

Small Cell Lung Cancer: Novel Approaches 2019

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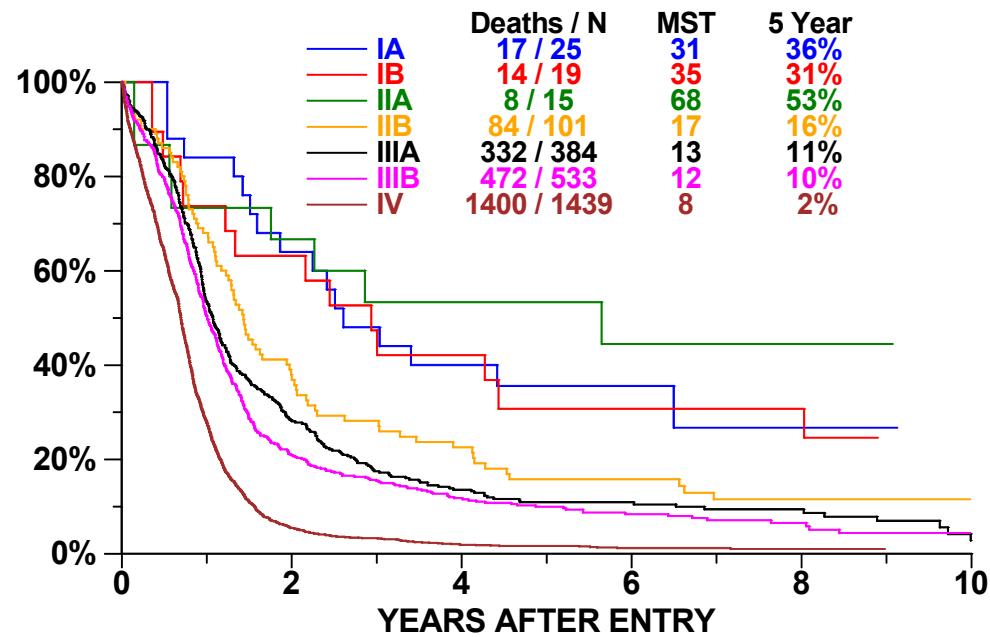
Disclosures

- **Institutional Research Grants: Roche-Genentech, Novartis, Merck**
- **Consultant/Advisory Board: AstraZeneca, Celgene, CellMax, FujiFilm, Roche-Genentech, Guardant Health, Inivata, IO Biotech, Lilly, Merck, Samsung Bioepis**

Small Cell Lung Cancer:

High Proliferation Rate, Early Dissemination,
Initial Chemo- Radiation-sensitivity → Refractory Disease

- High propensity for early systemic dissemination
 - Over 70% present with extensive stage disease
 - Up to 65% relapse with brain metastases
 - Over 85% succumb within 1 year of relapse



Shepherd et al: J Thorac Oncol 2007

Demographic, Biologic, Clinical & Therapeutic Differences between SCLC & NSCLC

Feature	SCLC	NSCLC
Incidence	Decreasing	Increasing
Association with Smoking	Universal	Highly Variable
Mutational Load	High	Variable
Biologic Diversity (Histologic & Molecular)	~More Uniform	Distinct Subtypes
Growth Kinetics	~Rapid	Variable
Early Metastases	Universal	Variable
Sensitivity to DNA-damaging chemotherapy (1 st line)	High	Variable
Sensitivity to Radiotherapy	High	Variable
Advances in Therapy ~15 years	Few Advances	Dramatic Advances

Current Status:

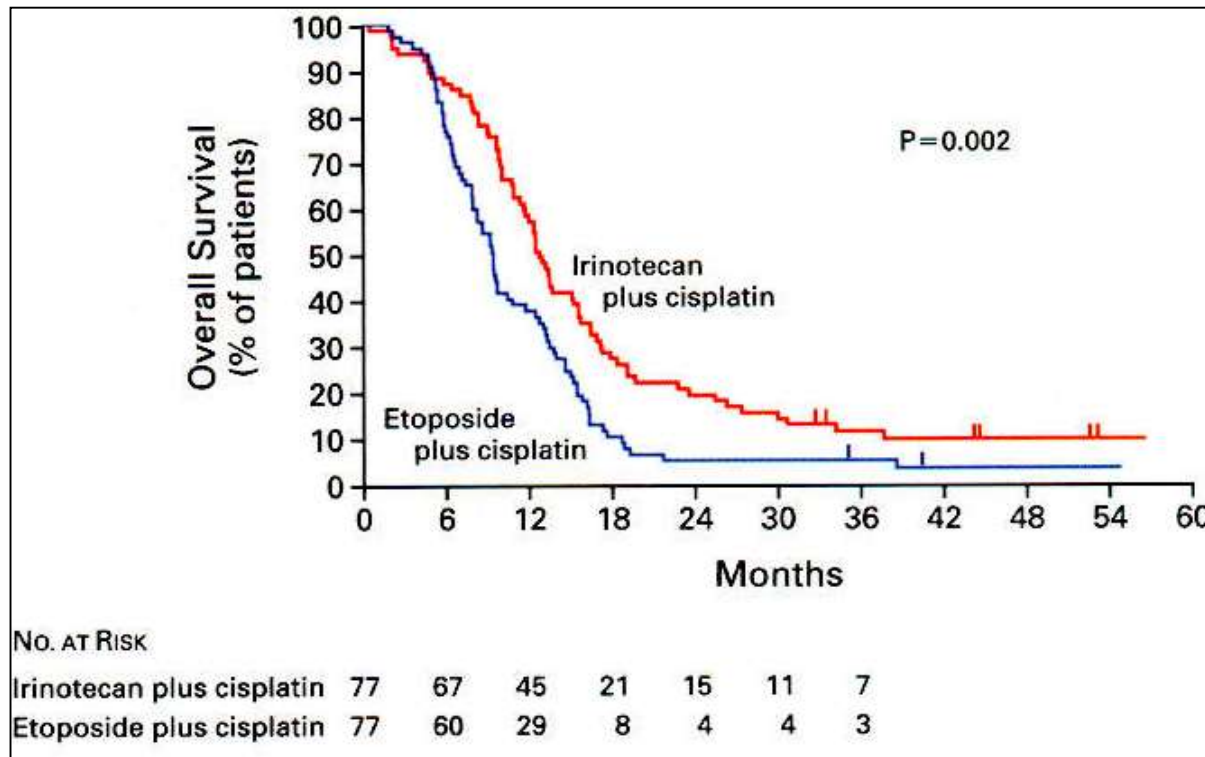
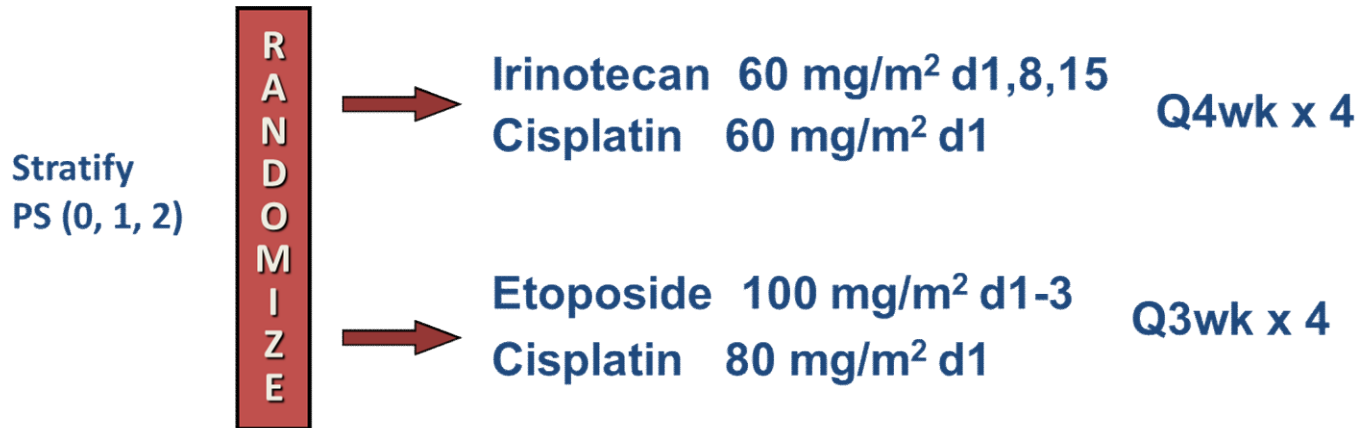
Systemic Therapy of Extensive SCLC

- Platinum/Etoposide (PE) has been the standard for first-line therapy in the U.S. for ~20 years, **but this has now changed**
- In **1st line therapy**, new regimens consistently failed to surpass PE in Phase III comparisons until
 - Over 20 new chemotherapeutic & biologic agents failed
 - Dose intensification including BM transplantation failed
 - **Checkpoint Immunotherapy + PE set new standard of care**
- In **2nd line therapy**, a number of chemotherapeutic agents are active in “platinum-sensitive” patients, but the “platinum-refractory” subset fares poorly
 - **Despite modest ORRs & short PFS, 2nd line CPIs result in some long term survivors**
- Additional studies evaluating novel molecular-targeted agents in first- and second-line therapies of SCLC are needed
- **Advances but Controversial:**
 - Prophylactic Cranial Irradiation (PCI) is reported to be beneficial but is controversial
 - “Consolidation” thoracic RT is reported to be beneficial but is controversial

Investigation of Chemotherapy Agents in SCLC

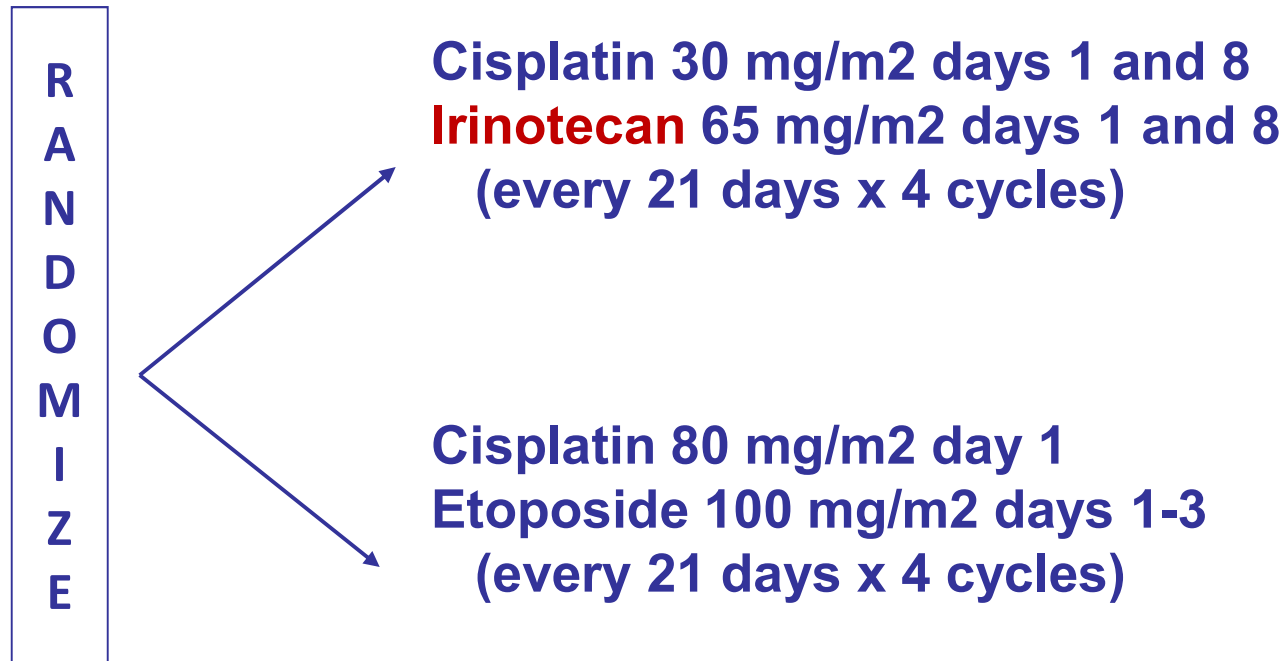
Agent	Response Rate in Phase II: 1 st line/2 nd line	Results (1 st line in combination with Platinum)
Paclitaxel	~35%/~25%	Negative Phase III trial (Niell et al)
Gemcitabine	~25%/14%	Phase II: not promising (Hesketh et al)
Topotecan	?/~18%	“Positive” Phase III: but not adopted (Heigener et al)
Irinotecan	~35%/~25%	Conflicting results of Phase III trials (Noda; Lara; Hanna)
Pemetrexed	?	Negative Phase III trial (Socinski et al)
Amrubicin	~40%	Negative Phase III 2 nd line trial (Jotte et al) Negative Phase III 2 nd line trial (Kotani et al)

JCOG 9511: Phase III Trial in E-SCLC



Noda: NEJM, 2002

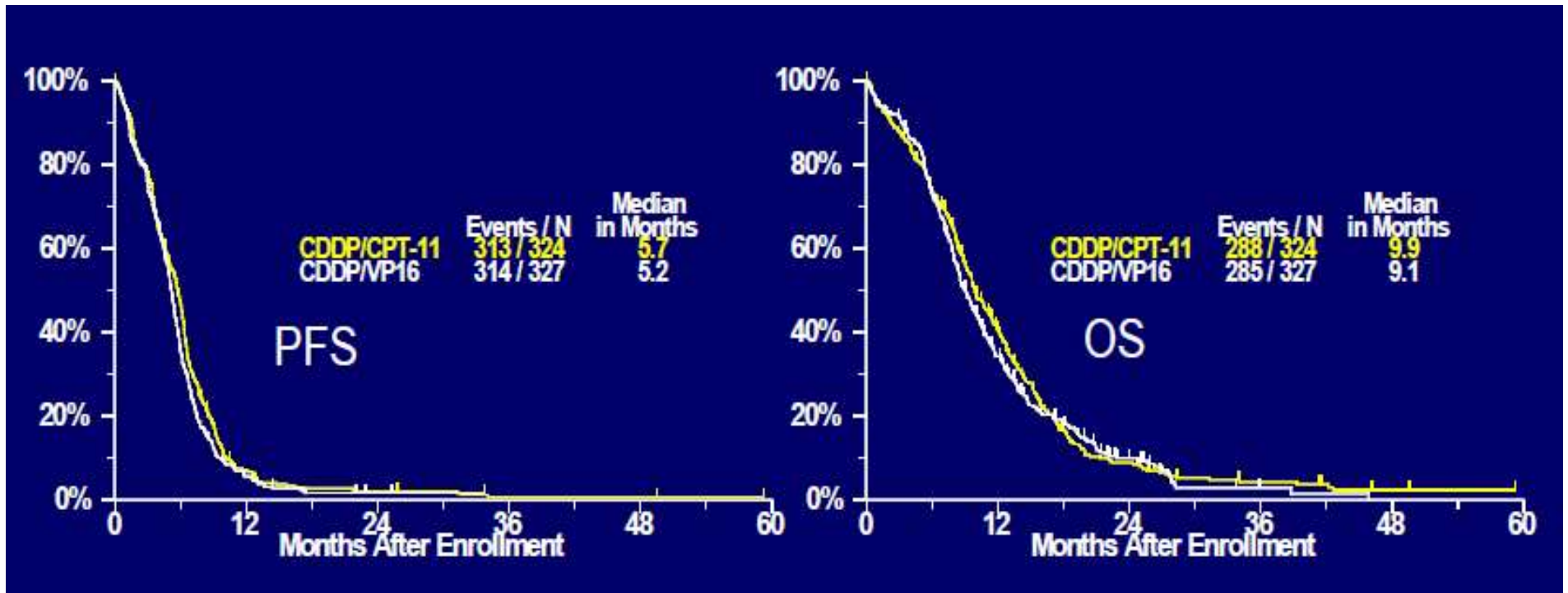
SWOG 0124: Cisplatin/Irinotecan (PI) vs Cisplatin/Etoposide (PE)



- **Hypothesis:** Results of S0124 will differ from J9511 due to population-related pharmacogenomics for Irinotecan disposition in Japanese vs U.S. populations
- Identical drug dose schedules for J9511 & S0124
- Unique Aspect of S0124
 - Comparative efficacy of J9511 & S0124 using “common arm” approach
 - Comparative Toxicity of J9511 & S0124: patient level data

SWOG 0124: Cisplatin/Irinotecan (PI) vs Cisplatin/Etoposide (PE)

	PI	PE	p-value
• Response	60%	57%	0.56
• PFS (mos)	5.7	5.2	0.07
• OS (mos)	9.9	9.1	0.71



Lara et al: JCO, 2009

Comparative Toxicities of JCOG 9511 versus SWOG 0124: Cisplatin/Irinotecan “common arm”

≥ Grade 3	(PI) Cisplatin/Irinotecan	
	J9511 (n=75)	S0124 (n=278)
Neutropenia	49 (65%)*	88 (32%)
Leukopenia	20 (27%)**	48 (17%)
Anemia	21 (28%)*	16 (6%)
Diarrhea	12 (16%)	51 (18%)

* p<0.0001

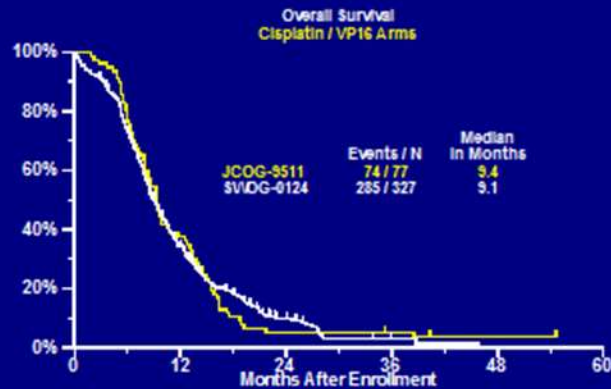
**p=0.02

Japanese patients have more toxicity
with the same treatment regimen & dose delivery

Lara et al: JCO, 2009

Comparative Efficacy of JCOG 9511 versus SWOG 0124

**Overall Survival by Trial:
Cisplatin/ Etoposide (VP-16) Arm**



J9511 and S0124 have similar OS in the control
Cisplatin/Etoposide “common arm”

**Overall Survival by Trial:
Cisplatin/ Irinotecan (CPT-11) Arm**



J9511 demonstrates longer OS compared to S0124 in the
Cisplatin/Irinotecan “common arm”

S0124 did not confirm results of J9511

Efficacy of Irinotecan greater in Japanese patients

Toxicity is also greater in Japanese patients

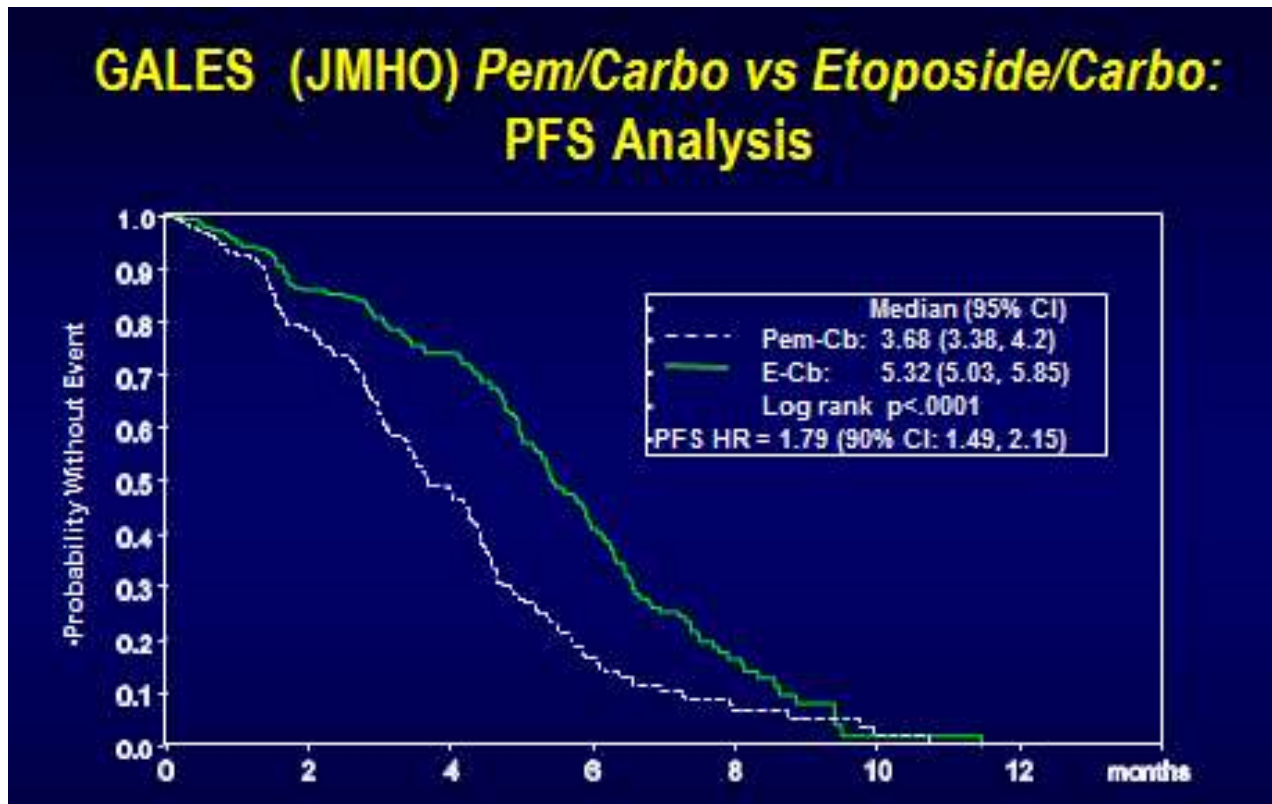
Population-related Pharmacogenomics may have influenced results

Lara et al: JCO, 2009

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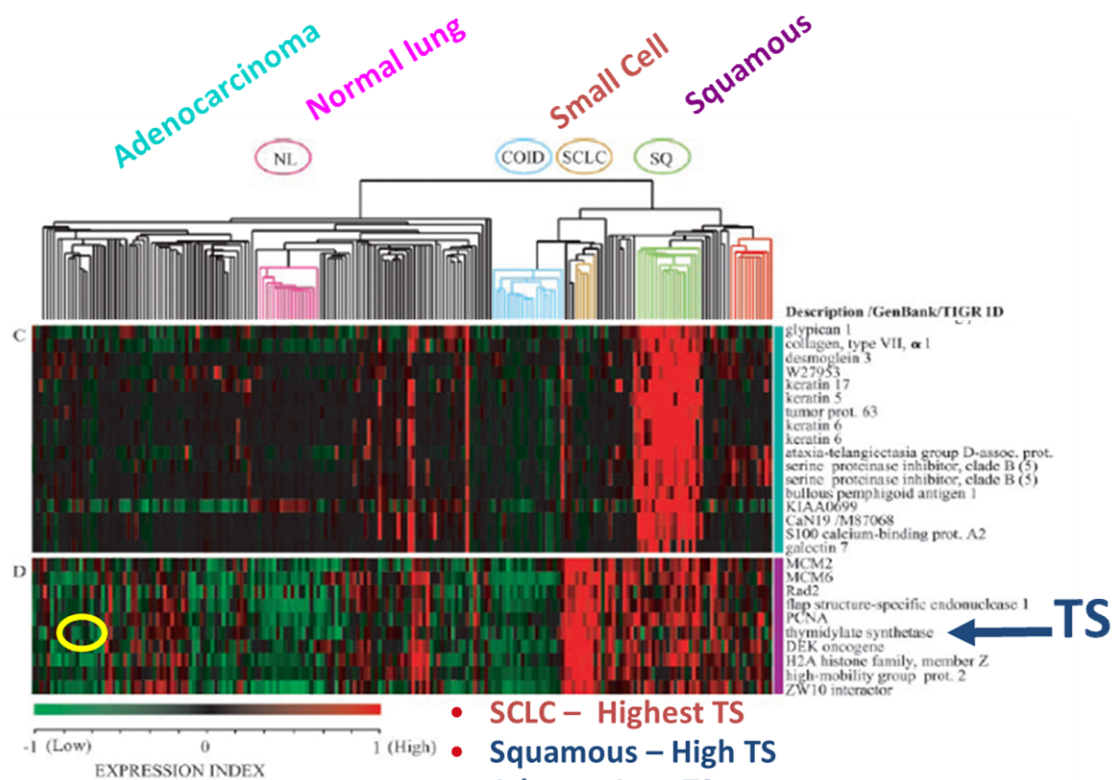
Lessons Learned: Pemetrexed (GALES) Phase III trial in Extensive SCLC



	Pem/Carbo (N=453)	Etoposide/Carbo (N=455)
Median OS (mo) (95% CI)	8.1 (7.3, 9.1)	10.6 (9.7, 11.6)

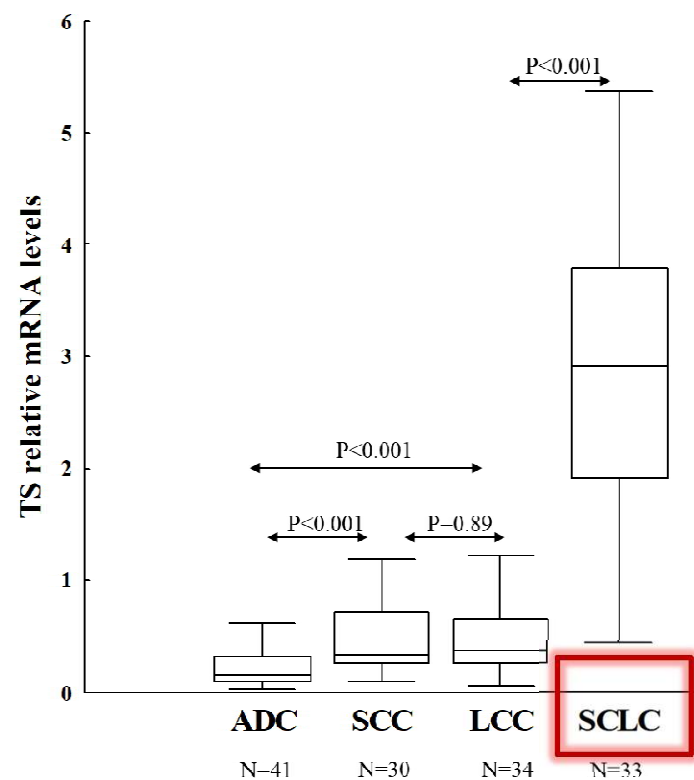
Socinski et al: ASCO 2008; Smit et al: ASCO 2009

Thymidylate Synthetase (TS) Expression in Lung Cancer



Bhattacharjee PNAS 2001

TS mRNA Expression in Lung Cancer Subtypes



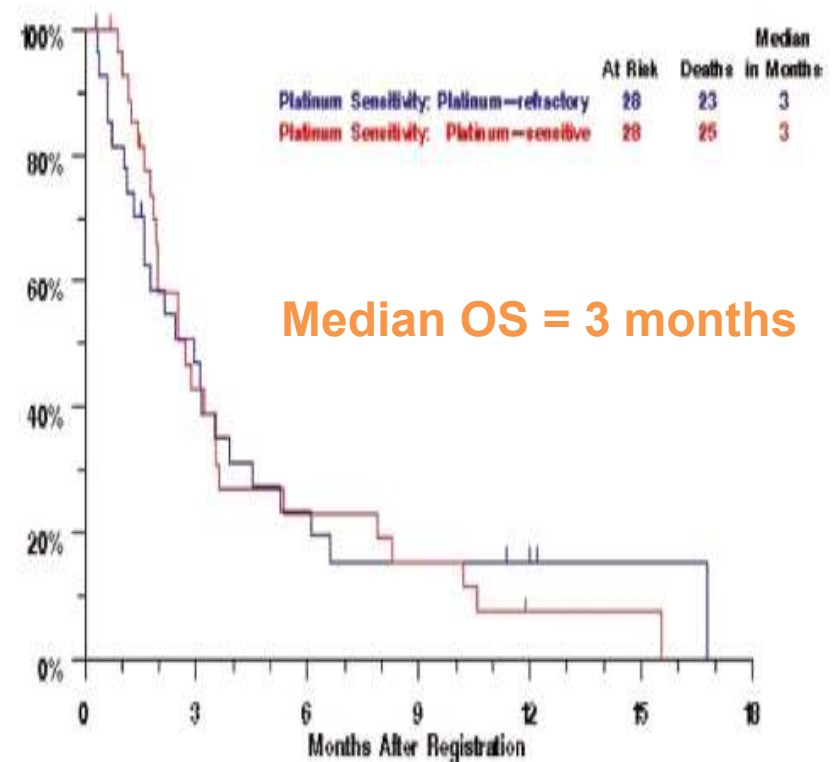
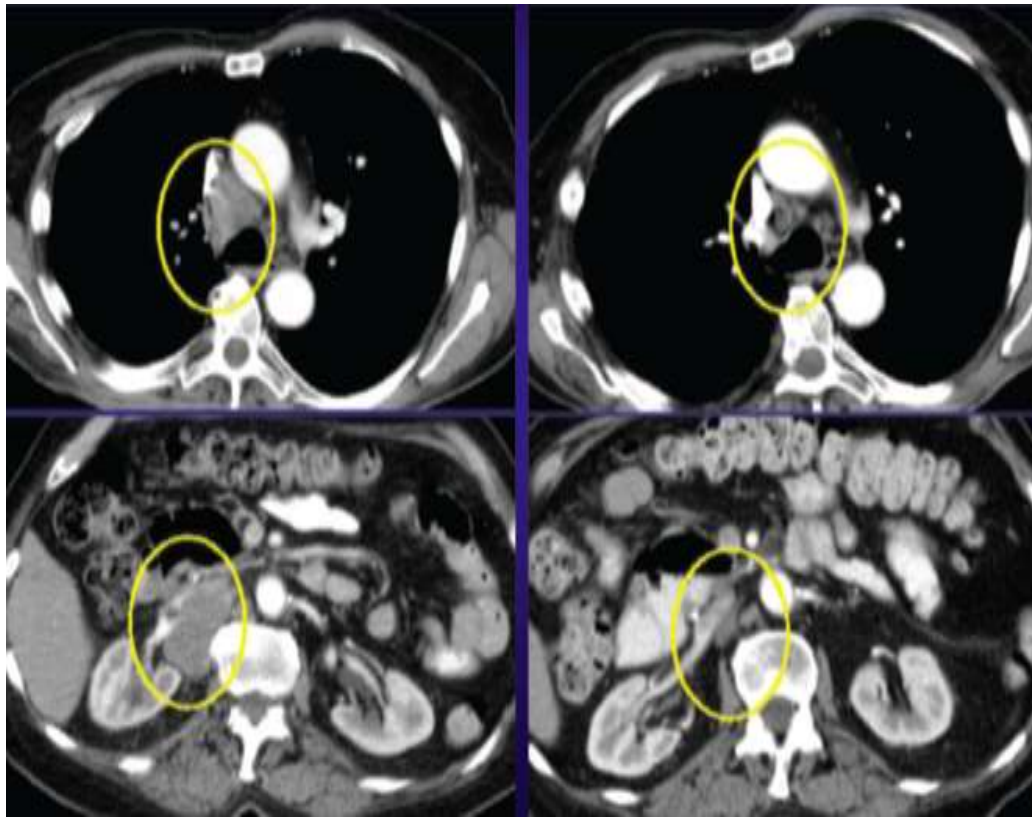
Ceppi et al: Cancer 2006

Investigation of “Targeted Therapies” in Extensive Stage SCLC

Selected Agents	Target(s)	Results
Imatinib (Johnson et al)	KIT, SCF	Inactive
Bec2/BCG (Giaccone)	GD3 ganglioside	Negative Phase III trial
Bortezomib (PS-341) (Lara et al)	Proteasome	Insufficient activity
Sorafenib (Gitliz et al)	VEGFR	Insufficient activity PR: PlatSens: 5% PlatRef: 2%
Vandetanib (ZD6474) (Arnold et al)	EGFR/VEGFR	HR 1.43 vs Placebo for OS
ABT263 & Obatoclax (Rudin et al; Langer et al)	Bcl-2	Insufficient activity
Aflibercept (VEGF-Trap or AVE0005) S0802 (Allen)	VEGF	Modest activity added to topotecan
ABT888 + PE vs PE (Belani)	PARP	Negative

Bortezomib (PS-341) in Relapsed or Refractory Extensive Stage Small Cell Lung Cancer: A Southwest Oncology Group Phase II Trial (S0327)

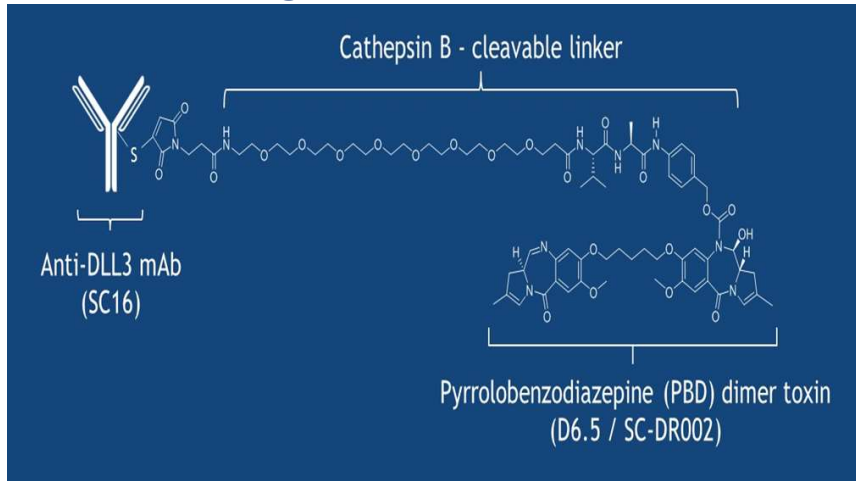
Primo N. Lara, Jr., MD,† Kari Chansky, MS,‡ Angela M. Davies, MD,* Wilbur A. Franklin, MD,§
 Paul H. Gumerlock, PhD,* Perry P. Guaglianone, MD,|| James N. Atkins, MD,¶
 Nichole Farneth, BS,* Philip C. Mack, PhD,* John J. Crowley, PhD,* and David R. Gandara, MD*†*



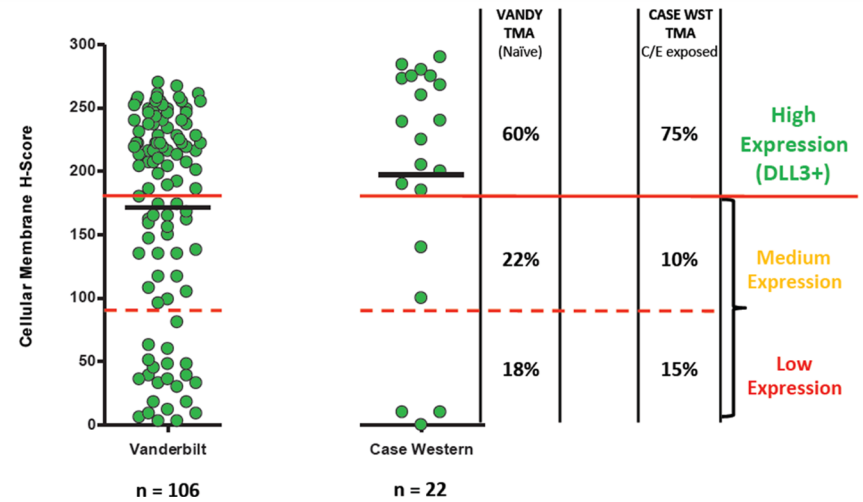
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ABT888 + PE vs PE (Belani)	PARP	Negative
Rova-T	DLL3 (Notch path)	TRINITY (Insufficient Activity)

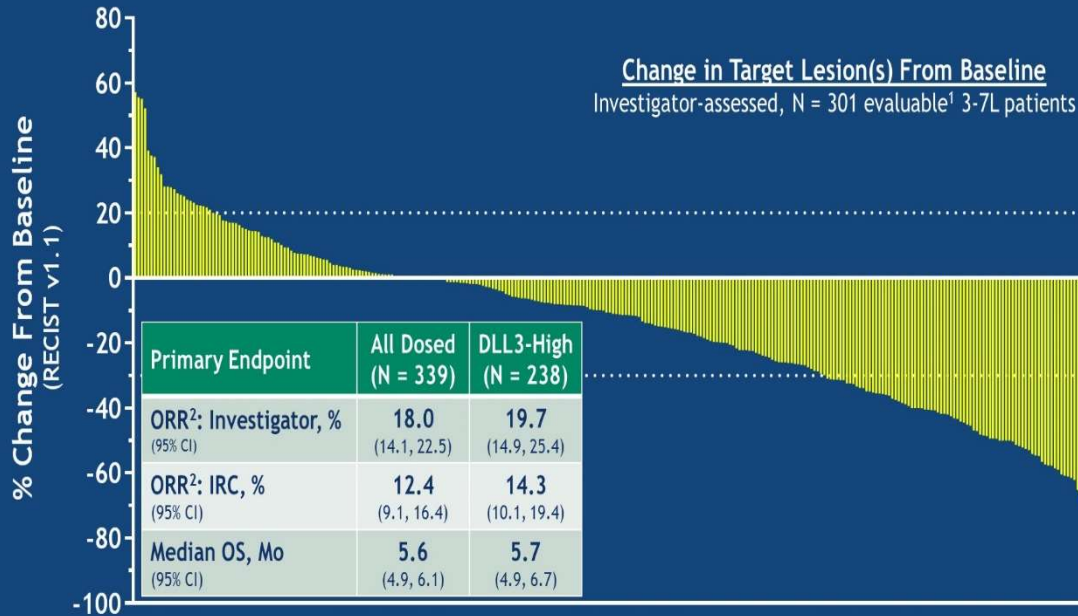
TRINITY: Phase II Trial of Rova-T (a DLL3-targeted ADC) in E-SCLC



DLL3 Expression is highly expressed in SCLC



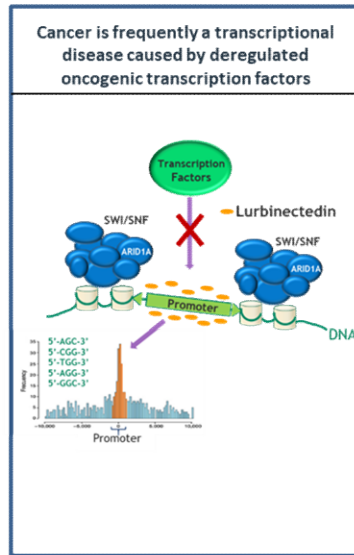
TRINITY: Primary Endpoint Analyses



1. Patients who had a baseline scan and at least 1 follow-up scan with an evaluable response.
2. Confirmed CR+ PR per RECIST v1.1

TEAEs	All Patients, N = 339	
	Any n (%)	Drug-Related n (%)
Any AEs	335 (99%)	308 (91%)
Serious AEs	170 (50%)	100 (30%)
Grade 3+ AEs	179 (53%)	134 (40%)
AEs with fatal outcome	34 (10%)	10 (3%)
AEs resulting in:		
Discontinuation	24 (7%)	16 (5%)
Interruption	32 (9%)	29 (9%)
Dose reduction	33 (10%)	32 (9%)

Lurbinectedin in SCLC: Phase 2 study in 2nd-line therapy



SCLC patients
Performance score 0-2
1 prior chemotherapy
Prior IO allowed
Adequate organ function
CNS metastasis excluded

Lurbinectedin 3.2 mg/m², 1h IV, q3w

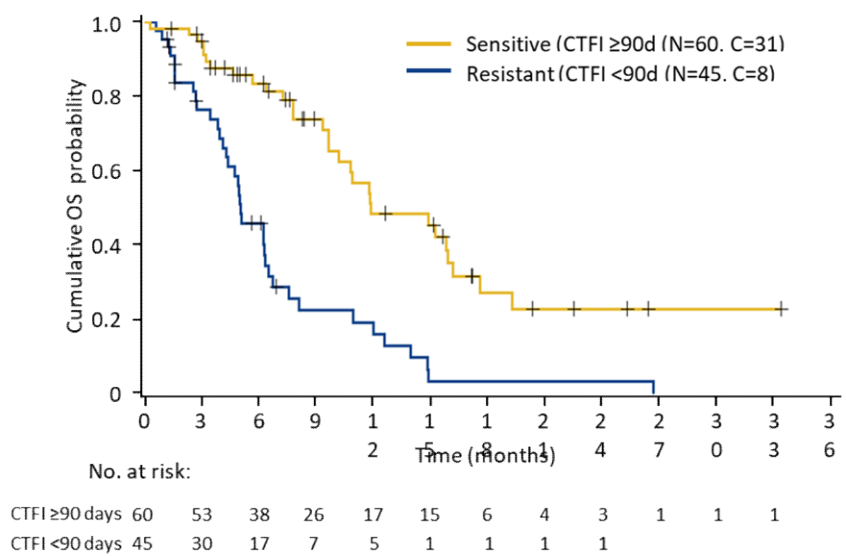
≥2 responses in first 15 patients* → Enrol up to 100 patients

Primary objective: ORR per RECIST v1.1

Statistical assumptions
Null hypothesis: ≤15% get a response (p≤0.15)
Alternative hypothesis: ≥30% get a response (p≥0.30)
Statistical power 95%
≥23% of confirmed responses needed to reject the null hypothesis

	Overall (N=105)	Resistant: CTFI <90 days (n=45)	Sensitive: CTFI ≥90 days (n=60)
ORR, % (95% CI)	35.2 (26.2-45.2)	22.2 (11.2-37.1)	45.0 (32.1-58.4)
Best response, n (%)			
PR (confirmed)	37 (35.2) ^b	10 (22.2) ^c	27 (45.0) ^c
SD	35 (33.3)	13 (28.9)	22 (36.7)
PD	28 (26.7)	18 (40.0)	10 (16.7)
Not evaluable	5 (4.8)	4 (8.9)	1 (1.7)
Disease control rate, % (95% CI)	68.6 (58.8-77.3)	51.1 (35.8-66.3)	81.7 (69.6-90.5)

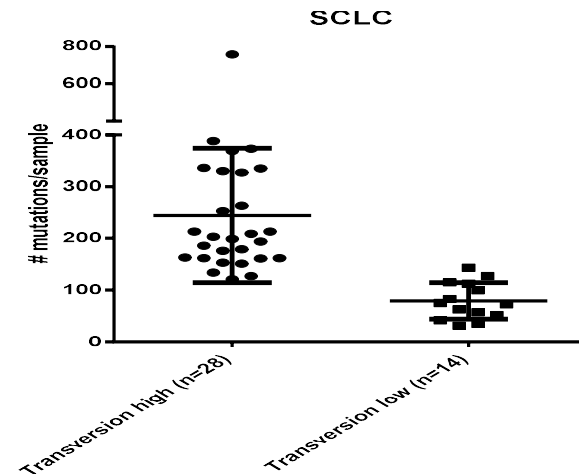
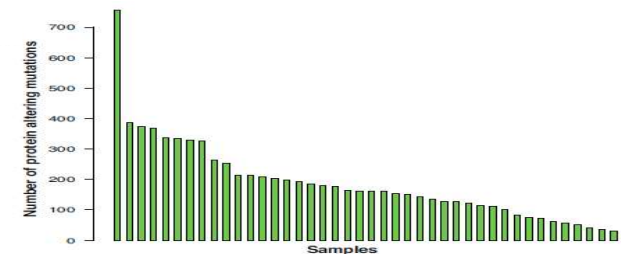
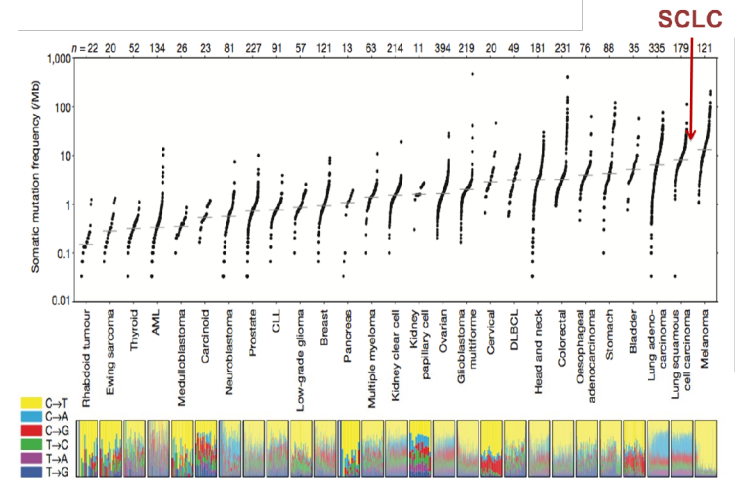
Overall survival in Sensitive and Resistant populations



	n	Median OS, mo (95% CI)	OS at 12 mo, % (95% CI)
All	105	9.3 (6.3-11.8)	34.2 (23.2-45.1)
Resistant (CTFI <90d)	45	5.0 (4.1-6.3)	15.9 (3.6-28.2)
Sensitive (CTFI ≥90d)	60	11.9 (9.7-16.2)	48.3 (32.5-64.1)

Rationale for Checkpoint Immunotherapy (CPI) in SCLC

- SCLC ranks among the highest of all tumor types in terms of # of mutations/Mb of DNA
- Extraordinarily high numbers of somatic mutations in some patients
- Mutations are most commonly G to T transversions
 - Reflective of DNA-damaging tobacco carcinogens
 - Strongly neoantigenic

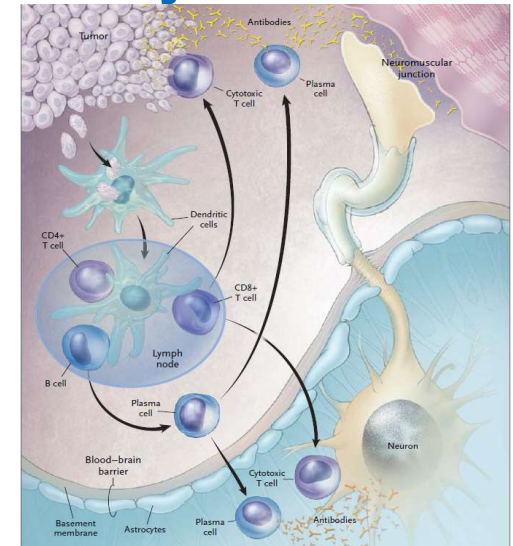


Rudin C, et al. *Nature Genetics*. 2012;44:1111.
 Peifer M, et al. *Nature Genetics*. 2012;44:1104.
 George J, et al. *Nature*. 2015;524:47.

SCLC associated with Immunogenic Effects

- Subset of SCLCs elicit CD4-dependent antibody and CD8 T-cell responses against neuronal antigens expressed by the tumor

- Associated with immune-mediated paraneoplastic syndromes



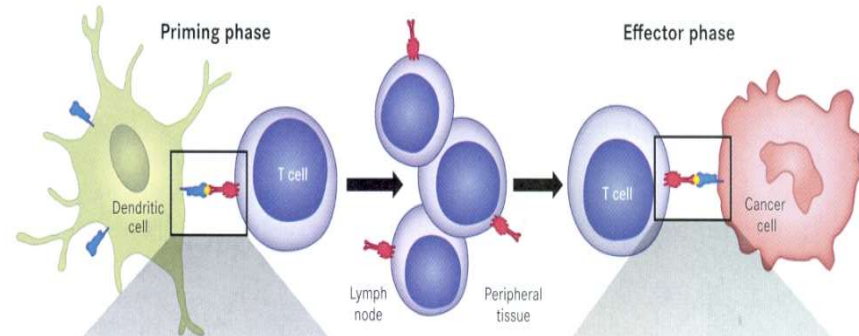
- SCLC patients with neurologic paraneoplastic syndromes and anti-Hu autoantibodies have an improved response to therapy and prolonged survival

Darnell RB & Posner JB. NEJM. 2003;349:1543.

Brahmer JR & Pardoll DM. Cancer Immunol Res. 2013;1:85.

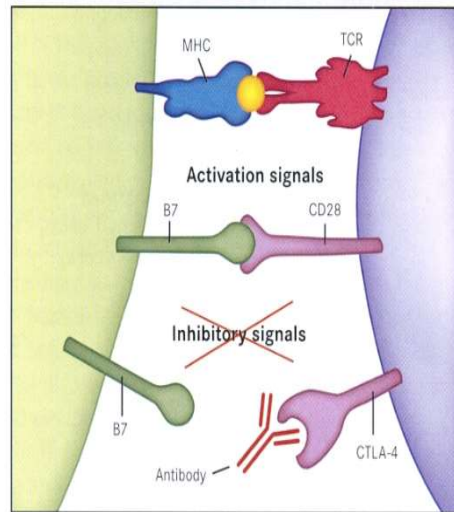
Roberts WK, et al. J Clin Invest. 2009;119:2042.

Checkpoint Immuno-Therapeutics



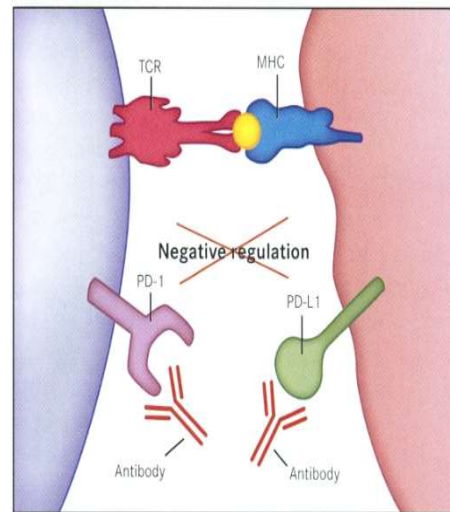
CTLA-4

Inhibitors:
Ipilimumab
Tremilimumab



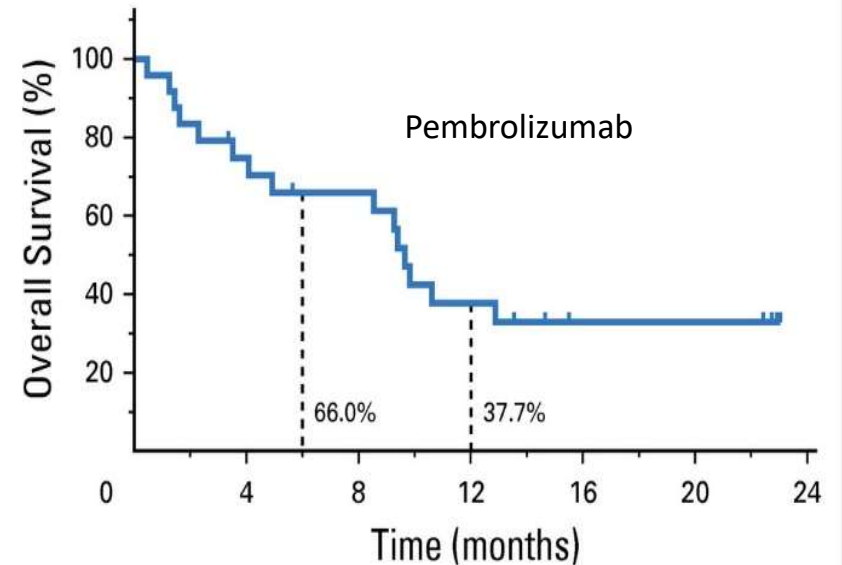
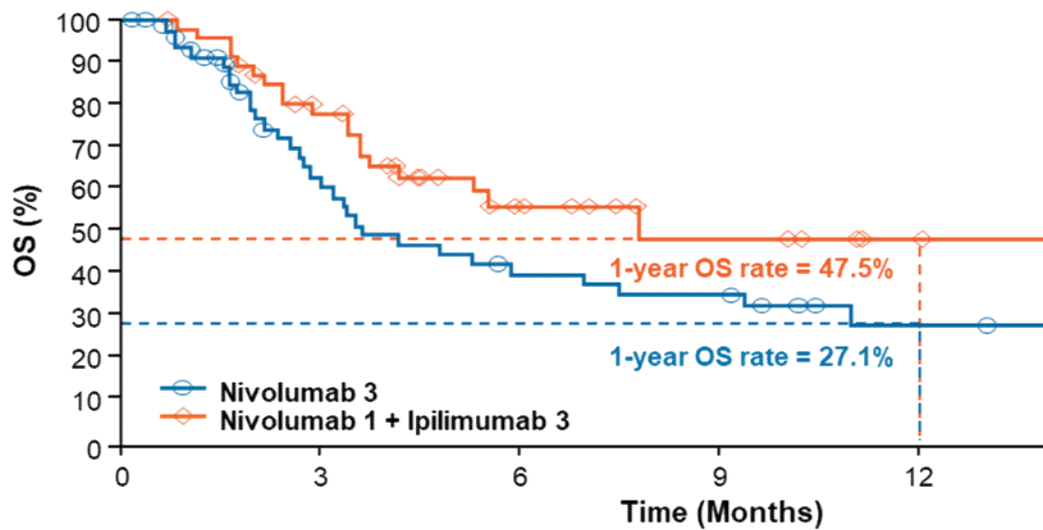
PD-1/PD-L1

Inhibitors:
Nivolumab
Pembrolizumab
Atezolizumab
Durvalumab
Avelumab



CheckMate 032 and Keynote 028 in previously treated E-SCLC

Overall survival

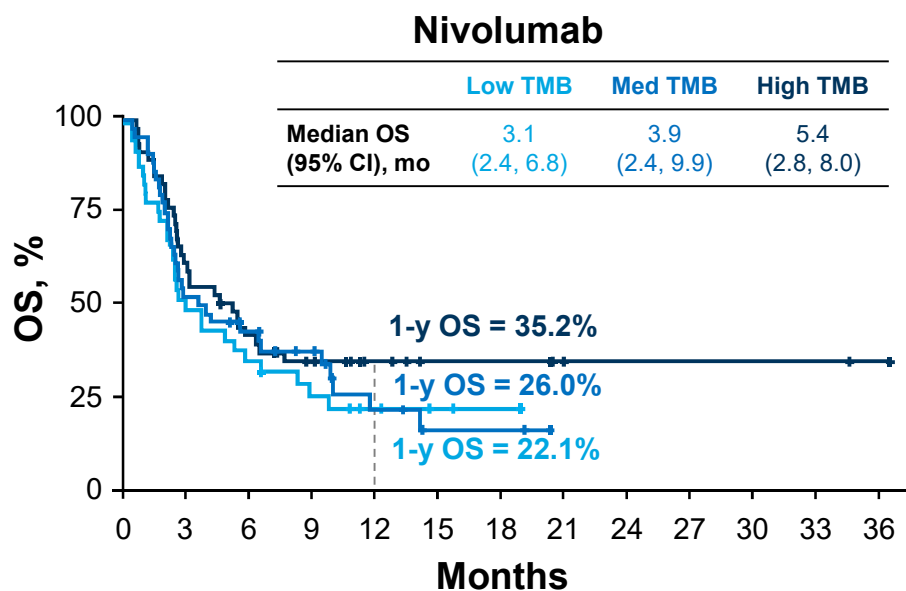


NCCN Guidelines have all 3 options: Nivo, Nivo+ipi, and Pembro

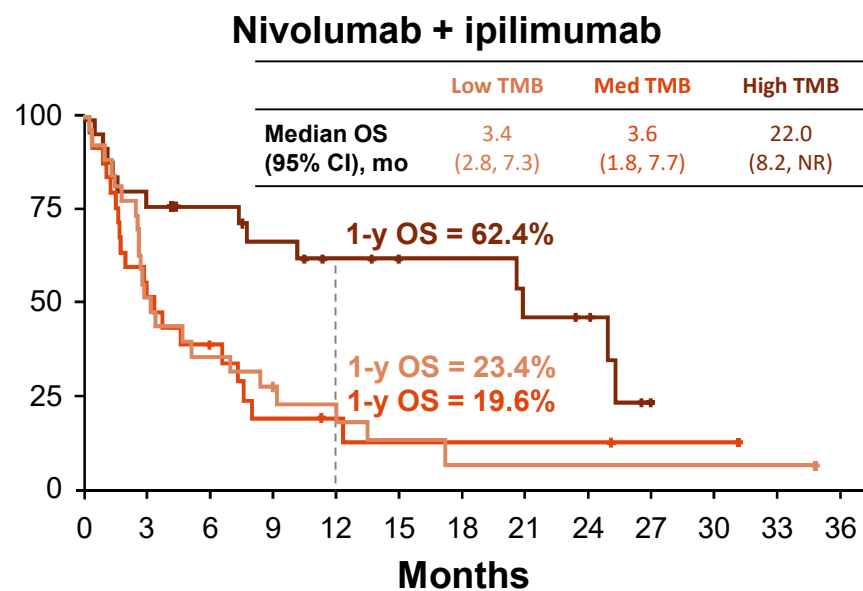
FDA approved nivolumab for 3rd line therapy in Aug 2018; pembrolizumab in June 2019

OS by Tumor Mutation Burden Subgroup

CheckMate 032 Exploratory TMB Analysis Nivo ± Ipi in Previously Treated SCLC



No. at risk	0	3	6	9	12	15	18	21	24	27	30	33	36
Low	42	19	13	9	4	3	1	0	0	0	0	0	0
Medium	44	23	17	12	6	2	2	1	0	0	0	0	0
High	47	29	20	14	8	5	5	5	2	2	2	2	2



No. at risk	0	3	6	9	12	15	18	21	24	27	30	33	36
Low	27	15	9	7	5	2	2	1	1	1	1	1	1
Medium	25	15	9	4	3	2	2	2	2	1	1	0	0
High	26	20	17	14	10	9	8	8	6	2	0	0	0

Median (95% CI) OS, overall TMB-evaluable population: 3.9 (2.8, 6.1) months for nivolumab and 7.0 (3.2, 8.8) months for nivolumab + ipilimumab; NR = not reached

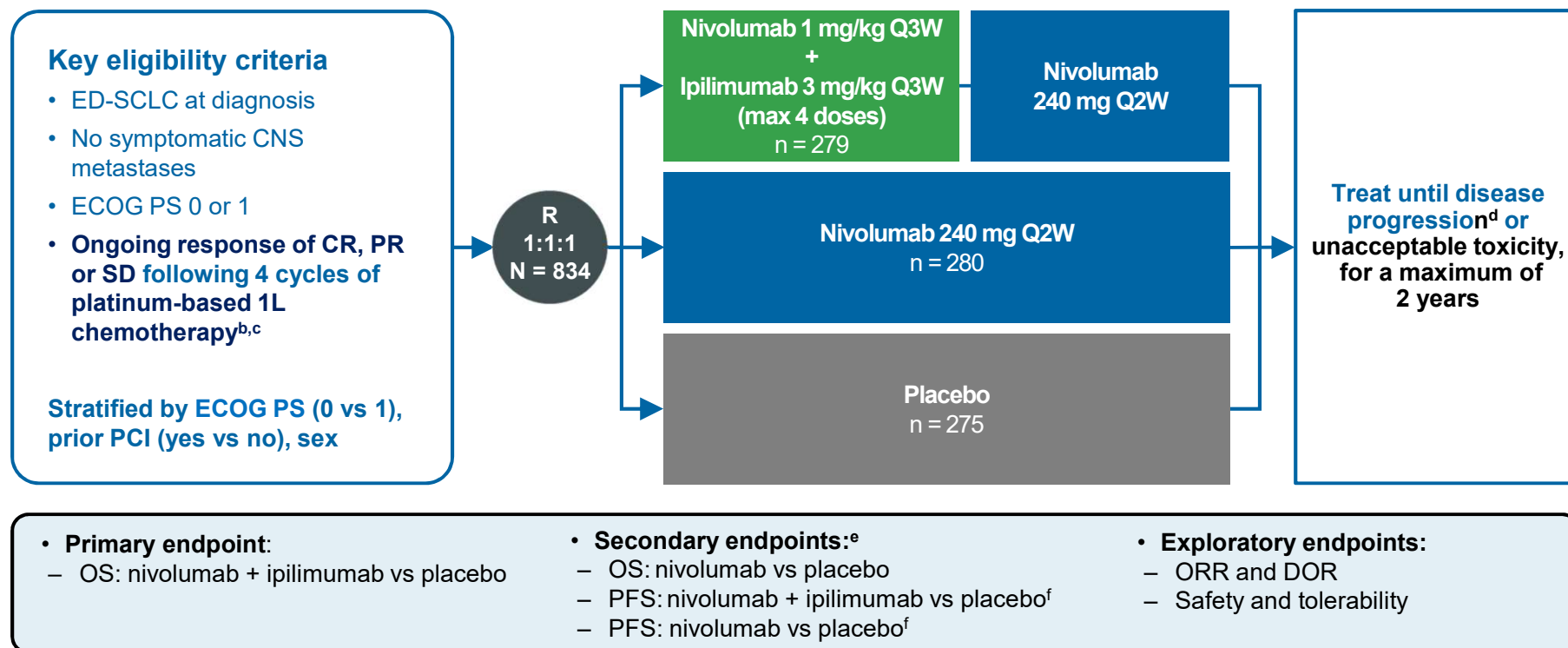
CM032: Treatment-related AEs in $\geq 5\%$ Patients

	NIVO (n = 40)		NIVO1 + IPI3 (n = 47)	
	Any Grade, %	Grade 3-4, %	Any Grade, %	Grade 3-4, %
Total TRAEs	53	15	77	34
Fatigue	18	3	21	0
Diarrhea	13	0	23	9
Nausea	10	0	13	2
Vomiting	3	0	9	4
Pruritus	8	0	19	2
Rash	3	0	21	4
Rash maculopapular	0	0	13	4
Hypothyroidism	5	0	15	0
Hyperthyroidism	3	0	13	0
AST increased	5	0	4	0
Amylase increased	3	3	6	2
Lipase increased	0	0	11	6
Pneumonitis	5	0	2	2

Limbic encephalitis of grade 2 occurred in 2 pts (NIVO, n = 1; NIVO 1 + IPI 3, n = 1) and resolved under immunosuppressive treatment. One pt (NIVO, n = 1) had grade 4 limbic encephalitis with minor response to immunosuppressive treatment. One fatal case of **Myasthenia Gravis** in combination therapy arm

S. Antonia et al: ASCO 2015 and Hellman et al: JCO 2017

CheckMate 451 Study Design: Maintenance Nivo or Nivo/Ipi



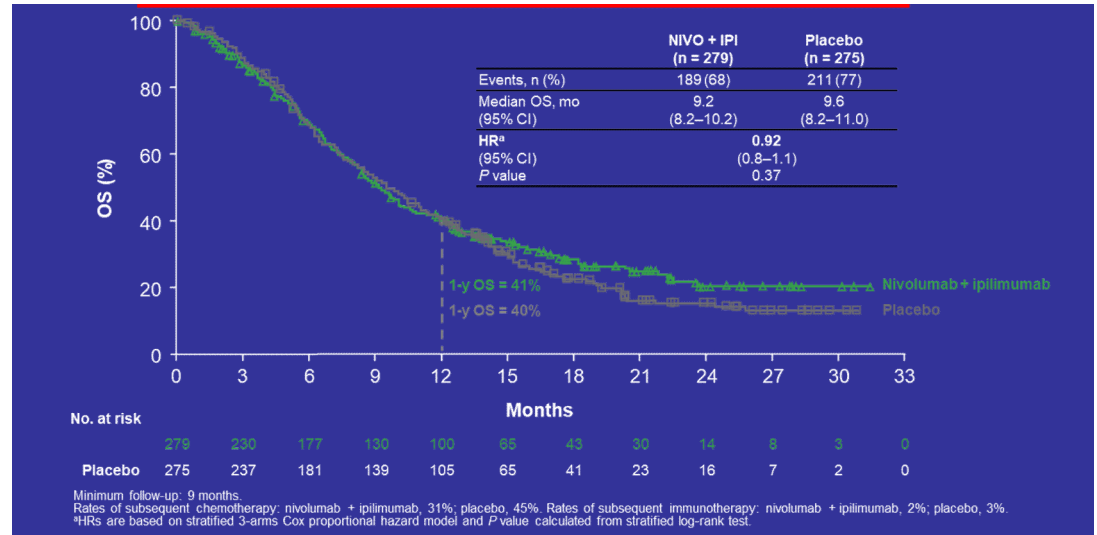
PCI, prophylactic cranial irradiation.

Database lock: November 12, 2018; minimum follow-up: 9 months.

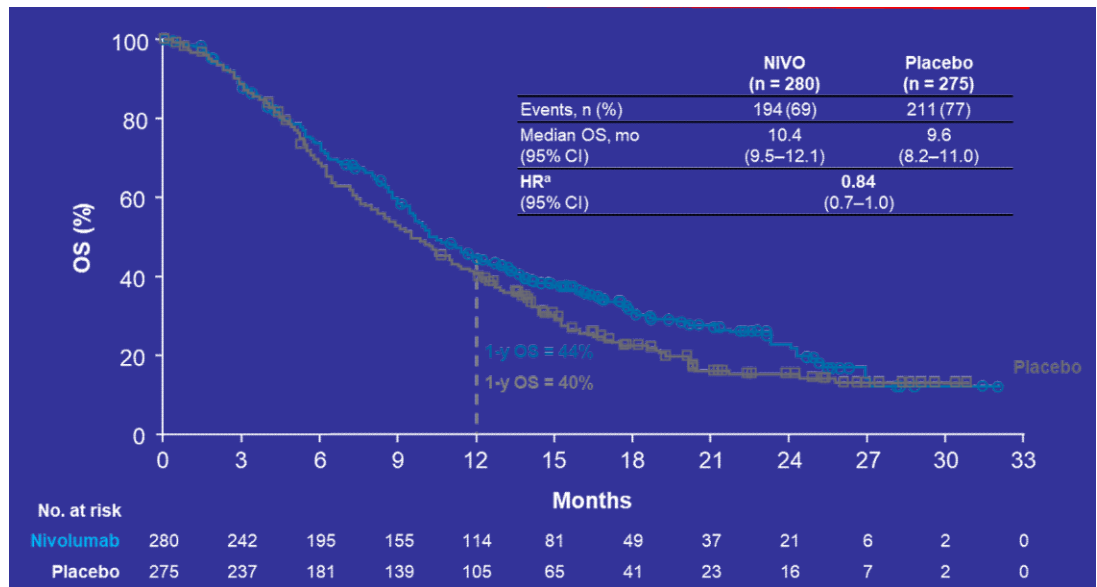
^aNCT02538666; ^bPatients receiving only 3 cycles of chemotherapy due to toxicity were eligible, if they had an ongoing PR or CR after the third cycle; ^cAll patients were randomized \leq 9 weeks from the last dose of 1L chemotherapy, or \leq 11 weeks for those receiving PCI or whole brain radiotherapy; ^dPatients could be treated beyond progression under protocol-defined circumstances; ^eSecondary endpoints to be tested hierarchically if primary endpoint met; ^fPer blinded independent central review.

CheckMate 451: Phase III trial of Nivo or Nivo-Ipi vs Placebo Maintenance Therapy

**CM 451:
OS of Nivo + Ipi vs Placebo**



**CM 451:
OS of Nivo vs Placebo**



CheckMate 331: Nivo vs. Topotecan in 2nd line ES-SCLC

- LD- or ED-SCLC
- 1 prior line systemic platinum-based or chemoradiation therapy
- ECOG PS 0-1
- No CNS metastases
- No prior immunotherapy

R
A
N
D
O
M
I
Z
E

1:1
N = 480

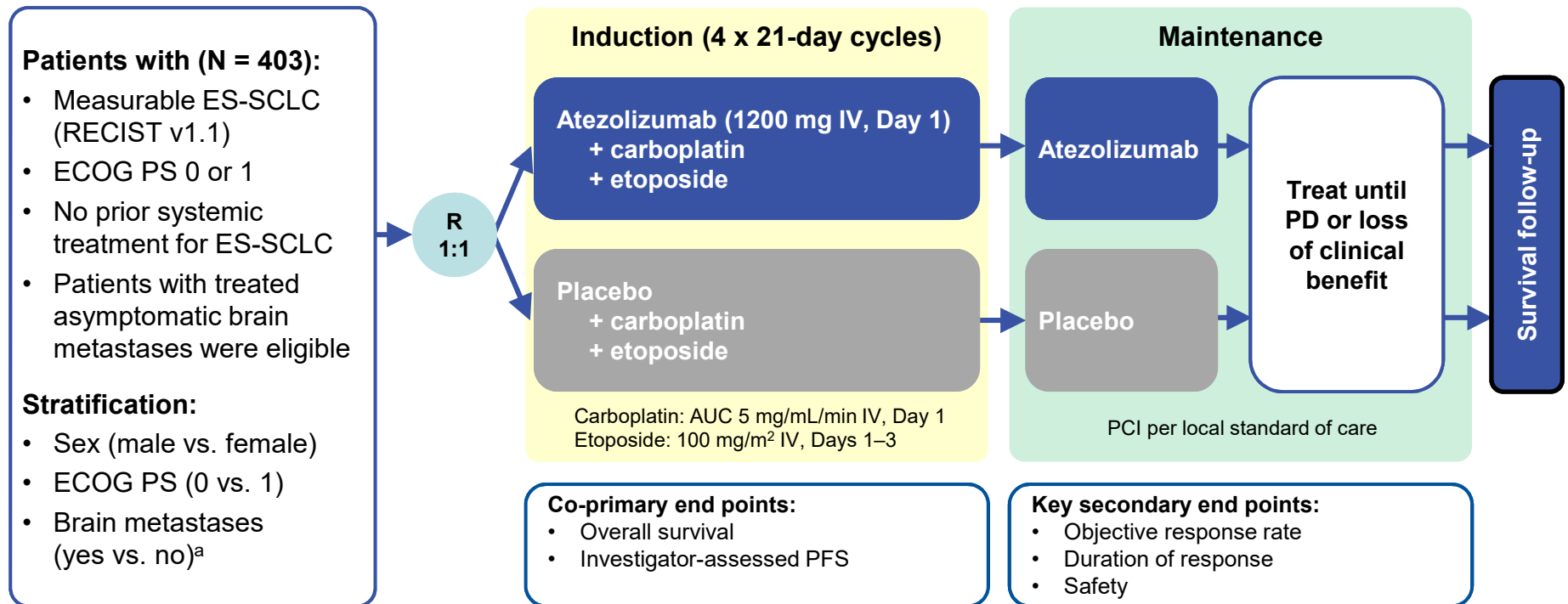
Nivolumab 240 mg, D1, Q2W

Topotecan 1.5 mg/m² IV or
3 mg/m² PO, D1-5, Q3W
OR
Amrubicin 40 mg/m², D1-3,
Q3W

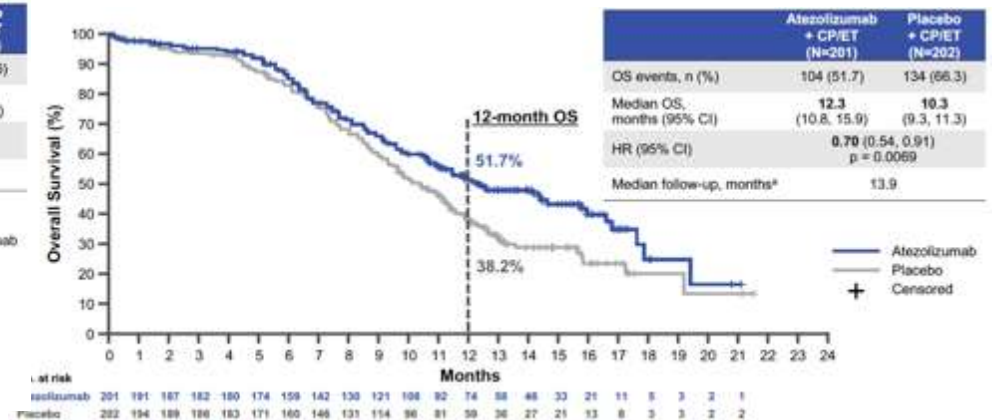
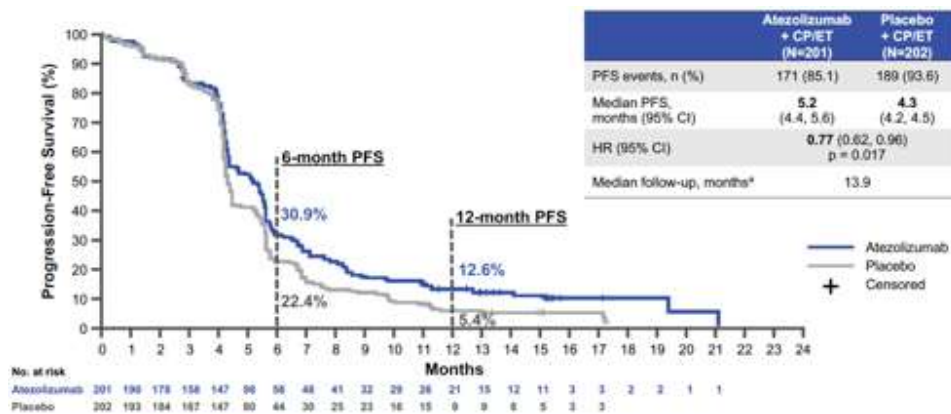
- Co-primary endpoint: OS
- Secondary endpoints: ORR, PFS
- Primary completion date: March 2018

	Nivo (n = 284)	Topotecan (n = 285)
Overall survival		
Median, months (95% CI)	7.5 (5.7–9.2)	8.4 (7.0–10.0)
HR (95% CI)	0.86 (0.72–1.04)	
	P = 0.11 ^c	
1-year OS rate, % (95% CI)	37 (31–42)	34 (29–40)
Progression-free survival		
Median, months (95% CI)	1.4 (1.4–1.5)	3.8 (3.0–4.2)
HR (95% CI)	1.41 (1.18–1.69)	
1-year PFS rate, % (95% CI)	11 (8–15)	10 (7–14)
Objective response rate, n (%)	39 (14)	47 (16)
Odds ratio (95% CI)	0.80 (0.50–1.27)	
Duration of response		
n events/n responders (%)	28/39 (72)	43/47 (92)
DOR-Median, months (95% CI)	8.3 (7.0–12.6)	4.5 (4.1–5.8)

IMpower133: Global Phase 1/3, double blind, randomized, placebo-controlled trial evaluated atezolizumab + carboplatin + etoposide in 1L ES-SCLC



^a Only patients with treated brain metastases were eligible. ECOG PS, Eastern Cooperative Oncology Group Performance Status; IV, intravenous; PCI, prophylactic cranial irradiation; PD, progressive disease; PFS, progression-free survival; R, randomized; RECIST, Response Evaluation Criteria In Solid Tumors.

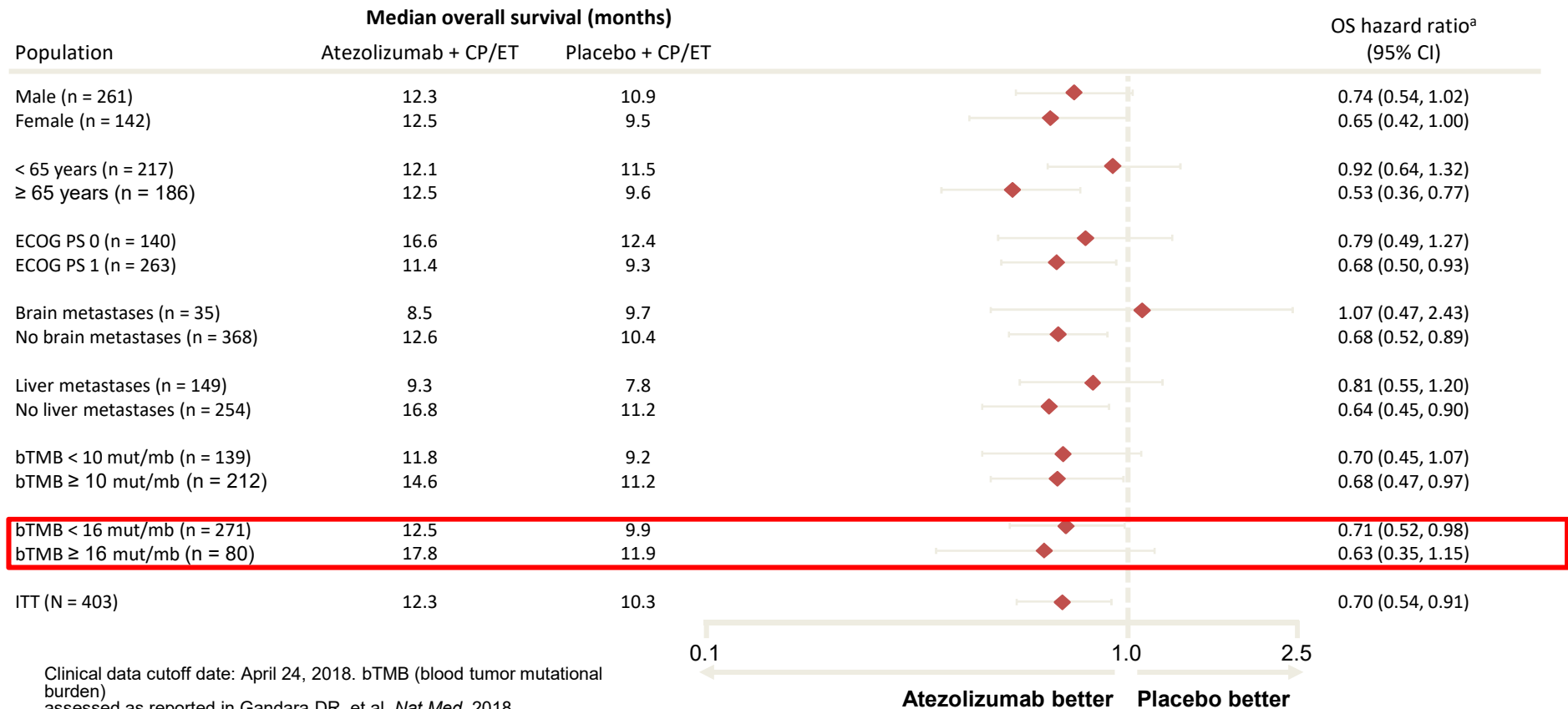


Immune-related AEs — no. (%) > 1% Grade 3–4 AEs in either treatment group	Atezolizumab + CP/ET (N=196)			Placebo + CP/ET (N=196)		
	Grade 1–2	Grade 3–4	Grade 5	Grade 1–2	Grade 3–4	Grade 5
Rash	33 (16.7)	4 (2.0)	0	20 (10.2)	0	0
Hepatitis	11 (5.6)	3 (1.5)	0	9 (4.6)	0	0
Infusion-related reaction	7 (3.5)	4 (2.0)	0	9 (4.6)	1 (0.5)	0
Pneumonitis	3 (1.5)	1 (0.5)	0	3 (1.5)	2 (1.0)	0
Colitis	1 (0.5)	2 (1.0)	0	0	0	0
Pancreatitis	0	1 (0.5)	0	0	2 (1.0)	0

Horn et al: N Engl J Med 2018



ImPower133: Overall survival in key subgroups



- Clinical data cutoff date: April 24, 2018. bTMB (blood tumor mutational burden) assessed as reported in Gandara DR, et al. *Nat Med*, 2018.
^a Hazard ratios are unstratified for patient subgroups and stratified for the ITT.



Name	Study arms	Phase
LS-SCLC		
STIMULI	Nivolumab+ ipilimumab maintenance vs. observation	II
NCT02402920	Platinum/etoposide + radiation +/- pembrolizumab	I
ES-SCLC Treatment naïve		
REACTION	Platinum/etoposide +/- pembrolizumab	II
KEYNOTE 604	Platinum/etoposide +/- pembrolizumab	III
IMpower133	Carboplatin/etoposide +/- atezolizumab	III
Caspian	Platinum/etoposide+ durvalumab +/- tremelimumab vs. chemotherapy alone	III
MCC-18914	Platinum/etoposide followed by thoracic radiation +/- nivolumab +ipilimumab	I/II
NCT02402920	Platinum/etoposide followed by thoracic radiation +/- pembrolizumab	I
ES-SCLC Maintenance		
Checkmate 451	Nivolumab, nivolumab + ipilimumab, placebo	III
ES-SCLC Subsequent lines		
AFT17	Pembrolizumab vs. topotecan	II
Checkmate 331	Nivolumab vs. topotecan or amrubicin	III
IFCT-1603	Atezolizumab vs. topotecan or carboplatin/etoposide	II
MISP-MK3475	Pembrolizumab + paclitaxel	II
PembroPlus	Pembrolizumab + irinotecan	I/II
CA001-030	BMS-986012 +/- nivolumab	I/II
KEYNOTE 158	Pembrolizumab	II
NCT02937818	Durvalumab + tremelimumab vs. AZD1775+carboplatin	II
Winship 3112-15	Tremelimumab + durvalumab +/- radiation	II
M16-300	Nivolumab + rovalpituzumab +/- ipilimumab	I
AAAQ8257	SGI-110 followed by durvalumab + tremelimumab	I

ES-SCLC, extensive stage small cell lung cancer; LS, limited stage.

CPIs in SCLC: Where to from here?

1. Earlier

Stage I-III SCLC

- concurrent or maintenance?
- chemo + IO, or IO combination?

2. 1L Combinations + Chemotherapy

+ RT

+ PARP, DNA repair inhibition

+ Epigenetic modifiers

+ DLL3 targeted agents

3. Biomarker selection

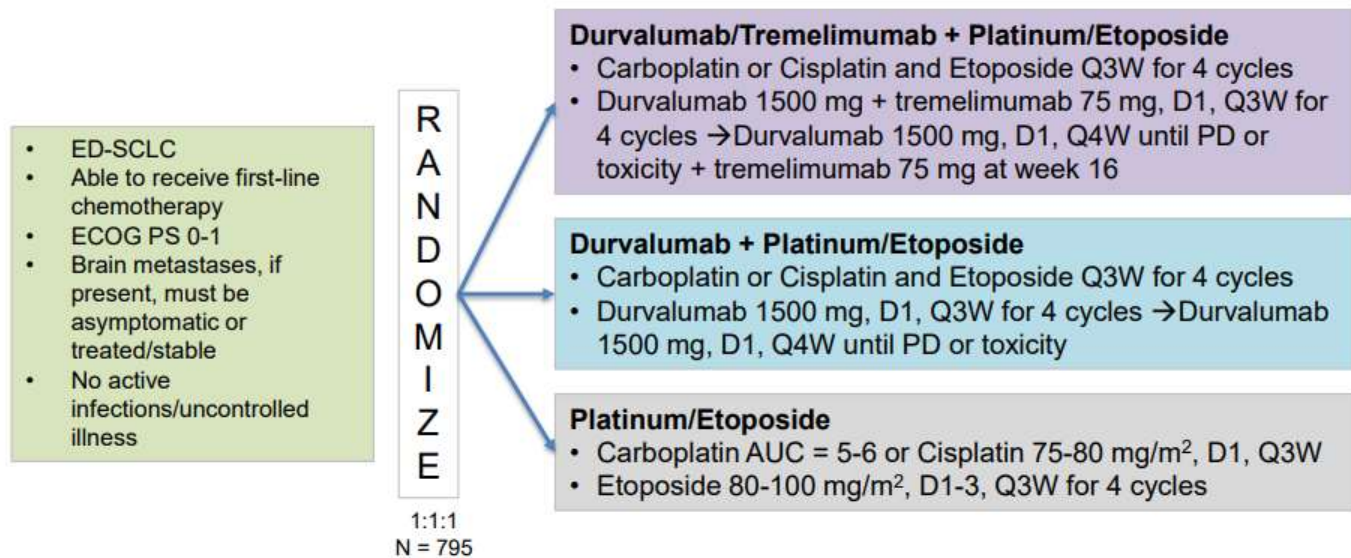
- TMB

- PDL-1 composite proportion score

- Baseline autoantibodies?



CASPIAN: Chemo +/- Durva or Durva/Treme in ES-SCLC



6/27/2019 AstraZeneca announces positive overall survival (OS) results from the Phase III CASPIAN trial with *durvalumab+PE* in 1st-line extensive-stage small cell lung cancer

- Co-primary endpoints: OS, PFS
- Secondary endpoints: ORR; 18-month OS; 6-, 12-month PFS, QoL, change in PS, PK
- Primary completion date: March 2019

Summary: Emerging Role of Immunotherapy in SCLC

- **SCLC appears to clinically be a good candidate for checkpoint immunotherapy (heavy smokers)**
- **SCLC is characterized by a high mutational load from tobacco carcinogens**
- **SCLC has unique immunologic features, in particular those associated with paraneoplastic syndromes**
- **Early studies showed long-term OS with PD-1 blockade or PD-1 blockade combined with anti-CTLA-1 therapy**
- **SCLC patients may be particularly at risk for autoimmune-related side effects**
- **Two Phase III trials have recently demonstrated improved OS with CPI+PE vs PE alone. This approach of CPI+PE now represents a new SOC in E-SCLC**