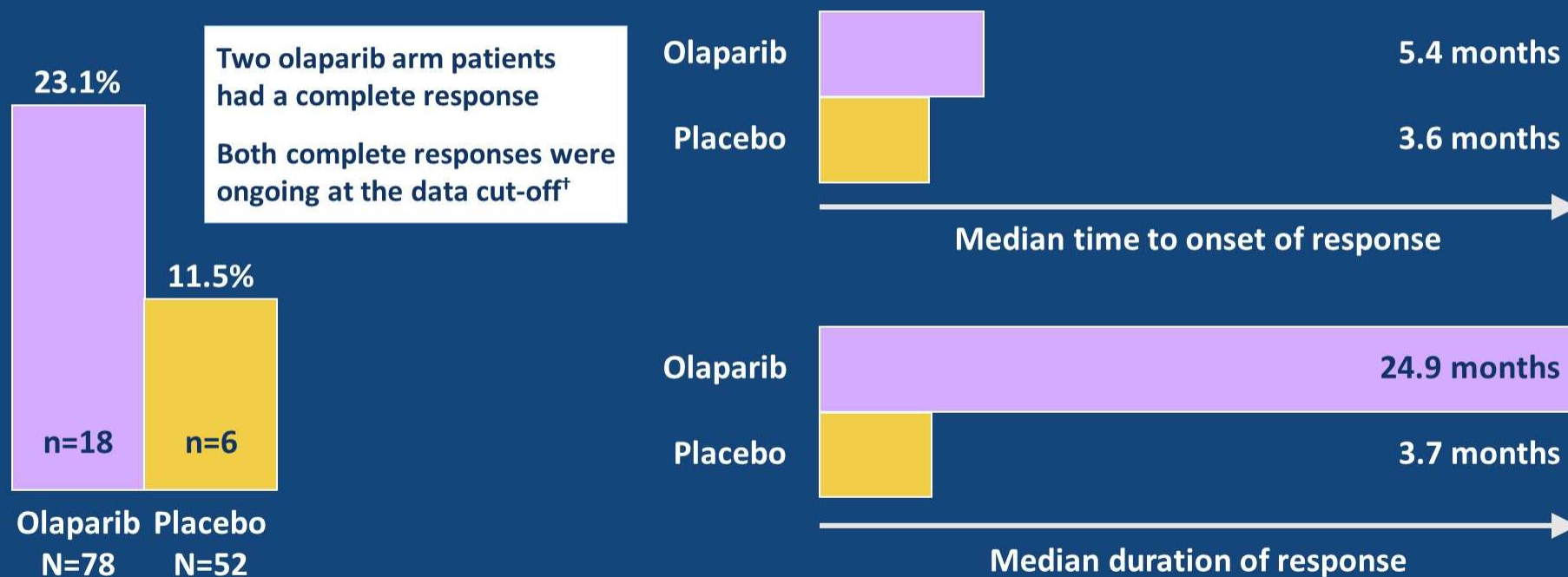
\*Dots indicate censorship. †Crossover to olaparib was not permitted during this study; subsequent therapies were given at the investigators' discretion

# PFS2 by investigator assessment: interim analysis, 46% maturity\*



\*Dots indicate censorship. PFS2, time to second progression

# Objective response\* in patients with measurable disease by blinded independent central review



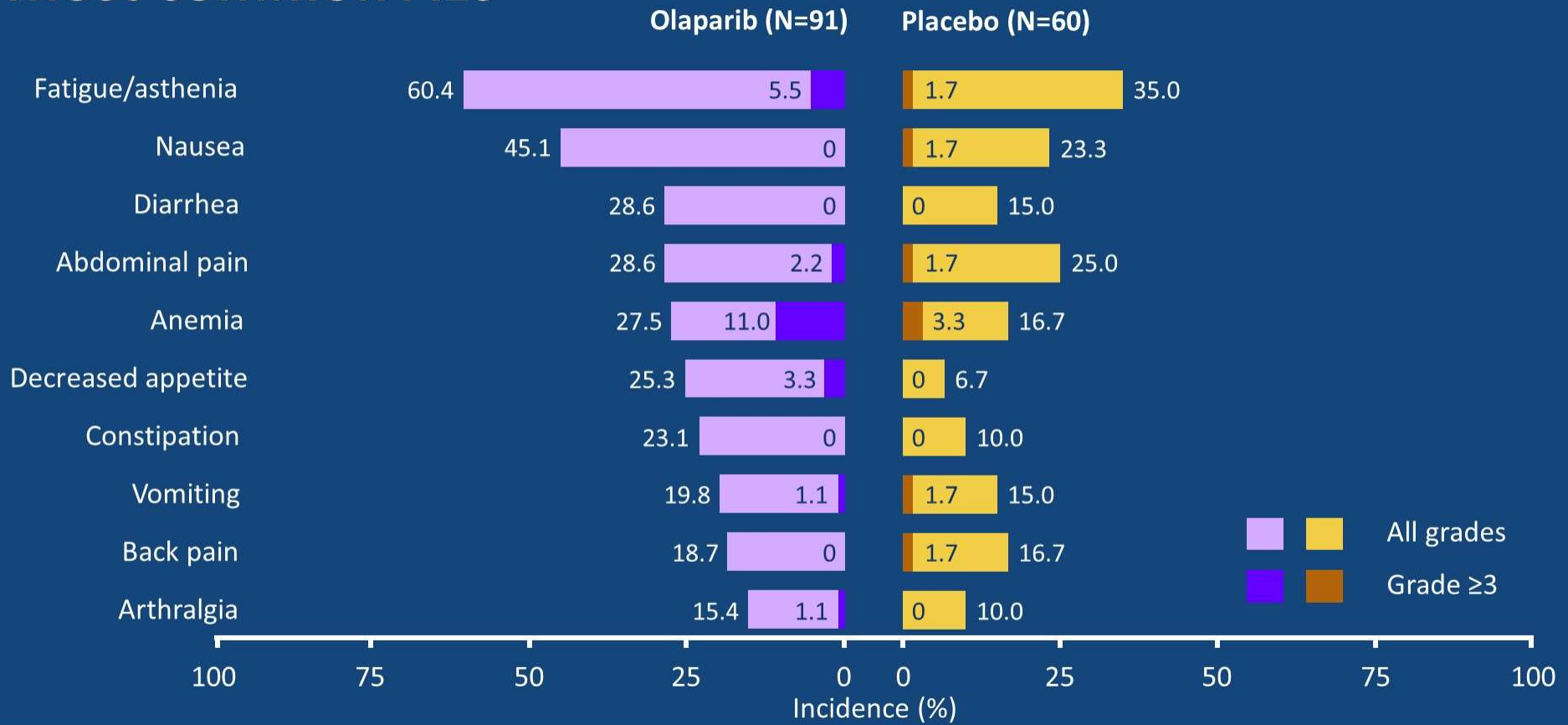
\*By modified RECIST v1.1. †January 15, 2019

# Safety summary: AEs and exposure

	Olaparib (N=91)	Placebo (N=60)
Any grade, n (%)	87 (95.6)	56 (93.3)
Grade ≥3, n (%)	36 (39.6)	14 (23.3)
AEs leading to dose interruption, n (%)	32 (35.2)	3 (5.0)
AEs leading to dose reduction, n (%)	15 (16.5)	2 (3.3)
AEs leading to treatment discontinuation, n (%)	5 (5.5)	1 (1.7)
<b>Median duration of treatment, months (range)</b>	<b>6.0 (0.8–45.3)</b>	<b>3.7 (0.1–30.1)</b>

AE, adverse event

# Most common AEs



# Discussion

## Strengths

- Well designed and executed prospective randomized phase III study
- Biomarker-selected patients
- First evidence of maintenance strategy

## Limitations

- Small percentage of pancreatic cancer patients eligible
- Discontinuation strategy
- No OS benefit but data not mature
- A lot of new questions...

## Practice Changing?

- Yes

# Key Unanswered Questions

- Germline vs somatic
- BRCA1/2 vs BRCAness
- platinum vs PARPi
  - Platinum insensitive = PARPi insensitive?
- Not all PARPi's are created equal
- Maintenance setting vs other
- Chemo combination
- Resistance
- Super-responders



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# Rucaparib Maintenance for Advanced, Platinum Sensitive *BRCA* or *PALB2* Related Pancreatic Cancer: An Interim Analysis

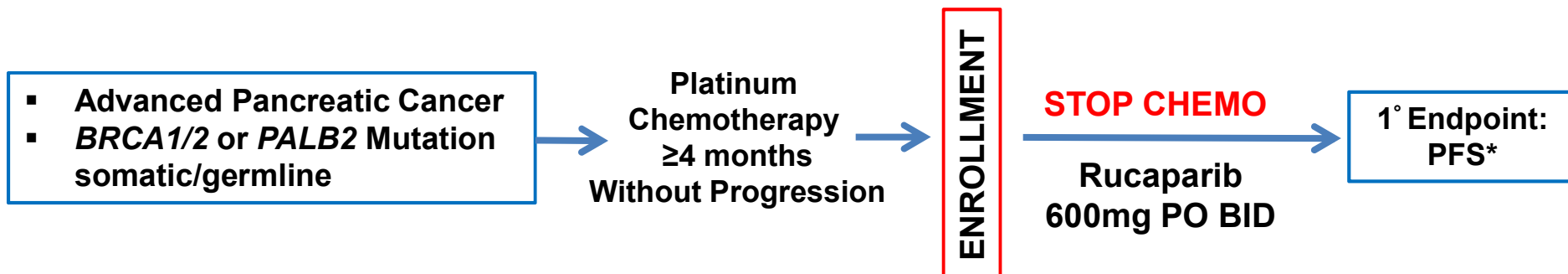
Kim A. Reiss Binder, Rosemarie Mick, Mark O'Hara, Ursina Teitelbaum, Thomas Karasic, Charles Schneider, Peter J. O'Dwyer, Erica Carpenter, Austin Pantel, Mehran Makvandi, David Mankoff, Katherine Nathanson, Kara Maxwell, Stacy Cowden, Mary Jane Fuhrer, Janae Romeo, Gregory L. Beatty, Susan Domchek.

American Association for Cancer Research  
2019 Annual Meeting



## Study Schema

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**\*Null hypothesis: PFS6 rate in this population of subjects is 44%. The alternative hypothesis is that the PFS6 rate is 60%. Forty-two patients provide 81% power to detect this increase in PFS6, at a two-sided 5% significance level.**




# Key Study Entry Criteria and Study patient characteristics

## Inclusion Criteria

- ✓ Advanced pancreatic carcinoma
- ✓ Pathogenic somatic or germline mutation in *BRCA1*, *BRCA2* or *PALB2*
- ✓ ≥16 weeks of platinum chemotherapy without cancer progression *unless* a legitimate toxicity prevents the full 16 weeks to be given
- ✓ ECOG 0-1

## Exclusion Criteria

- ✓ Prior PARPi
- ✓ Progressive disease on platinum therapy

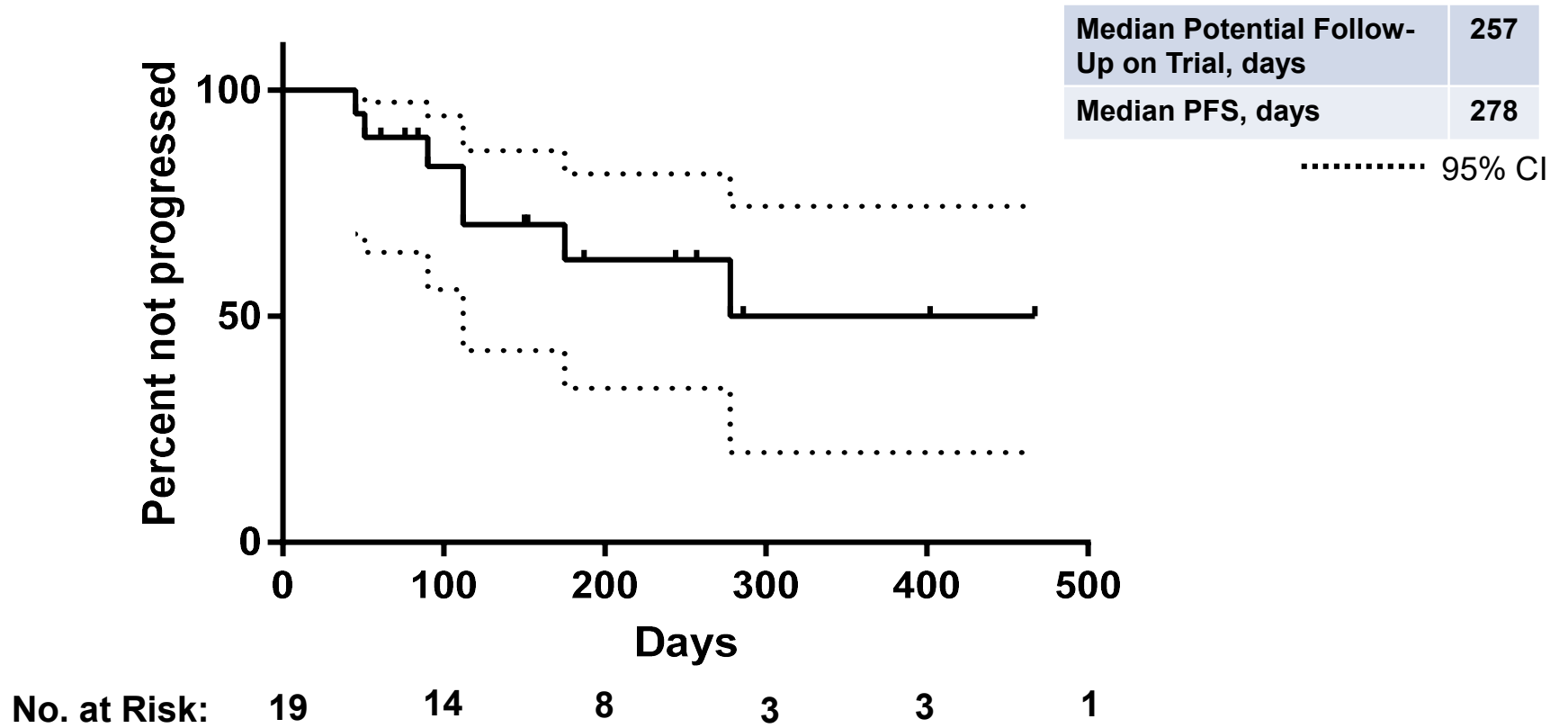
<b>Age, y</b>	
Median (range)	61 (35-81)
<b>Sex, n (%)</b>	
Male	3 (15.8)
Female	16 (84.2) 
<b>Ethnicity, n (%)</b>	
Caucasian	18 (94.7)
African American	1 (5.3)
<b>Mutation, n (%)</b>	
Germline <i>BRCA1</i>	3 (15.8)
Germline <i>BRCA2</i>	13 (68.4)
Germline <i>PALB2</i>	2 (10.5) 
Somatic <i>BRCA2</i>	1 (5.3) 
<b>Number of weeks on platinum prior to enrollment, n (%)</b>	
<16	4 (21)
16-52	13 (68.4)
>52	2 (10.5)
<b>Measurable disease at time of enrollment, n (%)</b>	
Yes	17 (89.5)
No	2 (10.5)

## Toxicities At Least Possibly Related to Treatment\*

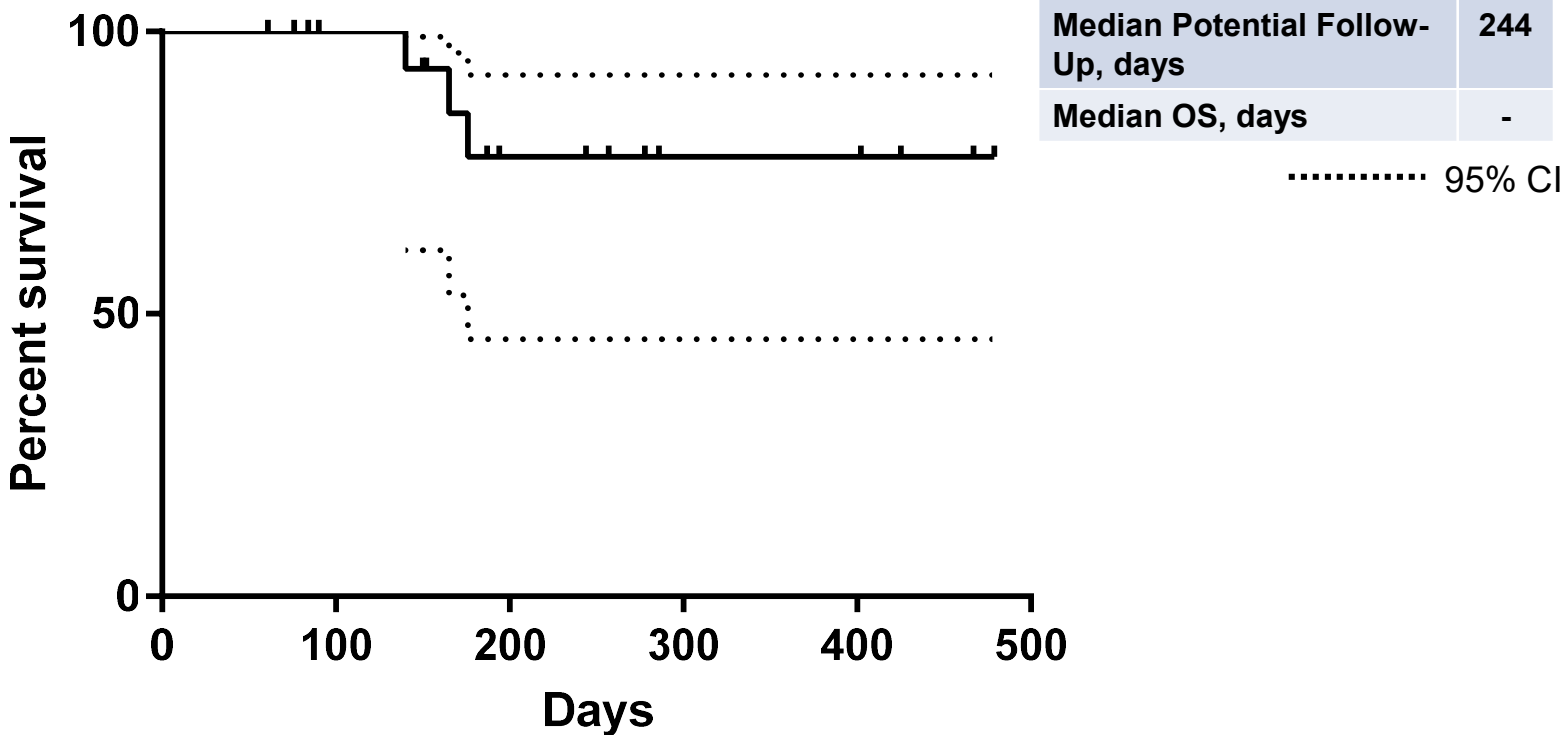
Event	Grade 1 or 2; n(%)	Grade 3 or 4; n(%)	All Grades; n(%)
<b>Gastrointestinal</b>			
Nausea	10 (43.4)	0 (0)	10 (43.4) ←
Vomiting	3 (13.0)	0 (0)	3 (13.0)
Diarrhea	4 (17.4)	0 (0)	4 (17.4)
ALT Increase	5 (21.7)	0 (0)	5 (21.7)
AST Increase	3 (13.0)	0 (0)	3 (13.0)
Dysgeusia	8 (34.8)	0 (0)	8 (34.8) ←
<b>Hematological</b>			
Anemia	2 (8.6)	0 (0)	2 (8.6)
<b>Constitutional</b>			
Fatigue	6 (26.1)	0 (0)	6 (26.1) ←

\*Toxicities occurring in >1 patient

# Progression Free Survival on Monotherapy Rucaparib

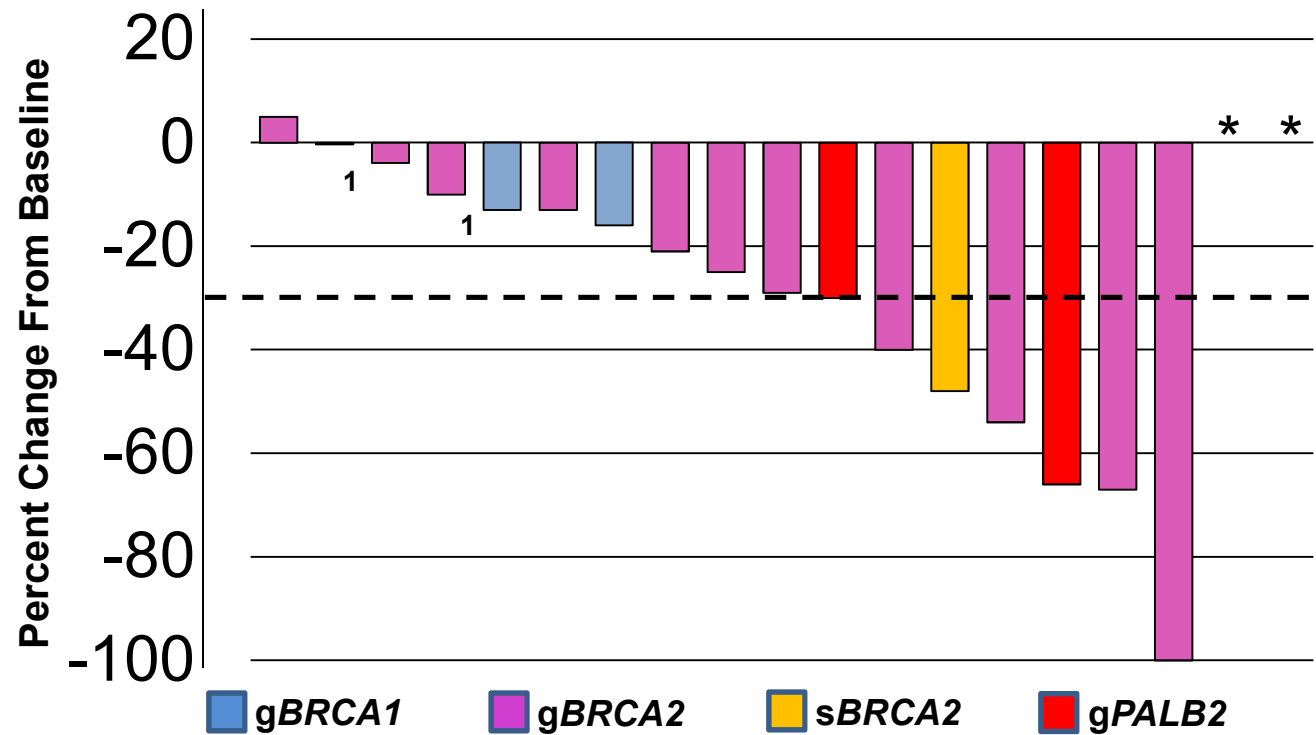


# Overall Survival on Monotherapy Rucaparib



No. at Risk: 19      16      9      5      5      1

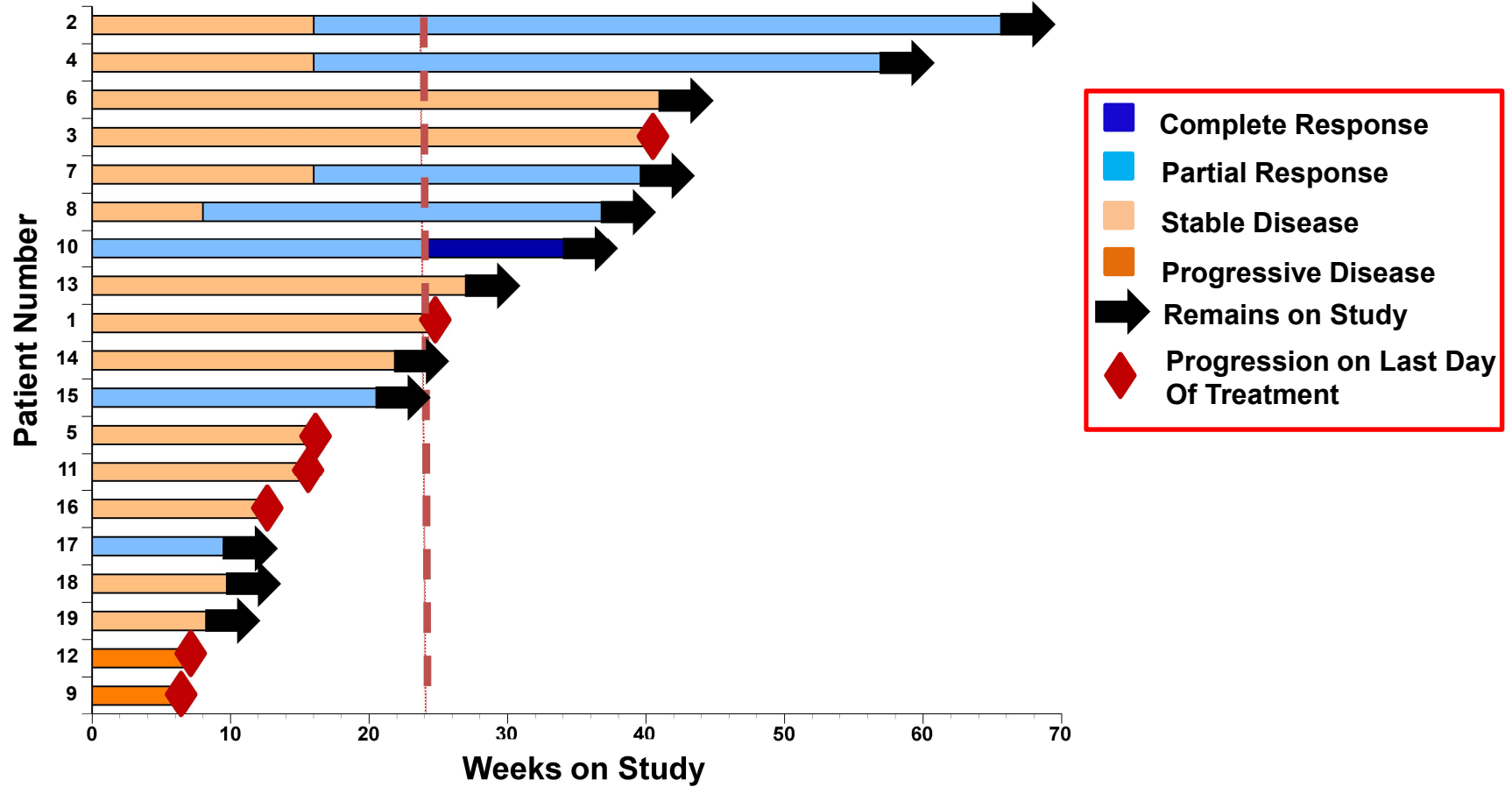
## Best Percent Change by RECIST v1.1



ORR all patients	37.8%
ORR evaluable patients	41.1%
DCR at 8 weeks	89.5%

\*NED at Study Start  
 1 New Lesions

# Response Rate Over Time



# Advanced Pancreatic Cancer Clinical Trials Summary

	# pts	Year Reported	Where done	Overall Survival	1 year Survival	Progression-Free Survival	Response Rate
	126	1997	North America				
	569	2007	International				
	342	2011	France				
	861	2013	International				



## A Proposed Novel Treatment Approach

### Current Paradigm

Patients With  
Advanced Pancreatic Cancer

Chemotherapy

-Progression  
-Toxicity  
-Clinical Decline  
-Death

### Proposed Paradigm

Patients With  
Advanced Pancreatic Cancer

Induction  
Chemotherapy

Disease  
Stabilization

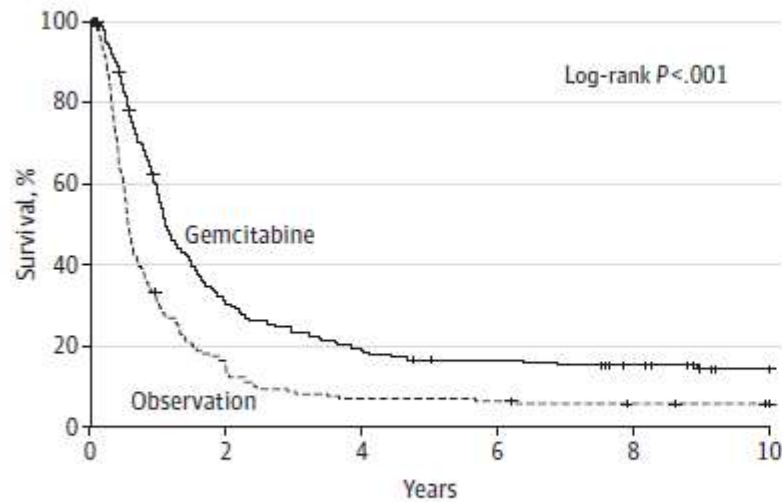
Maintenance

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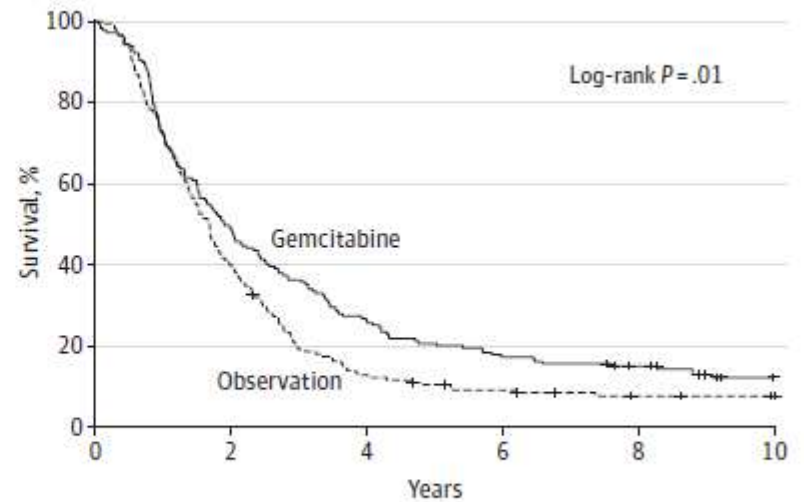
# Conko-001

**A** Disease-free survival



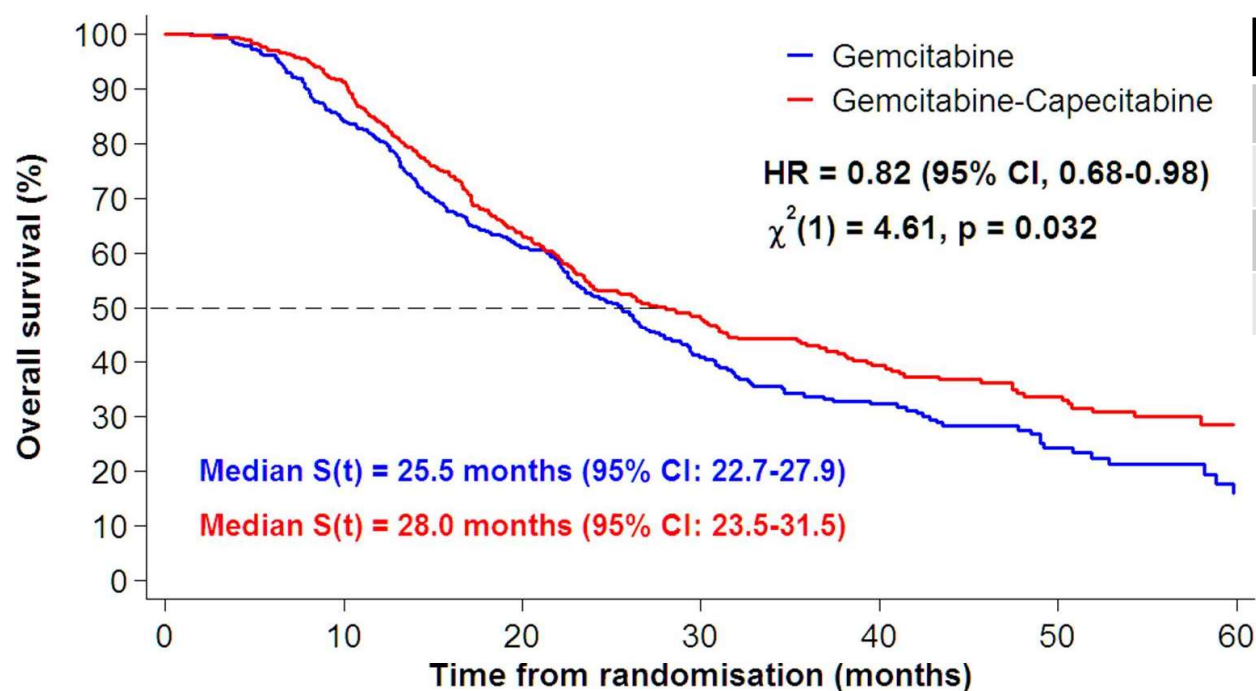
No. at risk	0	2	4	6	8	10
Gemcitabine	179	52	32	26	20	12
Observation	175	26	12	11	8	6

**B** Overall survival



No. at risk	0	2	4	6	8	10
Gemcitabine	179	87	47	31	24	14
Observation	175	70	22	14	9	7

# ESPAC-4 – gem vs gem/capecitabine



Gr 3/4	Gem	Gem-Cap
SAE's	26%	24%
ANC	24%	38%
Hand Foot	0	7%
Diarrhea	2%	5%

## No. at Risk

Gem	366	302	207	109	61	27	9
GemCap	364	328	219	139	83	50	19

# Primary Endpoint

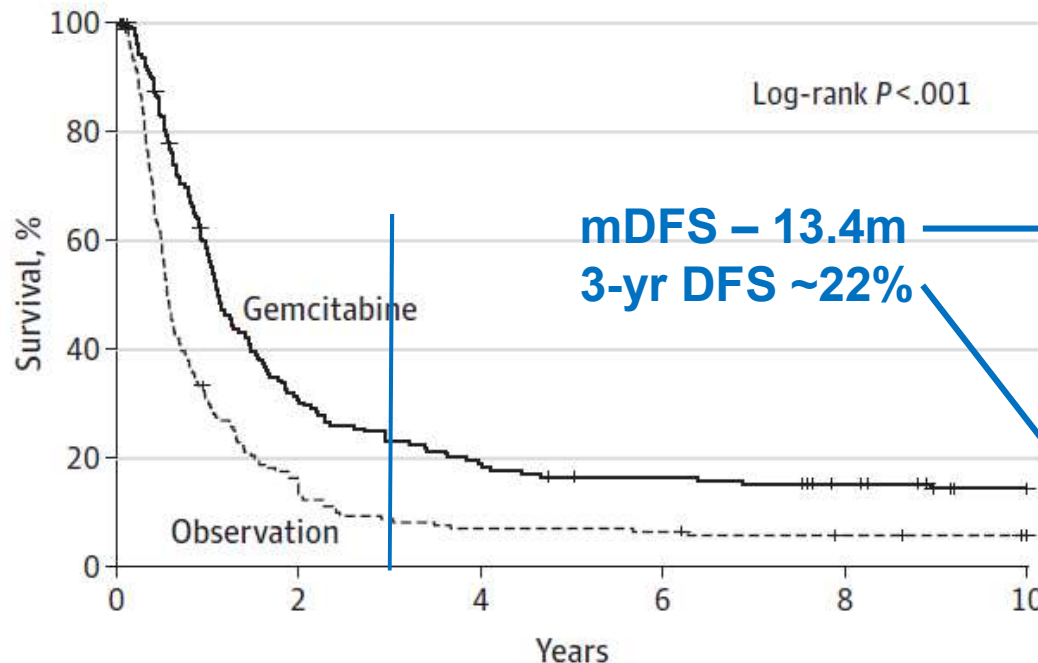
## Disease-Free Survival

A Disease-free survival

CONKO-001

No DFS events: 314

Median DFS:



- **21.6 mths [95%CI: 17.7-27.6] with mFolfinox**
- **12.8 mths [95%CI: 11.7-15.2] with Gemcitabine**

3-year DFS:

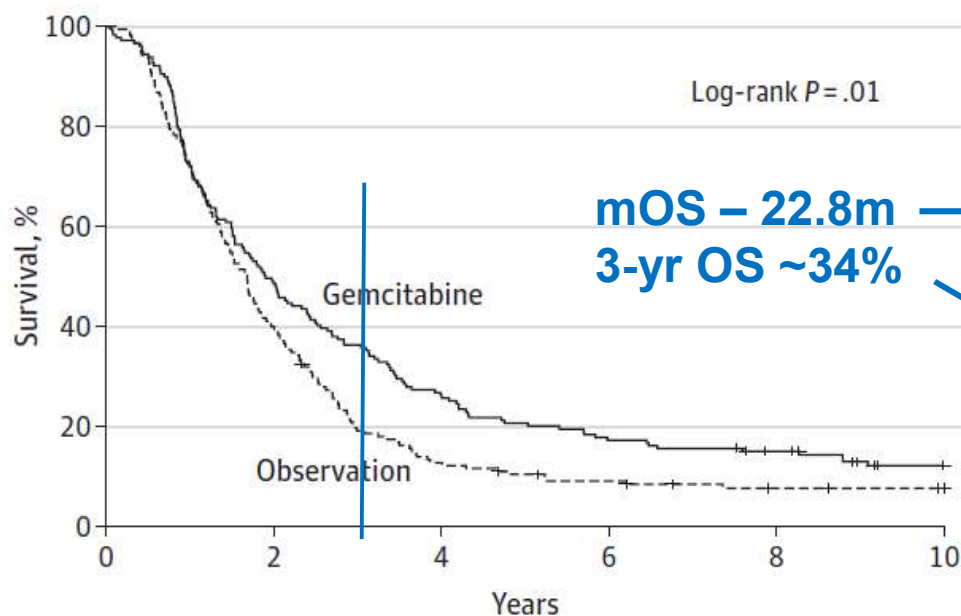
- **39.7% [95%CI: 32.8-46.6] with mFolfinox**
- **21.4% [95%CI: 15.8-27.5] with Gemcitabine**

No. at risk		0	2	4	6	8	10
Gemcitabine	179	52	32	26	20	12	
Observation	175	26	12	11	8	6	

# Overall Survival

B Overall survival

CONKO-001



No. at risk		0	2	4	6	8	10
Gemcitabine	179	87	47	31	24	14	
Observation	175	70	22	14	9	7	

Median overall survival:

- **54.4 months** [95%CI: 41.8-NR] with mFolfinox
- **35.0 months** [95%CI: 28.7-43.9] with Gemcitabine

3-year overall survival:

No OS events=192

- **63.4% (mFolfinox) vs 48.6 % (Gem)**

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ANNUAL MEETING

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PRESENTED BY: Thierry Conroy

Presented By Thierry Conroy at 2018 ASCO Annual Meeting

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**COMPREHENSIVE**  
**CANCER CENTER**

# Adjuvant Pancreatic Cancer Clinical Trials Summary

Trial	Study Arms	# pts	Dates	Where done	DFS (m)	mOS (m)
CONKO-001	Gemcitabine	354	2007 <sup>1</sup> (1998-04)	Germany Austria	13.4	22.1
	observation				6.9	20.2

1. Oettle et al. JAMA (2007) 297: 267-77.
2. Neoptolemos et al. Lancet (2017) 389: 1011-24.
3. Conroy et al. NEJM (2018) 379: 2395-2406.

Abstract 4000

# APACT: Phase III, Multicenter, International, Open-Label, Randomized Trial of Adjuvant *nab*<sup>®</sup>-Paclitaxel Plus Gemcitabine vs Gemcitabine for Surgically Resected Pancreatic Adenocarcinoma

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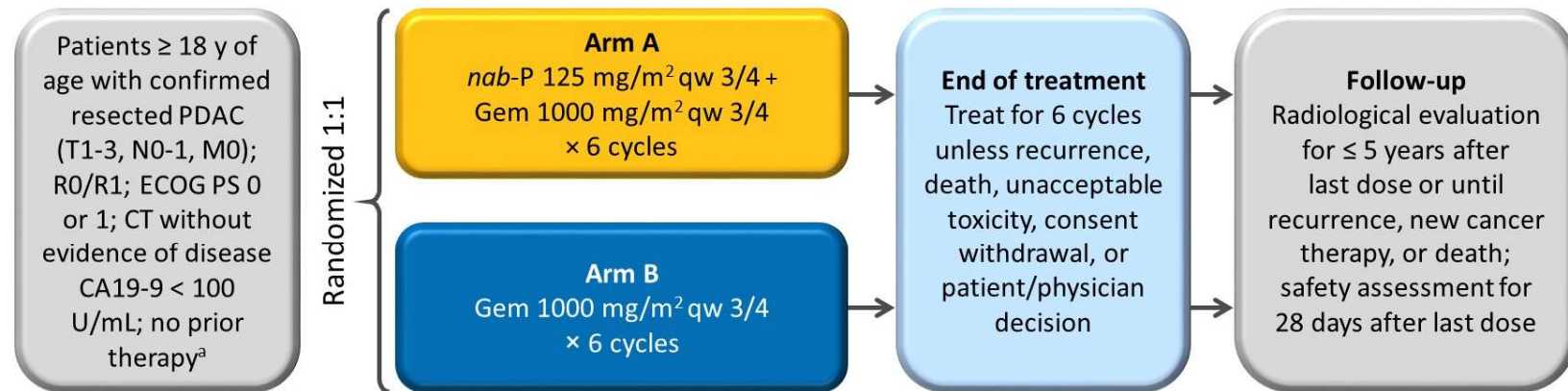
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# STUDY DESIGN

## APACT: phase III, multicenter, international, open-label, randomized trial

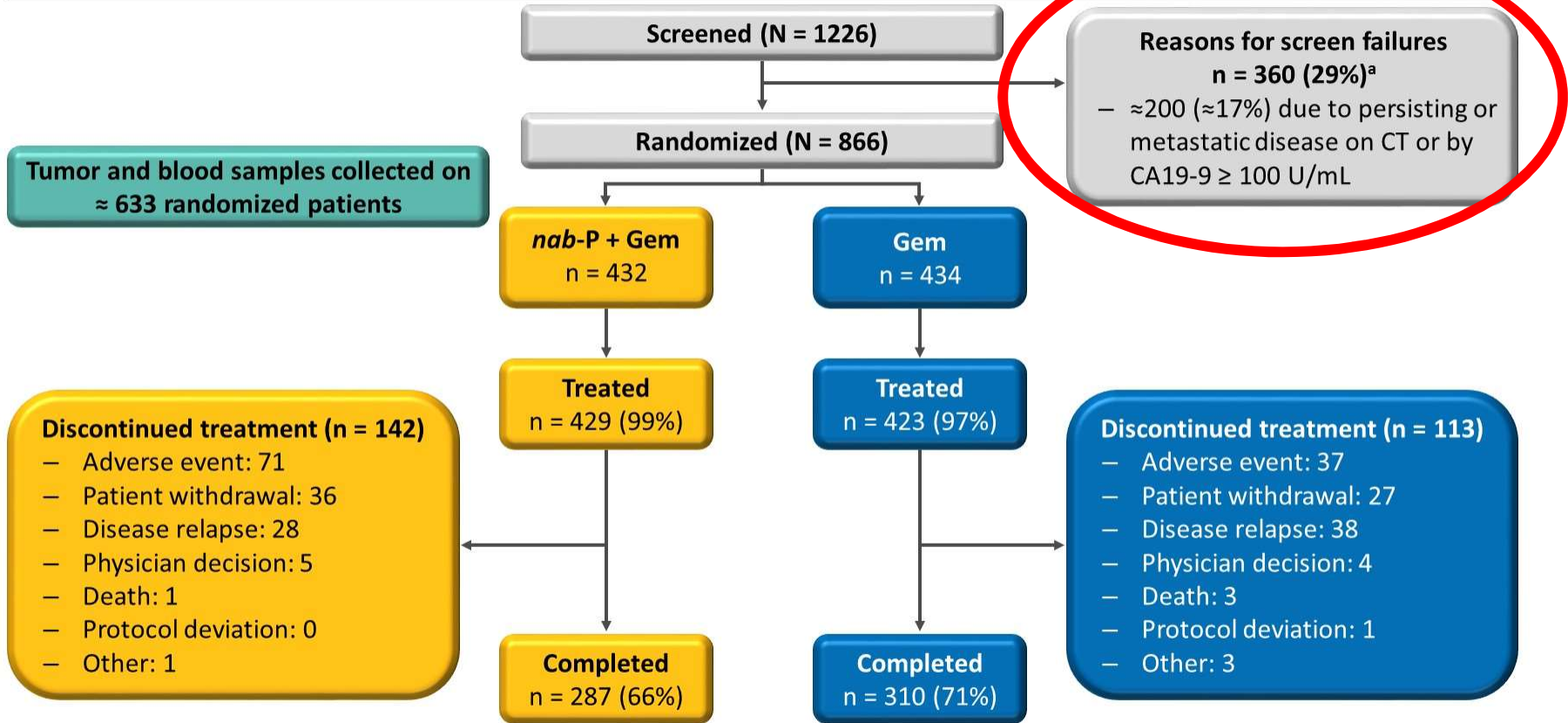


- Patients were randomized as early as possible after adequate recovery from surgery but no later than 12 weeks after surgery
- Stratification factors: resection status (R0 vs R1); lymph node status (LN+ vs LN-); geographic region (North America, Europe and Australia vs Asia Pacific)

### Sample Size and Power Considerations

Endpoint	<i>nab-P + Gem</i>	Gem
<b>Primary (independently assessed DFS)</b>		
Median, months	18.5	13.5
HR for disease recurrence or death		0.73
Events required for 90% power at 2-sided $\alpha$ of 0.05, n		438
<b>Secondary (OS)</b>		
Events to be analyzed as supportive analysis, n		≈ 630
Type 1 error control for OS		None

# PATIENT DISPOSITION



<sup>a</sup> Patients could have > 1 reasons for screen failures.

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## SELECTED BASELINE CHARACTERISTICS (ITT POPULATION)



Characteristic	<i>nab</i> -P + Gem (n = 432)	Gem (n = 434)	Total (N = 866)
Age, median (range), years	64.0 (34 - 83)	64.0 (38 - 86)	64.0 (34 - 86)
Sex, male, n (%)	228 (53)	253 (58)	481 (56)
ECOG PS, n (%)			
0	252 (58)	268 (62)	520 (60)
1	180 (42)	166 (38)	346 (40)
Resection status, n (%)			
R0 (tumor-free margin)	327 (76)	334 (77)	661 (76)
R1 (microscopically positive margin)	105 (24)	100 (23)	205 (24)
Nodal status, n (%)			
Lymph node negative	121 (28)	122 (28)	243 (28)
Lymph node positive	311 (72)	312 (72)	623 (72)
Baseline CA19-9			
n	423	429	852
Median, U/mL	14.31	12.90	13.65
Tumor grade, n (%)			
Well differentiated	49 (11)	55 (13)	104 (12)
Moderately differentiated	264 (61)	241 (56)	505 (58)
Poorly differentiated	101 (23)	115 (26)	216 (25)
Undifferentiated	1 (< 1)	2 (< 1)	3 (< 1)
Other/unknown	17 (4)	21 (5)	38 (4)

Gem, gemcitabine; ITT, intention-to-treat; *nab*-P, *nab*-paclitaxel.

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## TREATMENT EXPOSURE AND DOSE MODIFICATIONS (TREATED POPULATION)



Parameters	<i>nab</i> -P + Gem		Gem
<b>Treatment exposure</b>	<b>(n = 429)</b>		<b>(n = 423)</b>
Treatment duration, median (range), weeks	24.0 (0.7 - 33.0)		24.0 (1.3 - 31.9)
Treatment cycles, median (range), n	6.0 (1 - 6)		6.0 (1 - 6)
	<b><i>nab</i>-P</b>	<b>Gem</b>	
Relative dose intensity, median, %	75.1	80.0	91.2
Cumulative dose, median, mg/m <sup>2</sup>	1500	13,200	15,000
<b>Dose modifications</b>			
Patients with ≥ 1 dose reduction, n (%)	273 (64)	266 (62)	213 (50)

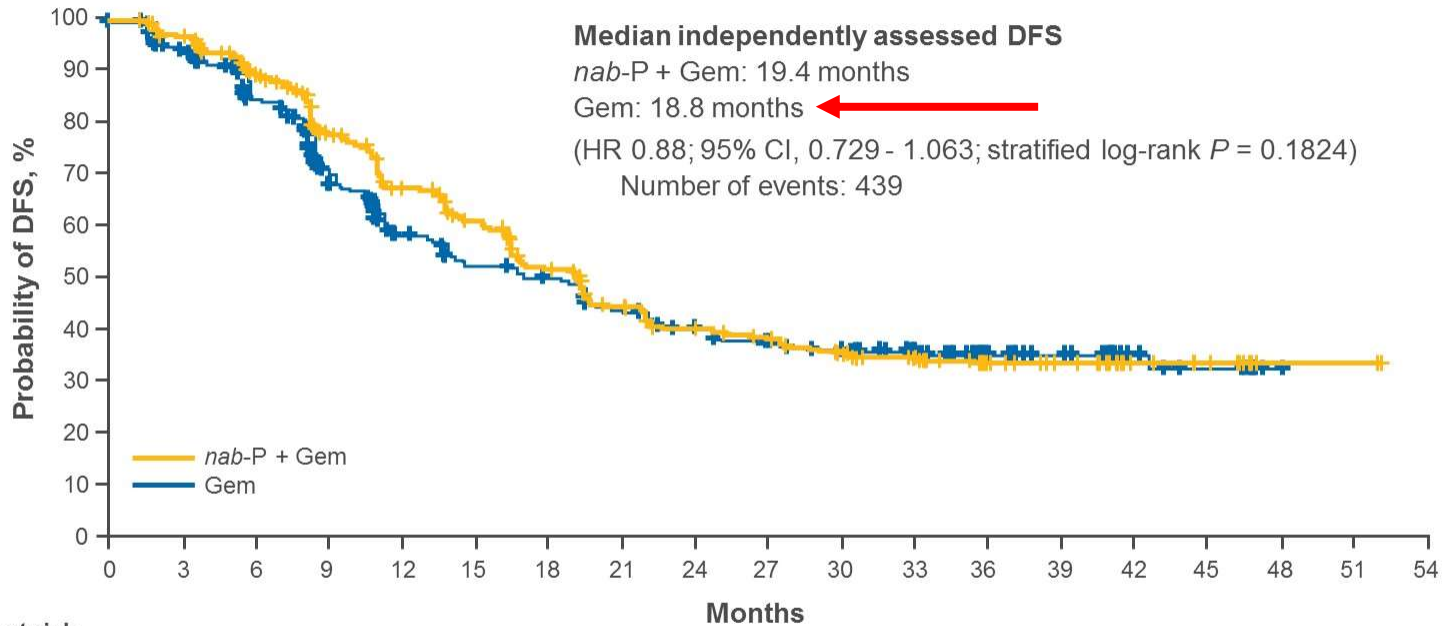
- Overall, 69% of patients completed 6 treatment cycles (*nab*-P + Gem, 66%; Gem, 71%)
- 59% of patients on *nab*-P + Gem received dosing of *nab*-P in cycle 6

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# PRIMARY ENDPOINT: INDEPENDENTLY ASSESSED DFS (ITT POPULATION)

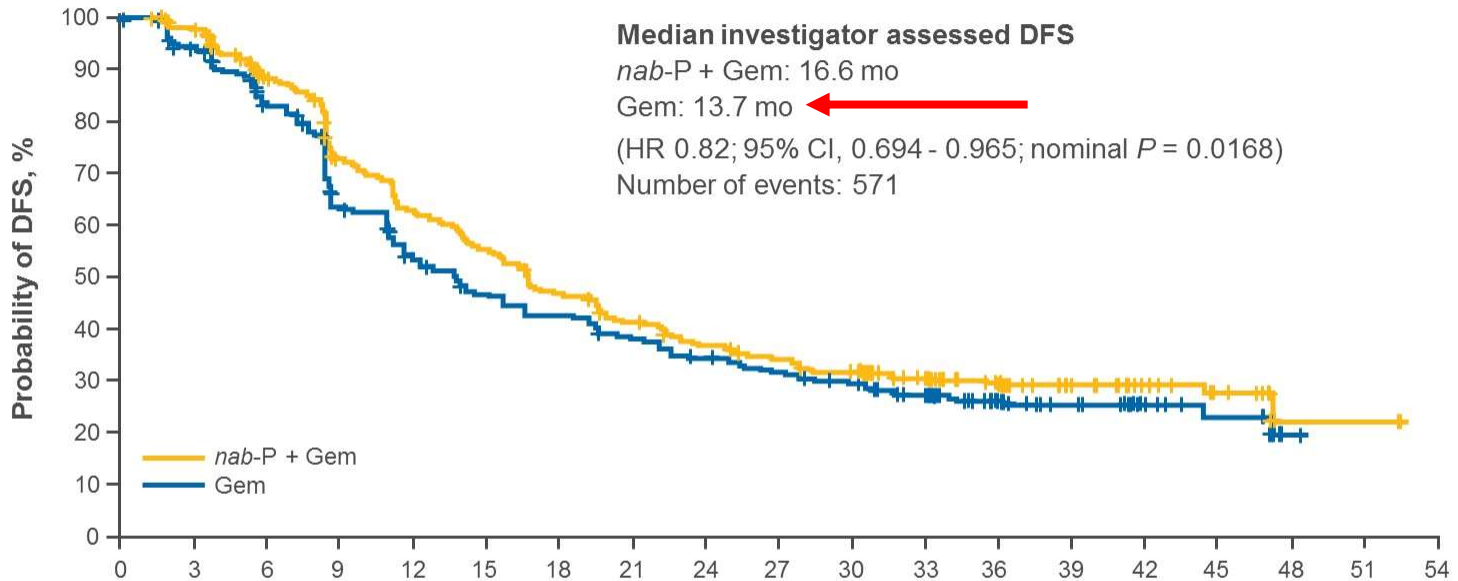


**Patients at risk**

<i>nab</i> -P + Gem	432	391	338	279	236	204	167	138	121	112	99	88	54	43	20	14	2	2
Gem	434	368	309	235	183	157	147	127	116	105	98	88	59	42	15	10	1	

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# PRESPECIFIED SENSITIVITY ANALYSIS: INVESTIGATOR ASSESSED DFS (ITT POPULATION)



**Patients at risk**

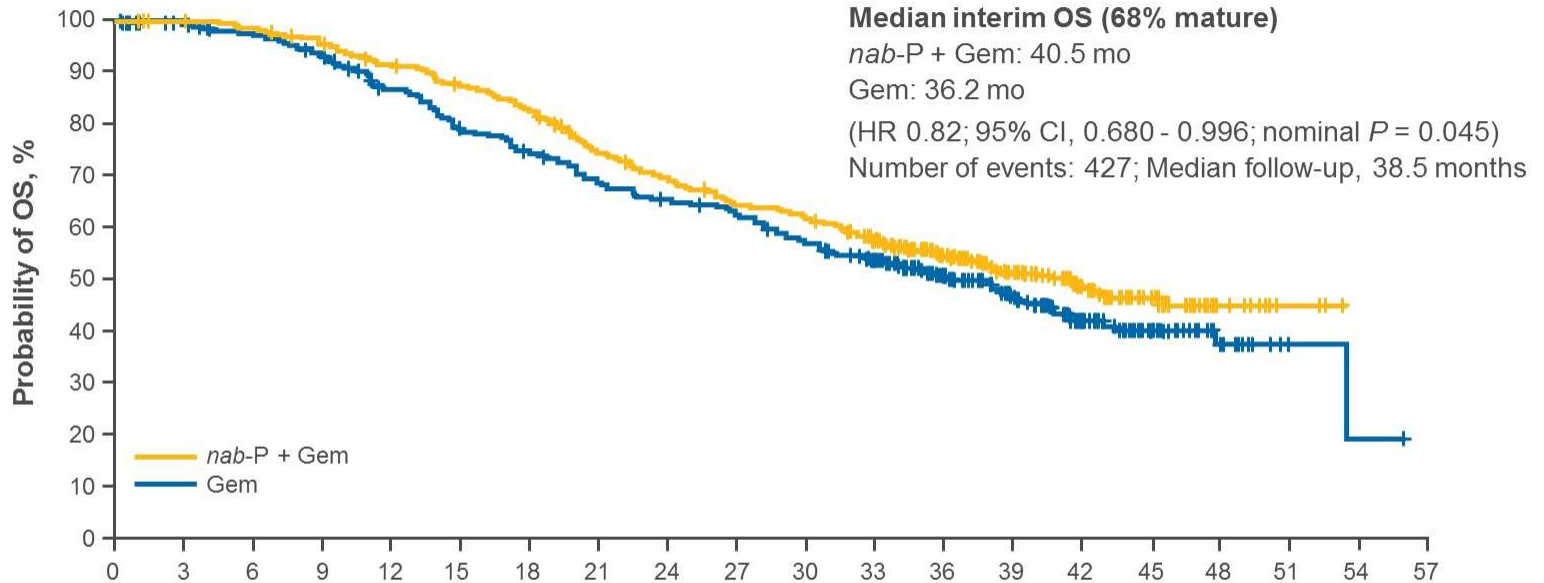
**Months**

<i>nab</i> -P + Gem	432	406	355	287	246	216	183	160	141	128	118	98	59	46	24	16	2	2
Gem	434	384	330	247	202	175	159	142	127	116	106	92	59	42	14	9	1	

- The concordance rate between disease recurrence by independent radiological review and by investigator review was 77%

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# SECONDARY ENDPOINT: INTERIM OS (ITT POPULATION)



	Patients at risk																			
	Months																			
	0	3	6	9	12	15	18	21	24	27	30	33	36	39	42	45	48	51	54	57
<i>nab</i> -P + Gem	432	427	420	406	385	366	344	307	284	264	252	219	162	113	73	40	12	3		
Gem	434	415	404	384	354	320	301	275	262	249	228	198	153	101	64	29	12	2	1	

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## SAFETY (TREATED POPULATION)

Event, n (%)	<i>nab</i> -P + Gem (n = 429)	Gem (n = 423)
<b>Safety summary</b>		
Patients with ≥ 1 grade ≥ 3 TEAE	371 (86)	286 (68)
Patients with ≥ 1 serious TEAE	176 (41)	96 (23)
<b>Grade ≥ 3 hematologic TEAEs (occurring in ≥ 5% of patients in either treatment arm)</b>		
Any hematologic TEAEs	250 (58)	204 (48)
Neutropenia	212 (49)	184 (43)
Anemia	63 (15)	33 (8)
Leukopenia	36 (8)	20 (5)
Febrile neutropenia	21 (5)	4 (1)
<b>Grade ≥ 3 nonhematologic TEAEs (occurring in ≥ 5% of patients in either treatment arm)</b>		
Peripheral neuropathy (SMQ) <sup>a</sup>	64 (15)	0
Fatigue	43 (10)	13 (3)
Diarrhea	22 (5)	4 (1)
Asthenia	21 (5)	8 (2)
Hypertension	17 (4)	27 (6)

- TEAEs led to death in 2 patients in each arm
- Ten patients (16%) with grade ≥ 3 peripheral neuropathy improved to grade ≤ 1
- The incidence of TEAEs of special interest—gastrointestinal events, hepatic toxicity, and sepsis—was generally low in both arms

MedDRA, Medical Dictionary for Regulatory Activities; SMQ, standardized MedDRA query; TEAE, treatment-emergent adverse event.

<sup>a</sup> Reported as a group term.

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## CONCLUSIONS



- The primary endpoint of independently assessed DFS was not met
  - APACT is the first trial of adjuvant therapy in PC to use independently assessed DFS
  - Investigator-assessed DFS aligned more closely with OS results than independently assessed DFS
- Consistent with other trials, the survival with Gem monotherapy was markedly improved, suggesting better patient selection and benefit from treatment with contemporary therapies upon recurrence of disease
- The *nab*-P + Gem safety profile was consistent with what was observed in the MPACT trial<sup>1</sup>
- Results of ongoing biomarker and QoL analyses will be presented at future meetings
- Final OS data will clarify the role for adjuvant *nab*-P + Gem in resected PC
  - Continued investigation of the regimen (eg, in patients with positive lymph nodes or R1 resection as well as those who are not candidates for FOLFIRINOX) is warranted

1. Von Hoff DD, et al. *N Engl J Med.* 2013; 369:1691-1703.

# Discussion

## Strengths

?

- ~~Well designed~~ and executed prospective randomized phase III study
- International multi-center

## Limitations

- Negative study
- Final OS pending
- Missed opportunity?

## Practice Changing?

- No

# Adjuvant Pancreatic Cancer Clinical Trials Summary

Trial	Study Arms	# pts	Dates	Where done	DFS (m)	mOS (m)
CONKO-001		354	2007 <sup>1</sup> (1998-04)	Germany Austria		
ESPAC-4		569	2017 <sup>2</sup> (2008-14)	GB, Germany, France, Sweden		
PRODIGE 24		493	2018 <sup>3</sup> (2012-16)	France, Canada		
APACT		866	2019 <sup>4</sup> (2014-18)	International		

1. Oettle et al. JAMA (2007) 297: 267-77.
2. Neoptolemos et al. Lancet (2017) 389: 1011-24.
3. Conroy et al. NEJM (2018) 379: 2395-2406.
4. Tempero et al. ASCO 2019. Abstract #4000.

# Key Unanswered Questions

- OS benefit
- Role for radiation
  - RTOG 0848
- Neoadjuvant vs adjuvant

# Outline

- Pancreatic Cancer
  - Germline testing
  - PARPi maintenance
  - Adjuvant therapy
  - Immunotherapy

# Future = Immunotherapy?

Trial and Strategy	Mechanism of Action	Design of Clinical Trial	Table courtesy of and adapted from Eileen O'Reilly, MD
Phase 2 study of GVAX vaccine +/- cyclophosphamide in resectable PDAC NCT00727441	Induction of effector immune cells and inhibition of T regulatory cells via whole cell cancer vaccine	Arm A: GVAX day 1 and 6-10 weeks after surgery on day 15. Arm B: CTX day 0 and GVAX day1 and 6-10 weeks after surgery (day15). Arm C: GVAX day1 and 6-10 weeks after Sx, CTX day1-7 and day 1-7 after surgery PE: Safety, feasibility, and immune response SE: OS and PFS	
Phase 1/2 study of neoadjuvant/adjuvant GVAX vaccine +/- nivolumab (anti PD-1). NCT02451982	Induction of effector immune cells with whole cell cancer vaccine +/- removal of negative regulatory signals	Arm A: CTX day 0, GVAX day 1 and 6-10 days after Sx (4 course), Sx day 15 and Adjuvant Arm B: CTX day 0, GVAX day 1 and 6-10 weeks after Sx (4 course), Sx day 15 and nivolumab day 0 and 6-10 weeks after Sx PE: Median IL17A expression in vaccine-induced lymphoid aggregates SE: OS and DFS	
Phase 2 study of Ipilimumab (anti-CTLA4) and GVAX vaccine in metastatic PDAC NCT01896869	Induction of effector immune cells with whole cell cancer vaccine +/- removal of negative regulatory signals	Arm A: FOLFIRINOX followed by Ipilimumab+GVAX; Ipilimumab and GVAX will be administered every 3 weeks for 4 doses, then every 8 weeks. Arm B: FOLFIRINOX continuous PE: OS; SE: Adverse effects, PFS, objective response, immune-related PFS, CA19-9	
Phase 1 study of antigen-loaded Dendritic cell in combination with chemotherapy NCT02548169	Induction of effector immune cells	Arm A: DC Vaccine + Standard of care chemotherapy in resectable or locally advanced disease Arm B: DC Vaccine + Standard of care chemotherapy in metastatic PDAC patients PE: Safety and feasibility; SE: OS, PFS	
Phase 2 study of GVAX vaccine and CRS-207 +/- nivolumab in metastatic PDAC patients NCT02243371	Induction of effector immune cells with whole cell cancer vaccine +/- removal of negative regulatory signals	Arm A: CRS day 2 of cycles 3-6, GVAX day 1 of cycles 1 and 2, nivolumab day1 of cycles 1-6. Arm B: CRS day 2 ( $1 \times 10^9$ CFU) of cycles 3-6, GVAX day 1 cycles of 1 and 2 PE: OS; SE: Systemic toxicities, TTP, immune-related PFS, response rate (RECIST), CA19-9	
Phase 1 study of ipilimumab with gemcitabine in advanced stage PDAC NCT01473940	Induction of effector immune cells by removing negative regulatory signals	Single Arm Induction: Ipilimumab weeks 1,4,7 and 10; gemcitabine weeks 1-7 and 9-11. Maintenance: Ipilimumab every 12 weeks and gemcitabine once weekly for 3 weeks PE: Safety and adverse effects; SE: OS, PFS, RR and T cell response	
Phase 2 study of durvalumab (anti-PD-L1) +/- tremelimumab (anti-CTLA4) in metastatic PDAC NCT02558894	Induction of effector immune cells by removing negative regulatory signals with immune check point inhibitors	Arm A: Durvalumab single agent IV infusion. Arm B: Durvalumab in combination with Tremelimumab IV infusion PE: Objective RR; SE: Duration of response, disease control rate, progression free survival, pharmacokinetics	
Phase 1/2A study of pembrolizumab with mFOLFOX in advanced GI cancers NCT02268825	Induction of effector immune cells by removing negative regulatory signals with immune check point inhibitor	Single Arm: Pembrolizumab day 1 of each cycle of FOLFOX (total 14 days) PE: Safety and tolerability in combination with mFOLFOX	
Phase 1 study of Nivolumab with Nab-paclitaxel ± gemcitabine in advanced PDAC NCT02309177	Induction of effector immune cells by removing negative regulatory signals with immune check point inhibitor	Arm A: Nivolumab with Nab-paclitaxel Arm B: Nivolumab with Nab-paclitaxel and gemcitabine PE: dose limiting toxicities, safety; SE: OS, PFS, disease control rate, duration of response	
Phase I study of Pembrolizumab in combination with hypofractionated RT NCT02203990	Induction of effector immune cells by immune check point inhibitor and sensitization of T cells by RT	Single Arm: Pembrolizumab along with radiation treatment PE: Safety and dose limiting toxicities	

# Outline

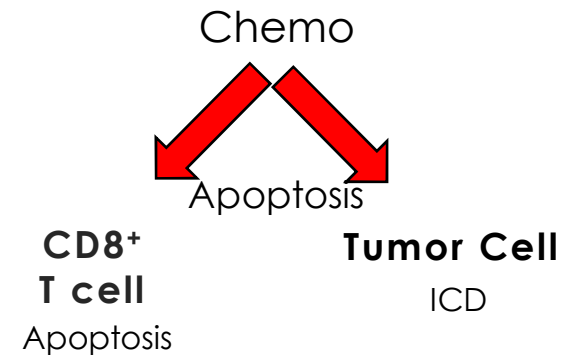
- Pancreatic Cancer
  - Germline testing
  - PARPi maintenance
  - Adjuvant therapy
  - Immunotherapy
    - IL10
    - CSF1R
    - CD40
    - CpG



# Rationale for AM0010 / Chemotherapy Combination to Induce Tumor Immunity

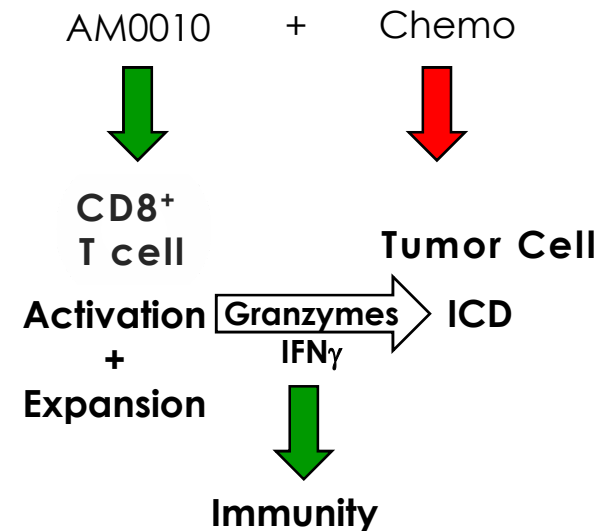
## Chemotherapy

- Oxaliplatin induces immunogenic tumor cell death - ICD (Tesniere, Oncogene 2010)
- In the absence of AM0010, chemotherapies also induce apoptosis of CD8+ T cells
- The release of tumor antigens will not trigger an immune response due to a lack of antigen presentation and T cell activation



## Chemotherapy + AM0010

- Chemotherapy induces ICD in tumor cell - AM0010 protects CD8+ T cells from apoptosis
  - through STAT3 activation
- IL-10 activates CD8+ T cells to express granzymes and FasL – increase apoptosis of tumor cells
- IL-10 activates CD8+ T cells to induce antigen presentation on tumor cells and macrophages
  - IFN $\gamma$  expression in CD8+ T cells
- This leads to the expansion of antigen activated, PD1+ CD8+ T cells and tumor immunity







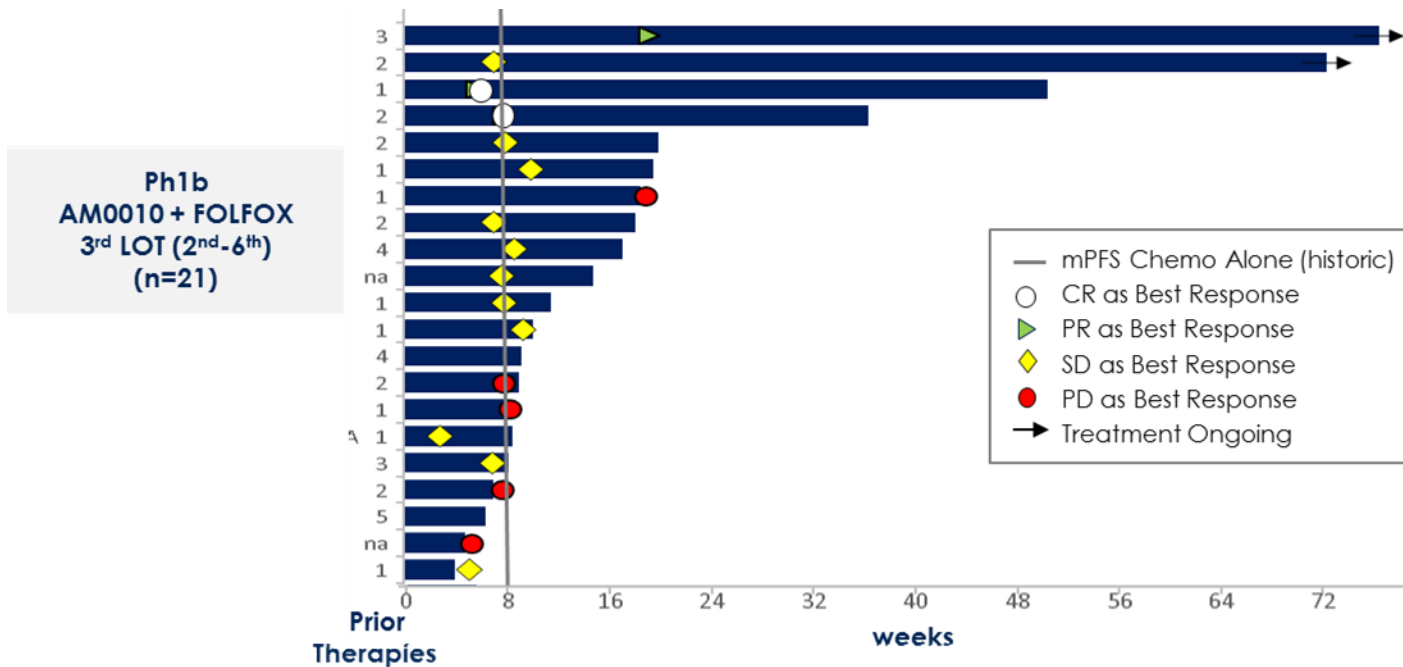
# Efficacy of AM0010/ AM0010+FOLFOX in PDAC

Treatment Combo (n=Evaluable Patients/ Enrolled Patients)	Prior Therapies Median (Range)	DCR n (%)	ORR (%)	CR (%)	mPFS (Months)	mOS (Months)
<b>AM0010<sup>®</sup></b> (n=15/22) <sup>+</sup>	3 (2-6)	8 (53%)*	0	0	1.7 <sup>&amp;</sup>	3.8 <sup>&amp;</sup>
<b>AM0010 + FOLFOX</b> (n=19/21)	2 (1-5) (no prior platinum)	15 (79%)	3 (16%)	2 <sup>#</sup> (11%)	3.5 <sup>&amp;</sup>	10.2 <sup>§</sup>
<b>FOLFOX</b> (Zaanan et al BMC 2014)	1	36%	0	0	1.7	4.3



# AM0010/FOLFOX Combination: PFS

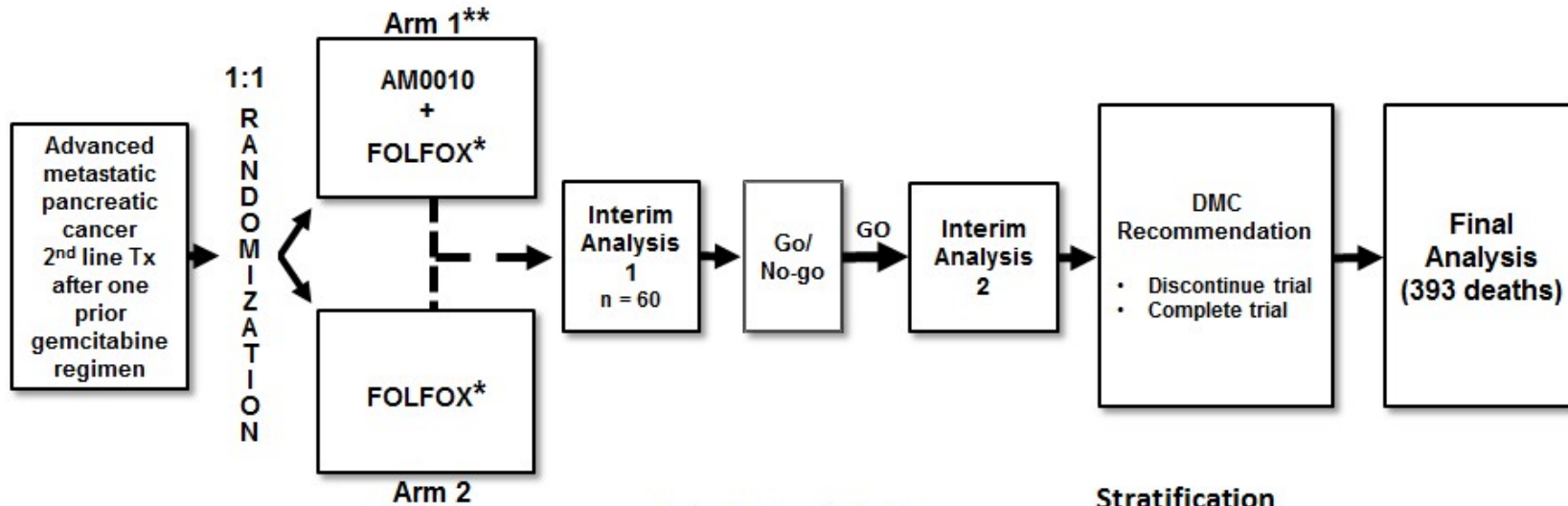
AM0010/FOLFOX Combo Therapy Induces Durable Clinical Responses in Late Stage Patients (Median 3<sup>rd</sup> LOT) Compared to FOLFOX in 2<sup>nd</sup> LOT



- 3.5 mo mPFS of median 3<sup>rd</sup> LOT (range 2<sup>nd</sup> – 6<sup>th</sup>) PDAC patients on AM0010 + FOLFOX (n=21), median follow-up 14.2 months (range 6.8-18.9)
- Compared to FOLFOX alone in 2<sup>nd</sup> LOT PDAC patients: 1.7 mo mPFS (Zaanan et al. BMC 2014) or 2.8 mo TTP (Pelzer et al Onkologie 2009 (OFF similar to FOLFOX))
- Compared to 3.1 mo mPFS of 2<sup>nd</sup> LOT PDAC patients on nano-liposomal irinotecan and 5-FU (Wang-Gillam Lancet Onc. 2015)



# Phase 3 Pancreatic Study Schema



- \* Up to 12 cycles of FOLFOX
- \*\* Arm 1 in the absence of tumor progression may continue maintenance with AM0010 alone after completion of FOLFOX or FOLFOX intolerance

**Interim Analysis 1**  
Aggregate PK exposure, safety, efficacy analysis

**Interim Analysis 2**  
After 276 deaths (70% of 393 deaths)

### Stratification

- Prior Gemcitabine or Prior Gemcitabine/nab-Paclitaxel
- North America vs. Europe vs. APAC

### Endpoints

- Primary endpoint: OS
- Secondary endpoints: PFS, ORR, Safety

# Outline

- Pancreatic Cancer
  - Germline testing
  - PARPi maintenance
  - Adjuvant therapy
  - Immunotherapy
    - IL10
    - CSF1R
    - CD40
    - CpG

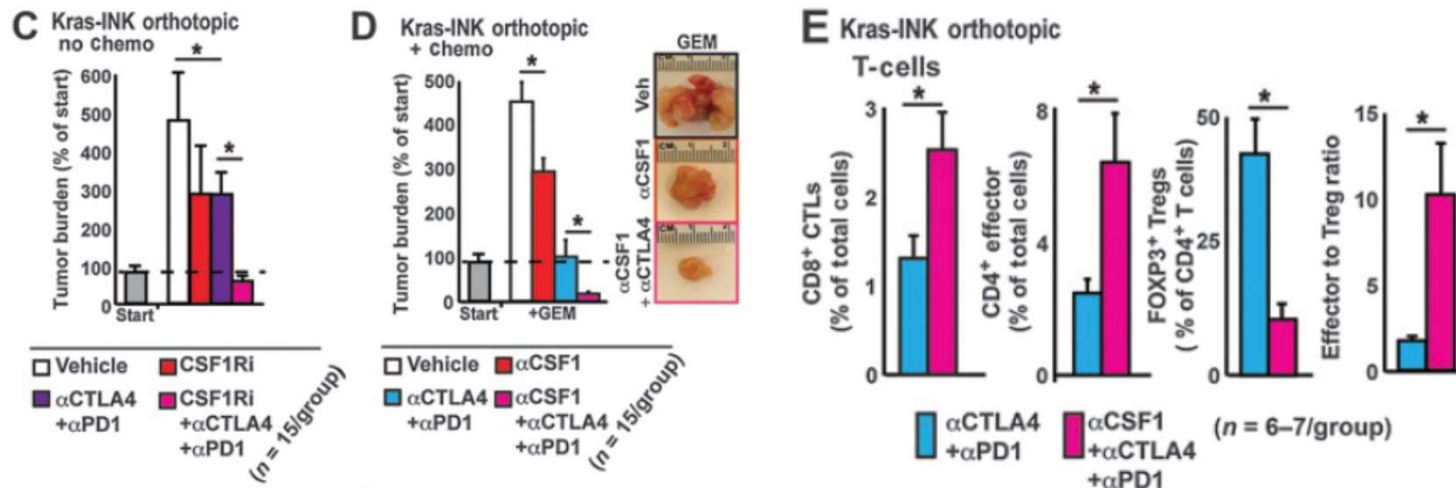
# CSF-1R

Microenvironment and Immunology

Cancer Research

## CSF1/CSF1R Blockade Reprograms Tumor-Infiltrating Macrophages and Improves Response to T-cell Checkpoint Immunotherapy in Pancreatic Cancer Models

Yu Zhu<sup>1,2</sup>, Brett L. Knolhoff<sup>1,2</sup>, Melissa A. Meyer<sup>1,2</sup>, Timothy M. Nywening<sup>3,4</sup>, Brian L. West<sup>5</sup>, Jingqin Luo<sup>4,6</sup>, Andrea Wang-Gillam<sup>1</sup>, S. Peter Goedegebuure<sup>3,4</sup>, David C. Linehan<sup>3,4</sup>, and David G. DeNardo<sup>1,2,4,7</sup>



Zhu et al. Cancer Res. (2014) 74:5057-69.

# CSF-1R

First-in-human phase I dose escalation and expansion of a novel combination, anti-CSF-1 receptor (cabiralizumab) plus anti-PD-1 (nivolumab) in patients with solid tumors

- 31 evaluable pancreatic cancer patients
- ORR 10%, 6m DCR 13%
- 3 confirmed PR in MSS patients (168+, 27%+, 293 days on)
- 1 prolonged SD (182 days)

# CSF-1R

Followup phase II study ongoing (planned 160 pts):

Arm A: gem/nab-paclitaxel or 5FU+liposomal irinotecan

Arm B: cabiralizumab + nivolumab

Arm C: cabiralizumab + nivolumab + gem/nab-paclitaxel

Arm D: cabiralizumab + FOLFOX

# Outline

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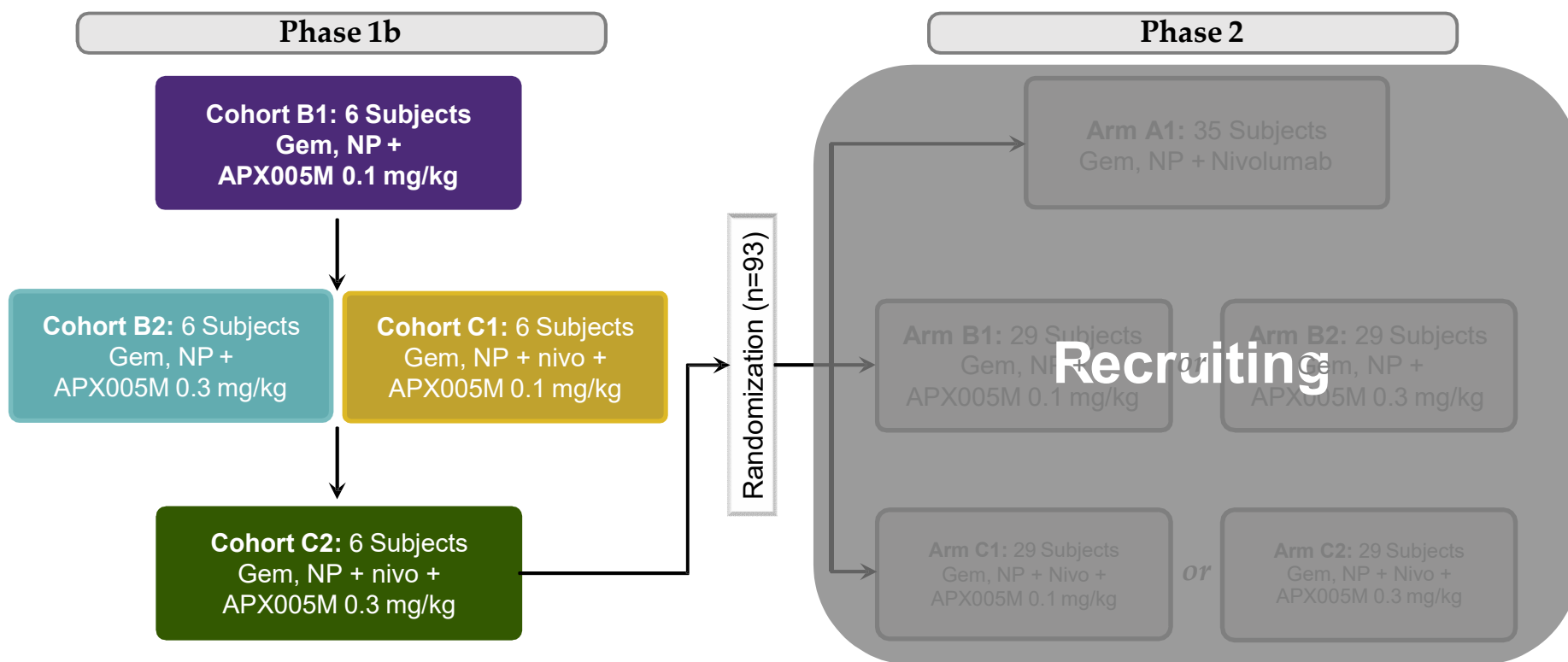


# Rationale for Combining Chemo/CD40/PD-1

- Chemotherapy releases tumor antigens, which are then presented on antigen presenting cells, including dendritic cells
- Engagement of CD40 primes and activates antigen presenting cells
- In preclinical pancreatic cancer models
  - Gemcitabine, nab-paclitaxel (NP) and agonist CD40 mAb synergize to drive tumor destruction in a T-cell dependent manner<sup>1</sup>
  - Addition of PD-1 mAb to chemo/CD40 further improves survival<sup>2</sup>
- Here, we present the preliminary results of a clinical trial in metastatic PDAC of CD40 agonist, APX005M, with Gem/NP ± nivolumab
  - APX005M is a humanized agonistic IgG1k monoclonal antibody against CD40 with a demonstrated safety profile as a single agent<sup>3</sup>

<sup>1</sup>Byrne and Vonderheide, 2016; <sup>2</sup>Winograd R et al, 2015; <sup>3</sup>Vonderheide RH et al, 2017

# Study Design



ClinicalTrials.gov Identifier: NCT03214250

# Grade 3/4 Treatment-Related AEs

Occurring in  $\geq 20\%$  of N=30 Subjects

MedDRA Preferred Term	Cohort B1 Gem/ NP/ APX005M 0.1 mg/kg (N=7)	Cohort B2 Gem/ NP/ APX005M 0.3 mg/kg (N=7)	Cohort C1 Gem/NP/nivo/ APX005M 0.1 mg/kg (N=8)	Cohort C2 Gem/NP/nivo/ APX005M 0.3 mg/kg (N=8)	Total (N=30)
Lymphocyte count decreased	5 (71.4%)	6 (85.7%)	5 (62.5%)	4 (50.0%)	20 (66.7%)
Neutropenia	3 (42.9%)	5 (71.4%)	1 (12.5%)	3 (37.5%)	12 (40.0%)
Anemia	2 (28.6%)	3 (42.9%)	4 (50.0%)	1 (12.5%)	10 (33.3%)
Fatigue	3 (42.9%)	2 (28.6%)	3 (37.5%)	0	8 (26.7%)
Aspartate aminotransferase increased	0	4 (57.1%)	0	3 (37.5%)	7 (23.3%)
Leukopenia	0	4 (57.1%)	1 (12.5%)	1 (12.5%)	6 (20.0%)

- No grade 3/4 cytokine release syndrome was noted

Clinical Snapshot date: 05MAR19  
Safety-evaluable Population

# Best Overall Response

*Determined by RECIST 1.1 in DLT-Evaluable Population*

	Cohort B1 Gem/NP/ APX005M 0.1 mg/kg (N=6)	Cohort B2 Gem/NP/ APX005M 0.3 mg/kg (N=6)	Cohort C1 Gem/NP/nivo/ APX005M 0.1 mg/kg (N=6)	Cohort C2 Gem/NP/nivo/ APX005M 0.3 mg/kg (N=6)	Totals (N=24)
Complete Response (CR)	0	0	0	0	0
Partial Response (PR)	3 (50%)	2 (33%)	4 (67%)	4 (67%)	13 (54%)
Confirmed PR	2	2	3	4	11
Unconfirmed PR	1	0	1	0	2
Stable Disease (SD)	3 (50%)	3 (50%)	1 (17%)	2 (33%)	9 (38%)
Progressive Disease (PD)	0	1 (17%)	0	0	1 (4%)
Not Evaluable / No Scan	0	0	1 (17%)*	0	1 (4%)*

\*Death prior to on-study tumor assessment.

## DLT-evaluable Population (N=24)

**ORR = 54.2%** (95% exact CI: 32.8 – 74.4)

- *Phase 1b Secondary Objective*
- *DCR = 92%*

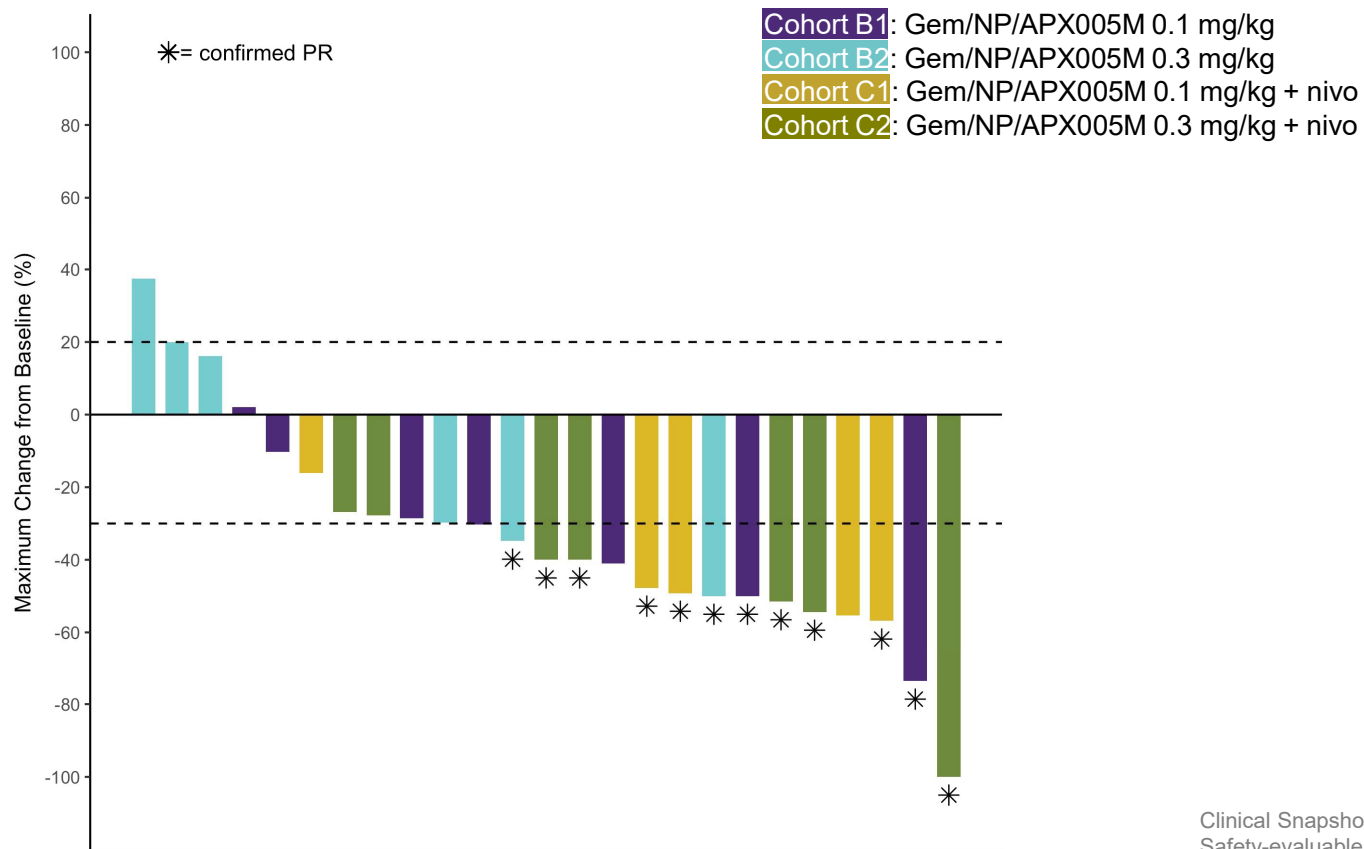
## Safety-evaluable Population (N=30)

**ORR = 46.7% (14/30)** (95% exact CI: 28.3 – 65.7)

- *DCR = 80%*

Clinical Snapshot date: 05MAR19

# Percent Change in Sum of Target Lesions (Best Response)



Clinical Snapshot date: 05MAR19  
Safety-evaluable Population

# Outline

- Pancreatic Cancer
  - Germline testing
  - PARPi maintenance
  - Adjuvant therapy
  - Immunotherapy
    - IL10
    - CSF1R
    - CD40
    - CpG

## Metabolic rewiring of macrophages by CpG potentiates clearance of cancer cells and overcomes tumor-expressed CD47–mediated ‘don’t-eat-me’ signal

Mingen Liu<sup>1</sup>, Roddy S. O’Connor<sup>2</sup>, Sophie Trefely<sup>3,4</sup>, Kathleen Graham<sup>1</sup>, Nathaniel W. Snyder<sup>3</sup> and Gregory L. Beatty<sup>1,5\*</sup>

## CANCER DISCOVERY

### SD-101 in Combination with Pembrolizumab in Advanced Melanoma: Results of a Phase Ib, Multicenter Study

Antoni Ribas, Theresa Medina, Shivaani Kummar, et al.

*Cancer Discov* 2018;8:1250-1257. Published OnlineFirst August 28, 2018.

PNAS

### Intratumoral injection of a CpG oligonucleotide reverts resistance to PD-1 blockade by expanding multifunctional CD8<sup>+</sup> T cells

Shu Wang<sup>a,1</sup>, Jose Campos<sup>a,1</sup>, Marilena Gallotta<sup>a</sup>, Mei Gong<sup>a</sup>, Chad Crain<sup>a</sup>, Edwina Naik<sup>a</sup>, Robert L. Coffman<sup>a,2</sup>, and Cristiana Guiducci<sup>a,2</sup>

<sup>a</sup>Discovery, Dynavax Technologies Corporation, Berkeley, CA 94710

Contributed by Robert L. Coffman, September 30, 2016 (sent for review May 31, 2016; reviewed by Wolf Fridman and Miriam Merad)

**A PILOT STUDY OF INTRATUMORAL SD-101 (TOLL-LIKE RECEPTOR 9 AGONIST), NIVOLUMAB, AND RADIOTHERAPY FOR TREATMENT OF CHEMOTHERAPY-REFRACTORY METASTATIC PANCREATIC ADENOCARCINOMA**

<b>Study Number:</b>	UCDCC#281 (BMS# CA209-8YM; DVX# DISR-018)
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**General Enrollment Criteria**

Metastatic pancreatic cancer with liver metastases

Age  $\geq$ 18

14 day prior treatment washout period

At least one candidate treatment lesion (liver)

- Accessible for radiotherapy (RT)
- Accessible and safe for repeat intralesional injections

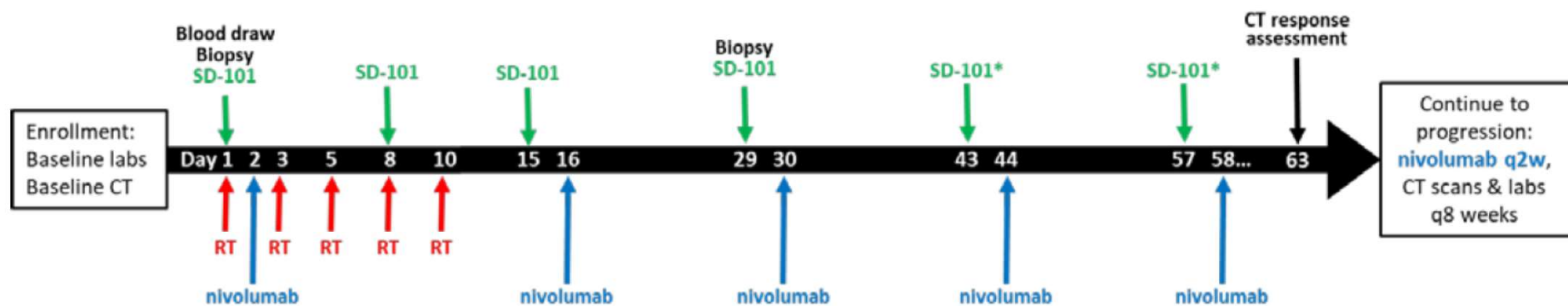
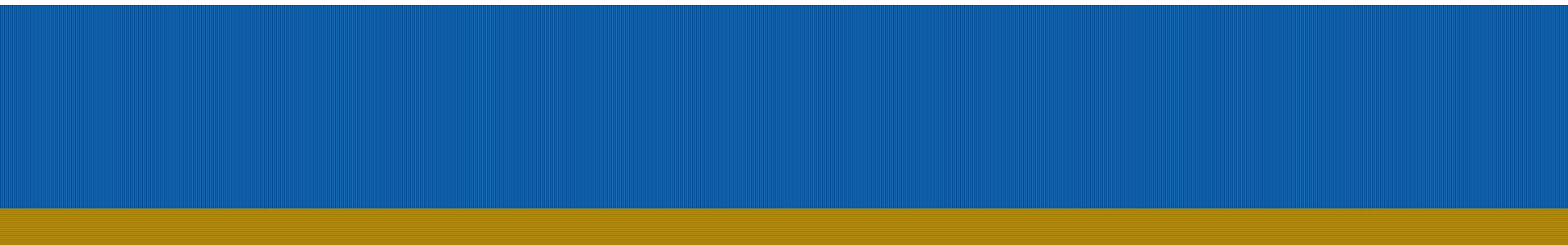
At least one candidate target lesion, outside of the RT field evaluable for response per RECIST v1.1

Adequate hematologic and end organ function

No active autoimmune disease

Patients with previous checkpoint blockade therapy are eligible





**RT**  
(Cycle 1, Day 1, 3, 5, 8, 10)  
6-10 Gy x 5 fractions  
+  
**Intratumoral SD-101**  
(Day 1 and 8 of cycle 1, then day 1 of cycles 2, 3, 4\*, 5\*)  
2 mg injection into RT treatment lesion  
+  
**Nivolumab**  
(Day 2 of each cycle)  
240 mg

\*optional

1 cycle = 2 weeks (14 days)

Thank You

Questions?

**UCDAVIS**  
**COMPREHENSIVE  
CANCER CENTER**